# Peridynamics-based large-deformation simulations for near-fault landslides considering soil uncertainty

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# Abstract

Landslides are widely acknowledged as among the most prevalent natural disasters. Peridynamics (PD), a mesh-free computational method, offers distinctive advantages in circumventing mesh distortion issues. However, limited attempts to employ PD in landslide simulation. Utilizing the features of non-ordinary state-based peridynamics (NOSBPD), we propose a computational method to analyze the entire process of slope run-out. Moreover, the occurrence and progression of landslides are notably affected by soil strength uncertainties. Hence, a coupling procedure is proposed to integrate random fields with NOSBPD, investigating the impact of spatial variability in soil strength on landslides. Results indicate that considering soil heterogeneity leads to a 12%increase in run-out distance compared to homogenous soil analyses. This highlights the significance of accounting for soil spatial variability to avoid underestimating landslide run-out distances. Additionally, this study compares the influence of ground motion types containing non-pulse ground motions and pulse-like ground motions (PLGMs) on entire landslide process. The findings suggest that landslides under PLGMs exhibit larger run-out distances and demonstrate a more concentrated spatial distribution, indicating higher susceptibilities to landslides under PLGMs. Lastly, we explored the interaction of two uncertainty sources on landslides. The findings can guide engineers in implementing assessments of potential uncertainties associated with landslides. Keywords: Peridynamics, Run-out assessment, Landslide, Large-deformation simulation, Spatial

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#### 1 1. Introduction

Landslides are widely acknowledged as among the most prevalent natural disasters, posing a substantial risk to both human lives and property (Corominas et al., 2014; Wicki et al., 2020). Nowadays, computational approaches to slope stability analysis and slope failure pattern remain an active research field in geotechnical engineering, both in theory and in practice (Bui et al., 2011). This involves two most important aspects, the development of appropriate numerical tools and the accurate description of uncertainties.

When it comes to numerical tools, various methods have been proposed in the past decades. In 8 early time, limiting equilibrium methods (LEM), which include the methods proposed by Fellenius g (1936), Bishop (1955), Morgenstern and Price (1965), Janbu (1968), and Spencer (1967) played 10 important roles in study of slope stability. Due to their simplicity and computational efficiency, 11 LEMs have been widely appreciated by researchers and geotechnical engineers. However, the 12 prior determination of critical slip surface, which is one of the crucial inputs of those methods 13 may not always be available, especially when encountering complex conditions such as spatially 14 non-uniform soil properties and multiple loading patterns, LEMs cannot always yield accurate 15 predictions. Under this context, a more general computational framework was adopted in slope 16 stability analysis, i.e., finite element method (FEM). FEM was formulated based on continuum 17 mechanics. Once the local constitutive law of soil is properly determined, the location as well as 18 shape of the critical slip surface can be computed automatically without making any assumptions 19 in advance. In addition, FEM is compatible with the variation of soil mechanical properties, 20 which has been demonstrated in the work done by Griffiths and Fenton (2004), Hicks and Li 21 (2018), Liu et al. (2018). However, when it comes to large deformation and failure behavior of 22 slopes such as landslides and collapses, numerical analysis carried out by FEM is hard to converge. 23 The underlying reason is that the distorted mesh under large or even discontinuous deformation 24 will cause severe numerical singularity problems. To address this issue, some adaptive re-meshing 25 techniques were developed (see Bathe et al., 1975; Ghosh and Kikuchi, 1991; Hu and Randolph, 26 1998). 27

Alternatively, mesh-free methods have recently drawn great interests in research fields of geotechnical engineering. Since mesh-free methods describe problems at particle or material point

scale, they can successfully avoid the mesh distortion problem and therefore be adopted in the 30 large deformation or even failure study of slopes. Some representative mesh-free methods include 31 the smoothed particle hydrodynamics (SPH) method (Gingold and Monaghan, 1977; Lucy, 1977), 32 material point method (MPM) (Sulsky et al., 1994, 1995; Kularathna and Soga, 2017; Wang et al., 33 2018a) and peridynamics (PD) (Wang et al., 2016, 2018b, 2019). A lot of research has shown the 34 applicability of using SPH or MPM in analyzing the stability problems of soil slopes under both 35 static and dynamic loading patterns (Bui et al., 2007, 2008, 2011; Huang et al., 2020; Liu et al., 36 2021; Liu and Wang, 2021; Liu et al., 2022a; Xu and Stark, 2022; Zhang et al., 2022). However, 37 to the best knowledge of the authors, only a few papers reported the application of PD in slope 38 stability studies under merely gravity load (Lai et al., 2015; Zhang and Zhang, 2022). PD was 39 initially proposed by Silling (Silling, 2000; Silling et al., 2007) as a non-local continuum theory. 40 There are two distinct branches in PD, namely bond-based peridynamics (BBPD) and state-based 41 peridynamics (SBPD). The SBPD, in particular, can derive the correspondence model, which is 42 compatible with arbitrary constitutive relations in classic continuum mechanics such as Drucker-43 Prager model, and thus possesses the possibility to be applied directly in geotechnical problems. 44 Despite the similarities between PD and SPH or MPM reported in previous literature (Zhou et al., 45 2021; Zeng et al., 2022), PD is somehow rarely applied in geotechnical problems compared with 46 the other two. To the authors' best knowledge, no attempt has been made so far to adopt the 47 SBPD theory in stability or run-out analysis of slope under dynamic loading such as earthquake. 48 Aside from numerical tools, accurate description of uncertainties is another crucial issue in slope 49 stability analysis. One source of uncertainty arises from the soil heterogeneity. Natural soils are 50 proven to exhibit spatial variability due to a range of factors, including geological sedimentation, 51 weathering of natural soils, and chemical influences (Phoon and Kulhawy, 1999; Wang et al., 2021a; 52 Li et al., 2023). Sedimentary processes within a given formation typically result in greater variation 53 along vertical axis compared to horizontal axis (Zhang and Liu, 2020), which exerts a substantial 54 influence on the stability and post-failure evolution behaviors. Due to technical limitations, the 55 majority of prior investigations into soil heterogeneity have predominantly centered on small-strain 56 analysis (e.g., Wang et al., 2020). Qu et al. (Qu et al., 2021) explored the impact of soil spatial 57 variability on post-failure behavior based on MPM. However, current research has been limited in 58 its exploration of large-deformation analysis based on PD. However, in large-deformation scenarios, 59 adopting a uniform assumption for soil strength may result in non-conservative outcomes. 60

Besides, another source of uncertainty arises from the randomness of ground motions. As is 61 well-known, the failure behavior of slopes is intimately linked to the ground motions. Currently, 62 ground motions are primarily categorized into two types: non-pulse ground motions (NPGMs) 63 and pulse-like ground motions (PLGMs). Near-fault PLGMs, known for their high amplitude 64 and extended velocity record periods, have garnered significant attention since being reported by 65 Housner and Hudson (Housner and Hudson, 1958). Numerous studies have delved into various 66 aspects of this field, encompassing topics such as generation principles (Somerville et al., 1997), 67 identification (Baker, 2007), simulation (Mavroeidis and Papageorgiou, 2003). However, the extent 68 to which these two types of ground motions and the randomness of ground motions impact the 69 landslide process remains unclear. 70

This study aims to propose a computational method to analyze the entire process of slope run-71 out by utilizing the features of PD. Besides, a novel coupling procedure is proposed to integrate 72 random fields with PD with the ability to evaluate the run-out distance of a wide range of soil 73 strength with spatial variability. The impacts of two distinct sources of uncertainty on landslides 74 are examined: ground motion types, specifically NPGMs and PLGMs, and soil heterogeneity. To 75 explore the relationship between run-out distances and heterogeneous properties, various random 76 samples with different coefficients of variation are explicitly discussed. As a result, the coefficients 77 of variation have remarkable effects on run-out distance and soil heterogeneity cannot be neglected 78 in assessing landslide risk. This study also sheds light on the impact of the interaction between 79 two sources of uncertainty on the landslide process and provides guidelines on implementing a 80 more accurate assessment of the potential uncertainties associated with landslides. 81

#### <sup>82</sup> 2. Methodology

# 83 2.1. Non-ordinary state-based peridynamics

PD is a differential-integral and mesh-free approach based on the non-local averaging concept. It exhibits significant adaptability in handling discontinuity-related issues such as damage, cracks propagation, and fragments. PD contains two theories: BBPD and SBPD. Both theories offer different perspectives and modeling techniques. BBPD specifically focuses on the interactions and dynamics of bonds between material points. On the other hand, SBPD emphasizes the overall state and properties of the material points. One important trait of SBPD is its ability to establish correspondence models, which can link the particle states together with the classical continuum theories. Due to our aims of simulating system macro-scale time-history behaviors, we propose a computational method to analyze the entire process of slope run-out by utilizing the features of NOSBPD.

Unlike molecular dynamics and smoothed particle hydrodynamics, which utilize the updated 94 Lagrangian approach, NOSBPD usually employs total Lagrangian approach in computing non-95 linear and failure behaviors of materialsBergel and Li (2016). This implies that the search of 96 neighboring particles at each time step is not required in NOSBPD. According to the prevailing 97 convention in continuum mechanics, under the assumption of Cartesian coordinates, variables 98 containing subscripts 0 or capitalized subscripts (such as  $X_I$ ) are used to denote the quantities 99 defined in reference (undeformed) configuration. Conversely, lowercase characters with lowercase 100 subscripts (such as  $\mathbf{x}_i$ ) are employed to represent quantities in a deformed configuration. Herein, 101 all material mediums are assumed a non-local continuum. The schematic of NOSBPD is illus-102 trated in Figure 1. Taking material particle  $\mathbf{X}^A$  as an illustration,  $\mathbf{X}^A$  exhibits interactions with 103 adjacent particles within a distance denoted as  $\delta$ . The zones in interaction distance are called 104 'horizon' (denoted as  $\mathcal{H}_{\mathbf{X}^A}$  in this study).  $\mathbf{X}^B$  represents the adjacent particles fall into  $\mathcal{H}_{\mathbf{X}^A}$ , 105 where  $B = 1, 2, 3, ..., n_a$  and  $\boldsymbol{\xi}^{AB} = \mathbf{X}^B - \mathbf{X}^A$  is the bond vector. Note that the deformation 106 state of the material particle is assessed through deformation state function  $\mathbf{Y}\langle \cdot \rangle$ , which is a local 107 quantity. 108

The total free energy of  $\mathbf{X}^A$  is expressed as a non-local integration of neighbor bond vectors within  $\mathbf{H}_{\mathbf{X}^A}$ ,

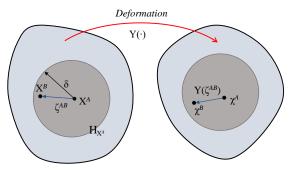


Figure 1: Schematic of NOSBPD.

$$\Phi(\mathbf{x}^{A}) = \int_{\mathcal{H}_{\mathbf{X}^{A}}} \phi(\mathbf{Y}^{A} \langle \boldsymbol{\xi}^{AB} \rangle, \mathbf{Y}^{B} \langle \boldsymbol{\xi}^{BA} \rangle) dV^{B}$$
(1)

where  $V^B$  is the volume of particle B falls into  $H_{\mathbf{X}^A}$ ;  $\phi$  is the free energy per unit reference volume.

<sup>112</sup> Based on the concept of bond, we can have  $\boldsymbol{\xi}^{AB} = -\boldsymbol{\xi}^{BA}$ .

Based on the principle of virtual work, the free energy of the material particle  $\mathbf{X}^A$  can be expressed as,

$$\int_{\mathcal{H}_{\mathbf{X}^{A}}} \phi_{\mathbf{Y}^{A}}(\mathbf{Y}^{A}\langle \boldsymbol{\xi}^{AB} \rangle, \mathbf{Y}^{B}\langle \boldsymbol{\xi}^{BA} \rangle) \delta \mathbf{Y}^{A}(\boldsymbol{\xi}^{AB}) + \phi_{\mathbf{Y}^{B}}(\mathbf{Y}^{A}\langle \boldsymbol{\xi}^{AB} \rangle, \mathbf{Y}^{B}\langle \boldsymbol{\xi}^{BA} \rangle) \delta \mathbf{Y}^{B}\langle \boldsymbol{\xi}^{BA} \rangle dV^{B} = 0$$

where  $\phi_{\mathbf{Y}^A} = \frac{\partial \phi}{\partial \mathbf{Y}^A} =: \mathbf{T}^A$  and  $\phi_{\mathbf{Y}^B} = \frac{\partial \phi}{\partial \mathbf{Y}^B} =: \mathbf{T}^B$  are Gâteaux derivative of the total free energy function for  $\mathbf{X}^A$  and  $\mathbf{X}^B$ .

Also, one can easily obtain that the virtual displacements  $\delta \mathbf{Y}^B \langle \xi^{BA} \rangle = -\delta \mathbf{Y}^A \langle \xi^{AB} \rangle$ . Then, a non-local integration could be utilized to represent the general variation form of linear momentum as follows,

$$\int_{\mathcal{H}_{\mathbf{X}^A}} \mathbf{T}^A \left[ \mathbf{Y}^A \langle \boldsymbol{\xi}^{AB} \rangle, \mathbf{Y}^B \langle \boldsymbol{\xi}^{BA} \rangle \right] - \mathbf{T}^B \left[ \mathbf{Y}^A (\boldsymbol{\xi}^{AB}), \mathbf{Y}^B \langle \boldsymbol{\xi}^{BA} \rangle \right] dV^B = 0$$
(2)

where  $\mathbf{T} = \phi_{\mathbf{Y}} \mathbf{Y}(\xi)$  is named as force state by Silling et al. (2007). On the other hand, the first law of thermodynamics requires

$$\delta \phi = \mathbf{P} : \delta \mathbf{F} \tag{3}$$

where **P** is the first Piola-Kirchhoff (PK-I) stress and **F** is non-local deformation gradient. Combining Cauchy-Born rule (Ren and Li, 2012), the deformed bond can be expressed as,

$$\mathbf{Y}(\boldsymbol{\xi}) = \mathbf{F} \cdot \boldsymbol{\xi} \tag{4}$$

The Cauchy-Born rule assumption establishes a connection between the non-local PD equation, denoted as Eq. 2, and the theory of local continuum mechanics. Evaluating **F** involves considering both the initial and changed horizons. According to previous studies regarding PD differential operator Madenci et al. (2016) and non-local differential operator Kan et al. (2021), the non-local deformation gradient can be computed by

$$\mathbf{F} = \mathbf{N} \cdot \mathbf{K}^{-1} \tag{5}$$

 $_{129}$  where the square matrix N writes as

$$\mathbf{N} = \sum_{B \in \mathcal{H}_{\mathbf{X}^A}} \omega(|\boldsymbol{\xi}|) \mathbf{Y} \langle \boldsymbol{\xi} \rangle \otimes \boldsymbol{\xi} V^B$$
(6)

 $_{130}$  and the invertible matrix K writes as

$$\mathbf{K} = \sum_{B \in \mathcal{H}_{\mathbf{X}^A}} \omega(|\boldsymbol{\xi}|) \boldsymbol{\xi} \otimes \boldsymbol{\xi} V^B$$
(7)

Assuming a sufficient number of particles exist within the horizon, the singularity of **K** and the ill-definition of **F** will not arise. The significance of Eq. 5 lies in its pivotal role in establishing the non-local deformation state at a specific material point. This equation can be used as an approximate deformation gradient in various constitutive relations to deduce stress measures, encompassing model.

Once stress is computed using these constitutive relations, for instance, stress measure  $\mathbf{P}$ , the connection between the force state  $\mathbf{T}$  and stress measure  $\mathbf{P}$  are straightforwardly deduced. Our subsequent focus will be on elucidating this connection through the lens of the principle of virtual work and we can derive the free energy density variation as,

$$\delta\Phi(\mathbf{x}^{A}) = \mathbf{P} : \delta\mathbf{F} = \mathbf{P} : \int_{H_{\mathbf{x}^{A}}} \omega(|\boldsymbol{\xi}|) \delta\mathbf{Y}(\boldsymbol{\xi}) \otimes \boldsymbol{\xi} \cdot \mathbf{K}^{-1} dV = \int_{\mathbf{H}_{\mathbf{x}^{A}}} \mathbf{T} \cdot \delta\mathbf{Y}(\boldsymbol{\xi}) dV$$
(8)

<sup>140</sup> We can write it into discrete summation with indicial notations as

$$\sum_{B \in H_{\mathbf{X}^A}} \omega(|\boldsymbol{\xi}|) P_{iJ} \delta Y_i(\boldsymbol{\xi}) \xi_K K_{KJ}^{-1} V^B = \sum_{B \in H_{\mathbf{X}^A}} T_i \delta Y_i(\boldsymbol{\xi}) V^B$$
(9)

<sup>141</sup> Then, we can have stress measure and force state,

$$\mathbf{T} = \omega(|\boldsymbol{\xi}|) \mathbf{P} \mathbf{K}^{-1} \cdot \boldsymbol{\xi}, \quad \text{or}$$
(10)

$$T_i = \omega(|\boldsymbol{\xi}|) P_{iJ} \xi_K K_{KJ}^{-1} \tag{11}$$

#### 142 2.2. Bond-associated deformation gradient

Zero-energy mode is a known problem in NOSBPD, which results from inaccurate approxi-143 mation of the deformation gradient at bond level (Breitzman and Dayal, 2018; Chen, 2018). A 144 common solution is to add penalty terms when computing the peridynamics force state, see work 145 done by Breitenfeld et al. (2014); Bobaru et al. (2016); Li et al. (2018); Tupek and Radovitzky 146 (2014); Yaghoobi and Chorzepa (2017). However, the choice of penalty terms and their magni-147 tudes usually depends on researchers' experience and trial-and-error process. Chen and Hu (2023) 148 proposed a novel method to compute the bond-associated deformation gradient. The core idea of 149 the method is to apply a biased weight function instead of traditional step kernel, Gaussian kernel 150 or polynomial kernel in Eq. 6 and Eq. 7. The biased weight function for bond  $\boldsymbol{\xi}$  is defined as 151

$$\omega_{\xi}(\boldsymbol{\xi}, \boldsymbol{\xi'}) = \exp\left(-m_1 \frac{||\boldsymbol{\xi'}| - |\boldsymbol{\xi}||}{\xi}\right) \left(\frac{1}{2} + \frac{1}{2}\cos(\widehat{\boldsymbol{\xi}\boldsymbol{\xi'}})\right)^{m_2}$$
(12)

where  $\boldsymbol{\xi'}$  is a bond between the center particle and its arbitrary neighboring particle; the symbol indicates the angle between two bonds; the symbol  $|\cdot|$  refers to the Euclidean norm; and  $m_1, m_2$ are two controlling parameters, which are adopted as  $m_1 = 3, m_2 = 3$  respectively. Consequently, Eq. (5), Eq. (6) and Eq. (7) should be rephrased as

$$\mathbf{F}_{\boldsymbol{\xi}} = \mathbf{N}_{\boldsymbol{\xi}} \cdot \mathbf{K}_{\boldsymbol{\xi}}^{-1} \tag{13}$$

$$\mathbf{N}_{\boldsymbol{\xi}} = \sum_{B \in \mathcal{H}_{\mathbf{x}^A}} \omega_{\boldsymbol{\xi}}(\boldsymbol{\xi}, \boldsymbol{\xi'}) \mathbf{Y} \langle \boldsymbol{\xi} \rangle \otimes \boldsymbol{\xi} V^B$$
(14)

$$\mathbf{K}_{\boldsymbol{\xi}} = \sum_{B \in \mathcal{H}_{\mathbf{X}^A}} \omega_{\boldsymbol{\xi}}(\boldsymbol{\xi}, \boldsymbol{\xi'}) \boldsymbol{\xi} \otimes \boldsymbol{\xi} V^B$$
(15)

It has been demonstrated that this method can measure the deformation gradient at bond level
 accurately. Therefore, the zero-energy problem in NOSBPD does not exist anymore.

#### 158 2.3. Failure criteria

This paper uses two criteria to evaluate failure: bond failure and particle failure. Bond failure occurs when the length of the deformed bond surpasses a critical threshold. The deformation of bond is measured by a scalar quantity that is defined as

$$s := \frac{|\mathbf{Y}(\boldsymbol{\xi})| - |\boldsymbol{\xi}|}{|\boldsymbol{\xi}|} \tag{16}$$

The certain threshold, which is usually referred to as 'critical bond stretch' is given by Bobaru et al. (2016) as:

$$s_{0} := \begin{cases} \sqrt{\frac{5G_{c}}{9\kappa\delta}}, & 3\mathrm{D}\,\mathrm{case} \\ \sqrt{\frac{\pi G_{c}}{3\kappa'\delta}}, & 2\mathrm{D}\,\mathrm{case} \\ \sqrt{\frac{3G_{c}}{E\delta}}, & 1\mathrm{D}\,\mathrm{case} \end{cases}$$
(17)

where  $G_c$  is the energy release rate of materials;  $\delta$  is the radius of the peridynamics horizon;  $\kappa := E/(3(1-2\nu))$  refers to the bulk modulus for three dimensional problem;  $\kappa' = E/(2(1-\nu))$  is the bulk modulus for plane stress problem while  $\kappa' = E/(2(1-\nu-2\nu^2))$  for plane strain problem; and E is the Young's modulus of the material. Once  $s \ge s_0$  is satisfied, the bond will be broken and the interactive forces will no longer be calculated.

To improve the numerical stability, the particle failure criterion is used along with bond failure 169 criterion. Before computing the nonlocal deformation gradient, the  $K_{\xi}$  matrix given in Eq.15 170 should be verified for its invertibility. The  $\mathbf{K}_{\boldsymbol{\xi}}$  matrix is derived from the unbroken bonds within 171 the horizon of each particle. If the matrix is singular, it implies that the particles have very few 172 intact bonds left and this particle should be considered as a failed particle with zero force state. If 173  $\mathbf{K}_{\boldsymbol{\xi}}$  is invertible, then the determinant of  $\mathbf{F}_{\boldsymbol{\xi}}$  shall be checked. It is known that  $\det(\mathbf{F}_{\boldsymbol{\xi}})$  corresponds 174 to the change of volume of the particle, and a negative value indicates the particle has a negative 175 volume, which is physically impossible. In this sense, if  $det(\mathbf{F}_{\boldsymbol{\xi}}) < 0$ , then the particle should also 176 be marked as failed and excluded from force computation process. 17

## 178 2.4. Drucker-Prager plastic model

The NOSBPD has the merit of integrating the constitutive models to assess the effective stresses. It is well-known that soil material is highly intricate and complex so the response of soil behavior under seismic loading exhibits highly nonlinear characteristics. Nowadays, Drucker-Prager (DP) plastic model has been widely utilized in simulating the nonlinear characteristics of soils in geotechnical fields (Fan et al., 2016, 2021; Lai et al., 2015). Therefore, we incorporated DP plastic model into NOSBPD in order to establish the yield surface of the soil and the general DP yield function can be written as,

$$\begin{cases} f = \|\mathbf{s}\| - (A^{\varphi}c' - B^{\varphi}p') \le 0\\ A^{\varphi} = \frac{2\sqrt{6}\mathrm{cos}\varphi'}{3+\beta\mathrm{sin}\varphi'}\\ B^{\varphi} = \frac{2\sqrt{6}\mathrm{sin}\varphi'}{3+\beta\mathrm{cos}\varphi'}, -1 \le \beta \le 1 \end{cases}$$
(18)

where **s** denotes deviatoric stress tensor; p' is mean hydrostatic pressure; c' denotes effective cohesion;  $\varphi'$  is effective friction angle. Specifically, the DP model is close to triaxial extension (TE) corner of Mohr-Coulomb (MC) yield surface if  $\beta = 1$ ; and that close to triaxial compression (TC) corner if  $\beta = -1$ . Then, the non-associative plastic potential function is expressed as,

$$\begin{cases} g = \|\mathbf{s}\| - (A^{\phi}c' - B^{\phi}p') \\ A^{\phi} = \frac{2\sqrt{6}\cos\phi'}{3+\beta\sin\phi'} \\ B^{\phi} = \frac{2\sqrt{6}\sin\phi'}{3+\beta\cos\phi'}, -1 \le \beta \le 1 \end{cases}$$
(19)

<sup>190</sup> where  $\phi'$  is effective dilation angle.

The Helmholtz free energy function  $\rho_s \Phi$  per unit deformed soil skeleton volume consists of the elastic component and plastic component as,

$$\rho_s \Phi(\boldsymbol{\epsilon}^e, \boldsymbol{\zeta}) = \frac{1}{2} \boldsymbol{\epsilon}^e : \mathbf{D}^e : \boldsymbol{\epsilon}^e + \frac{1}{2} \boldsymbol{\zeta} \cdot \mathbf{H} \cdot \boldsymbol{\zeta}$$
(20)

<sup>193</sup> where  $\epsilon^e$  denotes elastic strain tensor;  $\mathbf{D}^e$  is elastic modulus tensor;  $\mathbf{H}$  denotes hardening or <sup>194</sup> softening modulus matrix and  $\boldsymbol{\zeta}$  is a parameter related to internal state variables.

<sup>195</sup> Based on Eq. 20, we can have the expressions of stress and internal state variable as,

$$\dot{\boldsymbol{\sigma}}' = \frac{\partial(\rho_s \Phi)}{\partial \dot{\boldsymbol{\zeta}}} = \mathbf{D}^e : \dot{\boldsymbol{\epsilon}}^e = \mathbf{D}^e : (\dot{\boldsymbol{\epsilon}} - \dot{\boldsymbol{\epsilon}}^p)$$
(21)

$$\dot{\mathbf{q}}^{\zeta} = \frac{\partial \rho_s \Phi}{\partial \dot{\boldsymbol{\zeta}}} = \mathbf{H} \cdot \dot{\boldsymbol{\zeta}}$$
(22)

where  $\dot{\mathbf{q}}^{\zeta} = \{c', \varphi', \phi'\}^T$ , is a stress-like internal state variable. Besides, the hardening or softening modulus matrix can be calculated as,

$$\mathbf{H} = \begin{bmatrix} H^{c} & 0 & 0 \\ 0 & H^{\varphi} & 0 \\ 0 & 0 & H^{\Phi} \end{bmatrix}$$
(23)

<sup>198</sup> where  $H^c, H^{\varphi}, H^{\Phi}$  are hardening or softening moduli. Based on the non-associative plastic poten-<sup>199</sup> tial function, we can have

$$\dot{\boldsymbol{\epsilon}}^{p} = \dot{\gamma} \frac{\partial g}{\partial \boldsymbol{\sigma}'} = \dot{\gamma} \left( \frac{\partial \|\boldsymbol{s}\|}{\partial \boldsymbol{\sigma}'} + B^{\Phi} \frac{\partial p'}{\partial \boldsymbol{\sigma}'} \right) = \dot{\gamma} \left( \hat{\mathbf{n}} + \frac{1}{3} B^{\Phi} \Pi \right)$$
(24)

where  $\hat{\mathbf{n}}$  denotes the normal vector for  $\mathbf{s}$ . Here,  $\mathbf{s}$  is the deviatoric stress and we can have that  $\hat{\mathbf{n}} = \frac{\mathbf{s}}{\|\mathbf{s}\|}$ .  $\Pi$  denotes the second-order identity tensor. Also, the evolution of the stress-like internal state variable  $\dot{\mathbf{q}}$  is written as,

$$\dot{\mathbf{q}}^{\zeta} = \mathbf{H} \cdot \dot{\boldsymbol{\zeta}} = \dot{\gamma} \mathbf{H} \cdot \mathbf{h}(\boldsymbol{\sigma}, \mathbf{q}^{\zeta}).$$
(25)

From the principle of maximum plastic dissipation, the hardening function  $\mathbf{h}$  can be derived as,

$$\mathbf{h} = -\frac{\partial f}{\partial \mathbf{q}^{\zeta}} \tag{26}$$

205 due to

$$\frac{\partial f}{\partial \phi'} = 0, \tag{27}$$

and we also have

$$h^{\phi} = -\frac{\partial g}{\partial \phi'},\tag{28}$$

Based on Eqs. 26 - 28, we can rewrite the hardening function  $\mathbf{h}$  as,

$$\mathbf{h} = \begin{pmatrix} A^{\varphi} \\ \frac{\partial A^{\varphi}}{\partial \varphi'} c' - \frac{\partial B^{\varphi}}{\partial \varphi'} p' \\ \frac{\partial A^{\Phi}}{\partial \Phi'} c' - \frac{\partial B^{\Phi}}{\partial \Phi'} p' \end{pmatrix}$$
(29)

The plastic multiplier  $\dot{\gamma}$  is calculated by

$$\dot{\gamma} = \frac{1}{\chi} \frac{\partial f}{\partial \boldsymbol{\sigma}'} : \mathbf{D}^e : \dot{\boldsymbol{\epsilon}}, \tag{30}$$

209 and

$$\chi = \frac{\partial f}{\partial \boldsymbol{\sigma}'} : \mathbf{D}^e : \frac{\partial g}{\partial \boldsymbol{\sigma}'} - \frac{\partial f}{\partial \mathbf{q}^{\zeta}} : \mathbf{H} \cdot \mathbf{h}.$$
(31)

Eqs. 21, 22, 30 and 31 are the equations to update and calculate the increments of nonlinear elastoplastic DP constitutive relationship. To solve the system of equations, one way is to utilize Newton–Raphson method. More details on Newton–Raphson method can be found in references (Ypma, 1995; Akram and Ann, 2015).

$$\boldsymbol{\sigma}_{n+1}^{',tr} = \boldsymbol{\sigma}_{n}^{'} + \mathbf{D}^{e} : \Delta \boldsymbol{\epsilon}$$
(32)

$$f_{n+1}^{tr} = \left\| \mathbf{s}^{tr} \right\| - (A^{\varphi n} c'_n - B^{\varphi n} (p')_{n+1}^{tr}$$
(33)

where  $f_{n+1}^{tr}$  is an index to determine if the material has entered the yielding stage; when  $f_{n+1}^{tr} < 0$ , the material is still in the elastic phase. Then we can have  $\boldsymbol{\sigma}'_{n+1} = \boldsymbol{\sigma}'_{n+1}$ ;  $\mathbf{q}_{n+1}^{\zeta} = \mathbf{q}_{n}^{\zeta}$ . When  $f_{n+1}^{tr} \ge 0$ , the material enters the plastic phase and we can obtain the expressions of  $\Delta \gamma$ ,  $\boldsymbol{\sigma}'_{n+1}$ and  $\mathbf{q}_{n+1}^{\zeta}$  as,

$$\Delta \gamma = \frac{f_{n+1}^{tr}}{2\mu + KB^{\varphi}B^{\Phi} + H^c \left(A^{\varphi}\right)^2} \tag{34}$$

$$\boldsymbol{\sigma}_{n+1}' = \boldsymbol{\sigma}_{n+1}'^{,tr} - \Delta \gamma (KB^{\Phi}\Pi + 2\mu \hat{\mathbf{n}}_{n+1})$$
(35)

$$\mathbf{q}_{n+1}^{\zeta} = \mathbf{q}_{n}^{\zeta} + \Delta \gamma \mathbf{H} \cdot \mathbf{h}(\boldsymbol{\sigma}, \boldsymbol{q}^{\zeta})$$
(36)

Combining with Hughes-Winget algorithm, we can obtain a nonlinear formula that could break free from the constraints of small deformation assumptions, which is the foundation of large-deformation analysis. More details and applications of Hughes-Winget algorithm can be found in references (Liu et al., 2022b; Staubach et al., 2023).

$$\mathbf{x}_{n+\alpha} = (1-\alpha)\mathbf{x}_n + \alpha \Delta \mathbf{u} \tag{37}$$

Equation 5 represents the PD expression for the first derivative of x in reference configuration **X**. Also, the deformation gradient in the current configuration  $x_{n+\alpha}$  should be written into,

$$\mathbf{F}_{n+\alpha} = \frac{\partial \mathbf{x}_{n+\alpha}}{\partial \mathbf{X}} = \left(\sum_{B \in H_{\mathbf{X}^A}} \omega(|\boldsymbol{\xi}|) (\mathbf{x}_{n+\alpha}^B - \mathbf{x}_{n+\alpha}^A) \otimes \boldsymbol{\xi} \cdot \mathbf{K}^{-1}\right)$$
(38)

Also, the gradient  $\Delta \mathbf{u}$  in  $\mathbf{X}$  is expressed as,

$$\mathbf{C} = \frac{\partial (\Delta \mathbf{u})}{\partial \mathbf{X}} = \left(\sum_{B \in H_{\mathbf{X}^A}} \omega(|\boldsymbol{\xi}|) (\Delta \mathbf{u}^B - \Delta \mathbf{u}^A) \otimes \boldsymbol{\xi}\right) \cdot \mathbf{K}^{-1}$$
(39)

Then, following the chain rule,  $\Delta u$  in  $x_{n+\alpha}$  is written as,

$$\mathbf{G} = \frac{\partial(\Delta \mathbf{u})}{\partial \mathbf{x}_{n+\alpha}} = \mathbf{C} \cdot \mathbf{F}_{n+\alpha}^{-1}$$
(40)

where G is deformation gradient increment which is consisted with strain  $\gamma$  and rotation  $\omega$ increments,

$$\gamma = \frac{1}{2} (\mathbf{G} + \mathbf{G}^T) \tag{41}$$

$$\boldsymbol{\omega} = \frac{1}{2} (\mathbf{G} - \mathbf{G}^T) \tag{42}$$

<sup>228</sup> The objective effective stress increment is expressed by,

$$\Delta \boldsymbol{\sigma}' = \mathbf{D}^e : \boldsymbol{\gamma} \tag{43}$$

Then, we can derive the constitutive update Equation 32 into,

$$\boldsymbol{\sigma}_{n+1}' = \hat{\boldsymbol{\sigma}}_n' + \Delta \boldsymbol{\sigma}' \tag{44}$$

$$\hat{\boldsymbol{\sigma}}_n' = \mathbf{R}^T \cdot \boldsymbol{\sigma}_n' \cdot \mathbf{R} \tag{45}$$

$$\mathbf{R} = \Pi + (\Pi - \alpha \boldsymbol{\omega})^{-1} \cdot \boldsymbol{\omega} \tag{46}$$

# 230 2.5. Validation of NOSBPD algorithm

To validate the NOSBPD algorithm, two typical cases are selected to perform. The first validation case is to simulate the failure process of a soil slope under gravity and with homogeneous soil. The slope has a horizontal base length of 45 meters, a height of 15 meters, and a crest length of 12 meters. The left and right boundaries are characterized by free-roller boundary conditions, whereas the bottom exhibits complete fixity. The soil mechanical parameters are listed in Table 1. For more details regarding the modelling information about the slope, readers are referred to Bui et al. (2011).

Figure 2 depicts the contour of slip surface for validation case by NOSBPD (white band). 238 compared with the results obtained by SPH method (red dashed line) (Bui et al., 2011) and also 239 limit equilibrium critical slip surface (solid line). It can be found that the critical slip surface 240 obtained by NOSBP is close enough to the one determined by limit equilibrium analysis, which 241 demonstrates the effectiveness and reliability of NOSBPD. Furthermore, NOSBPD results fall 242 within a similar range to those obtained through SPH calculations, further confirming the efficacy 243 of the NOSBPD algorithm in simulating slip surfaces. It should be noted that the NOSBPD 244 can provide a narrower, more distinct prediction of the slip surface when compared with the 245 results obtained from finite element analysis. In conclusion, the first case study demonstrates the 246 capability of NOSBPD in capturing the critical sliding surface of a soil slope under gravitational 247 loading. 248

Mechanical parameter	Symbol	Unit	Value
Young's modulus	E	MPa	100
Density	ho	$g/cm^3$	2
Poisson's ratio	$\mu$	_	0.3
Friction angle	arphi	$(^{\circ})$	20
Dilatancy angle	$\psi$	$(^{\circ})$	9
Cohesion	С	kPa	10
Slope height	H	m	10
Slope angle	$\alpha$	$(^{\circ})$	26.6

Table 1: Soil mechanical parameters of validation case.

To further validate our NOSBPD algorithm, the second validation case is a large-deformation collapse process of a sand column after releasing the right boundary. As depicted in Figure 3, the sand column has dimensions of 50 mm  $\times$  50 mm. Validation simulation is based on the physical experiment data by Shi et al. (2018), while they also conducted numerical simulations by MPM method. In the current parameter selection, we have maintained consistency with their parameters, with cohesion set to 0 kPa and the friction angle set at 35°. The density of soil is 1,450 kg/m<sup>3</sup>, Poisson's ratio is 0.31, and Young's modulus is 2.6 MPa. The simulation

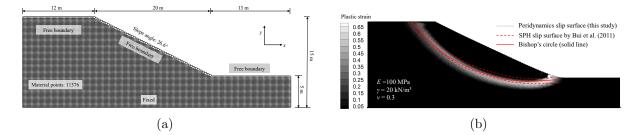


Figure 2: Validation case. (a) Schematic of geometry and boundary conditions. (b) Contour of slip surface by NOSBPD (white band), compared with the results obtained by SPH method (red dashed line) and also limit equilibrium critical slip surface (solid line).

<sup>256</sup> boundaries are set the same as in Shi et al.'s study. In PD, dealing with contact is a complex task <sup>257</sup> Mohajerani and Wang (2022). However, by using the approach outlined by Huang et al. (2020), <sup>258</sup> we can simplify the interaction between particles and ground by treating it as particle-to-rigid <sup>259</sup> body contact type. This means the displacements and velocities of particles in z direction will <sup>260</sup> be adjusted if their z-coordinates are negative. The self-contact problem between particles is <sup>261</sup> neglected in this study though, as it is not considered a significant factor in slope run-out problem <sup>262</sup> and this way computational efficiency can be improved greatly.

The deformation profile of sand column after releasing the right boundary at t = 40 ms, t = 80263 and t = 320 ms is depicted in Figure 4, including the results from NOSPBD (this study), physical 264 experiment (dashed line), and MPM (Shi et al., 2018). After releasing the right boundary, the 265 deformation profile of sand column at t = 40 ms, t = 80 ms and t = 320 ms is depicted in Figure 266 4, including the results from NOSPBD (this study), physical experiment (dashed line), and MPM 267 (Shi et al., 2018). It can be observed that the current NOSPBD algorithm successfully simulates 268 the collapse process of the sand column, and the deformation profile obtained by NOSBPD closely 269 resembles that of the physical experiment, outperforming the MPM simulation results. This 270 indicates that the current NOSBPD algorithm exhibits reliable performance. 27

# 272 2.6. NOSBPD modeling of landslides

In this study, we aim at demonstrating the capability of the proposed computational method in simulating landslide problems. The geometry as well as boundary conditions of the model is depicted in Figure 5. The constructed slope model has dimensions of 60 meters in length, 10 meters in height, and a slope angle of 26.6 degrees with a total of 2047 material points. The side boundaries are characterized by normal restrictions, while the bottom boundary is fully fixed. The model adopts free boundaries for the other conditions. The entire slope model is subjected

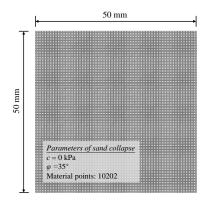


Figure 3: Geometry and modeling details of validation case.

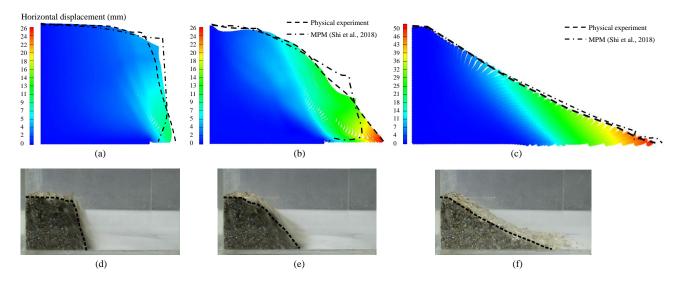


Figure 4: Deformation contour for validation case by NOSBPD (solid line), compared with the results obtained by MPM method (dot-dash line) and also physical experiment (dashed line) at (a) t = 40 ms; (b) t = 80 ms; (c) t = 320 ms and physical experiment results by Shi et al. (2018) at (d) t = 40 ms; (e) t = 80 ms; (f) t = 320 ms.

to earthquake loading, which is exerted as body forces in the direction of x-axis. The boundary 279 settings are consistent with the landslide large-deformation simulation studies conducted by Liu 280 et al. (2022c) and Ren et al. (2023). More details about the mechanical parameters of soils are 281 listed in Table 2. In this deterministic analysis and the following random field analysis, all the 282 mechanical properties of soil except the soil cohesive strength are set uniformly all across the 283 domain. In dynamic analysis, the determination of the minimum time step is crucial for the entire 284 computation process. Smaller time steps enhance computational precision and contribute to the 285 convergence of the model's calculations. In this study, the minimum time step is determined 286 following the Courant–Friedrichs–Levy condition (Bui et al., 2008), set at  $1.2 \times 10^{-3}$  seconds, 28 and the output data is extracted every 500 steps. In the current research, our model operates 288 in a two-dimensional (2D) context. This is the first step that facilitates a 2D analysis as a basic 289

solution, solving the key utilization of the novel method. It is imperative to highlight that our
future research endeavors will encompass the extension of this methodology to 3D analysis.

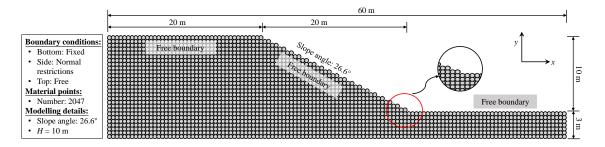


Figure 5: Geometry and boundary conditions of analyzed soil slope model.

Mechanical parameter	Symbol	Unit	Value
a. Deterministic analysis			
Density	ρ	$g/cm^3$	2.5
Young's modulus	E	MPa	30
Poisson's ratio	$\mu$	-	0.25
Dilation angle	$\psi$	0	0
Friction angle	arphi	$(^{\circ})$	15
Cohesion	c	kPa	5
Slope height	H	m	10
Slope angle	$\alpha$	0	26.6
Input peak ground acceleration	PGA	g	0.2g; 0.3g; 0.4g; 0.5g
b. Statistical properties of lognorma	l random field for	c of heterogeneo	us soils
Mean average of cohesion	$c_{\mathrm{a}}$	kPa	5
Coefficient of variation	$\mathrm{CoV}_{\mathrm{RF}}$	-	0.2; 0.5
Horizontal correlation length	$\Theta_x$	m	20
Vertical correlation length	$\Theta_y$	m	4

Table 2: Soil mechanical parameters and modeling information.

#### 292 2.7. Input ground motions

In order to ensure that the input ground motions exhibit a pulse-like velocity profile and adhere to the specified seismic criteria, the artificial ground motion simulation process diligently regulates both time-domain and frequency-domain characteristics for pulse-like ground motions (PLGMs). This regulation is achieved through the application of amplitude modulation functions and the alignment with target spectra (Chen et al., 2023). Consequently, it is plausible that the associated uncertainty in these simulations is underestimated when compared to natural seismic records.

To further investigate the influence of near-fault PLGMs on landslides, PLGM records and 299 NPGM records from the PEER NGA-West2 database based on earthquake magnitude and prox-300 imity criteria were selected. The specific selection criteria for recorded PLGMs are as follows: the 301 moment magnitude greater than 6 Mw, a rupture distance less than 20 km, and a peak ground 302 velocity (PGV) exceeding 80 cm/s. We have identified a total of thirty PLGMs meeting these 303 stringent criteria. In alignment, we have selected thirty NPGMs with comparable magnitudes and 304 rupture distances. Horizontal seismic excitations have been considered for these selections. The 305 detailed list of the chosen ground motions is presented in Table 3, with comprehensive insights 306 into the ground motion selection process available in the work by Mo et al. (2022). 30

No.	RSN(P)	RSN (NP)	No.	RSN(P)	RSN (NP)	No.	RSN(P)	RSN (NP)
1	171	160	11	1084	949	21	4847	5262
2	180	162	12	1085	1048	22	6906	5656
3	181	165	13	1120	1513	23	6911	8063
4	182	284	14	1244	1521	24	6927	8118
5	723	727	15	1492	1535	25	6962	8157
6	828	728	16	1503	1549	26	1119	1495
7	879	741	17	1510	1787	27	1505	1611
8	1044	753	18	2114	4457	28	1529	4013
9	1045	765	19	3548	4865	29	8119	8165
10	1063	848	20	4040	4886	30	8123	8166

Table 3: PLGMs and NPGMs from PEER NGA-West2

Note: RSN denotes record sequence number; RSN (P) and RSN (NP) represent the RSN code of PLGMs and NPGMs from PEER NGA-West2 flatfile, respectively.

#### 308 2.8. Simulation of heterogeneous soils

The characteristic strength of soil can be described by cohesion parameter c. Briefly, the 309 spatial variability of soil has been verified through field investigations and laboratory tests (Wang 310 et al., 2021c). The log-normal distributed random field of cohesion is commonly adopted to depict 311 the spatial variability of soil as many scholars did (see Ouyang et al., 2021; Wang et al., 2021b). 312 Hence, the log-normal distributed cohesion random field is generated by modified linear estimation 313 method (MLE) (Liu et al., 2014) in the current study. To begin with, a spatially continuous and 314 stationary Gaussian random field is generated following the steps provided by the MLE method. 315 That is, we generate a stationary G(x, y) with squared exponential autocorrelation function  $\rho(x, y)$ 316

317 as,

$$\rho(x,y) = \exp\left\{-\pi \left(\frac{\Delta x}{\Theta_x}\right)^2 - \pi \left(\frac{\Delta y}{\Theta_y}\right)^2\right\}$$
(47)

where  $\Theta_x$  and  $\Theta_y$  are the scales of fluctuation along x-, y-directions;  $\Delta x$  and  $\Delta y$  represent the 318 difference in absolute distance between two points along x- and y-directions. Then, exponential 319 transformation is conducted to transfer the generated Gaussian random field into log-normal ran-320 dom field. Due to the computation approach adopted in this study, we simplify our consideration 321 by neglecting the anisotropy of every single material point and directly assigning the generated 322 random field values to every material point. The specific parameters of the generated cohesive 323 strength random field are presented in Table 2. In this context, our study considers a mean value 32 of 5 kPa for cohesion ( $c_{\text{mean}}$ , with two distinct cases for coefficient of variation (CoV), denoted as 325 0.2 and 0.5. Conversely, in the case of homogeneous soil, a fixed value of 5 kPa for cohesion is 326 employed for deterministic analysis. 327

#### 328 3. Results and discussions

#### 329 3.1. Entire process of landslides with homogeneous soils

Figure 6 illustrates the entire process from the initiation to the failure of horizontal run-out 330 distance of landslide in homogeneous soils subjected to a typical ground motion NPGM RSN 331 162 with PGA = 0.3g. The velocity (v), acceleration (a), 5% damped spectral acceleration  $(S_a)$ 332 and Fourier spectrum  $(E_f)$  of typical NPGM RSN 162 are illustrated in Figure 7. Note that the 333 simulations were carried out with a two-step process. The geostress equilibrium was established 334 first, followed by the application of seismic loads. In the presented results, it can be observed that 335 the run-out will happen at the foot of the slope accompanied with subsidence at the top of the 336 slope simultaneously when subjected to seismic loading. With the earthquake going on, the extent 337 of both subsidence at the slope's top and the displacement of soil particles at its foot progressively 338 intensify. 339

Figure 6 (a) shows the change of horizontal displacement during the entire process of landslide. When t = 6s, under the influence of a significant seismic load, the initiation of the sliding surface on the slope has begun. By t = 10s, still subjected to a substantial seismic load, the sliding surface on the slope has further expanded, and the horizontal run-out distance continues to increase. As we reach t = 20s, the seismic load has reduced to extremely low amplitudes, essentially coming to a halt. At this point, the horizontal run-out distance is now twice that of the sixth second, and the slope continues to slide under the effect of inertia forces. Nevertheless, the rate of horizontal run-out distance increase decelerates as a result of the soil's shear resistance. At t = 38s, the seismic load has ceased entirely, and the calculations have finally converged. It is important to acknowledge that the run-out distances of this research may differ from those of other researchers, such as Feng et al. (2021). These discrepancies can be attributed to the variations of of soil properties and ground motion types.

In addition, NOSBPD offers a significant advantage in the present study due to its capacity 352 to effectively address substantial deformations and the post-failure behavior of soil. As depicted 353 in Figure 6 (b), the final slope configuration following collapse is illustrated. Notably, NOSBPD 354 excels in simulating the extensively discontinuous failures along potential slip surfaces within 355 the soil, a task that proves challenging for FEM. In the simulation by NOSBPD, the observed 356 failure pattern in the slope corresponds to a 'toe failure' pattern. In contrast to the extensive 357 sliding surface obtained by FEM, the sliding surface is clearly visible by NOSBPD, which yields 358 a narrower and more localized shear band. Another intriguing observation lies in the realm of 359 NOSBPD, where we can discern that particles, subjected to seismic loading, accumulate at the 360 base of the slope due to being expelled under pressure, resulting in a 'pile-up' effect. 361

Moreover, in this study, the influence of the magnitude of PGA values on the horizontal 362 run-out distance of the slope under a single input ground motion is also investigated. Figure 8 363 illustrated the final termination horizontal run-out displacement and plastic strain contours under 364 various values of input ground motion PGA including 0.2q, 0.3q, 0.4q and 0.5q. By comparing the 365 horizontal run-out distances at different PGA values, it can be observed that prior to reaching 366 0.4g in loading, the run-out distance exhibits a roughly linear increase, while after reaching 0.4g, 367 the rate of increase decreases slightly. The decrease in the rate of increase is likely due to the 368 pronounced nonlinearity of the soil. Under seismic loading with higher PGA values, it is possible 369 that the pile-up effect at the base of the slope could lead to a more substantial increase in the 370 horizontal run-out distance, creating an impediment. 371

Note that all computations were performed on a computer equipped with an Intel(R) Core(TM) i7-9700 CPU running at 3.00 GHz. The computational process for a single run required approximately 15 minutes. Computers boasting higher performance specifications are anticipated to yield even shorter computation time. Despite our relatively modest computer setup, it is worth emphasizing that our computation time is still considerably shorter in comparison to the use of <sup>377</sup> Eulerian-Lagrangian finite element methods (on a high-end computer configuration), as cited in

<sup>378</sup> Chen et al.'s report (Chen et al., 2021). Also, to enhance computational speed and efficiency, the

<sup>379</sup> inclusion of CPU parallelization may be considered in the upcoming phases of this work.

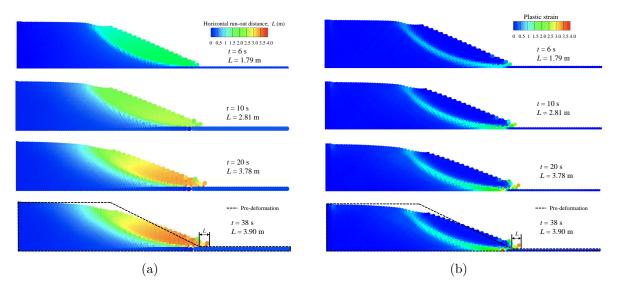


Figure 6: Horizontal run-out distance and plastic strain contours of landslide from initiation to termination within homogeneous soil under NPGM RSN 162 with PGA = 0.3g. (a) Horizontal run-out displacement contours; (b) plastic strain contours.

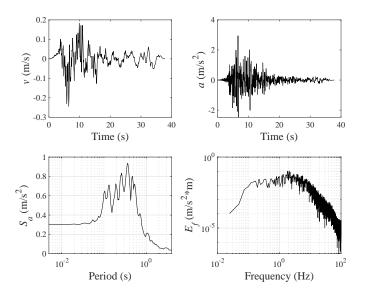


Figure 7: Velocity (v), acceleration (a), 5% damped spectral acceleration  $(S_a)$  and Fourier spectrum  $(E_f)$  of typical NPGM RSN 162.

# 380 3.2. Effects of ground motions

The ground motions play a pivotal role in the entire landslide process. However, previous studies on large deformations have generally overlooked the investigation of ground motion types

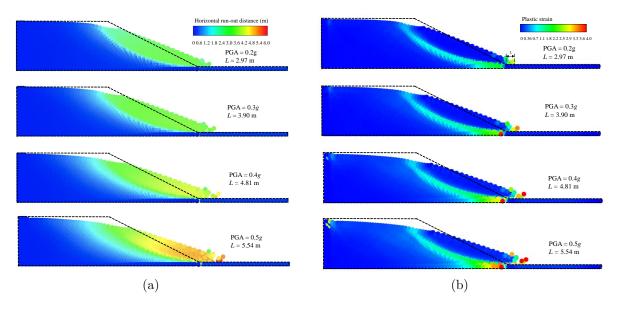


Figure 8: Final termination horizontal run-out displacement and plastic strain contours with various values of input ground motion PGA. (a) Horizontal run-out displacement contours; (b) plastic strain contours.

(e.g., Liu et al., 2022c). In fact, in the majority of existing research, ground motions are solely 383 represented by PGA. Therefore, based on the NOSBPD algorithm, we aim to compare the destruc-384 tive effects of two types of input ground motions, namely, NPGMs and PLGMs, on landslides. As 385 described in Section 2.7, we utilize recorded ground motions to avoid underestimating the associ-386 ated uncertainties resulting from the use of artificial ground motions (the artificial ground motion 387 simulations rigorously adjust the time-domain and frequency-domain characteristics of PLGMs, 388 which may lead to a certain degree of neglect of relevant uncertainties during the amplitude mod-380 ulation process). The statistical results for the calculation of the final horizontal run-out distance 390 in homogeneous soil for both types of input ground motions, with 30 instances each, are depicted 39 in Figure 9 (a). It is evident from the boxplot that under PLGM loading, both the overall and 392 mean values of the final horizontal run-out distance are greater than the results obtained with 393 NPGM, approximately 1.2 times higher. That is, compared to NPGM, PLGM is found to induce 394 more severe landslides. Figure 9 (b) illustrates the scatter of horizontal run-out distance values 395 induced by two types of input ground motions. It is evident from the scatter that both NPGM-396 induced and PLGM-induced results exhibit a similar range in the magnitudes of their maximum 397 and minimum horizontal sliding distances. NPGM-induced results fluctuate within a relatively 398 smaller overall numerical range, while PLGM-induced results display higher numerical values over-390 all. The maximum values are attributed to PLGM-induced events, whereas the minimum values 400 are linked to NPGM-induced events. Moreover, in terms of data dispersion, NPGM-induced data 401

exhibits a greater degree of variability when compared to the data associated with PLGMs. This
suggests that PLGM-induced landslides not only have larger run-out distances but also a more
concentrated distribution, implying a higher probability of PLGM-induced landslide occurrences.

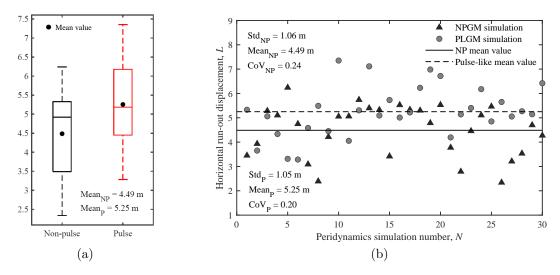


Figure 9: Boxplot and scatter of horizontal run-out displacement (Unit: m) in homogeneous soils subjected to NPGMs and PLGMs.

#### 405 3.3. Effects of soil heterogeneity

To control the variables involved, typical input ground motion RSN 162, as shown in Figure 7, were utilized as seismic loads in this section. A total of 100 random fields were generated through the MLE method for Monte Carlo simulations. Furthermore, in order to investigate the degree of soil heterogeneity, this section primarily focuses on two scenarios of random field parameters with  $CoV_{RF}$  values set at 0.2 and 0.5, allowing for a comparative analysis.

Figure 10 displays a representative sample generated using the random field parameters from 411 Table 2, with a  $CoV_{RF}$  of 0.2. The diagram illustrates the interconnection of three clouds with 412 relatively low cohesion (Figure 10 (a)). Following the application of seismic loading, this specific 413 area exhibits diminished shear resistance, ultimately resulting in the formation of a sliding plane 414 (see Figure 10 (b)). This is also the reason why the final horizontal run-out distance obtained from 415 this random sample is greater than the horizontal run-out distance of uniform soil. The latter 416 overlooks the contribution of the weaker soil layers resulting from soil heterogeneity in facilitating 417 the formation of the slope sliding surface. 418

Figure 11 provides a more detailed entire process simulation of the landslide occurring in this random sample. The entire process of a slope landslide with heterogeneous soils, analogous

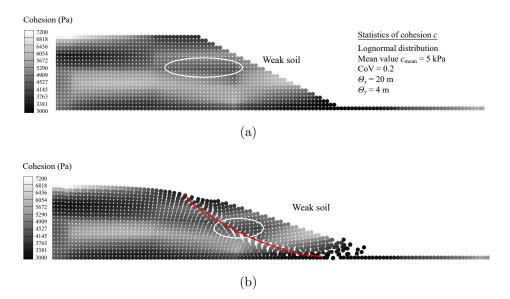


Figure 10: Typical realization of cohesion random field. (a) Initial state; (b) final state.

to deterministic analysis of landslide processes, follows a similar pattern. Initially, there is a 421 formation of depression at the slope, followed by an overflow of soil particles at the toe of the 422 slope. Under the sustained loading of seismic loads, the slope progressively diminishes its shear 423 strength, culminating in a landslide event. What sets it apart from deterministic analysis is that, 424 in terms of run-out distance, the homogeneous slope exhibits a run-out distance of 1.79 meters 425 at the 6-th second (see Figure 6), as opposed to the 2.46 meters observed in the inhomogeneous 426 soil slope, representing a 1.4-fold increase. This is under conditions with a  $CoV_{RF}$  of 0.2. By 427 the 10th second, the heterogeneous slope has already slid approximately 3.6 meters, approaching 428 the run-out distance observed in the homogeneous slope after 20 seconds of loading. That is, the 429 heterogeneity of soil distributed within the typical random sample accelerates the slope landslide 430 process. 431

Figure 12 presents statistical data results for the horizontal run-out distance from Monte Carlo 432 simulations. To illustrate the convergence of the Monte Carlo simulation results as the number 433 of simulations increases, the convergence processes for two cases are depicted in Figure 13. It 434 is evident that for a random field parameter with a  $CoV_{RF}$  of 0.2, the average run-out distance 435 when considering soil heterogeneity is 4.00 m, whereas the run-out distance for homogeneous soil 436 is 3.90 m. For a random field parameter with a  $CoV_{RF}$  of 0.5, the average horizontal run-out 437 distance when considering soil heterogeneity is 4.35 m, representing a 12% increase compared 438 to deterministic analysis. That is, neglecting the spatial variability of the soil can lead to an 439 underestimation of the landslide run-out distance. 440

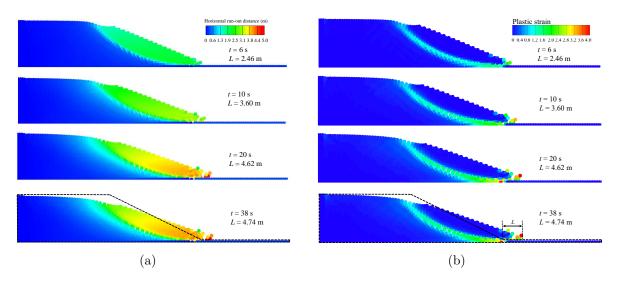


Figure 11: Typical landslide process within heterogeneous soils following distribution in Figure 10. (a) Horizontal run-out distance contours; (b) plastic strain contours.

Scenario	Trigger	CoV Value
Considering input ground motion types	NPGMs	0.24
	PLGMs	0.20
Considering soil heterogeneity	$\mathrm{CoV}_{\mathrm{RF}} = 0.2$	0.11
	$\mathrm{CoV}_{\mathrm{RF}} = 0.5$	0.22

Table 4: Statistical results accounting for multiple sources of uncertainty

To clarify, the simulation results for two different sets of random field parameters show that 441 when  $CoV_{RF}$  is 0.2, the simulation data has a CoV of 0.11, whereas when  $CoV_{RF}$  is 0.5, the 442 simulation data has a CoV of 0.22. This means that an increase in the degree of soil spatial 443 variability leads to a significantly higher level of variability in the landslide run-out distance data, 44 approximately doubling it. Moreover, when considering the influence of two types of ground 445 motions (Section 3.2), the resulting data has a CoV of 0.24 for NPGMs (i.e.,  $CoV_{NP} = 0.24$ ) and 446 has a CoV of 0.20 for PLGMs (i.e.,  $CoV_P = 0.20$ ), two values very close to the CoV obtained 447 when the random field parameter  $CoV_{RF}$  is set to 0.5, as listed in Table 4. This implies that in 448 this scenario, the impact of soil spatial variability and the type of input ground motion on the 449 landslide process is quite comparable. Therefore, both soil spatial variability and the type of input 450 ground motion play crucial roles in landslide process. 451

#### 452 3.4. Coupling effects of ground motion and soil heterogeneity

In this section, to investigate the coupling effects of ground motion and soil heterogeneity and to ensure the representativeness of data, spatially variable samples with  $CoV_{RF} = 0.5$  have been

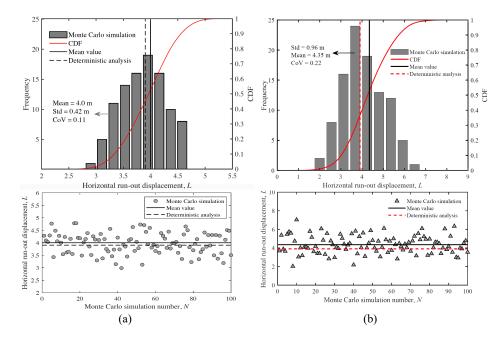


Figure 12: Histogram and scatter of horizontal run-out displacement (Unit: m) subjected to NPGM RSN 162 in heterogeneous soil with random field parameter (a)  $CoV_{RF} = 0.2$ ; (b)  $CoV_{RF} = 0.5$ .

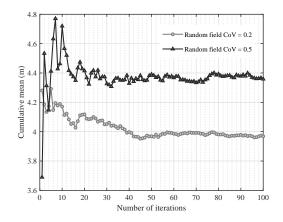


Figure 13: Convergence plot as Monte Carlo iterations increase.

chosen that closely approximate the mean values of Monte Carlo simulations. This selection is based on the results obtained in Section 3.4. Also, based on the results illustrated in Section 3.2, which indicated a higher level of variability in NPGMs. Hence, NPGM type of ground motion has been selected as the input ground motion. The top 25 NPGMs (as presented in Table 3) were chosen for analysis. The objective here is to examine whether ground motion randomness amplifies this level of variability for soil heterogeneity.

One hundred Monte Carlo simulations were performed, and the resulting run-out distances are illustrated in Figure 14 (a). The scatter indicates that both mean value and CoV value have increased. The mean value of run-out distances is 4.90 m, representing an increase of 8.4%

when compared to the computed results for homogeneous soil (Mean value = 4.49 m). Also, the 464 scatter reveals that the dispersion of the obtained run-out distance values (CoV = 0.25) exhibits a 465 slight increase when compared to the results in homogeneous soil (CoV = 0.24, see Figure 9 (b)). 466 This difference is relatively minor which attributed to the deliberate control of soil uncertainty. 467 Nonetheless, in Figure 14 (b), it is still evident that the spatial variability of the soil under different 468 seismic waves is amplified to varying degrees, particularly for RSN 949, RSN 1535, and RSN 5262. 469 Notably, the CoV value for RSN 1535 reaches 0.135. In other words, different ground motions 470 lead to varying degrees of amplification in the spatial variability of the soil, resulting in increased 471 variability compared to considering uncertainty from a single source alone. The mechanism behind 472 the amplification of soil spatial variability by ground motion will be a part of future research. This 473 underscores the necessity of considering the coupling effect of these two sources of uncertainty in 474 earthquake-induced landslide risk assessment. 475

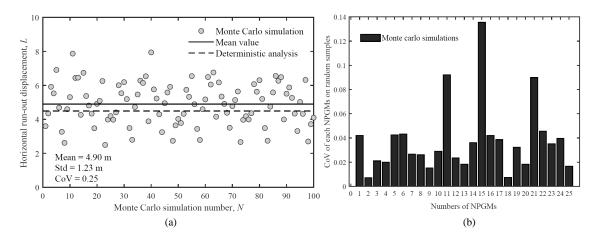


Figure 14: Coupling effects of ground motion and soil heterogeneity. (a) Scatter of Monte Carlo simulations; (b) histogram of CoV value of each NPGMs on random samples.

#### 476 4. Conclusions

In this study, we proposed a computational method to analyze the entire process of slope run-out by utilizing the features of PD. Moreover, we performed NOSBPD modelling on largedeformation landslide processes in random soils subjected to stochastic ground motions. Parametric studies were conducted to explore the impacts of spatial variability of soils, input ground motions types, and coupling effects on entire process and failure mechanism of earthquake-induced landslides. The conclusions can be summarized as follows. (1) The effectiveness of modeling large-deformation landslide processes based proposed computational method was investigated by numerical case studies. The results have indicated that PD is a promising and reliable method for simulating large-deformation phenomena. In comparison to mesh-based methods, PD offers the capability to simulate discontinuous soil failure, capturing the entire process of slope landslides while providing a more localized representation of shear bands.

(2) Moreover, we introduced random field theory into PD and proposed a coupling procedure. By doing so, the varying degrees of heterogeneous spatial variability in soil strength and its effects on landslide behavior were investigated. For a random field parameter with a coefficient of variation of 0.5, the average horizontal run-out distance, when considering soil heterogeneity, was found to be 4.35 meters. This represents a 12% increase compared to homogeneous soil analyses, highlighting the importance of accounting for spatial variability in soil properties to avoid underestimating landslide run-out distances.

(3) Recognizing the significant impact of input ground motions on landslides, this study deliberately examined the influence of two distinct types of seismic motions, namely NPGMs and
PLGMs, on the landslide process. The findings suggest that landslides under PLGMs not only exhibit statistically larger run-out distances but also smaller variation, implying a higher likelihood
of landslides under PLGMs.

(4) The extent to which the individual and coupling effects of ground motion types and spatial variability affect earthquake-induced landslides was explored. The results indicate that both uncertainty sources exert significant influences on landslide behavior. Neglecting the uncertainties stemming from both sources can lead to an underestimation of the landslide run-out risk. Furthermore, the necessity of considering the coupling effect of these two sources of uncertainty in earthquake-induced landslide risk assessment.

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#### <sup>511</sup> Appendix. Convergence analysis on material point number

The number of material points can have a certain range of impact on computational results; however, a larger number of material points concurrently escalates computational costs. In order to rigorously examine this influence, we conducted a convergence analysis on material point number to demonstrate that the adopted quantity of material points in this study is sufficient to attain convergent results. Here, we employed five different ratios of area to the number of material points (e) for simulation, namely 20%, 24%, 40%, 55%, and 100%. They correspond to material point numbers ( $\beta$ ) of 2369, 2047, 1201, 925, respectively.

In Figure 15, as the ratio e decreases from 100% to 20%, corresponding to an increase in  $\beta$  from 519 925 to 2369, the horizontal run-out displacement (L) gradually increases, ultimately converging to 520 3.5 m. Figure 16 depicts distributions of plastic strain for the five different e ratios. It is observed 521 that the thickness of the shear band decreases with an increase in the number of material points 522 and remains nearly constant after the e ratio drops to 24%. Between e ratios of 24% and 20%. 523 there is no significant difference in horizontal run-out displacement and shear band thickness. 524 Therefore, the adopted number of material points in this study is sufficient to achieve convergent 525 results. 526

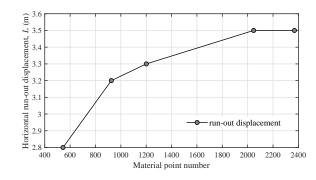


Figure 15: Convergence analysis on material point number  $\beta$  for horizontal run-out displacement L.

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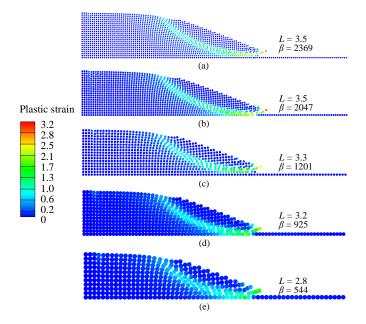


Figure 16: Plastic strain distributions calculated with various material point numbers  $\beta$ : (a)  $\beta = 2369$ ; (b)  $\beta = 2047$  (this study); (c)  $\beta = 1201$ ; (d)  $\beta = 925$ ; (e)  $\beta = 544$ .

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