

# Seismic damage analysis due to near-fault multi-pulse ground motion

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## Abstract

Near-fault pulse-like ground motion is a significant class of seismic records since it tends to cause more severe damage to structures than ordinary ground motions. However, previous researches mainly focus on single-pulse ground motions. The multi-pulse ground motions that exist in records receive rare attention. In this study, an analysis procedure is proposed to investigate the effect of multi-pulse ground motions on structures by integrating finite element analysis and an identification method that features each pulse in the multi-pulse ground motion satisfying the same evaluation criteria. Firstly, the Arias intensity, wavelet-based cumulative energy distribution, and response spectra of identified non-, single- and multi-pulse ground motions are compared. Then, the seismic damage on frame structures, a soil slope, and a concrete dam under non-, single-, and multi-pulse ground motions are analyzed. Results show that the spectral velocity of multi-pulse ground motions is significantly greater than those of non- and single-pulse ground motions and potentially contains multiple peaks in the long-period range. Seismic damage evaluation indicates that the maximum inter-story drift of frame structures with high fundamental periods under multi-pulse ground motions is about twice that of non-pulse ground motions. Similar characteristics also exist in the soil slope and the concrete dam. Therefore, multi-pulse ground motions potentially cause more severe damage to structures compared to non- and single-pulse ground motions. The findings of this study facilitate the recognition of the increased seismic demand imposed by the

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multi-pulse ground motion in engineering practices, provide new possibilities for ground motion selection in seismic design validation, and shed new light on seismic hazard and risk analysis in near-fault regions.

*Keywords:* multi-pulse ground motion, near-fault earthquake, pulse-like ground motion, seismic damage analysis, response spectrum, seismic risk

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## 1. Introduction

Near-fault pulse-like ground motion is one of the hot spot issues in earthquake engineering since it potentially causes more severe damage to structures than ordinary ground motion (termed non-pulse ground motion in this study) [1, 2, 3]. This phenomenon was first discovered at the Port Hueneme earthquake in 1957 [4], and further verified by following near-source earthquakes, such as 1995 Kobe Earthquake [5], 1999 Chi-Chi Earthquake [6], 2018 Hualien Earthquake [7], and 2023 Turkey-Syria Earthquake [8]. These near-fault earthquakes effectively expand the pulse-like ground motion database and advance relevant research, such as the seismological mechanism of pulse generation [9], pulse-like ground motion identification [10, 11] and simulation [12, 13], and seismic damage analysis [14, 1]. However, current studies mainly focus on single-pulse ground motion. As a particular class of seismic records in near-fault regions, the multi-pulse ground motion is rarely considered. Therefore, the objective of this study is to facilitate recognizing the potential of near-fault multi-pulse ground motions on structural damage compared to non- and single-pulse ground motions.

Identifying the pulse-like ground motion is the prerequisite for relevant studies. To effectively extract the pulse-like ground motion from recorded databases, lots of identification methods are proposed, such as wavelet-based methods [10] and energy-based methods [15, 16]. However, most of them focus on single-pulse ground motion identification. Only few investigations for multi-pulse ground motion are conducted. For example, Lu et al. [17] proposed an iteration scheme to identify the multi-pulse ground motion based on wavelet transform. Chen et al. [18, 19] used Hilbert-Huang transform to extract multiple pulses in ground motion and analyzed the characteristics of multi-pulse ground motions. Although some methods have been developed, the identification criteria of multi-pulse ground motions are still in debate. Most previous studies, limited by signal processing techniques, consider the trend terms or long-period but low-amplitude parts of ground motions as multiple pulses. We believe that the attenuation part of the ground motion should be

26 excluded. Hence, a generalized continuous wavelet transform method is proposed in the authors'  
27 previous study [11], which can effectively identify the multi-pulse ground motion and avoid the  
28 influences of other factors. Similar to the criteria in most studies, this method classifies the non-  
29 pulse and pulse-like ground motions by whether a long-period and high-amplitude part exists in  
30 ground motion velocity. The identification of single- and multi-pulse ground motion is based on  
31 the number of pulses in velocity. If the ground motion velocity contains more than one pulses in  
32 velocity, it is considered a multi-pulse ground motion, otherwise a single-pulse ground motion. The  
33 proposed method features that each pulse in a multi-pulse ground motion should meet the same  
34 identification criteria. Pulse-like ground motions are identified from Chi-Chi, Taiwan earthquake  
35 at Pacific Earthquake Engineering Research (PEER) NGA-West2 database and applied in the  
36 seismic damage analysis.

37 The effects of pulse-like ground motion on structures is the key issue concerned in earthquake  
38 engineering. To investigate this issue, a number of seismic damage analyses of pulse-like ground  
39 motion have been carried out in various objects, such as slope [20], gravity dam [21], tunnelling  
40 [6], building [22, 23], and bridge [24, 25]. The analysis techniques are also diverse, like site  
41 investigations, laboratory experiments and numerical simulations. Therein, numerical methods  
42 based on non-linear dynamic response are widely applied due to their advantages in reflecting the  
43 non-stationary characteristics of pulse-like ground motion and their effectiveness and efficiency.  
44 The parameters of pulse-like ground motions, like the peak ground acceleration (PGA) [26], peak  
45 ground velocity (PGV) [27], the ratio of the pulse period and structural fundamental period  
46 [28, 29, 30], response spectrum characteristics [31, 32], frequency-domain feature [33, 34] and  
47 duration [35, 36] are also widely analyzed and quantitatively evaluated. That the pulse-like ground  
48 motion has potential side effects on structural safety is generally recognized.

49 However, most of these studies focus on single-pulse ground motions. The seismic damage anal-  
50 ysis under multi-pulse ground motions is rare, while multi-pulse ground motions exist in records.  
51 Moreover, the multi-pulse signals may cause more severe damage than the single-pulse ones based  
52 on the tests using simple artificial signals, like triangle waves, square waves and harmonic waves  
53 [28, 37, 2]. Though multi-pulse ground motions are significant, the effects of recorded multi-pulse  
54 ground motions on structures are still unclear. To address this challenge, an identification method  
55 and an analysis procedure are formulated, where the identification method is utilized to detect the  
56 non-, single- and multi-pulse ground motions from seismic databases, and the analysis procedure

57 combines the finite element method and quantitative evaluation parameters to assess the seismic  
58 damage. To broaden the considerations of multi-pulse ground motions in engineering practice,  
59 three cases, including five frame structures with different fundamental periods, a soil slope, and  
60 a concrete dam, are exemplified to analyze the seismic damage under non-, single- and multi-  
61 pulse ground motions. The seismic damage is quantitatively evaluated by various parameters  
62 accordingly.

63 The main contributions of this study are that the multi-pulse records are demonstrated to  
64 potentially cause more severe damage to structures compared to non- and single-pulse ground  
65 motions. Moreover, this phenomenon is observed for various structural systems (including slopes,  
66 dams, and frame structures) and material properties (including soil, concrete, and steel). This  
67 finding helps to reveal the adverse impacts of multi-pulse ground motion in engineering practices,  
68 to broaden the wider considerations of multi-pulse ground motions in seismic hazard and risk  
69 analysis at near-fault regions, and to select ground motion in seismic design.

70 The organization of this work is as follows: the identification, selection, and intensity mea-  
71 sures characteristics of non-, single-, and multi-pulse ground motions from the Chi-Chi, Taiwan  
72 earthquake are analyzed in Section 2. Three cases, involving frame structures, a soil slope, and  
73 a concrete dam, are illustrated in Section 3, Section 4, and Section 5, respectively. The seismic  
74 damage due to non-, single- and multi-pulse ground motions is elaborated accordingly. The neces-  
75 sity of pulse-like ground motion classification, its implication for seismic design, and the caveats  
76 of this study are discussed in Section 6. The main conclusions are drawn in Section 7.

## 77 **2. Ground motions database**

### 78 *2.1. Pulse-like ground motion identification*

79 The identification method proposed in the authors' previous work [11] is adopted to detect the  
80 non-, single-, and multi-pulse ground motions. This method identifies pulse-like ground motions  
81 by integrating the convolution analysis and evaluation parameters (energy ratio and Pearson  
82 correlation coefficient). A brief introduction of the identification procedure is as follows: it first  
83 applies the maximum absolute value of convolution results between the pulse model and ground  
84 motion to locate potential pulses, then judges whether the pulse meets the requirement of energy  
85 ratio, and finally excludes false identification with the correlation coefficient. Key points of the  
86 method are explained below.

87 The convolution analysis is applied since the maximum absolute value can effectively reflect  
88 the peak value and shape characteristics of a pulse. From the perspective of signal processing, the  
89 convolution (see Eq. (1)) can be regarded as a Linear Time-Invariant (LTI) system, where  $g(t)$  is  
90 the unit impulse response,  $f(t)$  is the input signal and  $(f * g)(t)$  is the output signal.

$$(f * g)(t) = \langle f(t), g(t) \rangle = \int f(\tau) \cdot g(t - \tau) d\tau \quad (1)$$

91 where  $(f * g)(t)$  is the integral of products between the 'unit' of the input signal and the unit  
92 impulse response algebraically.

93 As the unit impulse response is confirmed (i.e., pulse model in the method), the value of  
94  $(f * g)(t)$  can reflect two characteristics of the 'unit' of the input signal, i.e., the amplitude and  
95 the shape. Moreover, the absolute value of  $(f * g)(t)$  increases with the amplitude value and the  
96 similarity in shape between the unit impulse response and the 'unit' of the input signal. Hence,  
97 the maximum absolute value of  $(f * g)(t)$  is obtained on conditions that the 'unit' of the input  
98 signal contains the local peak amplitude and is similar to the unit impulse response in the period.  
99 In other words, the maximum absolute convolution result is obtained when the identified pulse  
100 contains the local peak ground velocity and is similar to the pulse model in the period. This is  
101 the theoretical core of the proposed method to identify multi-pulse ground motions.

102 The wavelet basis 'db4' is adopted as the pulse model referring to the study of Baker [10], which  
103 is one of the most popular identification methods in single-pulse ground motion. High energy in a  
104 pulse is one of the most common features of pulse-like ground motion, which is widely applied as  
105 an evaluation criterion in identification [15]. Hence, this parameter is also utilized in this study to  
106 classify the non-pulse and pulse-like ground motions. Specifically, when a long-period and high-  
107 amplitude part accounts 30% energy of the whole signal, it is regarded as a pulse, and the ground  
108 motion is deemed as a pulse-like ground motion accordingly. Finally, the correlation coefficient is  
109 introduced to exclude the false identification caused by the trend term and the long-period but  
110 low-amplitude part of ground motions. Besides, only the records whose PGV is greater than 30  
111 cm/s are considered as pulse-like ground motions in the seismic damage analysis referring to the  
112 study of Baker [10]. The number of long-period and high-amplitude parts in velocity that satisfies  
113 the same criteria above is utilized to distinguish sing- and multi-pulse ground motions. More  
114 details about the method are elaborated in the authors' previous study [11].

115 As one of the most famous near-source earthquakes, the Chi-Chi, Taiwan Earthquake recorded

116 many near-fault pulse-like ground motions, thus selected as the database in this study. Based on  
 117 the proposed method, seven multi-pulse ground motions were identified. Correspondingly, seven  
 118 non- and single-pulse ground motions are randomly selected to conduct a comparative study. The  
 119 information on these ground motions is listed in Table 1. The pulse-related parameters, including  
 120 PGA of the pulse part ( $PGV_p$ ), pulse period ( $T_p$ ) and energy ratio ( $E_r$ ), are provided based on  
 121 the proposed method. Examples of the non-pulse (RSN 1498 vertical), single-pulse (RSN 1481  
 122 Horizontal 1), and multi-pulse (RSN 1498 Horizontal 2) ground motions are depicted in Figure  
 123 1. Other selected multi-pulse ground motions are plotted in Figure 2. It is worth noting that  
 124 the PGA of all ground motions was scaled to 0.3g and then as input ground motion for seismic  
 125 damage evaluation in case studies.

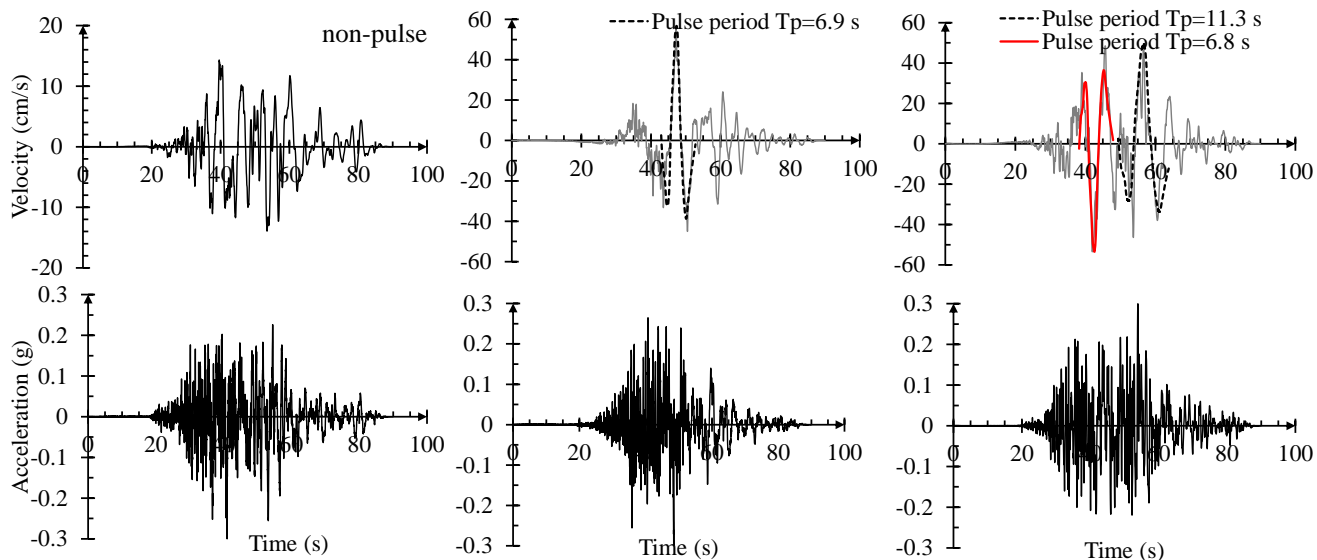


Figure 1: Examples for non-pulse (left), single-pulse (middle), and multi-pulse (right) ground motions in Chi-Chi, Taiwan Earthquake at PEER NGA-West2 database. Each pulse in multi-pulse ground motions satisfies the same identification criteria.

## 126 2.2. Arias intensity and frequency-domain features

127 The Arias intensity and wavelet-based energy distribution are analyzed to characterize the  
 128 time- and frequency-domain features of non-, single- and multi-pulse ground motions, respectively.  
 129 The normalized Arias intensity of selected ground motions in Table 1 is shown in Figure 3 (a).  
 130 Owing to the limitations of Fourier transform in time-frequency conversion, which uses the infinite  
 131 trigonometric signal to fit a signal in Hilbert space, the results are easily interfered by noises and  
 132 would change with any mutation on the time domain [38]. Hence, the wavelet packet transform

Table 1: Information of selected ground motions from Chi-Chi, Taiwan Earthquake †

No.	RSN	Direction	$PGV_p$ (cm/s)	$T_p$ (s)	$E_r$	$S_{max}$	$T_s$ (s)	Type
1	1244	Vertical	-	-	-	1.01g	0.14	Non-pulse
2	1245	Horizontal 1	-	-	-	0.88g	0.09	Non-pulse
3	1377	Horizontal 1	-	-	-	1.01g	0.11	Non-pulse
4	1377	Horizontal 2	-	-	-	1.25g	0.11	Non-pulse
5	1377	Vertical	-	-	-	0.81g	0.61	Non-pulse
6	1380	Vertical	-	-	-	1.08g	0.11	Non-pulse
7	1498	Vertical	-	-	-	0.84g	1.01	Non-pulse
8	1244	Horizontal 1	65.0	3.3	0.46	0.85g	0.17	Single-pulse
9	1244	Horizontal 2	109.0	5.0	0.72	0.89g	0.91	Single-pulse
10	1479	Horizontal 1	43.6	6.2	0.58	1.23g	0.45	Single-pulse
11	1481	Horizontal 1	56.7	6.9	0.66	0.95g	0.75	Single-pulse
12	1481	Vertical	32.3	5.3	0.80	0.92g	0.25	Single-pulse
13	1489	Horizontal 1	53.5	10.1	0.80	0.80g	0.17	Single-pulse
14	1506	Horizontal 1	37.2	6.7	0.48	1.16g	0.60	Single-pulse
15	1489	Horizontal 2	41.1 / 62.3	8.6 / 6.0	0.43 / 0.45	0.93g	0.26	Multi-pulse
16	1493	Horizontal 2	38.9 / 46.3	8.5 / 6.8	0.50 / 0.39	1.01g	0.69	Multi-pulse
17	1495	Horizontal 2	34.8 / 38.9	8.8 / 4.5	0.41 / 0.34	0.93g	0.63	Multi-pulse
18	1498	Horizontal 2	49.5 / 53.5	11.3 / 6.8	0.42 / 0.48	1.11g	0.38	Multi-pulse
19	1499	Horizontal 2	41.0 / 44.0	7.9 / 7.2	0.44 / 0.46	1.03g	0.27	Multi-pulse
20	1506	Horizontal 2	56.2 / 60.2	6.3 / 4.7	0.34 / 0.38	1.63g	0.60	Multi-pulse
21	1527	Horizontal 2	35.7 / 42.9	6.5 / 7.3	0.36 / 0.41	0.78g	0.39	Multi-pulse

† RSN - 'Record Sequence Number' in PEER NGA-West2 flatfile; Horizontal 1 / Horizontal 2 / Vertical - the direction defined in PEER NGA-West2 flatfile;  $PGV_p$  - Peak Ground Velocity of the pulse part (i.e. the identified pulse part of the original ground motion, as shown in Figure 1 and 2;  $T_p$  - pulse period;  $E_r$  - the energy ratio between the pulse part and the original ground motion;  $S_{max}$  - the maximum value of spectral acceleration, where the damping ratio is set to 5%, and the response spectra are calculated after the PGA is scaled to 0.3g;  $T_s$  - the period in the spectral acceleration corresponding to  $S_{max}$ ; Type - the types of non-, single-, and multi-pulse ground motion are based on the proposed identification method.

133 is utilized to conduct time-frequency conversion in this study due to the advantages of great  
134 resolution on both time- and frequency domains [39].

135 For signal  $S(x)$  in Hilbert space  $L^2(\mathbb{R})$ , the wavelet packet transform can be expressed as Eqs.

136 (2) - (3).

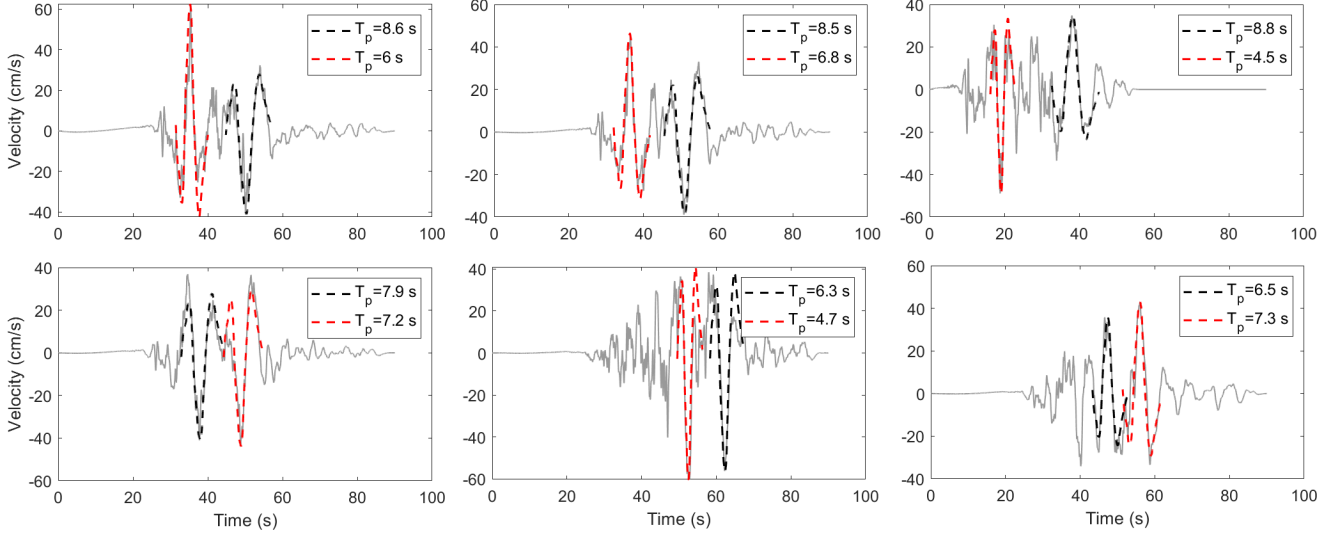


Figure 2: Other multi-pulse ground motions identified in Chi-Chi, Taiwan Earthquake.

$$S(t) = \sum_{j=0}^{2^i-1} f_{i,j}(t_j) \quad (2)$$

$$f_{i,j}(t_j) = \sum_{k=0}^m d_{i,j}^{(k)} \phi_{i,j}(t) \quad (3)$$

137 where  $f_{i,j}(t_j)$  is the projection of  $S(t)$  in wavelet space;  $\phi_{i,k}(t)$  is the wavelet function that is the  
 138 standard orthogonal basis of wavelet space;  $d_{i,j}^{(k)}$  is the wavelet coefficient;  $i$  is the scale parameter;  
 139  $j$  is the wavelet packet subspace;  $k$  is the translation parameter.  $m$  is the discrete sampling point  
 140 of the signal.

141 The wavelet-based frequency-domain energy is calculated by Eq. (4).

$$P_{i,j} = \frac{\sum_{k=1}^m |d_{i,j}^{(k)}|^2}{\sum_{k=1}^{2^i-1} \sum_{k=1}^m |d_{i,j}^{(k)}|^2} \quad (4)$$

142 where  $\sum_{k=1}^m |d_{i,j}^{(k)}|^2$  presents the energy at the frequency band corresponding to  $f_{i,j}(t_j)$  in wavelet  
 143 packet space.

144 The normalized cumulative energy  $C_s$  is calculated by Eq. (5).

$$C_s = \frac{\sum_{j=1}^s P_{i,j}}{\sum_{j=1}^{2^i} P_{i,j}} \quad (5)$$

145 Based on Eqs. (2) - (5), the normalized cumulative energy in the frequency domain of selected



146 ground motions is shown in Figure 3(b). From Figure 3, the significant duration ( $D_{5-75}$ ) is 22.3  
 147 s (31.0 s - 53.3 s), 19.3 s (30.1 s - 50.4 s), and 19.9 (30.9 s - 50.8 s) for the average non-, single-  
 148 and multi-pulse ground motion, respectively. The significant frequency band (corresponding to  
 149 energy from 5% to 75%) is 4.8 Hz (0.3 Hz - 5.1 Hz), 2.7 Hz (0.2 Hz - 2.9 Hz), and 2.5 Hz (0.1  
 150 Hz - 2.6 Hz) for the average non-, single, and multi-pulse ground motion, respectively. Generally  
 151 speaking, the Arias intensity of pulse-like and non-pulse ground motion present slight distinctions  
 152 but follow the same trend on the whole. The significant frequency band is shorter for pulse-like  
 153 ground motion than non-pulse ground motion, and the pulse-like ground motion has more energy  
 154 at frequency ranges of less than 1 Hz. Especially for the multi-pulse ground motion, the energy at  
 155 the very low-frequency range (0.1 Hz - 0.2 Hz) is significantly higher than non- and single-pulse  
 156 ground motions.

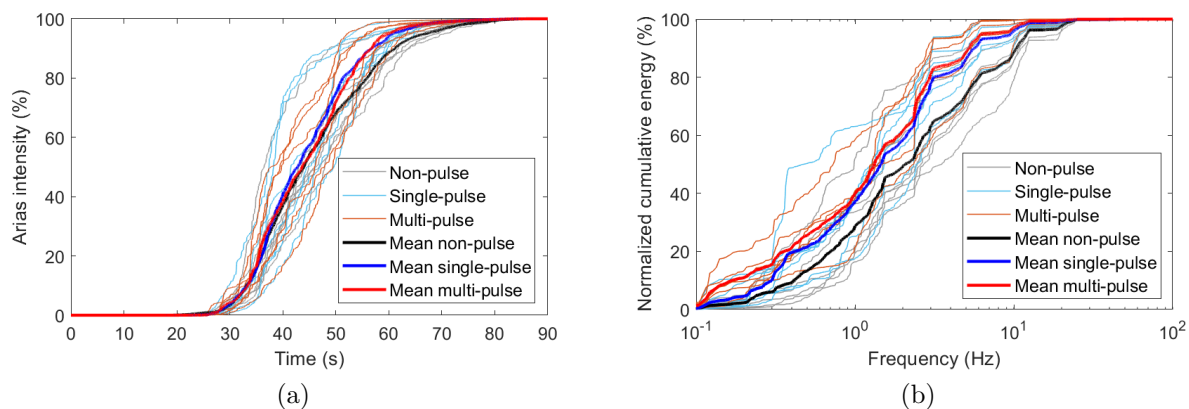


Figure 3: (a) Arias intensity and (b) wavelet-based normalized cumulative energy of selected ground motions in Table 1

### 157 2.3. Response spectrum

158 The 5% damped spectral velocity and spectral acceleration of selected ground motions are  
 159 calculated, shown in Figure 4(a) and (b), respectively. The average response spectrum of non-,  
 160 single-, and multi-pulse ground motion is also separately provided in the diagram. It shows that  
 161 (i) the spectral velocity of multi-pulse ground motions is significantly greater than that of non-  
 162 and single-pulse ground motions; moreover, it potentially contains multiple peaks at high-period  
 163 ranges. This feature may cause adverse effects on structures with high fundamental periods.  
 164 (ii) The maximum value for the average spectral acceleration of non-, single-, and multi-pulse  
 165 ground motions is similar, that is 0.98g, 0.97g, and 1.06g, respectively. However, the period ( $T_s$ )

166 corresponding to the maximum spectral acceleration varies between the non-pulse and pulse-like  
 167 ground motions. The  $T_s$  of non-pulse ground motion is around 0.1 s, but that of the pulse-like  
 168 ground motion is about 0.4 - 0.8 s.

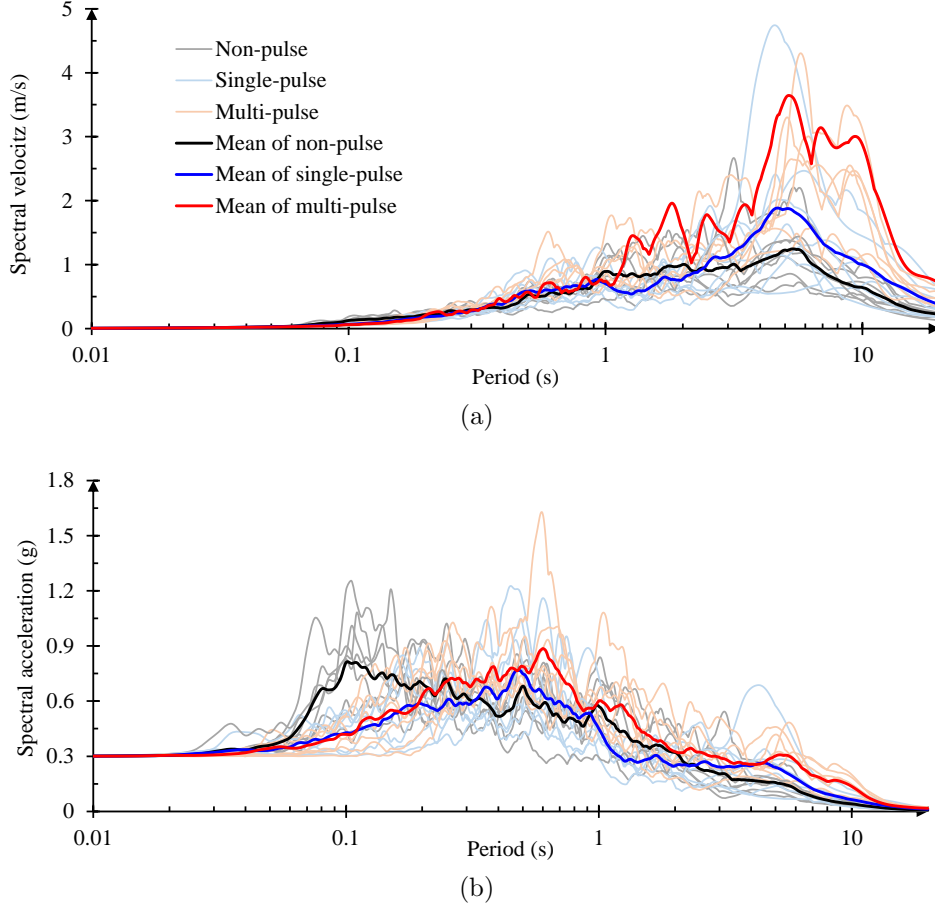


Figure 4: (a) Spectral velocity and (b) spectral acceleration of selected ground motions. The PGA of all ground motions is scaled to 0.3g before the response spectrum analysis. The damping ratio is 5%.

### 169 3. Case 1: Seismic damage on frame structures

#### 170 3.1. Model description

171 To understand the effects of multi-pulse ground motions on structures with various fundamental  
 172 periods, five typical 3D frame structures with different fundamental periods ( $T_1 = 0.3$  s, 0.6 s, 1 s,  
 173 3 s, and 5 s) are analyzed according to the Code For Seismic Design of Buildings (GB 50011-2010)  
 174 in China. All these structures are modeled based on the OpenSees platform using displacement-  
 175 based nonlinear beam-column elements.

176 Different materials and heights are adopted to obtain various fundamental periods. For struc-  
 177 tures of  $T_1 = 0.3$  s, 0.6 s, and 1 s, the reinforced concrete is used and described by a uniaxial

178 Kent–Scott–Park model [40] with degraded linear unloading/reloading stiffness and no tensile  
 179 strength [41]. Besides, a uniaxial bilinear model with kinematic hardening is adopted to charac-  
 180 terize the nonlinearity in both rebars and steel members. For structures of  $T_1 = 3$  s and 5 s, the  
 181 steel frame structures are adopted. The damping ratio of the first two modes of concrete and steel  
 182 structures are assumed to be 0.05 and 0.03, respectively. Live loads are considered in the form  
 183 of nonstructural masses. An example of the typical stress-strain hysteresis loop of the concrete  
 184 and steel is provided in Figure 5 to illustrate the material properties. Basic information on the  
 185 structures is listed in Table 2. Diagrams and layouts of the structures with  $T_1 = 0.3$  s and 3 s are  
 186 provided as examples and shown in Figure 6 (a) and (b), respectively.

Table 2: Structures information.

$T_1$	Story height number	×	Geometrical parameters
0.3 s	4.5 m × 2		One and two bays are along the X and Y directions, respectively. The width of each bay is 4.5 m.
0.6 s	4.5 m × 4		One and two bays are along the X and Y directions, respectively. The width of each bay is 4.5 m.
1 s	4.5 m × 6		Two bays are in both the X and Y directions and the bay widths are 3.0 m and 4.0 m, respectively.
3 s	3.7 m × 12		Two and three bays are along the X and Y directions, respectively. The width of each bay is 6.1 m.
5 s	3.8 m × 16		Three and five bays are in the X and Y directions, and the bay widths are 7.3 m and 6.4 m, respectively.

187 The frame structures are subjected to unidirectional seismic excitation in this study. In par-  
 188 ticular, the seismic excitation is considered along the directions featured by translations of the  
 189 first mode. Furthermore, to take into account the effect of slabs, rigid diaphragms are assumed  
 190 in all the frame structures. More details of the structural models, such as the layout of standard  
 191 floors, the section sizes of columns and beams, and the corresponding parameters, can be found  
 192 in Chen et al. [33].

### 193 3.2. Seismic damage evaluation

194 The maximum inter-story drift is adopted to quantify the seismic damage on frame structures.  
 195 The maximum drift in each story and the entire structure are provided in Figures 7 and 8,  
 196 respectively. Results show that (i) the maximum drift occurs at different story levels for structure  
 197 with different fundamental periods but at the same story level for the same structure subjected

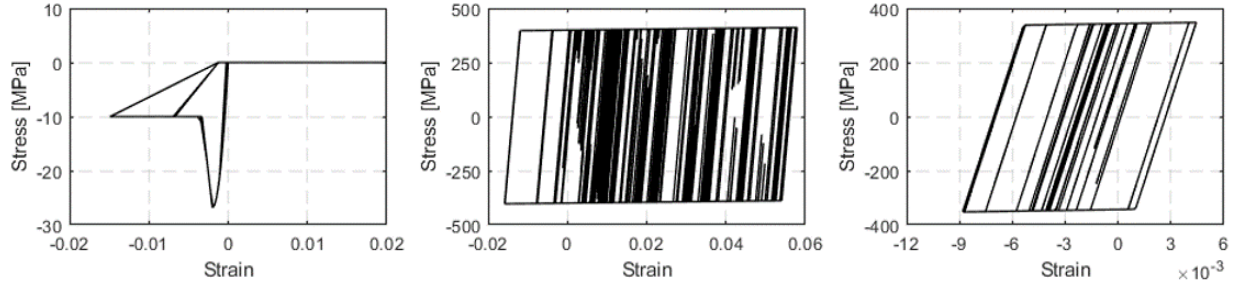


Figure 5: Stress-strain hysteresis loop for the concrete (left) and steel rebar (middle) in  $T_1 = 0.3$  s, 0.6 s, and 1 s structures and for the steel (right) in  $T_1 = 3$  s and 5 s structures.

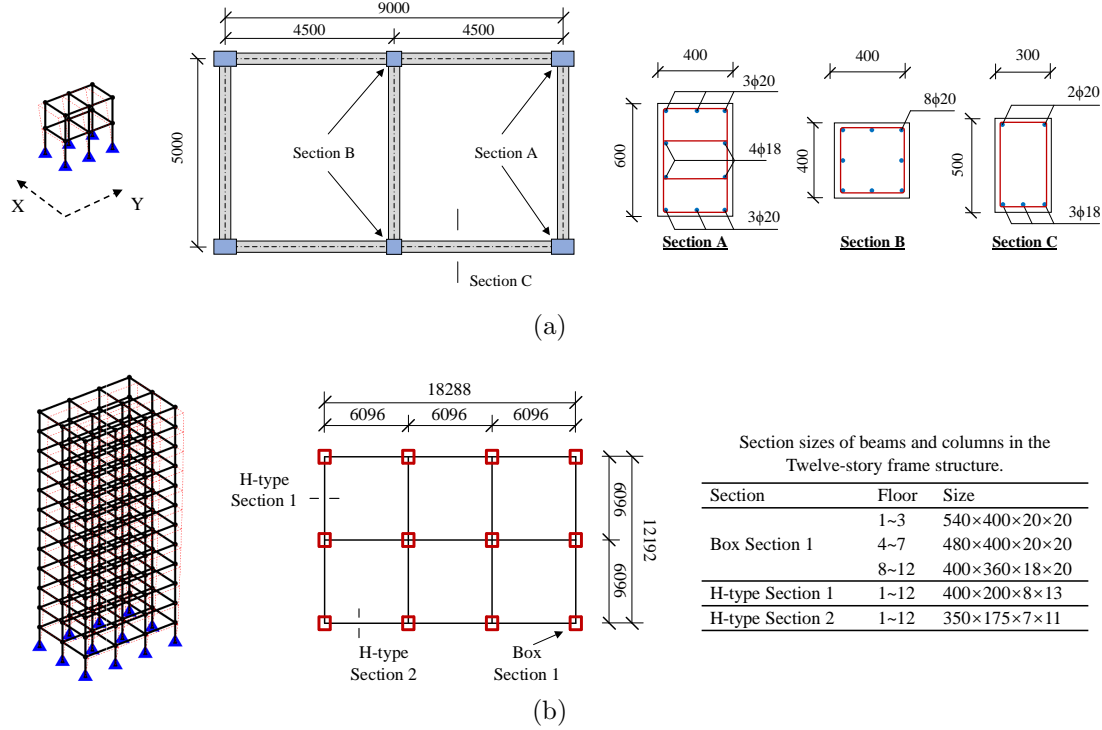


Figure 6: Diagrams and layouts of standard floors and section characteristics of the frame structures. (a) Two-story concrete frame structure; (b) Twelve-story steel frame structure.

198 to different types of ground motions. For example, the maximum drift is at the bottom for the  
 199 steel frame structures. (ii) However, the value of the maximum drift of the same structure varies  
 200 under different types of ground motions. As shown in Figure 8, the maximum inter-story drift  
 201 under multi-pulse ground motions is generally larger than those of non- and single-pulse ground  
 202 motions. Specifically, the average maximum drift of structure with  $T_1 = 0.3$  s subjected to non-,  
 203 single-, and multi-pulse ground motions is 26.0 mm, 30.0 mm, and 32.5 mm, respectively; that of  
 204  $T_1 = 0.6$  s is 48.0 mm, 45.6 mm, and 55.1 mm; that of  $T_1 = 1$  s is 65.1 mm, 58.0 mm, and 74.0 mm;  
 205 that of  $T_1 = 3$  s is 50.5 mm, 35.5 mm, and 66.4 mm; and that of  $T_1 = 5$  s is 75.8 mm, 83.2 mm,  
 206 and 128.7 mm. Therefore, multi-pulse ground motions tend to cause more severe damage to frame

207 structures compared to non- and single-pulse ground motions, especially to structures with higher  
 208 fundamental periods (greater than 1 s). (iii) The maximum drift of different structures in Figure  
 209 8 is caused by various ground motions. For the multi-pulse ground motions, the RSN1506H2  
 210 results in the maximum drift of the structure when  $T_1 = 5$  s; however, the RSN1527H2 leads to  
 211 the maximum drift to the structure when  $T_1 = 3$  s. This indicates that the increased seismic  
 212 demand caused by multi-pulse ground motion is rooted in the inherent multi-pulse characteristics  
 213 of ground motion velocity, rather than being influenced by a specific individual record. The ground  
 214 motion information that causes the maximum drift for each structure are listed in the Supporting  
 215 Information.

216 In addition, to test the effects of material strength on seismic response, we varied the compres-  
 217 sion strength of concrete (for structures with  $T_1 = 0.3$  s, 0.6 s and 1.0 s) and the yield strength of  
 218 steel (for structures with  $T_1 = 3.0$  s and 5.0 s) to 0.8 times and 1.2 times of their original values.  
 219 While the seismic response of structures varies across three cases, similar results to Figure 8 were  
 220 obtained on the maximum drift of the entire structures. Hence, the increased seismic demand  
 221 caused by multi-pulse ground motion is evident for structures with different material attributes.  
 222 The detailed calculations are provided in the Supporting Information.

223 Therefore, multi-pulse ground motions consistently lead to more severe damage to frame struc-  
 224 tures, regardless of the varying structural fundamental periods and material properties. It should  
 225 be noted that the comparison of seismic damage under non-, single-, and multi-pulse ground  
 226 motions is performed under consistent PGA conditions.

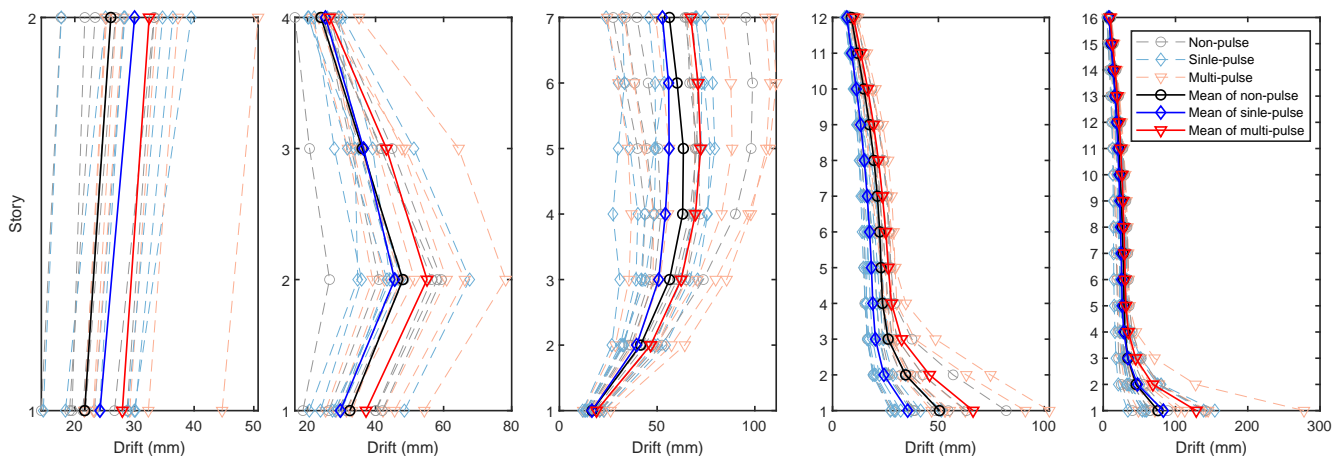


Figure 7: Maximum drift at each story level. Relationship between the story level and fundamental period is listed in Table 2

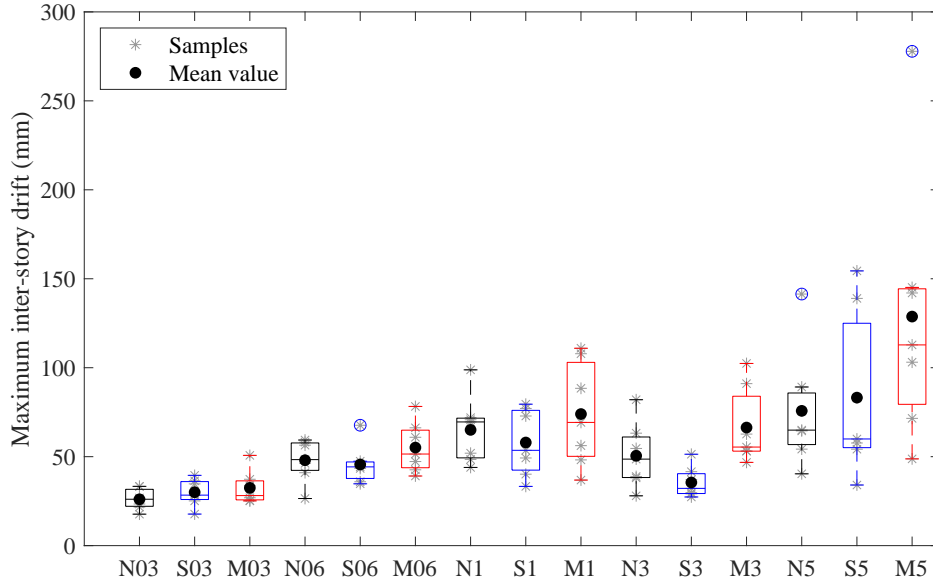


Figure 8: Boxplot about the maximum inter-story drift of five frame structures subjected to non-, single-, and multi-pulse ground motions. The 'N', 'S', and 'M' in the  $x$ -axis denote non-, single-, and multi-pulse ground motion, respectively. The number '03', '06', '1', '3', and '5' means the structural fundamental period. For example, the sign 'N03' indicates the structure with a fundamental period of 0.3 s under non-pulse ground motions.

## 227 4. Case 2: Seismic damage on soil slope

### 228 4.1. Model description

229 An unsaturated clayey soil slope subjected to non-, single-, and multi-pulse ground motions  
 230 is analyzed, where the dynamic unified hardening constitutive model proposed by Luo et al. [42]  
 231 is utilized to characterize the unsaturated clayey soil properties. Specifically, a three-dimensional  
 232 finite element analysis is conducted by combining a coupling-based hydro-mechanical analysis  
 233 based on ABAQUS software with user subroutines written in FORTRAN. The model consists of  
 234 9840 elements which are all 8-node elements with reduced integration (C3D8R). Material proper-  
 235 ties of clay soil in Lou et al. [42] are used, as listed in Table 3. The geometric dimensions and  
 236 finite element mesh of the slope model are depicted in Figure 9. The mass-proportional coefficient  
 237 and stiffness-proportional coefficient of Rayleigh damping for the slope model are assumed to be  
 238 2.4354 and 0.0008 respectively, which adopt the values given by Nguyen et al. [43]. The boundary  
 239 conditions of the model are as follow: the upper boundary is considered a free face, while the  
 240 surrounding boundary is absorption; the bottom is rigid and the ground motion is applied along  
 241 the  $x$ -axis direction. Besides, the fundamental period of the slope is 1.32 s. More details of the  
 242 slope model, such as the corresponding physical parameters and the constitutive relationship, can  
 243 be found in Wang et al. [44].

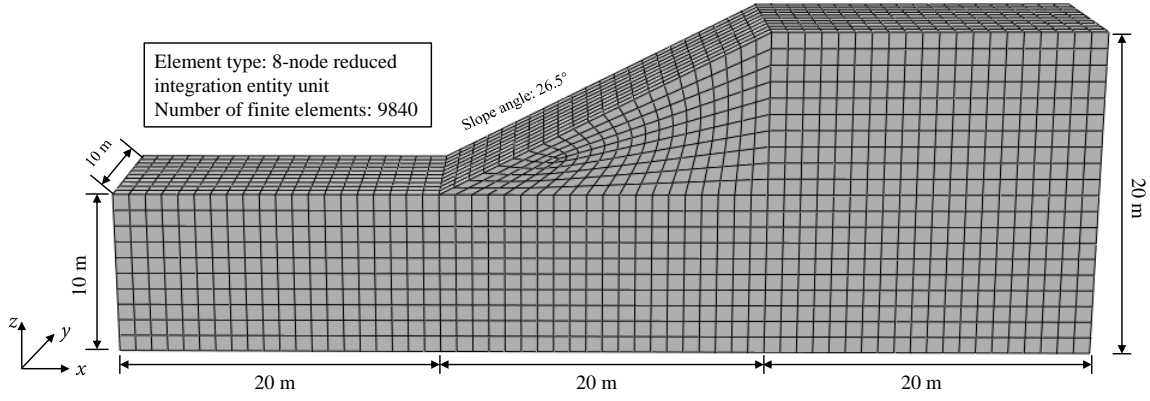


Figure 9: Finite element model of the clayey soil slope.

Table 3: Mechanical parameters of soil materials

Parameters	$\lambda$ (-)	$\kappa$ (-)	$M$ (-)	$\nu$ (-)	$N$ (-)	$a$ (kPa)	$p^c$ (kPa)	$\kappa_s$ (-)	$\rho$ ( $g/cm^3$ )
Value	0.136	0.018	1.0	0.3	1.217	90	100	0.0256	1.92

#### 244 4.2. Seismic damage evaluation

245 The maximum displacement of the slope is analyzed, as shown in Figure 10. A boxplot (see  
 246 Figure 11) is also provided to illustrate the maximum displacement of slope subjected to non-  
 247 , single-, and multi-pulse ground motions. The results reveal that the variation tendencies for  
 248 both maximum displacement  $U_t$  and maximum displacement  $U_x$  at  $X$ -axis direction are similar.  
 249 Specifically, the mean value of  $U_t$  for the slope model subjected to non-, single-, and multi-pulse  
 250 ground motions is 6.9 cm, 7.2 cm, and 11.3 cm, respectively; that of  $U_x$  is 4.4 cm, 4.5 cm, and 6.0  
 251 cm, respectively. It can be seen that the mean values of  $U_t$  and  $U_x$  calculated under single-pulse  
 252 ground motion loading are slightly larger than those calculated under non-pulse ground motion  
 253 loading. However, the mean values of  $U_t$  and  $U_x$  subjected to multi-pulse ground motion are  
 254 significantly larger than those under non- and single-pulse ground motions. The value of  $U_x$  under  
 255 multi-pulse ground motions is almost 1.6 times the values of the other two cases, indicating that  
 256 this special class of ground motions is prone to result in more serious damage on slopes.

257 There is another interesting phenomenon in Figure 10 that the location of maximum displace-  
 258 ment under non-, single-, and multi-pulse ground motions moves from the toe to the top of the  
 259 slope. This may relate to the various site amplification of slope to different types of ground mo-  
 260 tion. However, more comprehensive studies are required to explore the mechanism and summarize  
 261 solid results, which will be conducted in future works.

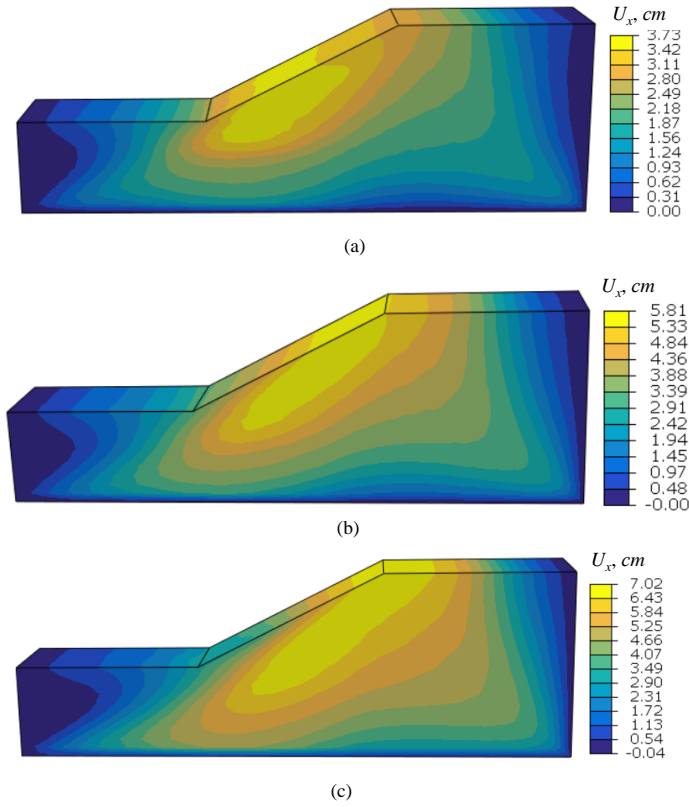


Figure 10: Example contours of maximum displacement in  $X$ -axis direction subjected to (a) non-, (b) single-, and (c) multi-pulse ground motions.

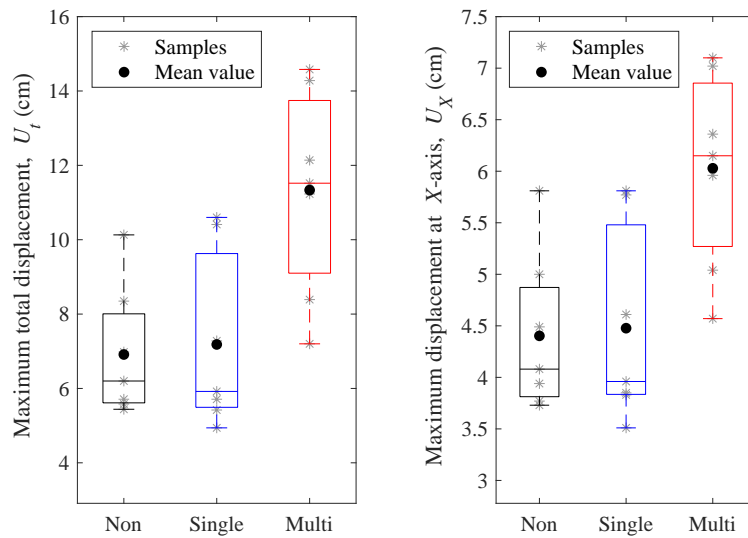


Figure 11: Boxplot about the total (left) and  $X$ -axis direction (right) maximum displacement of slope subjected to non-, single-, and multi-pulse ground motions.



262 **5. Case 3: Seismic damage on concrete dam**

263 *5.1. Model description*

264 As one of the few real-world concrete gravity dams damaged during earthquakes, the Koyna  
265 dam has been extensively analyzed. The constitutive model and material parameters for the dam  
266 are also widely verified [45, 46]. Based on these studies, a finite element model for Koyna Dam is  
267 investigated to analyze the effects of multi-pulse ground motions on dam damage.

268 The seismic damage analysis of the dam can be simplified as a plane stress problem according  
269 to the study of Lee et al. [46]. The geometric parameters and the mesh of the model are shown  
270 in Figure 12. The model contains 760 elements, and the element type is CPS4R in ABAQUS  
271 software. The boundary conditions are set as follows: the bottom is the rigid boundary, where the  
272 ground motions are imposed; the interaction between the reservoir and dam is considered with  
273 Westergaard’s method, which means the water move with the dam, and the force in the dam is a  
274 denominated value with  $7/8\rho_w\sqrt{h_w(h_w - y)}$ , where  $h_w$  is the depth of water level;  $y$  is the position  
275 of the dam;  $\rho_w$  is the density of water. The calculation procedure is divided into two steps. The  
276 responses under gravity and static water pressure are computed at first. Then, non-linear dynamic  
277 response analysis is conducted by inputting ground motions.

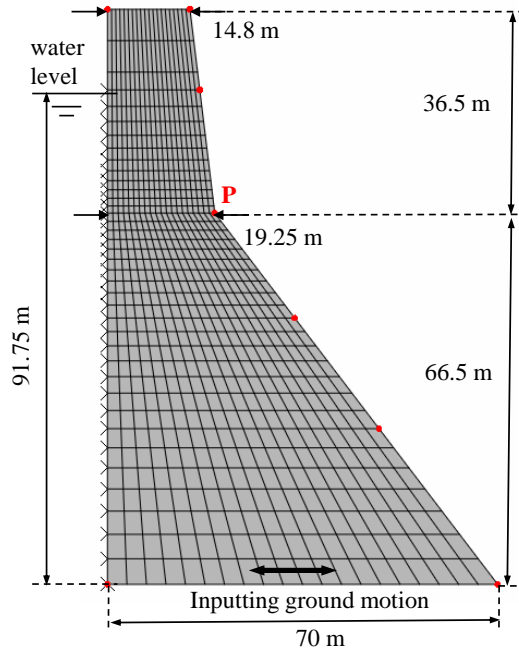


Figure 12: Numerical model of Koyna Dam

278 According to former studies [46, 47], the concrete damaged plasticity model can effectively  
279 describe the force-displacement relationship of the Koyna Dam under seismic ground motion.

280 The concrete damaged plasticity model is briefly explained as follows. Its uniaxial stress and  
 281 strain relationship is expressed in Eq. (6).

$$\begin{aligned}\sigma_t &= (1 - d_t)E_0(\epsilon_t - \tilde{\epsilon}_t^{pl}) \\ \sigma_c &= (1 - d_c)E_0(\epsilon_c - \tilde{\epsilon}_c^{pl})\end{aligned}\tag{6}$$

282 where  $\sigma_t$  and  $\sigma_c$  are the tensile and compressive stresses, respectively;  $d_t$  and  $d_c$  are the tensile  
 283 and compressive damage variables, respectively;  $E_0$  is the elastic modulus;  $\epsilon_t$  and  $\epsilon_c$  are the total  
 284 tensile and compressive strain, respectively;  $\tilde{\epsilon}_t^{pl}$  and  $\tilde{\epsilon}_c^{pl}$  is the plastic tensile and compressive strains,  
 285 respectively.

286 The yield functions in Lee and Fenves [46] are applied, and shown in Eq. (7).

$$\begin{aligned}F &= \frac{1}{1 - \alpha}(\bar{q} - 3\alpha\bar{p} + \beta(\tilde{\epsilon}^{pl}) \langle \hat{\sigma}_{max} \rangle - \gamma \langle \hat{\sigma}_{max} \rangle) - \bar{\sigma}_c(\tilde{\epsilon}^{pl}) = 0 \\ \alpha &= \frac{(\sigma_{b0}/\sigma_{c0}) - 1}{(2\sigma_{b0}/\sigma_{c0}) - 1}; 0 \leq \alpha \leq 0.5 \\ \beta &= \frac{\bar{\sigma}_c(\tilde{\epsilon}_c^{pl})}{\bar{\sigma}_t(\tilde{\epsilon}_t^{pl})}(1 - \alpha) - (1 + \alpha) \\ \gamma &= \frac{3(1 - K_c)}{2K_c - 1}\end{aligned}\tag{7}$$

287 where,  $\hat{\sigma}_{max}$  is the maximum principal effective stress;  $\sigma_{b0}/\sigma_{c0}$  is the ratio of initial equibiaxial  
 288 compressive yield stress to initial uniaxial compressive yield stress (the default value is 1.16);  $K_c$  is  
 289 the ratio of the second stress invariant on the tensile meridian to that on the compressive meridian  
 290 at initial yield for any given value of the pressure invariant  $p$  such that the maximum principal  
 291 stress is negative, and it must satisfy the condition  $0.5 \leq K_c \leq 1$  (the default value is 2/3);  $\bar{\sigma}_t(\tilde{\epsilon}_t^{pl})$   
 292 and  $\bar{\sigma}_c(\tilde{\epsilon}_c^{pl})$  are the effective tensile and compressive cohesion stresses, respectively.

293 The dam material parameters are listed in Table 4. To avoid the side effects of gridding  
 294 on calculation accuracy, the fracture energy cracking criterion is adopted to describe the tensile  
 295 characteristics of the dam after tension strength. The tensile properties after tension strength,  
 296 which are described by displacement, are listed in Table 5. This study only considers the tensile  
 297 damage, i.e.,  $d_c$  is always 0.

298 The damping is generally required in dynamic analysis for describing the energy dissipation.  
 299 The Rayleigh damping is used in this study, which is controlled by the mass matrix and stiffness  
 300 matrix, as shown in Eq. (8).

Table 4: Material parameters of Koyna Dam.

Parameter	Value
Density	2643 kg/m <sup>3</sup>
Young's modulus	31027 MPa
Poisson's ratio	0.15
Dilation angle	36.31 °
Tensile failure stress	2.9 MPa
Compressive initial yield stress	13.0 MPa
Compressive ultimate stress	24.1 MPa

Table 5: Concrete tensile properties.

Cracking displacement ( $\times 10^{-4}$ m)	Tensile stress (MPa)	Tensile damage variable
0	2.9	0
0.66185	1.94393	0.381217
1.2286	1.30305	0.617107
1.73427	0.873463	0.763072
2.2019	0.5855	0.853393
2.64718	0.392472	0.909282
3.08088	0.263082	0.943865
3.5105	0.176349	0.965265
3.94138	0.11821	0.978506
4.37744	0.0792388	0.9867
4.82165	0.0531154	0.99177

$$[C] = \alpha[M] + \beta[K] \quad (8)$$

301 where  $C$  is the Rayleigh damping matrix;  $M$  is the mass matrix;  $K$  is the stiffness matrix;  $\alpha$  and  
302  $\beta$  are the coefficients for Rayleigh damping, which are related to the damping ratio and mode  
303 frequency (see Eq. (9)).

$$\xi_i = \frac{\alpha}{2\omega_i} + \frac{\beta\omega_i}{2} \quad (9)$$

304 where  $\xi_i$  is the damping ratio associated with the  $i$  th mode frequency;  $\omega_i$  is the  $i$  th mode  
305 frequency.

306 Based on the study of Chopra et al. [45], the first mode frequency of Koyna Dam ( $\omega_1$ ) is 19.27  
307 rad/s. That is, the fundamental period of the dam is 0.33 s. Besides, the damping ratio ( $\xi$ ) is  
308 taken as 3%. Only the relationship between damping ratio and mass is considered, that is  $\alpha = 0$ .

309 Then, based on Eq. (8),  $\beta = 2\xi/\omega_1$ , and the value of  $\beta$  is  $3.23 \times 10^{-3}$ .

## 310 5.2. Seismic damage evaluation

311 The seismic dynamic responses of the dam are analyzed with selected ground motions. Two  
312 typical groups of results are shown in Figures 13 and 14. The maximum plastic strain (see Figure  
313 13) indicates that the basic crack direction caused by non-, single-, and multi-pulse ground motion  
314 coincides well. The crack begins in the neck point P (see Figure 12) and proceeds along the lower-  
315 left direction. However, the fracture degree varies. The crack under the multi-pulse ground motion  
316 almost crossed the whole dam. The fracture under single-pulse ground motion is less than that  
317 under multi-pulse ground motions, and the fracture in non-pulse ground motion is the least.

318 The tensile damage variable in Figure 14 shows that the damage location caused by the non-,  
319 single-, and multi-pulse ground motion is consistent, and mainly at the bottom and neck of the  
320 dam. However, the damaged ratio varies. Generally speaking, the damaged area under single-pulse  
321 ground motion is less than that of multi-pulse ground motion and greater than that of non-pulse  
322 ground motion.

323 Two parameters are employed to quantitatively characterize the seismic damage under non-,  
324 single-, and multi-pulse ground motions. Firstly, the displacement response is one of the critical  
325 parameters in anti-seismic design [48]. Thus, the maximum plastic strain of the dam is selected  
326 as one of the damage evaluation parameters. This parameter can effectively assess the tensile  
327 cracking degree at the local susceptible area. Secondly, a global damage index (see Eq. (10)) is  
328 defined in this study to evaluate the global damage of the dam.

$$\lambda = \frac{n_d}{N} \quad (10)$$

329 where  $\lambda$  is the global damage index;  $n_d$  is the number of elements the tensile damage variable is  
330 greater than 0.8;  $N$  is the total amount element of the model, which is 760 in this study.

331 The boxplot about the global damage index and the peak value of maximum plastic strain of  
332 the dam under non-, single-, and multi-pulse ground motions is shown in Figure 15. It indicates  
333 that the global damage of the dam caused by multi-pulse ground motions is generally greater  
334 than those of non- and single-pulse ground motions. The average tensile damage area caused by  
335 multi-pulse ground motions is about 1.4 times that of single-pulse ground motions, and 2.2 times  
336 that of non-pulse ground motions. The peak value of the maximum plastic strain also shows

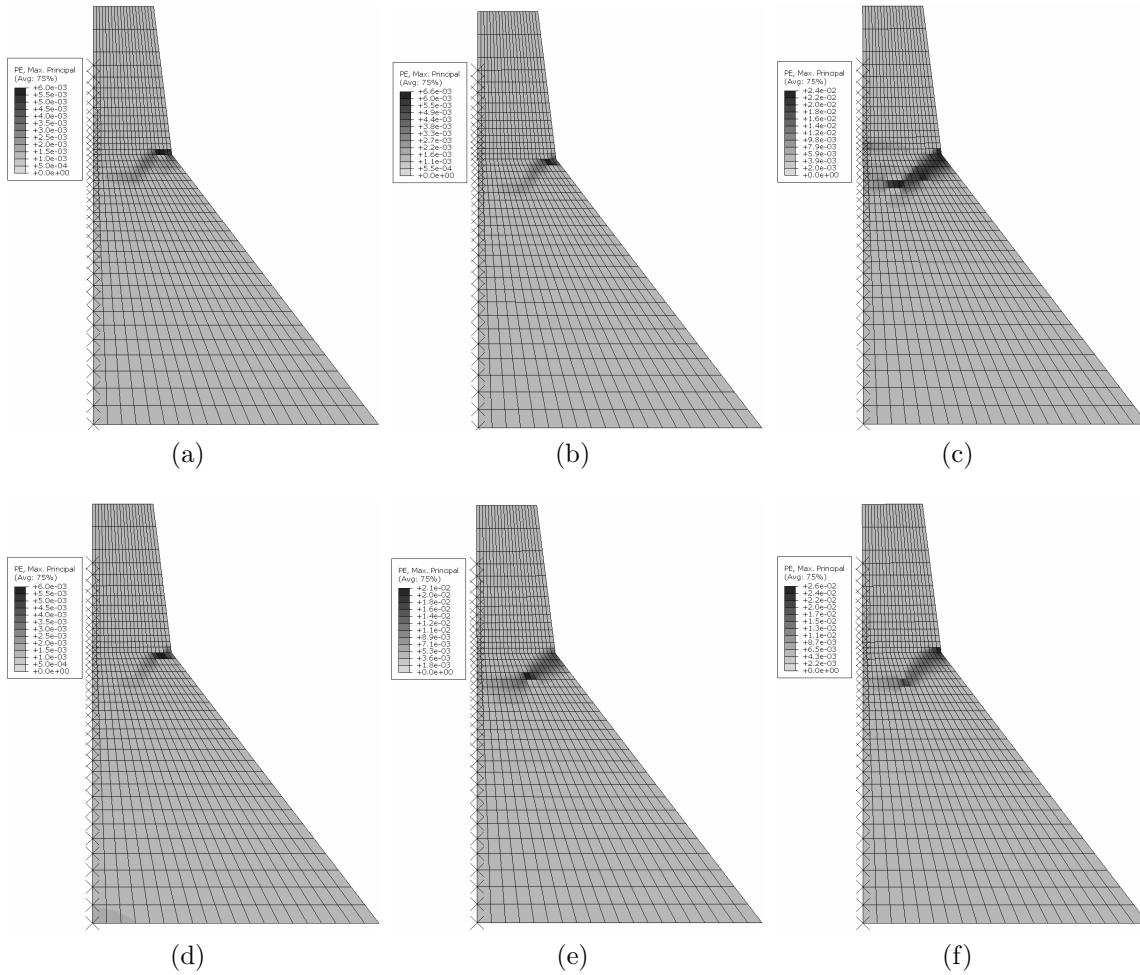


Figure 13: Maximum plastic strain of dam under non-, single-, and multi-pulse ground motion. (a) and (d) is under the non-pulse ground motion, that is RSN 1380 Vertical and RSN 1498 Vertical, respectively. (b) and (e) is under the single-pulse ground motion, that is RSN 1244 Horizontal 2 and RSN 1481 Horizontal 1, respectively. (c) and (f) is under the multi-pulse ground motion, that is RSN 1498 Horizontal 2 and RSN 1506 Horizontal 2, respectively.

337 similar characteristics. The peak strain caused by the single-pulse ground motion is less than that  
 338 of multi-pulse ground motions, and greater than that of non-pulse ground motions. It implies  
 339 that the crack caused by multi-pulse ground motion is larger than the other two cases. Combined  
 340 with Figure 13, the multi-pulse ground motion often results in longer cracks. This indicates that  
 341 damage caused by the multi-pulse ground motion is more likely to penetrate the whole dam.

342 Therefore, similar to the seismic damage on frame structures and the soil slope, multi-pulse  
 343 ground motions also potentially cause more severe damage on concrete dams than non- and single-  
 344 pulse ground motions.

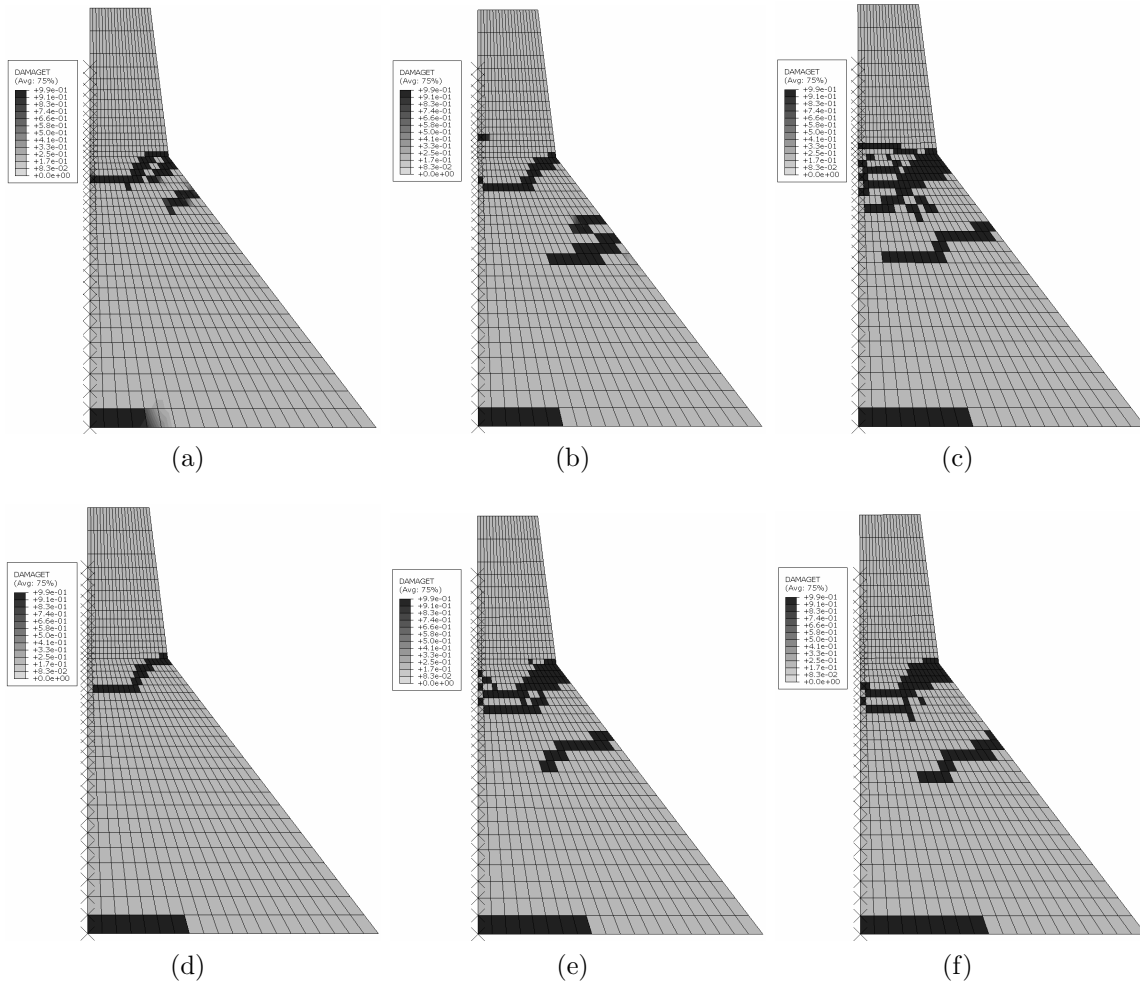


Figure 14: Tensile damage variable of dam under non-, single-, and multi-pulse ground motion. (a) and (d) is under the non-pulse ground motion, that is RSN 1380 Vertical and RSN 1498 Vertical, respectively. (b) and (e) is under the single-pulse ground motion, that is RSN 1244 Horizontal 2 and RSN 1481 Horizontal 1, respectively. (c) and (f) is under the multi-pulse ground motion, that is RSN 1498 Horizontal 2 and RSN 1506 Horizontal 2, respectively.

## 345 6. Discussions

346 This study organized three different engineering issues to illustrate that multi-pulse ground  
 347 motions require increased seismic demand compared to non- and single-pulse excitation. To further  
 348 facilitate wider considerations of multi-pulse ground motion in engineering practices, the necessity  
 349 of multi-pulse ground motion classification, the implications of multi-pulse ground motion in  
 350 seismic design, and some caveats of this study are discussed.

351 Similar to the motivation of classification of pulse-like ground motion, the multi-pulse ground  
 352 motion is classified as a particular set due to its existence in seismic databases and the potential  
 353 to cause more severe damage to structures. To demonstrate these two points, two complementary

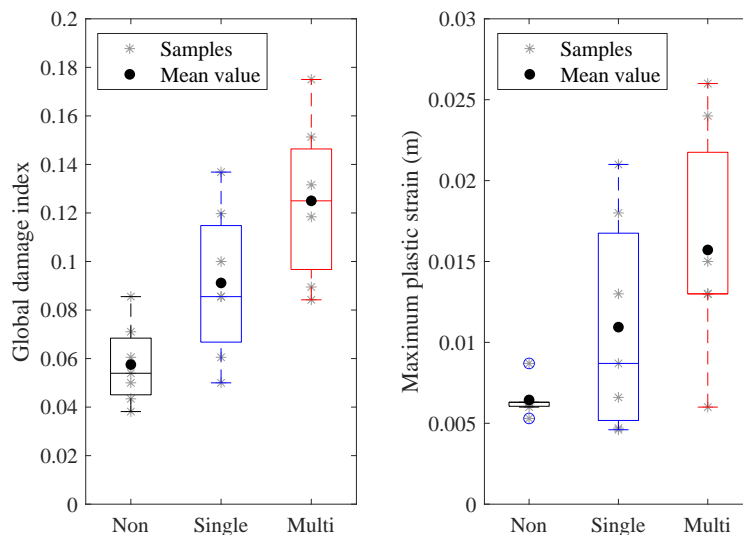


Figure 15: Boxplot about the global damage index (left) and the maximum plastic strain (right) of the dam subjected to non-, single-, and multi-pulse ground motions.

354 works are carried out. The previous work develops a novel method to identify the multi-pulse  
 355 ground motion in seismic databases [11], and this study highlights the potential of multi-pulse  
 356 records in causing structural damage. To the authors' best knowledge, this study is the first one  
 357 to clearly define the effects of multi-pulse ground motion on seismic damage, and to illustrate that  
 358 multi-pulse ground motions tend to cause more severe damage on structures compared to non-  
 359 and single-pulse ground motions. This information can be applied to inform the risk assessment  
 360 and retrofit of structures in seismic-prone regions, ultimately improving the safety of buildings and  
 361 infrastructure. Therefore, the classification of multi-pulse ground motion is necessary in terms of  
 362 engineering applications.

363 As for the implications in seismic design, multi-pulse ground motion provides new possibilities  
 364 for ground motion selection in near-fault regions. For instance, when validating seismic designs,  
 365 particularly for megastructures, it is often necessary to consider ground motions that may cause  
 366 the worst damage [49]. In such cases, multi-pulse ground motion should be taken into account.  
 367 Furthermore, when sufficient records are observed in earthquakes, the multi-pulse ground motion  
 368 can help target spectrum design in near-fault regions.

369 There are also some caveats in this study. (i) This study demonstrates increased seismic de-  
 370 mands imposed by the multi-pulse records using different structural systems (including a slope, a  
 371 dam, and frame structures) and materials (including soil, concrete, and steel). Moreover, different  
 372 evaluation parameters are adopted for different structural systems in seismic damage evaluation.

373 This strategy helps engineers and scholars in different fields recognize the adverse effects of multi-  
374 pulse ground motion on structural safety. However, the mechanism of how multi-pulse ground  
375 motion leads to greater maximum drift in frame structures, increased displacement in slopes, and  
376 more cracks in dams is less explored in this study. These are crucial issues and will be conducted  
377 in future works. In short, this study recognized that multi-pulse ground motions require large  
378 seismic demands on structures, but more work is needed to comprehensively understand all im-  
379 portant aspects of this issue. (ii) This study does not comprehensively consider the randomness  
380 of ground motions limited by the amounts of multi-pulse records. However, the effects of the  
381 stochastic property of ground motion should be considered to summarize more universal conclu-  
382 sions [3]. Relevant work will also be conducted in the future when more multi-pulse records are  
383 available. (iii) This study does not involve the seismological mechanism of multi-pulse ground mo-  
384 tion generation. However, this is another essential component to further broaden the application  
385 of multi-pulse records.

## 386 7. Conclusions

387 As a particular class of seismic records in the near-fault earthquake, the multi-pulse ground  
388 motion is rarely considered in seismic damage analysis compared to single-pulse ground motions.  
389 To demonstrate the effects of multi-pulse ground motion on seismic damage, an identification  
390 method valid for both single- and multi-pulse ground motions and an analysis procedure that  
391 integrates finite element method and evaluation parameters are formulated. The Arias intensity,  
392 frequency contents, and response spectra among non-, single-, and multi-pulse ground motions  
393 are compared. The seismic damage under these ground motions is also elaborated with frame  
394 structures, a soil slope, and a concrete dam as examples. Two aspects of conclusions are derived.

395 On the one hand, based on the identification method that features each pulse in multi-pulse  
396 ground motions satisfying the same identification criteria, seven groups of non-, single-, and multi-  
397 pulse ground motions are selected. The intensity measures comparison shows the Arias intensity  
398 of non-, single- and multi-pulse ground motions is basically similar; the wavelet-based frequency-  
399 domain energy distribution, however, is diverse. Specifically, the 5% -75% energy corresponding  
400 frequency band of non-pulse ground motion is about twice of pulse-like ground motion, and the  
401 pulse-like ground motion has more energy at a frequency of less than 1 Hz. Furthermore, the  
402 spectral velocity of multi-pulse ground motion may contain multi-peaks in the long-period range,



403 which may cause side effects on structures with high fundamental periods.

404 On the other hand, the seismic damage evaluation shows that multi-pulse ground motions  
405 are prone to cause more severe damage to various structural systems than non- and single-pulse  
406 ground motions. Specifically, the maximum inter-story drift under multi-pulse ground motion is  
407 significantly greater than that of non- and single-pulse ground motion for the frame structures,  
408 regardless of the varying structural fundamental periods and material properties. Similar phe-  
409 nomena also exist in seismic damage to soil slopes and concrete dams. Therefore, as a particular  
410 class of seismic records in near-fault earthquakes that may cause the worst damage, the multi-pulse  
411 ground motions should be underlined in relevant seismic damage analyses. Moreover, the increased  
412 seismic demand imposed by multi-pulse ground motion compared to non- and single-pulse ground  
413 motions provides new possibilities for ground motion selection in seismic design.

#### 414 **Data Availability Statement**

415 The raw earthquake ground motions used in the study can be freely downloaded at PEER  
416 NGA-West2 database (<https://ngawest2.berkeley.edu/>). Specifically, the ground motion is  
417 accessible by searching the earthquake names in the 'Event Name' text box, where the earthquake  
418 named 'Chi-Chi, Taiwan' is used.

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#### 425 **Conflict of interest**

426 The authors declare no potential conflict of interests.

#### 427 **Supporting information**

428 Additional supporting information can be found online in the Supporting Information section  
429 at the end of this article.

430 The Supporting Information includes two parts: Section S1 provides the ground motion informa-  
431 tion that leads to the maximum drift of frame structures under each type of records. Section S2  
432 explains the effects of material strength on seismic response of frame structures.

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