1	Neutrophils form extracellular traps in response to Opisthorchis viverrini crude antigens and			
2	these traps are elevated in neutrophils from opisthorchiasis patients with hepatobiliary			
3	abnormalities			
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5	Running title: NETs in response to Ov crude antigens			
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20 Abstract

Opisthorchis viverrini (*Ov*) infection can cause several disease conditions of the bile duct 21 including hepatobiliary abnormalities (HBAs) and the most severe, cholangiocarcinoma (CCA). 22 23 Fibrosis occurs when tissues are damaged and normal wound-healing responses are dysregulated. Neutrophils are the first cells to migrate to an infection site to protect the host from intruding 24 extracellular pathogens through a wide range of effector mechanisms such as phagocytosis, 25 production of reactive oxygen species, proteases, or release of neutrophil extracellular traps 26 (NETs). In this work, we used confocal microscopy to assess whether Ov crude antigens can cause 27 release of NETs from neutrophils from Ov-free individuals. We demonstrated for the first time 28 that these antigens could induce release of NETs ex-vivo in a dose-dependent manner from 29 neutrophils isolated from Ov-free individuals. Intriguingly, when we measured NETs from 30 31 neutrophils isolated from Ov-infected patients, we found increased spontaneous production of NETs in patients with HBAs. Interestingly, exposure to Ov crude antigens lowered the level of 32 NETs released by neutrophils from patients with active Ov infection regardless of HBA status. We 33 34 propose that in the case of acute Ov infection, even when concentration of Ov antigens is relatively 35 low, neutrophils can form NETs. However, when this infection becomes chronic, manifesting as a definite HBA, the levels of NET production are reduced when treated with Ov crude antigens. 36 37 Excessive production of proinflammatory mediators from these NETs might have effects on the parasites, but may also lead to excessive injury of surrounding tissues resulting in HBAs and may 38 lead eventually to the most severe complications such as CCA. 39

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41 *Word Count:* 257

42 Keywords: Neutrophils extracellular traps, *Opisthorchis viverrini*, neutrophils, Hepatobiliary abnormality

43 Introduction

Infection with *Opisthorchis viverrini* (*Ov*) or liver fluke is endemic in the Lower Mekong regions 44 45 of Southeast Asia, including Thailand, with approximately 8 million people infected, especially in 46 the northeast of Thailand where consumption of raw freshwater fishes is common (Sripa et al., 2011). The life cycle of Ov requires three different hosts (Smout et al., 2011). First an aquatic snail 47 48 (first intermediate host) ingests Ov eggs from contaminated feces. Asexual reproduction of the parasite produces numerous cercariae which escape from the snail and penetrate freshwater fish 49 (second intermediate host) (Smout et al., 2011). The cercariae then encyst as metacercariae that 50 are infective to the final definitive hosts including humans. The metacercariae excyst in the 51 52 duodenum and migrate into the intrahepatic bile ducts to mature (Smout et al., 2011). Infection can cause several disease conditions of the bile duct including cholangitis, cholelithiasis, 53 hepatobiliary abnormalities (HBAs) and the most severe complication, cholangiocarcinoma 54 (CCA) (Elkins et al., 1996, Mairiang et al., 2006, Mairiang et al., 1992, Mairiang and Mairiang, 55 56 2003, Sripa et al., 2007). HBAs occur when tissues are damaged and normal wound-healing responses are dysregulated (Wynn and Ramalingam, 2012), usually as a result of repetitive tissue 57 injury (Borthwick et al., 2013) such as may be caused by Ov infection (Mairiang and Mairiang, 58 59 2003). To date, it is proposed that that tissue damage caused by Ov can be due to 1) physical damage, 2) release of reactive oxygen species (ROS) released from neutrophils, 3) inflammation 60 (Sripa et al., 2012). However, evidence to support the last of these is scarce or even contradictory. 61

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Neutrophils are the first cells to migrate to an infection site to protect the host from intruding extracellular pathogens. Our group recently reported that functions of neutrophils were enhanced in patients infected with the liver fluke (Ov) and that this increased function was associated with

HBAs (Salao et al., 2020), suggesting a double-edged sword role of neutrophils in the liver fluke. 66 Neutrophils act via a wide range of effector mechanisms such as phagocytosis, production of 67 reactive oxygen species, and release of proteases and of neutrophil extracellular traps 68 (NETs)(Nathan, 2006). It was initially thought that NETs are responsible mostly for attacking 69 bacterial infections, especially those in which bacterial biofilms are formed (Khamwong et al., 70 71 2022, Thanabalasuriar et al., 2019). Their role in helminth infections is poorly known. NETs consist of decondensed chromatin released from neutrophils together with granular proteins and 72 histones. NETs can be classified into two types namely suicidal and vital NETs. Conventional 73 74 suicidal NETs are formed after cell death (Fuchs et al., 2007), whilst vital NETs are formed while neutrophils are still alive (Fuchs et al., 2007). Compelling evidence demonstrates that NETs are 75 released in response to various parasites and cause pathogenesis such as in malaria (Babatunde 76 and Adenuga, 2022, Knackstedt et al., 2019). However, there has been no study of NETs in Ov 77 infection with associated HBAs. 78

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In this work, we used confocal microscopy to assess whether *Ov* crude antigens can cause NET release from neutrophils from *Ov*-free individuals. We then asked whether NETs are incorporated with the granule proteins myeloperoxidase (MPO) and neutrophil elastase (NE). In addition, we measured NET release from human neutrophils after challenge with *Ov* crude antigens *ex vivo* from *Ov*-infected patients with or without HBAs.

85

86 Materials and methods

87 Participants

For NET measurement by confocal microscopy, three *Ov*-free individuals without HBAs who were
regular blood donors were recruited from Blood Bank in Srinagarind University Hospital. These
individuals donated blood from which neutrophils were obtained.

For NET measurement by spectro cytometry, Ov-infected patients were from ten villages in Kalasin Province (Thailand). Individuals aged between 20 and 60 years were recruited into this study. They were separated into two groups: Ov-positive patients without HBAs (Ov^+ HBA⁻) and Ov-positive patients with HBAs (Ov^+ HBA⁺). Written informed consent was obtained from each participant. This study complied with the standard good clinical practice (GCP) guidelines and was approved by the Ethics Committee of Khon Kaen University, Khon Kaen, Thailand, reference numbers HE591185 and HE480528.

98 *Sample-size calculation*

A statistical power analysis was performed to calculate required sample size. With an alpha
= 0.05 and power = 0.80, the projected sample size for this effect size (G*Power 3.1.9.2 analysis)
was approximately 3 per group.

102

103 Ultrasonography

A detailed description of the ultrasonography methods used in this study can be found in previous publications (Mairiang et al., 2012, Sripa et al., 2009). Using a mobile, high-resolution ultrasound (US) machine (GE model LOGIQ Book XP, GE healthcare, WI, USA), hepatobiliary abnormalities including portal-vein radical echoes, echoes in liver parenchyma, indistinct gallbladder wall, gallbladder size, sludge and suspected CCA, were graded and recorded. Individuals were classified as not having hepatobiliary abnormalities ("HBA-") if the US grade was 0 or 1, or as having abnormalities ("HBA+") if the US grade was 2 or 3. Individuals with alcoholic liver disease, which is seen as fatty liver by US examination, were excluded. Individuals
with marked hepatic fibrosis not related to *Ov* infection (e.g., cirrhosis due to hepatitis C and B
virus) were also excluded from this study. Our assumption was that remaining types of HBAs in *Ov*-infected individuals were due to chronic *Ov* infection.

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116 *Preparation of* Ov *crude antigens*

Adult Ov worms from experimentally infected hamsters (previously described (Wonkchalee et al., 117 118 2012)) were washed three times with sterile phosphate-buffered saline (PBS pH 7.2) containing 0.149 M sodium chloride (Fisher Scientific, NJ), 8.29 mM disodium hydrogen phosphate (Acros 119 Organics, NJ) and 18 mM sodium dihydrogen phosphate monohydrate (Fisher Scientific, NJ) in 120 deionized (DI) water. A 100x Protease Inhibitor Cocktail (Calbiochem, CA) was added, the 121 mixture (including worms) homogenized using an ultrasonic (MISONIC Sonicator 3000, US) and 122 then centrifuged at 4 °C, 15,000 g for 30 min. The BCA[™] Protein Assay Kit (PIERCE, IL) was 123 used to determine the protein yield of Ov crude antigens in the supernatant, which was collected 124 and stored at -80 °C until used. 125

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127 Neutrophil isolation

Blood was collected from all participants in sodium heparin spray coated tubes (cat# 367874,
Becton Drive, NJ). Whole blood was mixed with HetaSepTM (cat # 07906, Stem Cell Technologies
Inc.) at a ratio of 1:5 and was incubated at 37 °C for 30 min until the buffy coat interphase formed
approximately 50% of total volume. Neutrophils were isolated from the buffy coat by using FicollHypaque (cat# 25-072-CV, Corning), at a ratio of 1:1 and centrifuged at 500 g continuously for 30

min. The granulocyte layer in the bottom was carefully removed and added to RPMI 1640 media (cat# 31800105, Gibco) followed by lysis buffer at a ratio 1:9 to remove erythrocytes, then centrifuged at 500 x g for 3 min. The supernatant was discarded, and cells were resuspended in media at a final concentration $1x10^6$ cells/mL.

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138 *NET measurement by confocal microscopy*

Neutrophils (approximately $2x10^5$ cells/mL) from Ov-free donors (approximately $2x10^5$ cells/mL) 139 140 were seeded onto sterile round coverslips in 24-well plates. Ov crude antigens at various final concentrations (2, 5, 10, 15 and 20 µg protein/mL), or a positive control PMA (cat# P1585, Sigma) 141 at 1 mg/mL), were added to the 24-well plates and incubated at 37 °C for 3 h to allow for NET 142 formation. Cells adhering to the coverslips were fixed with 4% paraformaldehyde and kept 143 overnight. Cells were washed with 1X Tris-buffered saline (TBS) three times for 5 min each. Cells 144 were permeabilized using 0.05% Tween 20 in TBS for 1 min then blocked using 2% bovine serum 145 albumin (BSA) for 30 min and washed three times with 1X TBS. Primary antibody, anti-elastase 146 antibody (cat# AB21590, Abcam), and anti-myeloperoxidase antibody (cat# AB109116, Abcam), 147 were diluted (1:200) in blocking buffer then incubated for 1 h. Cells were washed three times for 148 5 min with 1X TBS. Secondary antibody, goat anti-mouse IgG H&L (Alexa Fluor 488, cat# 149 AB150113, Abcam), and donkey anti-rabbit IgG H&L (Alexa Fluor 647, cat# AB150075, Abcam) 150 151 were diluted (1:400) in blocking buffer then incubated for 1 h and washed further 3 times for 5 min with 1X TBS. Cells were stained with DAPI (cat# A1001, Biochemica), which was diluted 152 (1:10000) in TBS for 3 min. Cells were washed twice with 1X TBS and mounted using 70% 153 154 glycerol. Cells were then imaged on a confocal laser scanning microscope (Zen 2.1 software, Zeiss

LSM800) using 10X and 63X objectives. To quantify the amount of NETs, an average nuclear area of neutrophils (μ m²/cell) was calculated using ImageJ software by the following equation:

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158 Average nuclear area $(\mu m^2/cell) = \frac{Total area of nuclei}{Total number of cells}$

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160 *NET measurement by spectro cytometry*

Neutrophils (approximately $2x10^5$ cells/mL) from *Ov*-infected patients were seeded into 96-well 161 plates (Thermo Fisher Scientific). Ov crude antigens at various concentrations (10 µg/mL) were 162 163 added to the 96-well plates and the plates incubated at 37 °C for a further 3 h. CaCl₂ (0.1 M) was then added to stop reactions followed by addition of 50 U micrococcal nuclease (Sigma) and 164 incubation at 37 °C for 10 min in order to cleave DNA from the nucleus. The nuclease reaction 165 was stopped by adding 5 µL EDTA (0.5 M). Supernatant containing cleaved DNA was quantified 166 using the QuantiFluor® dsDNA system (Promega, Madison, USA) in black 96-well plates 167 (Thermo Fisher Scientific) using serially diluted lambda DNA as a standard. Measurement was 168 carried out at 485 nm excitation/ 535 nm emission on VarioskanFlash (SkanIt Software 2.4.3 RE 169 for Varioskan Flash). 170

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172 Statistical analysis

For data analysis, GraphPad Prism 7 (v. 7.03 h, GraphPad Software, Inc.) and VarioskanFlash (SkanIt Software 2.4.3 RE for Varioskan Flash) were used. The unpaired twotailed *t* test was used. All data are presented as mean \pm SEM. Statistical significance was set p<0.05; **p<0.01; ***p<0.001 and NS = not significant.

177 **3. Results**

178 *Participant characteristics*

- 179 Fifty-one Ov⁺HBA⁻ and twenty-two Ov⁺HBA⁺ patients in this study were from Kalasin Province
- (Table 1). Most of Ov^+HBA^- patients were female (60.78%), while most patients with HBAs were
- male (81.82%). The average age of each group was comparable (51.25 ± 6.23 vs 51.14 ± 6.20 , p
- 182 =0.680 (Table 1). Levels of Ov infection, as measured by eggs-per-gram (EPG) were also
- 183 comparable between the two groups (18.45 ± 20.53 vs 16.69 ± 14.85 , p = 0.634). All subjects were
- under 60 years old.

	Population (%)		
Characteristics	Ov ⁺ HBA ⁻	Ov ⁺ HBA ⁺	<i>p</i> -Value
	(n=51)	(n=22)	
Gender			
Male	20 (39.22%)	18 (81.82%)	< 0.001
Female	31 (60.78%)	4 (18.18%)	
Age			
Years of age	51.25 ± 6.23	51.14 ± 6.20	0.680
$(\text{mean} \pm \text{SD})$			
EPG	18.45 ± 20.53	16.69 ± 14.85	0.634

185 Table 1 Baseline characteristics of participants in this study

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187

To study the effects of different doses of Ov crude antigens on NET release, we stained 189 190 isolated neutrophils from Ov-free individual with DAPI. Using confocal microscopy, we observed 191 that human neutrophils release NETs (Fig.1) when treated with any of the Ov crude antigen concentrations tested (2 µg/mL protein (Fig.1g), 5 µg/mL (Fig.1-k), 10 µg/mL (Fig.1-o), 15 µg/mL 192 193 (Fig.1-s) and 20 µg/mL (Fig.1-w). As a negative control, we used only culture media instead of Ov antigens and used it for a cut-off to determine NET release (Fig.1c). On the other hand, as a 194 positive control, PMA-treated neutrophils released NETs as expected. Of note, the amount of NET 195 released increased in a dose-dependent manner and peaked at 10 µg/mL of Ov antigens before 196 falling at higher concentrations of Ov antigens (15 µg/mL and 20 µg/mL) (Fig. 2B). However, the 197 release of NETs at all Ov-antigen concentrations was higher than in untreated controls. 198

To test whether neutrophils that released NETs also underwent degranulation, we stained 199 neutrophils with antibodies against MPO and NE and observed spatial distribution under a 200 confocal microscope. We found that neutrophils when treated with Ov crude antigens release NETs 201 together with MPO and NE in a dose-dependent manner. We found MPO and NETs starting at 5 202 µg/mL of Ov antigen (Fig.1-1), and of NE and NETs starting at 2 µg/mL Ov antigen (Fig. 1-h). 203 Interestingly, we observed that all three (MPO, NE and NETs) only when neutrophils were treated 204 with Ov crude antigen at concentrations of 15 μ g/mL and 20 μ g/mL. Together, our results indicate 205 that neutrophils from Ov-free individuals can release NETs together with the granular proteins 206 MPO and NE in response to Ov crude antigens. 207

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210 *NETs are formed in patients with hepatobiliary abnormalities (HBA+) and Ov infection*

Because NETs are involved with pathology and severity of parasitic diseases such as malaria (Knackstedt et al., 2019), we sought to investigate if this also true for *Ov*-induced HBAs. We compared the quantities of NET released from neutrophils of individuals without HBAs who were positive for *Ov* eggs in feces (Ov⁺HBA⁻) with quantities from the *Ov* egg-positive and HBApositive group (Ov⁺HBA⁺). We found elevated levels of NETs in the latter group (Fig.2). Interestingly, when these neutrophils were challenged with *Ov* crude antigens, we observed lower NET release in both groups regardless of their HBA status.

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219 Discussion

Neutrophils release NETs upon encounter with large pathogens that usually cannot be 220 phagocytosed (Branzk et al., 2014). In the case of extracellular parasites, especially when the 221 infection is chronic and the infectious organism well evolved with the host, release of NETs might 222 223 be an inappropriate response, causing bystander tissue damage and contributing to immunopathology. In this work, we decided to test whether Ov crude antigens can induce NET 224 225 formation and release in Ov-free individuals compared to patients infected with the parasite with or without hepatobiliary abnormalities (HBAs). Our study shows for the first time that Ov crude 226 antigens can induce NETs ex-vivo in a dose-dependent manner from neutrophils isolated from 227 228 three Ov-free individuals. Intriguingly, when we measured NETs from neutrophils isolated from Ov-infected patients, we found increased spontaneous NET release in patients with HBAs. 229 Interestingly, treatment with Ov crude antigens lowered the level of NETs in patients with active 230 Ov infection regardless of HBA status. 231

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There is compelling evidence that neutrophils form NETs in response to helminth and 233 protist parasites: Ostertagia ostertagi (Mendez et al., 2018), Haemonchus contortus (Munoz-Caro 234 et al., 2015), Neospora caninum (Villagra-Blanco et al., 2017), Eimeria bovis (Behrendt et al., 235 2010) and recently Fasciola hepatica (Peixoto et al., 2021). In line with these studies, our results 236 show that human neutrophils release NETs in a dose-dependent manner after encounter with crude 237 238 Ov antigens. We observed NETs in response to a very low concentration of Ov crude antigens, suggesting this response may be possible *in vivo*, where the actual abundance of parasite antigen 239 can be quite low at the start of acute infection. Although it is unclear whether neutrophils are 240 recruited to the site of Ov infection in response to antigens released by the fluke, several studies 241 have reported a rapid recruitment of neutrophils to the site of infection by Strongyloides 242 sterocoralis (Galioto et al., 2006) and Heligmosomoides polygyrus (Anthony et al., 2006). It is 243 possible that such a recruitment may be prompted by tissue injury caused by parasite larvae and 244 also by parasite-derived chemotactic factors. 245

Ov crude antigens had been reported to activate bile-duct epithelial cells via TLR2 (Yongvanit et al., 2012). Given that ligation of TLR2 results in vital NETs, in which neutrophils are still viable and can perform other functions such as secretion of ROS, it is possible that *Ov* crude antigens may stimulate a similar pathway to release NETs. Previously, we showed that enhanced neutrophil functions, including production of ROS, were associated with HBAs (Salao et al., 2020). Thus, NETs observed in this study further confirm our hypothesis on the association of enhanced innate immunity with development of cholangiocarcinoma (Edwards et al., 2018).

NETs cause tissue injury in liver diseases (Hilscher and Shah, 2020) such as alcoholassociated liver diseases and portal hypertension and cancer. NE from NETs is associated with matrix metalloproteinase-9 (MMP-9) for activation of dormant cancer cells (Albrengues et al., 2018). Likewise, several studies report pro-tumorigenic role of NETs in different cancers (BravoFernandez et al., 1985, Boone et al., 2015). Interestingly, we detected spontaneous release of NETs
in patients with HBAs in both *Ov* crude antigen-untreated and -treated groups. These results imply
that NETs are correlated with HBAs, as a result of wound healing following tissue damage.

This study has some limitations. First, the NETs we observed only came from ex vivo 260 261 experiments that may not represent what happens in vivo. Second, although it is possible that neutrophils may directly interact with live Ov during the early phase of infection, our study did not 262 investigate such an interaction. Third, our subjects were all from Ov-endemic areas. Past, repeated 263 or chronic infection with Ov may have interfered and caused bias to our results. A study of the 264 effects of acute and chronic infection on NET release and formation of HBAs would be 265 worthwhile. Other future studies could include how NETs may form in vivo and their effect on 266 cancer development. 267

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269 Conclusion

We investigated whether human neutrophils could form NETs in response to Ov crude antigens. We found that NETs were released at all tested concentrations of Ov crude antigens in a dose-dependent fashion, and these NETs may be harmful to both the parasite and host. We propose that in the case of acute Ov infection, when concentration of Ov crude antigens is relatively low, neutrophils could form NETs. However, when this infection becomes chronic, manifesting as HBAs, these levels of NETs were reduced when neutrophils were treated with Ov crude antigens. Excessive production of proinflammatory mediators from these NETs might have an effect on the parasites, but might also lead to excessive injury of surrounding tissues and hence result inhepatobiliary abnormalities.

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287

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291

292 Author contributions

KW, BS, SWE and KS conceived and designed the study. KW, CC and WD conducted
experiments. KW, SWE and KS wrote the first draft of the manuscript. KW, SC, KF, SS, ST, BS,
SWE and KS edited and finalized the manuscript.

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297 Conflict of interest statement

298 The authors declare no conflict of interest.

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410 Legends for Figures

411 Fig. 1 *Ov* crude antigen induced NET production in neutrophils from *Ov*-free individuals.

412 1×10^5 of neutrophils from *Ov*-free and non-HBA individual were confronted with *Ov* crude

- 413 antigens at final protein concentrations of 2 (e-h), 5 (i-l), 10 (m-p), 15 (q-t) and 20 (u-x) µg/mL
- and PMA concentration 1 mg/mL (z-b1) for 3 h at 37 °C and 5% CO₂. For confocal microscopy,
- 415 the cells were stained with MPO (red), NE (green) and DAPI (blue) (representative image from 3
- 416 Ov-free individual (A). Quantification of NETs was performed after incubation of untreated
- 417 controls and Ov crude antigens (n=3) (B). All experiments were performed in duplicate. Area of
- 418 NETs was measured using ImageJ software. Bars represent mean \pm SEM. All data were analyzed
- 419 by *t* test; *P < 0.05, **P < 0.001 and ****P < 0.0001. Scale bar 20 μ m.

420 Fig. 2 NETs were elevated in *Ov*-infected patients with hepatobiliary abnormalities

- 421 Neutrophils from *Ov*-infected patients with or without HBA were treated with *Ov* crude antigens
- 422 $(10 \,\mu\text{g/mL})$ and NETs were measured using spectral cytometry. Data are analyzed as mean±SEM;
- 423 *P < 0.05 using t tests (n=51 for Ov⁺HBA⁻, n=22 for Ov⁺HBA⁺)





Fig. 1 Ov crude antigen induced NET production in neutrophils from Ov-free individuals.



Fig. 2 NETs were elevated in Ov-infected patients with hepatobiliary abnormalities