

# Aspergillus sensitisation detection using point-of-care lateral flow assay in moderate to severe asthma

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#### Abstract

Allergic fungal airway diseases are associated with asthma exacerbations and poor control. However, the early identification of allergic *Aspergillus* airway diseases can be challenging, especially in resource-poor countries. We aimed to evaluate the clinical utility of the point-of-care *Aspergillus* IgG–IgM lateral flow assay in diagnosing *Aspergillus* airway diseases in patients with moderate–severe asthma. Patients with moderate–severe asthma, severe asthma with fungal sensitisation (SAFS) and allergic bronchopulmonary aspergillosis (ABPA) were recruited. Clinical information was extracted from clinical records. Blood samples were collected for serological tests. Serum samples were evaluated using *Aspergillus* immunochromatographic test (ICT). A total of 65 patients were recruited into the study, of whom 23.1% had clinical diagnosis of ABPA, 18.5% had SAFS and 58.5% had moderate-to-severe asthma who did not fit ABPA or SAFS criteria. The ICT test gave a sensitivity of 69 [95% confidence interval: 51–88]% and a specificity of 77 [60–88]% in predicting a positive *Aspergillus* [gG test. The sensitivity and specificity for a positive *Aspergillus* [gE were 77 [59–88]% and 86 [71–94]%, respectively. The majority (sensitivity: 87 [62–96]%) of patients with ABPA had positive ICT results, with a specificity of 70%. The negative predictive value was high (95 [82–99]%) with a low negative likelihood ratio (< 0.2), making it potentially useful in ruling out ABPA. The ICT assay may be valuable in ruling out ABPA and warrants further validation.

#### Lay summary

Allergic Aspergillus diseases can make patients with asthma more unwell, but this is difficult to diagnose, especially in developing countries where tests are not widely available. We have found that a cheap, easy-to-use and fast test may be useful in diagnosing allergic Aspergillus diseases.

Key words: Aspergillus, ABPA, severe asthma, diagnosis.

# Introduction

Allergic fungal airway diseases, such as severe asthma with fungal sensitisation (SAFS) and allergic bronchopulmonary aspergillosis (ABPA), are associated with asthma exacerbations and poor control.<sup>1,2</sup> ABPA is associated with lung damage including the development of bronchiectasis, pulmonary fibrosis, and progressive loss of lung function. The early identification of allergic Aspergillus airway diseases can be challenging.<sup>1,2</sup> Furthermore, it manifests as a clinical spectrum rather than several distinct disease entities.<sup>3</sup> Diagnosing allergic fungal airway diseases requires accessibility to serological tests and imaging 4-6 which pose a significant challenge in resource-poor counties where fungal sensitisation in asthma is prevalent.<sup>7</sup> A novel point of care Aspergillus IgG-IgM lateral flow assay (LDBio diagnostics, Lyons, France)<sup>8</sup> has been developed. Whilst the immunochromatographic test (ICT) requires minimal time and resources, and has demonstrated clinical usefulness in chronic pulmonary aspergillosis,9 its diagnostic efficiency in allergic Aspergillus airway diseases has been inconsistent in different settings.<sup>10–12</sup> Notably, these studies either included patients without asthma,<sup>12</sup> milder forms of asthma, <sup>11</sup> or a population with undefined asthma severity as the control groups,<sup>10</sup> and the role of ICT assay in a more clinically relevant population remains unclear.

Therefore, we investigated the association between ICT assay response and relevant serological parameters in patients with SAFS, ABPA, and moderate to severe asthma without fungal allergy, and evaluated its diagnostic performance in a clinically relevant setting.

# Methods

Patients with moderate to severe asthma (British Thoracic Society treatment step 3 or above) attending the asthma or fungal lung disease clinics of the North West Lung Centre, Manchester University NHS Foundation Trust were eligible. All participants consented into the Manchester Allergy, Respiratory and Thoracic Surgery (ManARTS, Manchester, UK) research tissue bank (15/NW/0409). Blood samples were collected and sera either processed immediately or stored at  $-80^{\circ}$ C

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Table 1. Baseline characteristics.

Diagnosis ( <i>n</i> )	ABPA (15)	SAFS (12)	Moderate-severe asthma (38)	
Age, mean (SD) yrs	61.5 (8.6)	57.1 (14.8)	52.6 (14.8)	
Gender, $n$ (%) male	8 (53.3%)	5 (41.7%)	9 (23.7%)	
OCS maintenance, $n$ (%)	6 (40%)	5/10 (50%)	14 (36.8%)	
Anti-fungal treatment, $n$ (%)	7 (46.7%)	4 (40%)	6 (15.8%)*	
Serology at the time of ICT test				
Asp IgG, median (IQR) mgA/l	53.0 (38.5-78)	51.5 (15.8-66.8)	27.5 (14.0-55.0)	
Asp IgE, median (IQR) kU <sub>A</sub> /ml	55.5 (25.0-64.8)	1.8 (0.7-2.7)	0 (0-0.1)	
Blood eosinophils median (IQR) x10 <sup>9</sup> cells/l	0.34 (0.22-0.54)	0.19 (0.12-0.38)	0.2 (0.08-0.37)	
Total IgE, median (IQR) IU/ml	2413 (1701-4513)	210 (120–305)	76.2 (33.7–257)	
Positive LDBio (visual interpretation), n (%)	13 (86.7%)	7 (58.3%)	8 (21.1%) <sup>¥</sup>	

\*Antifungal started for reasons other than allergic *Aspergillus* airway diseases; n = 2 who had antifungal therapy initiated without clear evidence of fungal involvement in the clinical condition. n = 3 had co-existing *Aspergillus* bronchitis and n = 1 had antifungal treatment for *Candida* sensitisation. ABPA, allergic bronchopulmonary aspergillosis; IQR, interquartile range; SAFS, severe asthma with fungal sensitisation; and OCS, oral corticosteroids. . ¥ one patient with *Aspergillus terreus* bronchitis, with negative ICT results.

until analysis. Clinical information was extracted from clinical records.

We defined each diagnostic group based on (1) serological evidence only and (2) clinical diagnosis. Patients were serologically defined as having ABPA if they had ever demonstrated evidence of Aspergillus-specific (Asp) IgE (Immunoglobulin E)> 0.34 kU<sub>A</sub>/ml and total IgE > 1000 kU<sub>A</sub>/ml and (either Asp IgG (Immunoglobulin G) > 40 mgA/l or blood eosinophilia >  $0.5 \times 10^9$  cells/l); SAFS was serologically defined as patients with severe asthma who had ever demonstrated evidence of Asp IgE >  $0.34 \text{ kU}_A/\text{ml}$  and total IgE < 1000 kU<sub>A</sub>/ml. Clinical diagnoses of SAFS and ABPA were made by experienced specialist clinicians at the National Aspergillosis Centre and tertiary severe asthma centre (Manchester, UK) and confirmed by the authors by reviewing historical clinical notes, radiology, serial serological results, and treatment responses. Patients who did not fit ABPA or SAFS criteria were categorised as controls.

Total IgE, Asp IgG, Asp IgE, and blood eosinophil counts were measured either as part of routine clinical care or collected through the ManARTS tissue bank. ImmunoCAP EIA (ThermoFisher Scientific, Waltham, MA, USA) was used for Asp IgG and IgE (Asp IgE cutoff > 40 mgA/l and Asp IgE cutoff > 0.34 kUA/ml). LDBio ICT assay was interpreted both visually and digitally using a lateral flow reader (Qiagen ESEQuant LR3 [Lake Constance, Germany]), a fluorescence reader that expresses results as a peak height in mV.

Correlations between serological tests and ICT were evaluated using Spearman's Rank tests. Comparisons between categorical variables were performed using  $\chi^2$  test, or Fisher's exact test, as appropriate. Diagnostic performance was assessed using descriptive statistics and the area under the receiver operating characteristic curve (AUROCC). All analysis was performed in R (version 4.1.1).

#### Results

Of 65 patients, 23.1% had clinical diagnosis of ABPA, 18.5% had SAFS and 58.5% moderate-to-severe asthma patients (without ABPA or SAFS). The agreement between serological and clinical diagnosis was 95.4%. Three patients who had fulfilled the serological criteria for ABPA had alternative clinical diagnoses (n = 2 as SAFS and n = 1 control; all had absence of bronchiectasis on computer tomography and significant co-

sensitisation to multiple allergens). All data presented refer to clinical diagnosis unless otherwise specified.

A total of 40% of patients with ABPA and 50% with SAFS were prescribed long-term oral corticosteroids (OCS), and 47/40%, respectively anti-fungal therapies at the time of the ICT (Table 1).

#### Correlation between ICT and serological tests

The median (IQR) duration between ICT and IgE tests was 49 (0-90) days, and 0 (0-52) days for Asp IgG. Asp IgG, Asp IgE, and total IgE (but not blood eosinophils) were significantly higher in patients who had positive ICT than those with negative test results based on visual interpretation (Fig. 1). A total of 56 (86.2%) patients had ICT interpreted both visually and digitally with excellent agreement (98.2%). Only one participant (serological and clinical diagnosis of ABPA with serum Asp IgG titre of 9 mgA/l) with a low ICT peak height of 23.3 mV was visually interpreted as a negative result. The peak height, determined with the lateral flow digital reader, correlated with Asp IgG (r = 0.40, P = .003) and Asp IgE (r = 0.58, P < .001) (Fig. 2) but not with eosinophil count or total IgE. Asp IgE also modestly correlated with IgG (r = 0.25, P = .05) (Fig. 3). The visual interpretation of ICT gave 73% agreement with Asp IgG and 82% agreement with Asp IgE. Blood eosinophil counts only modestly correlated with total IgE (r = 0.27, P = .029) (Fig. 3), with 69.2% agreement between the two tests.

The peak height (digital interpretation) of the ICT test gave a sensitivity of 69% and specificity of 77% in predicting a positive Asp IgG test, whereas the sensitivity and specificity for a positive Asp IgE were better (77% and 86%, respectively) (Table 2). The ICT peak height values gave a mean (95% confidence interval) AUROCC of 0.70 (0.56–0.84) for a positive Asp IgG, 0.79 (0.67–0.90) for a positive Asp IgE test, 0.70 (0.53–0.87) for blood eosinophilia (> 0.5 × 10<sup>9</sup> cells/l, Fig. 4), and (0.60 [0.41–0.80]) for a positive total IgE (> 1000 kU<sub>A</sub>/ml).

#### The diagnostic performance of ICT

The majority (87%) of patients with a clinical diagnosis of ABPA, 58% of SAFS and 21% of patients without ABPA and SAFS had positive ICT results. As the false negative rate was low for ABPA and the negative predictive value was high (95%) with a low negative likelihood ratio (< 0.2), the ICT was useful in ruling out the disease (Table 3). A negative



Figure 1. Serological test results (individual data and box-and-whisker plots) in patients with positive and negative immunochromatographic test (ICT) based on visual interpretation.

result only modestly reduced the probability of any allergic *Aspergillus* airway disease (ABPA or SAFS) in moderate-tosevere asthma with a –LR of 0.33. Using serological definitions produced similar results (Table 3).

Patients who were prescribed antifungal medications more commonly had a positive ICT (70.6%) than those who were not (29.4%, P = .008), but no difference in the prevalence of positive ICT was found in patients who were treated with OCS compared to those without (P = .669).

The ICT peak height values gave an AUROCC of 0.79 (0.61–0.97) for discriminating clinical diagnosis of ABPA from non-ABPA diseases and 0.75 (0.61–0.88) (Fig. 5) in discriminating ABPA and SAFS from the other diseases.

#### Discussion

The ICT assay demonstrated high sensitivity and may be useful in 'ruling out' ABPA in high-risk asthma patients. The patient characteristics observed in this study mimic the clinical settings where patients are at risk of developing allergic *Aspergillus* airway diseases and the ICT is likely to be used. Notably, we have demonstrated the complex clinical spectrum and the overlapping serological patterns of allergic *Aspergillus* airway diseases in moderate-to-severe asthma patients. Whilst the probability of having a positive ICT result increased from SAFS to ABPA, approximately 20% of moderate to severe asthma patients who did not satisfy the SAFS or ABPA diagnostic criteria had false positive results. This is likely due



Figure 2. Scatter plots of ICT peak height (digital reading) versus Asp IgG (left) and Asp IgE (right).



Figure 3. Scatter plots of Asp IgG versus Asp IgE (left) and blood eosinophils versus total IgE (right).

Table 2. Diagnostic performance of visual interpretation of LDBio assay.

	Sensitivity N (% [95%CI])	Specificity N (% [95%CI])	PPV N (% [95%CI])	NPV N (% [95%CI])	+LR	–LR
Prediction of serologi	ical tests					
High Asp IgG	20/29 (69.0 [50.8,	26/34 (76.5 [60.0,	20/28 (71.4 [52.9,	26/35 (74.3 [57.9,	2.94	0.41
(> 40  mgA/l)	82.7]%)	87.6]%)	84.7]%)	85.8]%)		
High Asp IgE	23/30 (76.7 [59.1,	30/35 (85.7 [70.6,	30/37 (81.1 [65.8,	23/28 (82.1 [64.4,	5.36	0.27
$(> 0.34 \text{ kU}_{\text{A}}/\text{ml})$	88.2]%)	93.7]%)	90.5]%)	92.1]%)		

+LR = sensitivity/(1-specificity) and -LR = (1-sensitivity)/specificity. CI, confidence interval; LR, likelihood ratio; NPV, negative predictive value; and PPV, positive predictive value.

to the heterogeneity of this group (Table 1). Interestingly, of these, the majority of patients (eight out of nine) had either a positive Asp IgG and/or Asp IgE test. Given that the prevalence of ABPA is around 3% among asthma patients,<sup>13</sup> the

positive predictive value is poor for a screening test in a general asthma population.

Consistent with the previous findings by Hunter *et al.*, a modest correlation was found between Asp IgG and IgE



Figure 4. Receiver operating characteristic curves for ICT peak height to predict positivity of Asp IgG, Asp IgE, blood eosinophilia, and total IgE.

titres.<sup>10</sup> However, the correlation between ICT and Asp IgE and IgG was not previously reported.<sup>10</sup> Kwizera *et al.* found poor clinical utility as a screening tool for ABPA amongst patients with varying severities of asthma, but a higher Asp IgE was found also reported in patients with a positive ICT result. It is unclear how the time between ICT and the routine clinical serological tests influenced the results. In the current study, the time between the ICT assay and serological tests was short (mostly within 3 months for Asp IgE and 2 months for Asp IgG), potentially explaining the differences observed in the studies. Whilst the ICT test detects IgG and IgM class anti-*Aspergillus* antibodies, a better correlation and prediction to the Asp IgE serological tests in patients with a high risk of allergic *Aspergillus* airway diseases was found. This association could be confounded by the correlations between Asp IgG and Asp IgE in this population. Furthermore, the ICT uses a homogeneous antigen sandwich format to detect *Aspergillus* antibody and it has been suggested that it may detect immunoglobulin classes other than IgG and IgM,<sup>10</sup> and warrants further investigation. ICT gave a limited predictive ability to be clinically useful as a surrogate test for Asp IgG, but a moderately low –LR (likelihood ratio) (0.27) and high negative predictive value (~82%) in predicting Asp IgE, suggesting that it may have a role in determining *Aspergillus* sensitisation. Digital interpretation of ICT did not show advantages over visual readings.

Table 3. Diagnostic performance of ICT in allergic Aspergillus airway diseases.

	Sensitivity N (% [95%CI])	Specificity N (% [95%CI])	PPV N (% [95%CI])	NPV N (% [95%CI])	+LR	–LR
Clinical diagnosis						
ABPA versus not ABPA	13/15 (86.7 [62.1, 96.3]%)	35/50 (70.0 [56.2, 80.9]%)	13/28 (46.4 [29.5, 64.2]%)	35/37 (94.6 [82.3, 98.5]%)	2.89	0.19
Allergic <i>Aspergillus</i> airway diseases <sup>†</sup> <i>versus</i> control	20/27 (74.1 [55.3, 86.8]%)	30/38 (78.9 [63.7, 88.9]%)	20/28 (71.4 [52.9, 84.7]%)	30/37 (81.1 [52.9, 84.7]%)	3.51	0.33
Serological diagnosis						
ABPA versus not ABPA	14/18 (77.8 [54.8, 91.0]%)	33/47 (70.2 [56.0, 81.3]%)	14/28 (50.0 [32.6, 67.4]%)	33/37 (89.2 [75.3, 95.7]%)	2.61	0.32
Allergic <i>Aspergillus</i> airway diseases <sup>†</sup> <i>versus</i> control	21/28 (75.0 [56.6, 87.3]%)	30/37 (81.1 [65.8, 90.5]%)	21/28 (75.0 [56.6, 87.3]%)	30/37 (81.1 [65.8, 90.5]%)	3.97	0.31

 $+LR = sensitivity/(1-specificity); -LR = (1-sensitivity)/specificity; and <math>\dagger = Allergic Aspergillus$  airway diseases is defined as *either* severe asthma with fungal sensitisation *or* allergic bronchopulmonary aspergillosis.

CI, confidence interval; LR, likelihood ratio; NPV, negative predictive value; and PPV, positive predictive value.



Figure 5. Receiver operating characteristic curves for ICT peak height to predict allergic Aspergillus airway disease and ABPA.

# Strengths and limitations

To our knowledge, our study is the first to test ICT in a clinically relevant setting, where allergic Aspergillus airway diseases are common and diagnostic decisions are often needed. However, we had a relatively small sample size and hence it is imperative to validate our findings in a larger cohort. We compared the ICT performance in clinical ABPA diagnosis and those based on historical serological evidence only and demonstrated similar results. The clinical diagnoses of ABPA and SAFS in the current study were confirmed retrospectively with all clinical data and historical serological tests. Whilst this approach may be more robust in the classification of diagnostic groups, future studies should aim to prospectively evaluate the diagnostic efficiency of ICT in this setting. Furthermore, we have found that even in experienced specialist centres, differentiating ABPA, SAFS, and other allergic Aspergillus airway diseases may be challenging, and the diagnostic label are often interchangeably used over time in clinical practice. This may be due to the longitudinal variations in disease progression/remission and the associated serological test results.

The serological classifications of ABPA and SAFS using dichotomous cutoff values were also limited in describing all the phenotypical differences amongst the spectrum of allergic *Aspergillus* airway diseases; this should be investigated in future research.

#### Conclusion

The ICT assay may be valuable in ruling out ABPA in resource-limited countries where serological investigations are less feasible. Patients with a positive test and a clinical suspicion of ABPA should then have further investigations to diagnose ABPA. However, our study is limited by the small sample size and should be further validated in a larger cohort before clinical implementation.

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## **Author contributions**

Ran Wang (Formal analysis, Visualization, Writing – original draft, Writing – review & editing), Chris Eades (Methodology), Maisie Palmer (Data curation, Methodology), Gareth Platt (Data curation, Methodology), Stephen J Fowler (Supervision, Validation, Writing – review & editing), and Chris Kosmidis (Conceptualization, Funding acquisition, Project administration, Supervision, Validation, Writing – review & editing).

# **Conflict of interest**

None

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