

Hazardous, harmful, and dependent alcohol use in healthcare professionals: A systematic review and meta-analysis

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Scope Statement

The COVID-19 pandemic has exacerbated the pressures experienced by healthcare professionals, with emerging evidence suggesting this may lead to increased alcohol consumption, to cope with poor mental health or burnout. This review determined the global pooled prevalence of hazardous, harmful, and dependent alcohol use and frequent binge drinking in healthcare professionals. This review also explored whether estimates varied among studies conducted during the COVID-19 pandemic compared with those that were conducted prior to the pandemic. After screening over 9,000 records, 64 papers were identified as relevant for inclusion. The findings showed that one fifth of healthcare professionals met criteria for hazardous alcohol use and 18% for frequent binge drinking. The prevalence of hazardous alcohol use was greater among studies conducted during the COVID-19 pandemic compared with those conducted prior to the pandemic (28% vs 17%). This research is of critical public health importance, demonstrating the need to actively monitor healthcare professionals, to ensure that those who do suffer with alcohol and/or mental health problems are identified and supported to receive care. Further research is needed to investigate whether the greater levels of hazardous drinking are sustained in the post-pandemic period.

Conflict of interest statement

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest

CRedit Author Statement

Andrew Jones: Conceptualization, Formal Analysis, Investigation, Methodology, Project administration, Software, Supervision, Validation, Visualization, Writing - original draft, Writing - review & editing. Laura Goodwin: Conceptualization, Investigation, Methodology, Project administration, Supervision, Validation, Visualization, Writing - review & editing. Lauren Halsall: Conceptualization, Investigation, Methodology, Validation, Visualization, Writing - original draft, Writing - review & editing. Patricia Irizar: Conceptualization, Investigation, Methodology, Project administration, Supervision, Validation, Visualization, Writing - original draft, Writing - review & editing. Sara Waring: Conceptualization, Investigation, Supervision, Validation, Visualization, Writing - review & editing. Sam Burton: Conceptualization, Investigation, Methodology, Project administration, Supervision, Validation, Visualization, Writing - review & editing. Susan Giles: Conceptualization, Investigation, Supervision, Validation, Visualization, Writing - review & editing.

Keywords

Meta-analysis, alcohol, Drinking, Health Personnel, Occupational Health, COVID-19

Abstract

Word count: 265

Background: Healthcare professionals work in high-pressured and demanding environments, which has been linked to the use of alcohol as a coping strategy. This international review aimed (i) to determine the pooled prevalence of hazardous, harmful, dependent, and frequent binge drinking in healthcare professionals, and (ii) to explore factors associated with variation in these outcomes. Methods: Scopus, MEDLINE, and PsycINFO were searched from 2003 to 17 th November 2022, for studies reporting a prevalence estimate for any outcome among healthcare professionals. Random-effects meta-analyses determined pooled prevalence estimates. Sub-group analyses were conducted, stratifying the meta-analyses by pandemic period vs pre-pandemic period. Meta-regressions explored factors that were associated with variation in the outcomes. PROSPERO (CRD42020173119). Results: After screening 9,108 records, 64 studies were identified as eligible. The pooled prevalence was 19.98% [95% Confidence Intervals [CI]: 16.05% -24.23%] for hazardous alcohol use (K = 52), 3.17% [95% CI: 0.95% -6.58%] for harmful drinking (K = 8), 14.59% [95% CI: 7.16% -25.05%] for dependent drinking (K = 7), and 17.71% [95% CI: 8.34% -29.63%] for frequent binge drinking (K = 11). The prevalence of hazardous drinking was significantly greater during the pandemic (28.19%) compared with pre-pandemic estimates (17.94%). Studies including all hospital staff (32.04%) showed higher prevalence estimates for hazardous drinking compared with studies of doctors (16.78%) and nurses (27.02%). Conclusions: Approximately one fifth of healthcare professionals drink to hazardous levels, with higher prevalence estimates observed during the COVID-19 pandemic. It may be that healthcare professionals used alcohol to cope with the additional trauma and stressors. Further research is needed to investigate whether this is sustained in the post-pandemic period.

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In review

Hazardous, harmful, and dependent alcohol use in healthcare professionals: A systematic review and meta-analysis

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14 **Keywords: meta-analysis, alcohol, drinking, health personnel, occupational health, COVID-19**

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26 **1 Abstract**

27 **Background:** Healthcare professionals work in high-pressured and demanding environments, which
28 has been linked to the use of alcohol as a coping strategy. This international review aimed (i) to
29 determine the pooled prevalence of hazardous, harmful, dependent, and frequent binge drinking in
30 healthcare professionals, and (ii) to explore factors associated with variation in these outcomes.
31 **Methods:** Scopus, MEDLINE, and PsycINFO were searched from 2003 to 17th November 2022, for
32 studies reporting a prevalence estimate for any outcome among healthcare professionals. Random-
33 effects meta-analyses determined pooled prevalence estimates. Sub-group analyses were conducted,
34 stratifying the meta-analyses by pandemic period vs pre-pandemic period. Meta-regressions explored
35 factors that were associated with variation in the outcomes. PROSPERO (CRD42020173119). **Results:**
36 After screening 9,108 records, 64 studies were identified as eligible. The pooled prevalence was
37 19.98% [95% **Confidence Intervals [CI]:** 16.05% - 24.23%] for hazardous alcohol use (K = 52), 3.17%
38 [95% CI: 0.95% - 6.58%] for harmful drinking (K = 8), 14.59% [95% CI: 7.16% - 25.05%] for
39 dependent drinking (K = 7), and 17.71% [95% CI: 8.34% - 29.63%] for frequent binge drinking (K =
40 11). The prevalence of hazardous drinking was significantly greater during the pandemic (28.19%)
41 compared with pre-pandemic estimates (17.94%). Studies including all hospital staff (32.04%) showed
42 higher prevalence estimates for hazardous drinking compared with studies of doctors (16.78%) and
43 nurses (27.02%). **Conclusions:** Approximately one fifth of healthcare professionals drink to hazardous
44 levels, with higher prevalence estimates observed during the COVID-19 pandemic. It may be that
45 healthcare professionals used alcohol to cope with the additional trauma and stressors. Further research
46 is needed to investigate whether this is sustained in the post-pandemic period.

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61 **2 Introduction**

62 Research shows that healthcare professionals experience occupational strains (Skogstad et al., 2013),
63 including frequent exposure to trauma, and emotionally demanding and interpersonal stressors (Koinis
64 et al., 2015). These stressors have been linked to burnout, poor mental health, and maladaptive coping
65 strategies such as using alcohol to cope (Greenberg et al., 2020, Medisauskaite and Kamau, 2017).
66 Despite this, UK and international evidence indicates similar, or sometimes slightly lower, prevalence
67 estimates of hazardous (drinking patterns associated with an increased risk of adverse health events)
68 or harmful alcohol use (drinking patterns associated with known alcohol harms) in healthcare
69 professionals compared to the general population (Bazargan et al., 2009, O’Cathail and O’Callaghan,
70 2013, Schluter et al., 2012, Aalto et al., 2006, Rosta and Aasland, 2012, Raistrick et al., 2008, Kenna
71 and Wood, 2004). In addition, a recent meta-analysis estimated the prevalence of hazardous alcohol
72 use in health professionals to be 13%, which was lower than prevalence estimates for other trauma-
73 exposed occupations, e.g., police officers (Irizar et al., 2021). However, most of the available studies
74 are limited due to small sample sizes. The lower prevalence estimates among healthcare workers may
75 also reflect confidentiality concerns or fears of disciplinary action following disclosure of hazardous
76 or harmful alcohol use (Raistrick et al., 2008). Concerningly, harmful drinking (defined as >2 standard
77 drinks per day) in healthcare professionals has also been shown to increase with years in service and
78 hours worked (Schluter et al., 2012).

79 The pressures and demands faced by healthcare professionals have been exacerbated during the
80 recent COVID-19 pandemic, with global evidence demonstrating the detrimental impact on mental
81 health, burnout and suicidal ideation among healthcare professionals (Epifanio et al., 2023, Badrfan
82 et al., 2023, Spoorthy et al., 2020, Vizheh et al., 2020). After the 2003 SARS outbreak, healthcare
83 professionals reported increases in health risk behaviours, such as alcohol use and smoking (Maunder
84 et al, 2006). Emerging evidence in relation to COVID-19 highlights similar trends for alcohol use in
85 healthcare professionals (Klimkiewicz et al., 2021, Liu et al., 2020, Lai et al., 2020). Based on previous
86 pandemics, these adverse outcomes could last for more than three years post-pandemic recovery
87 (Waring and Giles, 2021). Ensuring a healthy workforce is crucial for staff, organisations, and wider
88 society, as alcohol use is positively associated with sickness absence (Schou and Moan, 2016), which
89 could pose subsequent adverse consequences for waiting times and patient safety. Examining the
90 impact of COVID-19 on alcohol use on healthcare professionals is important for identifying the scale
91 of the issue, informing policy decisions regarding investment in support services, and long-term service
92 planning to promote a healthy workforce by preventing and reducing alcohol-related harms among
93 healthcare workers.

94 To date, only one study has comprehensively reviewed the level of hazardous, harmful, and
95 dependent alcohol use (characterised by tolerance, uncontrollable drinking, and physiological
96 dependence which can result in withdrawals) or binge drinking (characterised by heavy drinking in a
97 short space of time), across trauma-exposed occupations, which included healthcare professionals
98 (Irizar et al., 2021). This included healthcare professionals but did not consider the impact of COVID-
99 19. The association between alcohol use, burnout and poor mental health in healthcare professionals
100 have also yet to be comprehensively reviewed. Accordingly, the current systematic review seeks to
101 explore the prevalence of hazardous, harmful, and dependent alcohol use, and frequent binge drinking
102 in healthcare professionals, both before and during the COVID-19 pandemic. The protocol for this
103 review is pre-registered with PROSPERO (CRD42020173119). This review aims to address the
104 following research questions:

- 105 1. What is the prevalence of hazardous, harmful, dependent, and binge drinking, in healthcare
106 professionals?
107 2. Does the prevalence of these outcomes differ among studies conducted during the COVID-19
108 pandemic (i.e., from March 2020) compared to studies that were conducted before the pandemic
109 (i.e., before March 2020)?
110 3. Are there variations in the outcomes depending on the level of burnout or poor mental health
111 within study samples?
112 4. Are there variations in the outcomes depending on socio-demographic factors of study samples
113 (age, gender), or study variables (study quality, response rate)?

114 **3 Materials and Methods**

115 **3.1 Eligibility Criteria**

116 The “CoCoPop” mnemonic for reviews assessing prevalence and incidence data was used to
117 determine inclusion and exclusion criteria (Munn et al., 2015). CoCoPop comprises of condition (i.e.,
118 health condition, disease, symptom, event, or factor), context (i.e., the environmental factors that
119 impact on the prevalence or incidence of the condition) and population (i.e., population characteristics).

120 **3.1.1 Condition**

121 The primary outcome of interest was alcohol use. This included any prevalence estimate for
122 hazardous, harmful or dependent alcohol use, using a standardised measure, such as the 10-item
123 Alcohol Use Disorder Identification Toolkit (AUDIT) (Saunders et al., 1993, Babor et al., 2001) or 3-
124 item AUDIT-Consumption (AUDIT-C) (Bush et al., 1998), Timeline Follow Back (Sobell & Sobell,
125 1992) or the CAGE (Cut, Annoyed, Guilty, Eye-opener) questionnaire (Mayfield et al., 1974). We
126 defined outcomes as hazardous, harmful, or dependent alcohol use, depending on the measures and
127 criteria used in each study, which sometimes differed from the definitions used by authors (e.g., if a
128 score of 4 or more on the AUDIT-C was defined as alcohol misuse, we would define it as hazardous
129 alcohol use (Bush et al., 1998)). Studies were also included if they reported a measure of frequent binge
130 drinking (i.e., drinking heavily over a short space of time). The criteria used to define frequent binge
131 drinking vary across countries and studies (e.g., 5 or more drinks on one occasion). Studies examining
132 any substance use without specifying alcohol use were excluded.

133 The secondary outcomes of interest were standardised measures of poor mental health, e.g.,
134 depression, anxiety, or post-traumatic stress disorder (PTSD), and burnout. Burnout is usually
135 measured using the validated Maslach Burnout Inventory (MBI) (Maslach and Jackson, 1981), which
136 has previously been used to examine burnout in healthcare professionals (Dolan et al., 2015; Poghosyan
137 et al., 2009; Rafferty et al., 1986). Any standardised measures of mental health were included (i.e.,
138 self-report screens and clinician administered assessments). Studies that only included a sub-
139 population of participants with a physical or mental health condition were excluded. As this was a
140 secondary outcome, we included studies that did not have a measure of poor mental health or burnout.

141 **3.1.2 Context**

142 Geographical location data was used to determine differences in alcohol consumption across
143 locations. As an additional aim, we sought to examine whether prevalence estimates for hazardous,
144 harmful, dependent or binge drinking were different during COVID-19 (March 2020 to search date)
145 compared with prior to the pandemic. We excluded studies which measured alcohol use after a major
146 sentinel event, such as a hurricane.

147 **3.1.3 Population**

148 The population of interest was healthcare professionals. This included doctors (i.e., surgeons,
149 general practitioners, consultants, physicians, etc.) nurses, midwives, paramedics, dentists,
150 pharmacists, and mental health practitioners. Medical students were excluded but doctors in residency,
151 i.e., doctors in training for a given speciality (Rodrigues et al., 2018), were included. Studies were
152 included if subjects were of a working age (i.e., 16 years old) and retired samples were excluded.

153 **3.2 Search Strategy**

154 To identify articles, we conducted a literature search using the databases: Scopus, MEDLINE
155 and PsycINFO, from 2003 to 17th November 2022. Search terms describing healthcare professionals
156 and alcohol use, outlined in the supplementary materials, were used as free text terms and combined
157 with Boolean operators. ~~PWe included~~ peer-reviewed journal articles and grey literature (e.g., ~~pre-~~
158 ~~prints, theses~~) written in English, were eligible for inclusion.

159 **3.3 Data Collection**

160 **3.3.1 Selection Process**

161 Titles and abstracts were screened against inclusion and exclusion criteria. Full texts were
162 obtained for all that appeared to meet the inclusion criteria or where there was uncertainty. All decisions
163 for excluding reports were recorded. A PRISMA flow diagram (Figure 1) presents the data, including
164 information on the number of studies identified, included for data synthesis, reviewed, and excluded
165 (with reasons). LH, PI, and SB were responsible for screening titles and abstracts against inclusion and
166 exclusion criteria. LH, PI, and SB screened one third of titles and abstracts each and screened 10% of
167 each other's titles and abstracts. LH and PI both screened 50% of all articles at full text review and
168 screened 10% of each other's full texts to ensure inter-rater reliability. The Kappa statistic was used to
169 determine inter-rater agreement (McHugh, 2012). Disagreements were reviewed by SW and LG and
170 resolved through discussion.

171 **3.3.2 Data Extraction**

172 Data extraction was conducted using the Joanne Briggs Institute Extraction Form for
173 Prevalence and Incidence Studies. This included study details (lead author and year), methodology
174 (study design, response rate, year of data collection), sample characteristics (mean age, proportion of
175 males), primary outcome measures (alcohol use (prevalence, or proportion and 95% confidence
176 intervals, measures used), and secondary outcome measures (burnout, common mental disorders,
177 measures used). If essential data was missing, authors were contacted for further information. LH and
178 PI each completed 50% of the data extraction.

179 **3.3.3 Risk of Bias (Quality) Assessment**

180 The Joanne Briggs Institute critical appraisal checklist for studies reporting prevalence and
181 incidence data was used to determine methodological quality (Munn et al., 2015). This checklist
182 assessed the following: representativeness of sample, recruitment, adequate sample size, adequate
183 description of subjects and setting, sufficient coverage of sample in data analysis, standard criteria used
184 to measure condition, appropriate statistical analysis, confounding factors, and sub-populations
185 identified using objective criteria. LH and PI each critically appraised 50% of the included studies and
186 checked agreement by critically appraising 10% of the other reviewer's assessments, resolving any
187 disagreements through discussion. Studies scored between 0-59% were considered high risk of bias.

188 [60-79% medium risk of bias, and 80-100% low risk of bias. Studies were not excluded from analyses](#)
189 [based on critical appraisal scores.](#)

190 3.4 Data Analysis

191 Separate random-effects meta-analyses were conducted for each outcome to examine the
192 pooled prevalence of (i) hazardous, (ii) harmful, or (iii) dependent alcohol use, and (iv) frequent binge
193 drinking in healthcare professionals. We conducted random-effects (restricted maximum likelihood)
194 meta-analysis using the ‘metafor’ package in R to determine the pooled prevalence of hazardous,
195 harmful, and dependent alcohol use, and frequent binge drinking (based on the measures and cut-offs
196 used by authors, meaning criteria differs across studies). We used the Freeman-Tukey double arcsine
197 transformation on proportions to stabilise variance and ensure extremely large / small proportions had
198 appropriate weighting. Analyses were conducted on transformed data, but backward transformations
199 were conducted for figures and presentation.

200 Studies were stratified by time-period of data collection, to investigate whether prevalence
201 estimates differed during the COVID-19 pandemic *versus* prior to the pandemic, if there was sufficient
202 data. In addition, exploratory sub-group analyses were conducted to explore differences in outcomes
203 depending on the occupational groups included in the samples (e.g., doctors, nurses, all healthcare
204 workers), providing the number of studies was sufficient (i.e., minimum of 4 (Fu et al., 2008)). Given
205 the variation in the measures used to determine hazardous alcohol use across studies, an exploratory
206 sub-group analysis was conducted to assess differences in pooled prevalence estimates for hazardous
207 alcohol use, depending on whether studies used the AUDIT (either the full 8-item AUDIT or the 3-
208 item AUDIT-C) compared with other measures (e.g., recommended guidelines).

209 To assess the degree of heterogeneity, the I^2 measure and its CI were used. I^2 ranges from 0%
210 to 100%, with the following cut-offs suggested for low, modest and high heterogeneity: <25% is low,
211 25-50% is modest, and >50% is high (Higgins et al., 2003). Significant heterogeneity was determined
212 using χ^2 for Q-test, with a conservative significance level ($p < .01$) being used due to increased
213 heterogeneity associated with observational studies (Metelli and Chaimani, 2020). If the data were
214 sufficient ($N \geq 10$ for each variable), univariate meta-regressions were conducted to explore whether
215 the prevalence of mental health problems (i.e., depression, anxiety, PTSD) and burnout reported in
216 studies were associated with heterogeneity in outcomes (e.g., higher prevalence of mental health
217 associated with higher prevalence of hazardous alcohol use). In addition, univariate meta-regressions
218 were conducted to explore whether socio-demographic factors (age, gender) and study variables (study
219 quality, response rate) were associated with heterogeneity in outcomes.

220 Sensitivity analyses were conducted to determine small study biases and influential cases.
221 These included Trim and Fill, Egger’s Regression Test, and examination of influence statistics. Trim
222 and Fill analysis removes (“trims”) any studies which might contribute to funnel plot asymmetry before
223 ‘filling’ any studies to improve symmetry. This provides i) an estimate of the number of missing
224 studies, and ii) an adjusted pooled prevalence based on their inclusion. We used the ‘influence’ function
225 in ‘metafor’ to identify any influential effect sizes and removed them to examine their impact on the
226 pooled prevalence estimates. Finally, we conducted Egger’s regression test as a measure of publication
227 bias. Data and analysis scripts are uploaded as supplementary materials.

228 4 Results

229 4.1 Study Characteristics

230 The initial search identified 9108 records, after excluding 2195 duplicates, as displayed in the
231 PRISMA (Page et al., 2021) flow diagram (Figure 1). After screening against the eligibility criteria, 64
232 papers were identified as relevant for inclusion, three of which were cohort studies (data were extracted
233 from the most recent wave), and the remainder were cross-sectional studies. The study characteristics
234 are presented in Supplementary Table 1. Some studies included estimates for multiple outcomes (i.e.,
235 hazardous alcohol use *and* harmful alcohol use), meaning they were included in each respective meta-
236 analysis. Regarding risk of bias, 47% (N = 30) studies were rated as high risk of bias, 48% (N = 31) as
237 medium risk of bias, and 5% (N = 3) as low risk of bias (Supplementary Table 1).

238 In total, 14 studies were identified that reported prevalence estimates during the COVID-19
239 pandemic and 50 studies reported prevalence estimates prior to the COVID-19 pandemic. In addition,
240 19 studies reported the prevalence of depression, 12 reported the prevalence of anxiety, six reported
241 the prevalence of PTSD, and six reported the prevalence of burnout using the MBI (Maslach and
242 Jackson, 1981) (high emotional exhaustion, high depersonalisation, and/or personal accomplishment).

243 [Figure 1 near here]

244 4.2 Hazardous Drinking

245 We obtained 52 prevalence estimates for hazardous alcohol use across the identified articles. The
246 pooled prevalence of hazardous alcohol use was 19.98% [95% CI: 16.05% to 24.23%; $I^2 = 99.7\%$], see
247 Figure 2.

248 [Figure 2 near here]

249 4.2.1 Moderator Analyses

250 4.2.1.1 Occupational Groups

251 Comparisons of prevalence estimates across studies of doctors (N = 25), nurses (N = 7), and all
252 hospital staff (N = 10), demonstrated a significant subgroup effect ($X^2(2) = 12.18$, $p = 0.002$). In studies
253 of doctors, the prevalence estimate was 16.78% (95% CI: 13.41% to 20.43%, $I^2 = 99.0\%$). In studies
254 of nurses, the prevalence estimate was 27.02% (95% CI: 12.98% to 43.93%, $I^2 = 99.8\%$), and in studies
255 whose samples included all hospital staff, the prevalence estimate was 32.04% (95% CI: 22.57% to
256 42.32%, $I^2 = 99.6\%$).

257 4.2.1.2 COVID-19

258 The comparison of prevalence estimates from studies conducted during the COVID-19
259 pandemic (N = 11) *versus* studies conducted before the COVID-19 pandemic (N = 41) demonstrated a
260 weak subgroup effect ($X^2(1) = 3.87$, $p = 0.049$), which didn't meet our conservative p-value for
261 significance. During the COVID-19 pandemic, the pooled prevalence was 28.19% (95% CI: 19.23%
262 to 38.11%, $I^2 = 99.5\%$), compared with 17.94% (95% CI: 13.82% to 22.47%, $I^2 = 99.7\%$) from before
263 the pandemic.

264 4.2.1.3 Measures of Hazardous Drinking

265 There was no significant difference in prevalence estimates when hazardous alcohol use was
266 determined via the AUDIT vs other measures, e.g., ASSIST, ($X^2(1) = 1.56$, $p = 0.210$). Pooled prevalence
267 of hazardous alcohol use as measured using the AUDIT (N = 44) was 21.10% (95% CI: 16.69% to
268 25.87%; $I^2 = 99.6\%$), and for other measures (N = 8) was 14.43% (95% CI: 7.22% to 23.38%; $I^2 =$
269 99.7%).

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270 4.2.2 Sensitivity Analyses

271 4.2.2.1 Measures of Bias and Influence

272 Egger's regression test was not significant ($Z = 0.76$, $p = 0.446$) and Trim and Fill did not impute
273 any studies. One effect size was identified as influential (Cook's Distance = 0.243, DFBETA = 0.559).
274 Removal of this effect size slightly reduced the pooled prevalence estimate to 18.96% (95% CI: 15.52%
275 to 22.66%, $I^2 = 99.6\%$).

276 4.2.2.2 Response Rates

277 There was no significant association between response rates and prevalence of hazardous
278 drinking ($N = 42$, $\beta < 0.000$, 95% CI: -0.002 to 0.003, $Z = 0.37$, $p = 0.713$).

279 4.2.2.3 Study Quality

280 There was no significant association between study quality and prevalence of hazardous
281 drinking ($N = 52$, $\beta = 0.002$, 95% CI: -0.001 to 0.005, $Z = 1.12$, $p = 0.261$).

282 4.2.2.4 Demographics

283 There was no significant association between the mean age of the sample ($N = 33$, $\beta < 0.000$,
284 95% CI: -0.011 to 0.001, $Z = 0.12$, $p = 0.903$), or the proportion of males in the sample ($N = 50$, $\beta = -$
285 0.001, 95% CI: -0.004 to 0.001, $Z = 1.58$, $p = 0.114$) and prevalence of hazardous drinking.

286 4.2.2.5 Mental Health and Burnout

287 There was no significant association between the prevalence of anxiety and the prevalence of
288 hazardous drinking ($N = 10$, $\beta = -0.005$, 95% CI: -0.011 to 0.002, $Z = 1.50$, $p = 0.145$). There was no
289 significant association between the prevalence of depression and the prevalence of hazardous drinking
290 ($N = 13$, $\beta = .002$, 95% CI: -0.009 to 0.012, $Z = 0.31$, $p = 0.756$). There were insufficient data to explore
291 the associations between the prevalence of PTSD or burnout with the prevalence of hazardous drinking.

292 4.3 Harmful Drinking

293 We obtained eight prevalence estimates across the identified articles. The pooled prevalence
294 of harmful alcohol use was 3.17% (95% CI: 0.95% to 6.58%; $I^2 = 99.7\%$), see Figure 3. Removal of
295 one study with high influence scores (Cook's Distance = 0.755; DFBETA = 2.096) slightly reduced
296 the pooled prevalence estimate (2.03%, 95% CI: 1.13% to 3.17%, $I^2 = 96.3\%$). There were insufficient
297 data to conduct sub-group analyses or meta-regressions to explore the impact of the COVID-19
298 pandemic, burnout, mental health, sociodemographic variables, or variables relating to study quality,
299 on the prevalence of harmful alcohol use.

300 [Figure 3 near here]

301 4.4 Dependent Drinking

302 We obtained seven prevalence estimates across the identified articles. The pooled prevalence
303 across dependent alcohol use was 14.59% (95% CI: 7.16% to 25.05%, $I^2 = 98.6\%$), see Figure 4.
304 Removal of one study with high influence scores (Cook's Distance = 0.587; DFBETA = -1.088)
305 slightly increased the pooled prevalence estimate (18.07%, 95% CI: 11.58% to 25.62%, $I^2 = 97.2\%$).
306 We are not confident that this estimate is an accurate indicator of the prevalence of dependent drinking
307 in healthcare professionals, as 5 out of the 7 studies used the CAGE to measure dependent drinking.
308 Guidance suggests that the CAGE is not suitable for use in non-clinical samples (Dhalla and Kopec,

309 2007), which may explain the unreliably high prevalence estimates. It was not possible to examine
310 differences in the prevalence of dependent alcohol use due to the COVID-19 pandemic, burnout,
311 mental health, sociodemographic variables, or variables relating to study quality, due to insufficient
312 data.

313 [Figure 4 near here]

314 4.5 Binge Drinking

315 We obtained 11 prevalence estimates across the identified articles. The pooled prevalence
316 across binge drinking was 17.71% (95% CI: 8.34% to 29.63%, $I^2 = 99.8\%$), see Figure 5. Removal of
317 one study with high influence scores (Cook's Distance = 0.486; DFBETA = 0.914) slightly reduced
318 the pooled prevalence estimate (14.04%, 95% CI: 7.15% to 22.75%, $I^2 = 99.6\%$). There were
319 insufficient data to address all objectives with binge drinking as the outcome.

320 [Figure 5 near here]

321 5 Discussion

322 5.1 Key Findings

323 This international review determined the global prevalence of hazardous, harmful, and
324 dependent alcohol use, and frequent binge drinking within healthcare professionals. A total of 64
325 studies were eligible for inclusion as they reported at least one prevalence estimate for the outcomes
326 of interest. The pooled prevalence of hazardous alcohol use was 20%, with pooled estimates of 3% for
327 harmful alcohol use, 15% for dependent alcohol use (though these estimates may be unreliable), and
328 18% for frequent binge drinking. Within studies investigating hazardous alcohol use, the pooled
329 prevalence of hazardous alcohol use was significantly higher among studies conducted during the
330 COVID-19 pandemic (20%) compared with studies conducted prior to the pandemic (14%). In
331 addition, exploratory analyses showed significant differences in the prevalence of hazardous alcohol
332 use across studies of all healthcare workers (32%) compared with studies of nurses (20%) and doctors
333 (17%). This review examined potential moderators that were hypothesized to be associated with
334 variation in the prevalence of hazardous alcohol use, as this was the only outcome with sufficient data.
335 Response rate, study quality, age (mean), gender (proportion of males), and the prevalence of
336 depression and anxiety were not significantly associated with variance.

337 Across the world, healthcare professionals have been on the forefront of the ongoing COVID-
338 19 pandemic, which has had a detrimental impact on their mental health (Liu et al., 2020, Lai et al.,
339 2020, Xing et al., 2020). During previous pandemics/epidemics, healthcare workers reported an
340 increase in health risk behaviors such as drinking alcohol and smoking (Maunder et al., 2006), with
341 adverse psychological consequences lasting for years post-pandemic recovery (Waring and Giles,
342 2021). We now show that the prevalence of hazardous alcohol use among healthcare workers was
343 significantly greater during the COVID-19 pandemic compared with prior to the pandemic. It is critical
344 that healthcare workers are actively monitored, to ensure that those who do suffer with alcohol and/or
345 mental health problems are identified and supported to receive care (Greenberg et al., 2020).

346 Irrespective of the current COVID-19 pandemic, healthcare professionals work under high
347 pressure and intensive conditions, increasing their risk of poor mental health and burnout (Greenberg
348 et al., 2020, Medisauskaite and Kamau, 2017). It was only possible to explore whether the pooled
349 prevalence of depression and pooled prevalence of anxiety were associated with variance in the

350 prevalence of hazardous alcohol use, among healthcare workers, finding no significant effect.
351 However, these analyses were limited as the measures and criteria used to determine the prevalence of
352 depression and anxiety varied across studies, and the exploration of pooled moderation effects may
353 disguise significant associations within individual studies. Within the general population, levels of
354 hazardous drinking are higher in those with a mental health problem, and adults scoring above the
355 ‘probable dependent’ AUDIT cut-off are more than twice as likely to be taking psychotropic
356 medication, and much more likely to be accessing mental health treatment than those scoring below
357 the cut-off (McManus et al., 2016). Whether levels of co-morbidity differ within healthcare
358 professionals remains relatively unexplored, and an important direction for future research, to ensure
359 that both mental health and alcohol support are available for healthcare professionals and that those
360 needing support are targeted effectively.

361 We identified significant differences in the prevalence of hazardous alcohol use across different
362 occupational groups, with studies including all healthcare workers obtaining much higher prevalence
363 estimates compared to studies of nurses and studies of doctors. Clinical staff may be less likely than
364 non-clinical staff (e.g., clerical staff, receptionists, caterers, engineers) to disclose their alcohol
365 consumption accurately, through fears of suspension from practice or prejudicing career prospects
366 (Raistrick et al., 2008). Additionally, there is some evidence to indicate poorer mental health among
367 non-clinical healthcare professionals during the COVID-19 pandemic (Styra et al., 2021), meaning this
368 occupational group may be more likely to use alcohol to cope. Somewhat surprisingly, neither age nor
369 gender were significant moderators of prevalence estimates for hazardous alcohol use, contradicting
370 global statistics that have consistently demonstrated that males consume more alcohol than females
371 and are at increased risk of an alcohol dependence (WHO, 2019, White, 2020) and evidenced age-
372 related variation of alcohol use (WHO, 2019). However, the lack of overall moderation effects may
373 result from a lack of variation across all studies to detect differences.

374 5.2 Strengths and Limitations

375 This review followed robust methodological procedures, in line with the Joanna Briggs Institute
376 guidance for systematic reviews of prevalence and incidence data (Munn et al., 2015), and the PRISMA
377 statement for reporting the findings. In addition, this review was pre-registered with PROSPERO,
378 where the search strategy and statistical analyses were outlined *a priori*. Nevertheless, there were
379 limitations with the review and studies included, which impact the validity of the findings. Due to a
380 lack of financial resources and researcher time, this review was limited to English-only research, which
381 may lead to biased estimates, though only two studies were excluded as English language versions
382 were not available. Given that there were multiple outcomes that resulted in separate meta-analyses,
383 some of which included only a small number of studies, it was not possible to explore all moderators
384 of interest for each outcome. The pooled prevalence estimate for dependent drinking is unreliable, as
385 five out of seven studies used the CAGE to measure dependent drinking, despite guidance stating that
386 it should not be used within non-clinical samples (Dhalla and Kopec, 2007). Additionally, there was
387 variation in the criteria used to measure the outcomes, reducing the validity of the pooled prevalence
388 estimates. Furthermore, high levels of heterogeneity were observed, as expected for observational
389 studies (Metelli and Chaimani, 2020), despite attempts to explain this through meta-regressions and
390 sub-group analyses. This study found that the prevalence of hazardous drinking was greater in studies
391 conducted during the COVID-19 pandemic, though a large proportion of studies conducted during the
392 pandemic included all healthcare workers, compared with most studies being conducted in doctors
393 and/or nurses before the pandemic, and this sampling imbalance may be a confounder. Response rates
394 varied widely across the included studies, from 6% to 90%, and where response rates were low, the
395 authors rarely used statistical methods to account for or explain low responses. Low response rates

396 amongst healthcare professionals may reflect confidentiality concerns or fears of disciplinary action
397 (Raistrick et al., 2008).

398 **5.3 Implications**

399 With the prevalence of hazardous alcohol use being found to be greater during the COVID-19
400 pandemic compared with prior to the pandemic, findings emphasize the need for workplace
401 interventions aimed at educating healthcare professionals about ‘low-risk’ levels of alcohol use and
402 raising awareness of alcohol-related harms. Such interventions should also focus on adaptive coping
403 strategies, as recent research by Mind demonstrated that 69% of emergency responders felt that their
404 mental health had been negatively impacted by the COVID-19 pandemic, with almost a quarter
405 reporting maladaptive coping strategies, including alcohol use (Mind, 2021). Taken alongside findings
406 from previous pandemics, which indicate that these adverse outcomes could last for years post-
407 pandemic, posing long-term health implications (Waring and Giles, 2021), evidence highlights the
408 importance of improving understanding of the relationship between healthcare professionals’ mental
409 health and drinking behaviours, particularly in the context of pandemics, to enable targeted support
410 and recovery.

411 **5.4 Conclusions**

412 This international review identified the pooled prevalence of hazardous, harmful, dependent
413 alcohol use and frequent binge drinking in healthcare professionals across the world, demonstrating
414 that almost one fifth of healthcare professionals drink to hazardous levels and engage in frequent binge
415 drinking. Crucially, the pooled prevalence of hazardous alcohol use was significantly greater among
416 studies conducted during the COVID-19 pandemic compared with pre-pandemic estimates, and further
417 research is needed to investigate whether this is sustained in the post-pandemic period.

418 **Conflict of Interest**

419 The authors declare that the research was conducted in the absence of any commercial or financial
420 relationships that could be construed as a potential conflict of interest.

421 **Author Contributions**

422 LH and PI contributed equally to developing the study design, literature searching, article screening,
423 data extraction, quality assessment, interpretation of the findings, and the write up. SB contributed to
424 the conceptualisation of the study, article screening, data extraction, and the write up. SW and SG
425 contributed to the statistical analysis plan and resolved disagreements. LG conceptualised the study
426 design, contributed to the statistical analysis plan and resolved disagreements. AJ contributed to the
427 conceptualisation of the study design, conceptualised the statistical analysis plan, conducted and wrote
428 the analyses. All authors provided extensive feedback on the manuscript and have approved the
429 manuscript as submitted.

430

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434

435 **Data Availability Statement**

436 Data and statistical code are available on Open Science Framework <https://osf.io/7ryv8/>.

437

438 **Figure Captions**

439 *Figure 1.* PRISMA 2020 flow diagram (Page et al., 2021).

440 *Figure 2.* Forest plot showing the prevalence of hazardous alcohol use.

441 *Figure 3.* Forest plot showing the prevalence of harmful drinking.

442 *Figure 4.* Forest plot showing the prevalence of dependent drinking.

443 *Figure 5.* Forest plot showing the prevalence of frequent binge drinking (criteria varied across studies).

444

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558

In review

Figure 1.TIFF

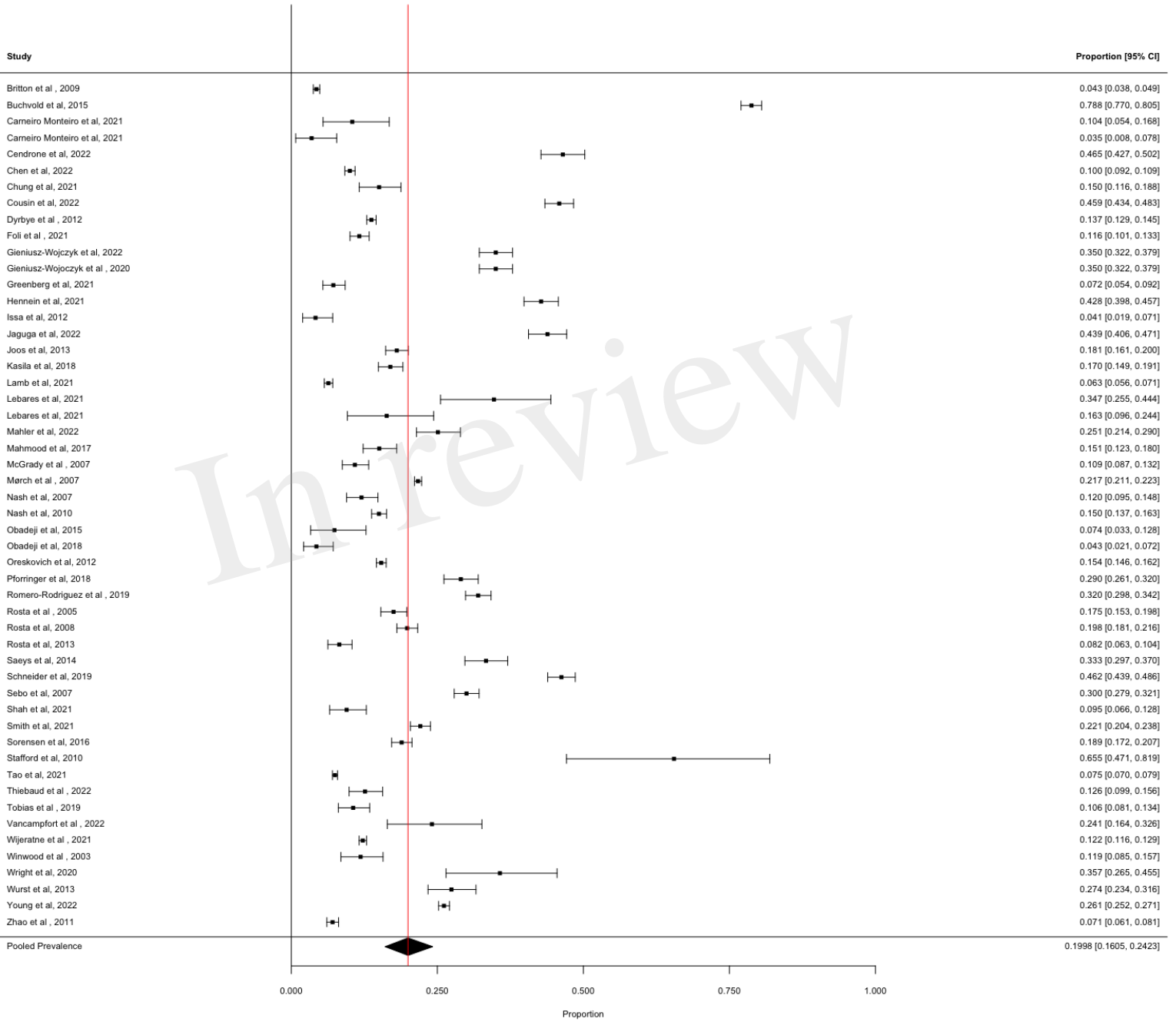


Figure 2.TIFF

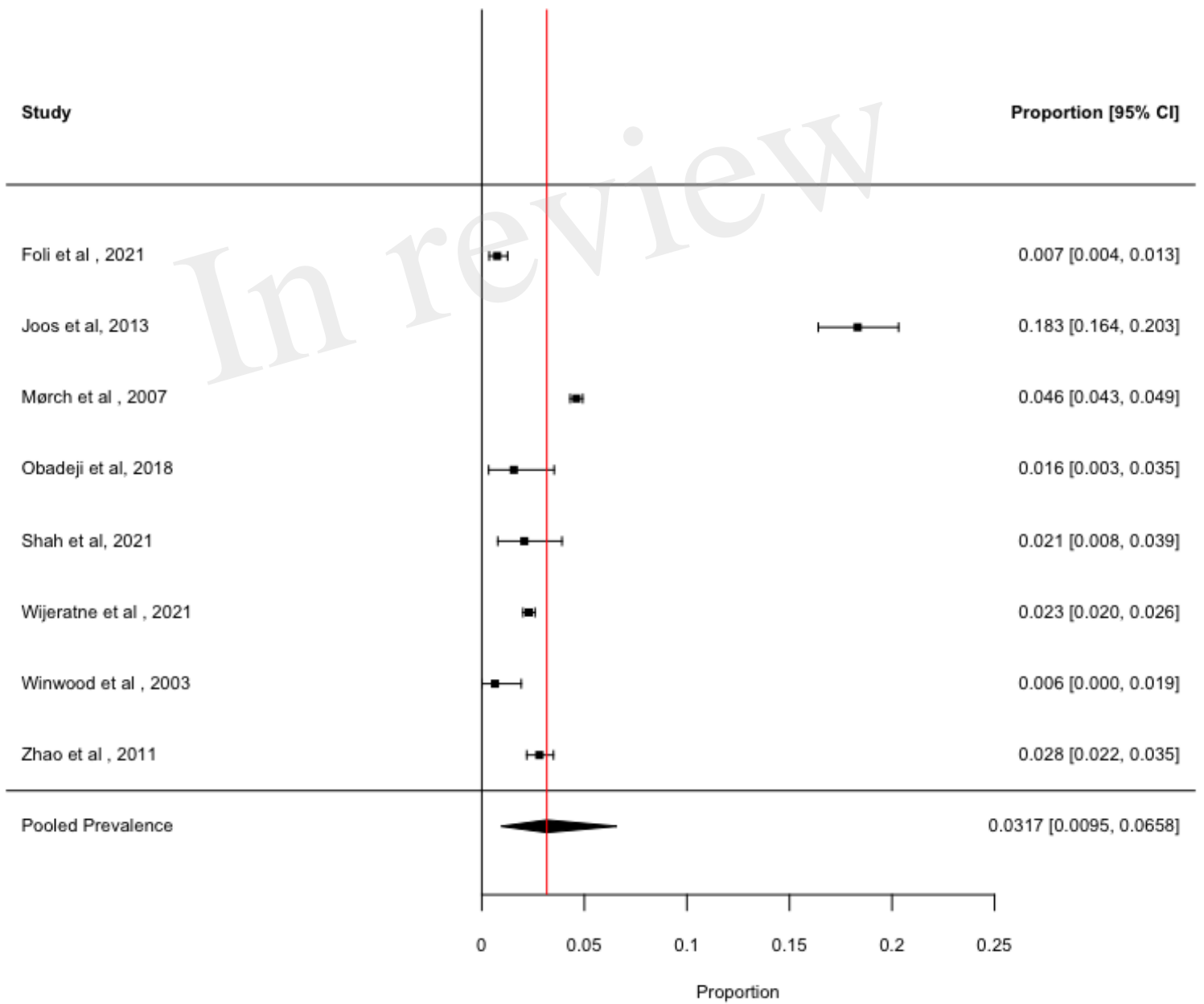


Figure 3.TIFF

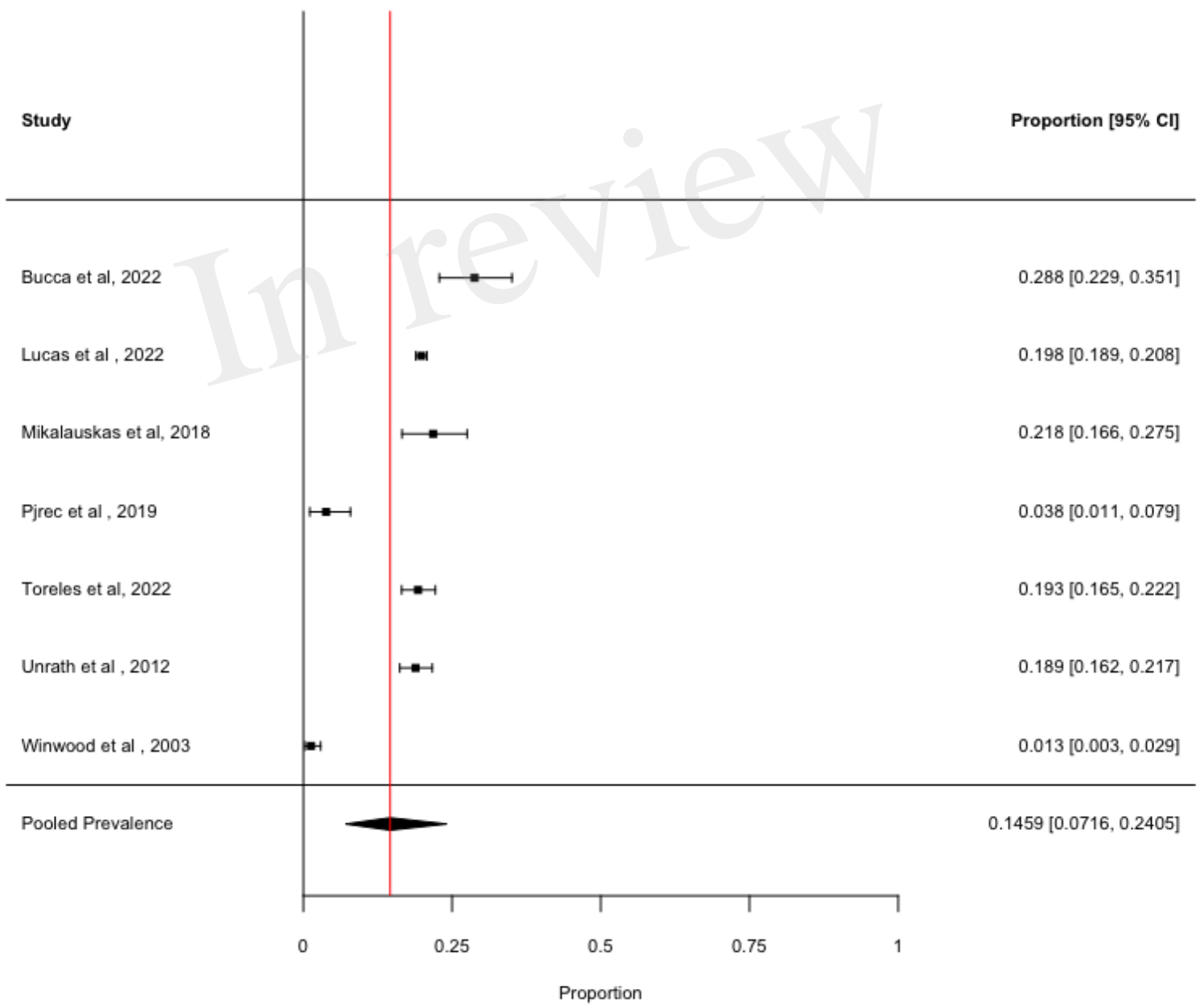


Figure 4.TIFF

