

1 **Similarity quantification of soil spatial variability between two**
2 **cross-sections using auto-correlation functions**

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17

18 **Abstract**

19 In geotechnical engineering, an appreciation of local geological conditions from similar sites
20 is beneficial and can support informed decision-making during site characterization. This
21 practice is known as “site recognition”, which necessitates a rational quantification of site
22 similarity. This paper proposes a data-driven method to quantify the similarity between two
23 cross-sections based on the spatial variability of one soil property from a spectral perspective.
24 Bayesian compressive sensing (BCS) is first used to obtain the discrete cosine transform (DCT)
25 spectrum for a cross-section. Then DCT-based auto-correlation function (ACF) is calculated
26 based on the obtained DCT spectrum using a set of newly derived ACF calculation equations.
27 The cross-sectional similarity is subsequently reformulated as the cosine similarity of DCT-
28 based ACFs between cross-sections. In contrast to the existing methods, the proposed method
29 explicitly takes soil property spatial variability into account in an innovative way. The
30 challenges of sparse investigation data, non-stationary and anisotropic spatial variability, and
31 inconsistent spatial dimensions of different cross-sections are tackled effectively. Both
32 numerical examples and real data examples from New Zealand are provided for illustration.
33 Results show that the proposed method can rationally quantify cross-sectional similarity and
34 associated statistical uncertainty from sparse investigation data. The proposed method
35 advances data-driven site characterization, a core application area in data-centric geotechnics.

36

37 **Keywords:** Geotechnical site investigation; Site similarity; Auto-correlation; Bayesian
38 compressive sensing

39

40 **1. Introduction**

41 Reliable site characterization is a cornerstone of effective geotechnical designs and
42 construction safety. However, it is often subject to significant uncertainty due to the spatially
43 variable geological conditions and a sparsity of investigation points (e.g., boreholes, in-situ
44 tests). In practice, to supplement limited knowledge at a target site and to mitigate the resultant
45 uncertainty, engineers usually attempt to refer to and review available information (e.g.,
46 interpreted soil cross-sections) of previous construction sites in the neighborhood where
47 geological conditions are expected to be similar to the target project site. This practice is also
48 known as “site recognition” which helps engineers to better understand the site-specific
49 features at the target site and plays an important role in data-driven site characterization and
50 informed decision-making in the presence of inter-site variabilities (e.g., Fenton, 1999b; Phoon
51 et al., 2022; Yang et al., 2022; Phoon and Zhang, 2023; Shi et al., 2023; Zhao et al., 2023).

52 Consider, for example, a two-dimensional (2D) soil property cross-section, which has
53 been commonly adopted in practical engineering designs and analyses for explicitly
54 representing site geological conditions along both depth and horizontal directions.
55 Interpretation of a target 2D cross-section may be underpinned and supplemented by referring
56 to 2D cross-section interpretations available from other pre-existing and documented
57 construction sites. To this end, before introducing knowledge from other 2D cross-sections to
58 inform decision making at a target site, it is desirable to assess the similarity between the target
59 2D cross-section and the other available 2D cross-sections. Geotechnical engineers primarily
60 do this *qualitatively*, because there are no quantitative methods that are tractable/effective in
61 the presence of sparse and incomplete data to name a few data attributes. From a geotechnical
62 engineering viewpoint, 2D cross-sectional similarity shall be closely related to the similarity
63 of corresponding 2D soil property spatial variability, which is a natural product of complicated
64 geological formation processes (e.g., erosion, weathering, deposition) undergone by the

65 corresponding sites (e.g., Fenton, 1999a; Phoon and Kulhawy, 1999; Baecher and Christian,
66 2003; Juang et al., 2019; Wang et al., 2022). However, a direct and quantitative comparison of
67 spatial variability in different 2D cross-sections is challenging because of the following issues:
68 (1) the available site investigation data (e.g., borehole and in-situ test data) in both a target 2D
69 cross-section and existing 2D cross-sections are usually sparse and are often not measured over
70 an identical sampling grid (e.g., Xu et al., 2021; Guan and Wang, 2023). It is unlikely that the
71 site investigation plans for two sites are identical (e.g., boreholes or CPT soundings layout).
72 Therefore, classical statistical correlation analysis may not be applicable to quantify the
73 similarity between two such cross-sections; (2) spatial variability in 2D cross-sections may
74 exhibit non-stationary trends and spatial variability anisotropy. Accurate identification of the
75 underlying trend and spatial variability anisotropy for different cross-sections is critical for
76 cross-sectional similarity quantification, but challenging in the presence of sparse data (e.g.,
77 Ching and Phoon, 2017; Ching et al., 2017; Hu et al., 2019; Wang et al., 2019; Ching et al.,
78 2020; Shuku et al., 2020; Yoshida et al., 2021; Ching et al., 2022; Katsman and Painuly, 2022);
79 and (3) the dimensions of different 2D cross-sections along depth and horizontal directions are
80 often different due to projects occupying different footprints and extending to different depths.
81 Directly comparing 2D cross-sections with different spatial dimensions is often a tricky task
82 (e.g., Shechtman and Irani, 2007; Simakov et al., 2008; Shi and Wang, 2021b). Therefore, how
83 to quantitatively evaluate the similarity between two given 2D cross-sections from their sparse
84 site investigation data measured over different grids and covering different spatial dimensions
85 remains unsolved.

86 Recently, the topic of site similarity, or site retrieval, has been investigated from
87 different perspectives. For example, Ching and Phoon (2020) proposed a Bayesian method for
88 measuring similarity between data records (e.g., two or more soil parameter values at a location
89 and or depth) at a target site and data records from other sites. Sharma et al. (2022) further

90 developed a novel hierarchical Bayesian model for measuring similarity between the target site
91 and database sites, achieving site similarity quantification beyond solely data record similarity.
92 Phoon and Ching (2022) presented a summary of different methods for similarity measures.
93 Their frameworks focused on the MUSIC data attributes framework (Multivariate, Uncertain
94 and Unique, Sparse, Incomplete, and potentially Corrupted) (e.g., Phoon et al., 2022) and
95 treated the likelihood function of past data records given site-specific data records as an index
96 of the similarity. Only cross correlations between different soil parameters are considered. The
97 spatial variability of a soil parameter was not considered in these studies, although it was
98 recognized as a critical aspect. In addition, Han et al. (2022) used confidence ellipses to
99 quantify the similarity of soil parametric data using existing databases. Their framework
100 required abundant data over identical depth ranges to be compiled at every site. The
101 performance was highly dependent on the specific volume of available data at different depths.
102 More importantly, the geotechnical spatial variability might not be fully preserved after
103 preprocessing of the data. Shi and Wang (2021a, 2021b) proposed to use training images to
104 incorporate and summarize past geological knowledge on stratigraphy and to quantify the site
105 similarity by measuring the similarity of edge orientation statistics of soil layer boundaries
106 between site-specific borehole data and geological training images. The spatial variability of
107 soil property was not considered. Currently, there is no rational method available for
108 quantifying similarity between 2D cross-sections of soil property from sparse site investigation
109 data with explicit consideration of spatial variability.

110 This paper proposes a novel method for data-driven quantification of 2D cross-sectional
111 similarity from a spectral perspective. This paper attempts to fill an important gap in the site
112 recognition challenge (e.g., Phoon et al., 2022). A non-parametric method called Bayesian
113 compressive sensing (BCS) is used to directly approximate the sparse spectrum of 2D cross-
114 section. A new efficient and robust formulation of 2D auto-correlation function (ACF) is

115 derived for a unified representation of 2D spatial variability based on a sparse spectrum
116 approximated by BCS. The 2D cross-sectional similarity is then quantified by the similarity
117 between ACFs of the corresponding 2D cross-sections. In contrast to current methods in the
118 literature, cross-sectional similarity quantification in this study deals explicitly with soil
119 property spatial variability. Theoretical derivation suggests that the spatial variability patterns
120 in a 2D cross-section, either stationary or non-stationary, spatially isotropic or anisotropic, can
121 be quantified concisely by 2D ACF. The three challenges highlighted above are solved by the
122 proposed method. The proposed method also has significant practical relevance in geotechnical
123 site recognition. For example, given a global geotechnical database (e.g., Ching et al., 2023)
124 containing a wealth of information from different sites, the proposed method can efficiently
125 pick up a limited number of similar and informative records for a target site, which is also
126 referred to as a “quasi-regional clustering” strategy (e.g., Phoon and Ching, 2022; Guan et al.,
127 2023b).

128 The rest of this paper is organized as follows. Section 2 briefly illustrates the
129 background and practical significance of 2D cross-sectional similarity using real examples.
130 The proposed method for data-driven quantification of 2D cross-sectional similarity from
131 sparse site investigation data is described in Section 3. The implementation procedure is
132 provided in Section 4, followed by illustrative examples in Section 5. In Section 6, a real case
133 study is used to demonstrate the application of the proposed method.



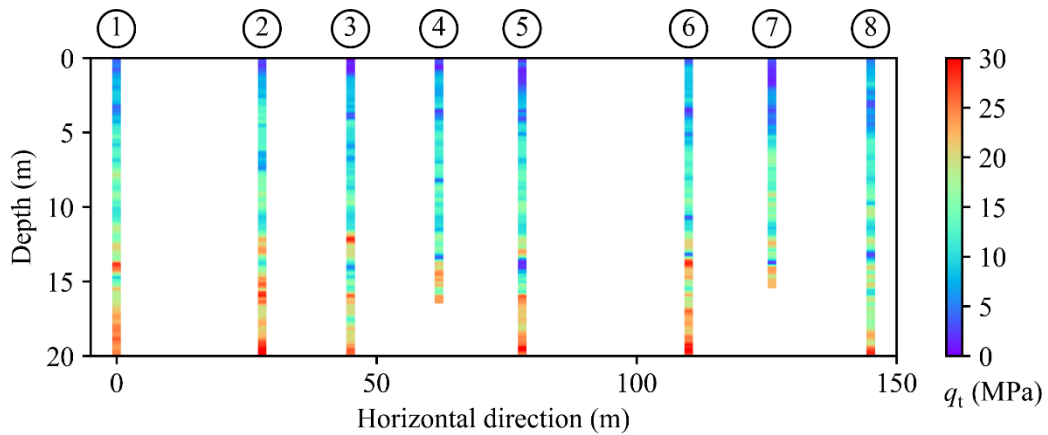
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135 Figure 1. A layout of cone penetration tests (CPTs) performed in two cross-sections in
 136 Christchurch, New Zealand (NZGD, 2023)

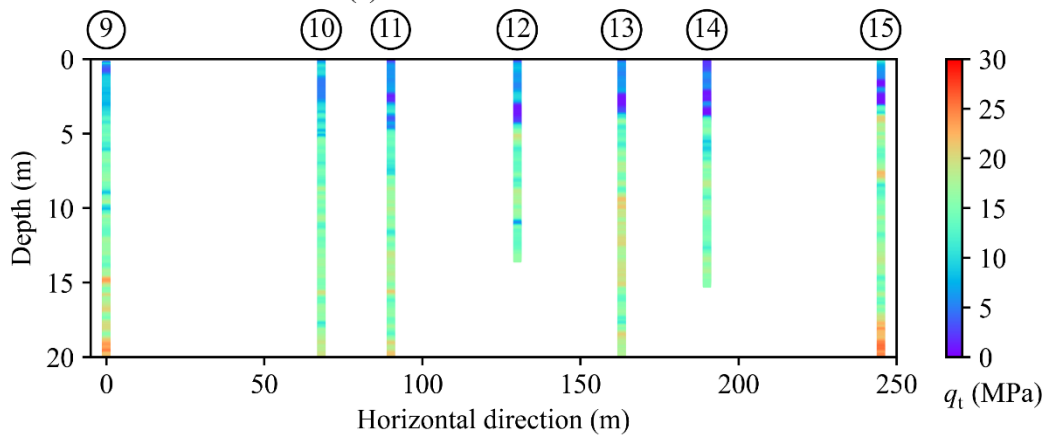
137 **2. Similarity between two 2D cross-sections of a soil property**

138 A 2D cross-section in this study refers to 2D spatial variability of one soil property within a
 139 single soil layer. To illustrate the 2D soil property cross-sectional similarity, Figure 1 shows a
 140 map with a layout of 15 cone penetration tests (CPTs) performed in Christchurch, New Zealand.
 141 The CPTs data are obtained from the New Zealand Geotechnical Database (e.g., NZGD, 2023).
 142 In Figure 1, the CPTs are denoted by yellow triangles and numbered from #1 to #15. It is seen
 143 that these CPTs were performed at two separate sites, i.e., Site 1 and Site 2, respectively. Eight
 144 CPTs (CPT #1 to CPT #8) were performed in Site 1 (see the left-hand side of Figure 1), while
 145 seven CPTs (CPT #9 to CPT #15) were carried out in Site 2 (see the right-hand side of Figure
 146 1). The actual IDs of these CPTs used in the NZGD database are also provided in the map.
 147 Note that the CPTs at these two sites are roughly laid along a straight line, leading to two cross-
 148 sections denoted by two red dashed lines. Engineers may assess the cross-sections at Site 1 and
 149 Site 2 to be similar since the distance between the two cross-sections is only roughly 700m.
 150 Figures 2a and 2b show the corrected cone resistance (q_t) data of available CPTs by color-
 151 coded columns in the two cross-sections, respectively. It appears that the general patterns of q_t

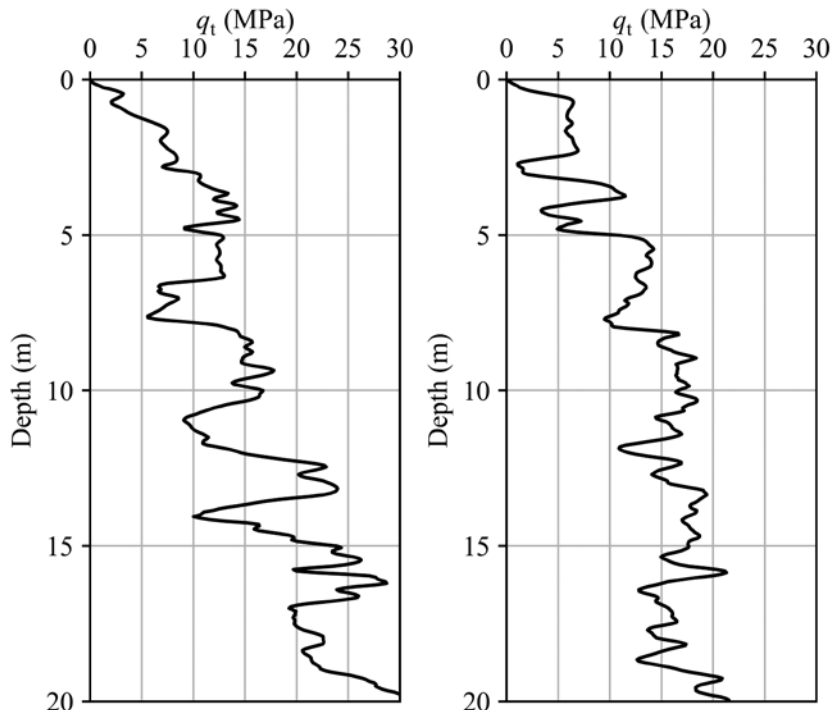
152 data at Sites 1 and 2 are comparable, both with q_t values fluctuating but generally increasing
153 with depth. For example, as shown in Figures 2c and 2d, the q_t profiles of CPT #2 (CPT_50725)
154 from Site 1 and CPT #11 (CPT_35561) from Site 2 exhibit comparable variation patterns.
155 Between these two specific 1D q_t profiles, similarity quantification can be conducted directly
156 and readily. Mathematically, this may be routinely achieved by calculating the cross-
157 correlation between these two 1D q_t profiles after re-configuring the data with an identical
158 sampling interval, or by comparing the corresponding estimated spectrum of these two q_t
159 profiles (e.g., Priestley, 1981; Dai et al., 2022; Guan and Wang, 2023). However, it is very
160 challenging to quantify the q_t data cross-sectional similarity between Site 1 and Site 2, as shown
161 in Figures 2a and 2b. This real example clearly demonstrates the three challenges for direct
162 quantification of 2D cross-sectional similarity mentioned above in concrete terms. First, in
163 Figures 2a and 2b, the available CPT soundings are sparse within these two cross-sections with
164 non-uniform horizontal spacing and sounding depths. Second, the q_t data shown in these two
165 cross-sections exhibit evidence of non-stationarity and spatial variability anisotropy. Third,
166 these two cross-sections have different spatial dimensions. The cross-section of Site 1 has a
167 length of around 145m, while the cross-section of Site 2 has a length of 245m. The challenges
168 highlighted above cannot be addressed by existing methods in literature (e.g., Ching and Phoon,
169 2020; Han et al., 2022). This next section addresses these challenges by proposing a novel data-
170 driven approach.



(a) Cross-section of Site 1



(b) Cross-section of Site 2



(c) #2 from Site 1

(d) #11 from Site 2

Figure 2. Illustration of CPT example: (a) Cross-section of Site 1; (b) Cross-section of Site 2; (c) q_t data profile of CPT #2 from Site 1; and (d) q_t data profile of CPT #11 from Site 2

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176 **3. Proposed method for quantification of cross-sectional similarity**

177 The concept for quantification of cross-sectional similarity is to treat soil property cross-
178 sections as images and then compare them from a spectral perspective. Note that image spectral
179 analysis is able to identify non-stationary patterns, spatial variability anisotropy, and spatial
180 shift-invariant patterns (e.g., Shalvi and Weinstein, 1996; Wen and Gu, 2004; Blumensath and
181 Davies, 2006). The results of image spectral analysis are also independent of image dimension.
182 In the proposed method, the following two steps shall be performed before similarity
183 quantification. First, Bayesian compressive sensing (BCS) is adopted to obtain the discrete
184 cosine transform (DCT) spectrum of a 2D soil property cross-section directly from sparse data.
185 It has been shown in past research that BCS can deal with non-stationarity (e.g., Wang et al.,
186 2019; Zhao and Wang, 2020), spatial variability anisotropy of soil property (e.g., Hu et al.,
187 2019), and the associated statistical uncertainty quantification (e.g., Wang et al., 2022). Second,
188 to tackle the difficulty in comparing target 2D cross-sections with different dimensions, a novel
189 and efficient 2D DCT-based ACF is developed to facilitate a unified representation of 2D soil
190 property spatial variability. The DCT-based ACF is utilized in this study as a data-driven
191 surrogate to represent 2D cross-sections and enables direct pattern comparison between cross-
192 sections with different spatial dimensions. Subsequently, cross-sectional similarity is
193 quantified by DCT-based ACF similarity between two cross-sections. Details of the proposed
194 method are elaborated in the following three subsections. The approximation of sparse DCT
195 spectrum by BCS will be described in Subsection 3.1. A unified representation of 2D spatial
196 variability using DCT-based ACF is then derived in Subsection 3.2. Quantification of DCT-
197 based ACF similarity is established in Subsection 3.3.

198 ***3.1. Approximation of DCT spectrum from sparse data using BCS***

199 Compressive sensing (CS) is a technique for efficiently acquiring and reconstructing signals or
200 images (e.g., Candès et al., 2006; Donoho, 2006; Candès and Wakin, 2008). Utilizing the

201 sparsity featured by many signals or images after adopting appropriate basis functions, CS is
 202 able to reconstruct a signal or image from far fewer measurement data points than the number
 203 indicated by conventional Nyquist sampling theorem (e.g., Shannon, 1948; Candès et al., 2006).
 204 From a spectral perspective, complicated soil property spatial variability in terms of a 1D
 205 profile or 2D cross-section (or image) can be sparsely represented after transformation using
 206 basis functions. For example, the DCT functions, which have been widely used in digital signal
 207 processing and data compression (e.g., Rao and Yip, 1990; Wallace, 1992), are used to
 208 construct basis functions in this study. The commonly used type-II 1D DCT basis function is
 209 defined as:

$$B_t(x) = \begin{cases} \frac{1}{\sqrt{N}} & \text{for } t = 1; x = 1, 2, \dots, N \\ \sqrt{\frac{2}{N}} \cos \pi \frac{(t-1)(2x-1)}{2N} & \text{for } t = 2, \dots, N; x = 1, 2, \dots, N \end{cases} \quad (1)$$

210 in which x represents the 1D index ($x=1, 2, \dots, N$); t indicates the order of $B_t(x)$. In Figure 3,
 211 the first five DCT basis functions (i.e., $t = 1, 2, 3, 4, 5$) with $N = 200$ are illustrated by colored
 212 lines with different styles. The frequency of these DCT basis function $B_t(x)$ is controlled by t
 213 and increases with t . Based on the 1D DCT basis functions in Equation (1), 2D DCT basis
 214 functions may be constructed by a tensor product of two 1D DCT basis functions (e.g., Itskov,
 215 2007):

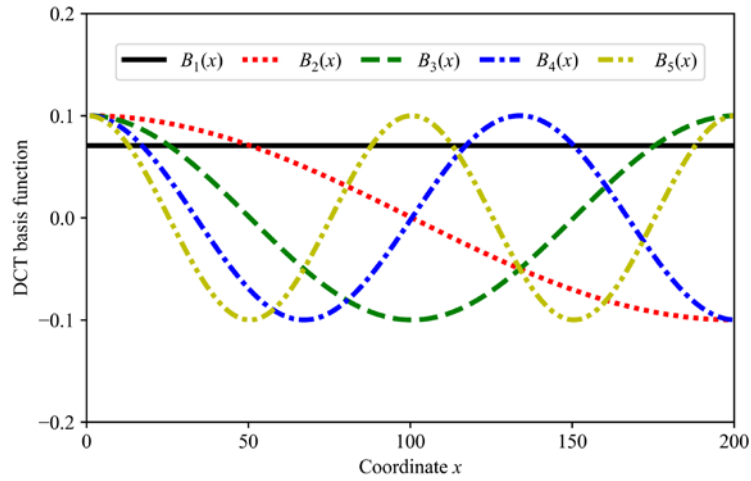
$$B_{t,s}(x_1, x_2) = B_t(x_1) \times B_s(x_2) \quad (2)$$

216 in which $B_t(x_1)$ and $B_s(x_2)$ are two basis functions along two directions, respectively; t and s
 217 indicate the corresponding orders ($t=1, 2, \dots, N_1$; $s=1, 2, \dots, N_2$). For example, Figure 4
 218 illustrates the construction process of 25 2D DCT basis functions $B_{t,s}(x_1, x_2)$. Each 2D DCT
 219 basis function is constructed by a tensor product of two 1D DCT basis functions of the same
 220 length at each frequency (e.g., $t, s=1, 2, 3, 4, 5$). Using the 2D DCT basis function $B_{t,s}(x_1, x_2)$,

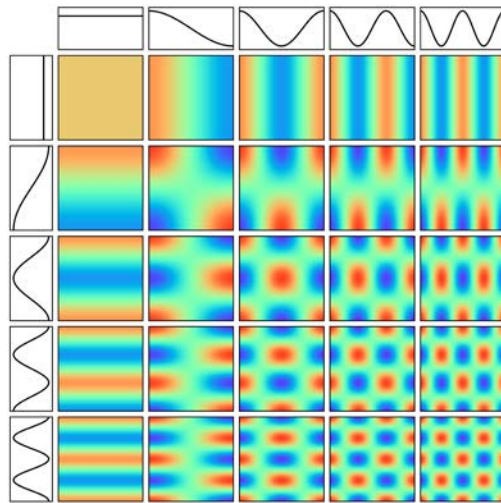
221 soil property spatial variability in a cross-section can be regarded as an image F with size
 222 $N_1 \times N_2$, which is formulated as (e.g., Tipping, 2001; Candès and Wakin, 2008):

$$F(x_1, x_2) = \sum_{t=1}^{N_1} \sum_{s=1}^{N_2} \omega_{t,s}^{2D} B_{t,s}(x_1, x_2) \quad (3)$$

223 in which $F(x_1, x_2)$ is the 2D spatial variability in the cross-section; x_1, x_2 are indexes along
 224 two directions, respectively ($x_1=1, 2, \dots, N_1; x_2=1, 2, \dots, N_2$); $\omega_{t,s}^{2D}$ is the weight coefficient of
 225 $B_{t,s}(x_1, x_2)$. The weight coefficients and their corresponding frequencies collectively form the
 226 DCT spectrum of $F(x_1, x_2)$.



227
 228 Figure 3. Illustration of five 1D discrete cosine transform (DCT) basis functions



229
 230 Figure 4. Construction of 2D DCT basis functions from 1D DCT basis functions

231 The DCT spectrum enables an effective representation of variability patterns at various
 232 frequencies along two directions. Note that both non-stationarity and spatial variability
 233 anisotropy may be preserved by a combination of various $B_{t,s}(x_1, x_2)$ with large weight
 234 coefficients and specific patterns (e.g., see various 2D DCT basis functions in Figure 4). In
 235 addition, due to the spatial correlation contained in soil property spatial variability, $F(x_1, x_2)$,
 236 usually leads to a sparse representation in its DCT spectrum. In other words, most weight
 237 coefficients in Equation (3) have negligible magnitudes and only limited weight coefficients
 238 are significant, or non-trivial, after adopting proper basis functions (e.g., Candès and Wakin,
 239 2008; Zhao et al., 2018; Hu et al., 2019). It is therefore feasible to approximate those limited
 240 non-trivial weight coefficients in DCT spectrum using sparse data Y , which is expressed as:

$$Y(x_1, x_2) = \sum_{t=1}^{N_1} \sum_{s=1}^{N_2} \omega_{t,s}^{2D} A_{t,s}(x_1, x_2) \quad (4)$$

241 in which $Y(x_1, x_2)$ is the measured data points from $F(x_1, x_2)$, and $A_{t,s}(x_1, x_2)$ are
 242 corresponding values extracted from the 2D basis function $B_{t,s}(x_1, x_2)$ based on the
 243 measurement locations. In the context of geotechnical site investigation, generally the number,
 244 M , of available measurement $Y(x_1, x_2)$, is much smaller than the size of $F(x_1, x_2)$ (i.e.,
 245 $N_1 \times N_2$), and this leads to an underdetermined system in Equation (4), which cannot be solved
 246 directly. Numerical algorithms, e.g., orthogonal matching pursuit (OMP), may be used to
 247 approximate the solution $\hat{\omega}_{t,s}^{2D}$ in Equation (4) by minimizing the error between the measured
 248 data $Y(x_1, x_2)$ and the estimated values at measured locations (e.g., Pati et al., 1993; Wang and
 249 Zhao, 2016). The idea of the OMP algorithm is to iteratively find out $B_{t,s}(x_1, x_2)$ that can well
 250 match the $Y(x_1, x_2)$. However, since the available site investigation data $Y(x_1, x_2)$ are sparse,
 251 CS may not produce a perfect reconstruction of the spatial variability $F(x_1, x_2)$, and the
 252 approximated $\hat{\omega}_{t,s}^{2D}$ contain significant statistical uncertainty. To quantify the associated

253 statistical uncertainty, CS may be integrated with the Bayesian framework (i.e., Bayesian
254 compressive sensing, BCS) to estimate the non-trivial weight coefficients. In BCS, the prior of
255 non-trivial weight coefficients is formulated as independent normal random variables with
256 relatively large variance to achieve an uninformative prior. The posterior distribution of $\hat{\omega}_{t,s}^{2D}$
257 also follows a normal distribution and can be solved efficiently by a Markov chain Monte Carlo
258 (MCMC) simulation, leading to a series of random samples of $\hat{\omega}_{t,s}^{2D}$ (e.g., Zhao and Wang, 2020;
259 Wang et al., 2022; Lyu et al., 2023). After repeatedly generating random samples of $\hat{\omega}_{t,s}^{2D}$ N_B
260 times, the best estimate of DCT spectrum can be approximated by taking the mean of N_B
261 random samples of $\hat{\omega}_{t,s}^{2D}$. In a MCMC simulation, the statistical independence of N_B random
262 samples of $\hat{\omega}_{t,s}^{2D}$ is guaranteed by taking only one sample in every larger number (e.g., 20 or 50)
263 Markov chain samples as the random sample. The associated statistical uncertainty can be
264 quantified using the standard deviation (SD) of N_B samples. Another noteworthy advantage of
265 BCS is that it is applicable to a non-uniform measurement grid, a scenario commonly
266 encountered in site investigation (e.g., Zhao and Wang 2020; Guan et al., 2023a). Both the CS
267 and BCS algorithms have been compiled into a user-friendly free download software which is
268 available from the corresponding author's website
269 ([https://sites.google.com/site/yuwangcityu/software-download/bayesian-compressive-](https://sites.google.com/site/yuwangcityu/software-download/bayesian-compressive-samplingsensing-bcs)
270 [samplingsensing-bcs](https://sites.google.com/site/yuwangcityu/software-download/bayesian-compressive-samplingsensing-bcs)). The approximated DCT spectrum for the cross-section allows
271 subsequent development of a unified representation of 2D spatial variability and quantification
272 of a cross-sectional similarity.

273 ***3.2. Unified representation of 2D spatial variability using DCT-based ACF***

274 Note that each weight coefficient $\omega_{t,s}^{2D}$ in DCT spectrum corresponds to a specific basis
275 function $B_{t,s}(x_1, x_2)$, which is defined over specific N_1 and N_2 (see Equations (1) and (2)). In
276 other words, the DCT spectrum is relative to the dimension N_1 and N_2 , which are essentially

277 determined by both the cross-section dimension and discretization resolution. This indicates
 278 that direct comparison of the DCT spectrums of spatial variability $F(x_1, x_2)$ obtained in
 279 different 2D cross-sections may not be feasible. To this end, a unified representation of 2D
 280 spatial variability using DCT-based ACF is developed, which enables direct comparison
 281 between 2D cross-sections with different spatial dimensions.

282 In signal processing, ACF is an effective tool for evaluating the correlation structure of
 283 signals (e.g., Vanmarcke, 2010; Onyejekwe et al., 2016). ACF essentially measures the
 284 correlation of a signal with a shifted version of itself. Mathematically, it describes how the
 285 correlation between two points varies as the lag distance between the two points changes. In
 286 the context of 2D cross-sectional spatial variability, ACF of $F(x_1, x_2)$ can be calculated as (e.g.,
 287 Webster and Oliver, 2007; Vanmarcke, 2010):

$$\text{ACF}[F(x_1, x_2), \tau_1, \tau_2] = \frac{E\{[F(x_1, x_2) - \mu_{F(x_1, x_2)}][F(x_1 + \tau_1, x_2 + \tau_2) - \mu_{F(x_1, x_2)}]\}}{\sigma_{F(x_1, x_2)}^2} \quad (5)$$

288 in which τ_1, τ_2 are the lag distances along x_1 and x_2 directions, respectively; $\mu_{F(x_1, x_2)}$ and
 289 $\sigma_{F(x_1, x_2)}$ are the mean value and SD of all data points in $F(x_1, x_2)$, respectively. Equation (5)
 290 does not assume stationarity. The ACF reflects the auto-correlation structure of 2D spatial
 291 variability with respect to its mean value. It is a normalized and non-parametric measure
 292 because it is normalized by the variance value and it is not fitted to any parametric function
 293 form. In many fields, ACF has been widely used to identify predominant patterns/frequencies
 294 embedded in the signals or images of interest (e.g., Priestley, 1981; Rafiee and Tse, 2009;
 295 Zhang et al., 2021). Mathematically, ACF is closely related to the spectrum, and they form a
 296 Wiener–Khinchin transform pair (e.g., Priestley, 1981). Although ACF may be used as a
 297 surrogate to represent patterns of 2D cross-sectional spatial variability, it is worth noting that
 298 calculating 2D ACF accurately and efficiently is usually difficult. Conventional approach of
 299 calculating 2D ACF using Equation (5) often yields instable ACF values at large lag distances

300 and may be subject to significant computational efforts when dealing with high-resolution
 301 images/matrices (e.g., Phoon and Fenton, 2004). To tackle this issue, this subsection derives a
 302 new efficient formulation of ACF based on the DCT spectrum obtained from BCS:

$$\begin{aligned} & \text{ACF}[F(x_1, x_2), \tau_1, \tau_2] \\ &= \frac{1}{\sum_{t=1}^{N_1} \sum_{s=1}^{N_2} \omega_{t,s}^{2D^2}} \sum_{t=1}^{N_1} \sum_{s=1}^{N_2} \omega_{t,s}^{2D^2} \text{ACF}[B_{t,s}(x_1, x_2), \tau_1, \tau_2] \end{aligned} \quad (6)$$

($t, s \neq 1$ concurrently)

303 in which $\text{ACF}[B_{t,s}(x_1, x_2), \tau_1, \tau_2]$ is the ACF of $B_{t,s}(x_1, x_2)$. Step-by-step derivation of
 304 Equation (6) is provided in Appendix. Equation (6) shows that the ACF of 2D spatial variability
 305 $F(x_1, x_2)$ is a weighted summation of the ACFs of 2D DCT basis functions, which are functions
 306 of lag distances τ_1 and τ_2 . The weight is the corresponding squared weight coefficient in DCT
 307 spectrum. Note that Equation (6) establishes a theoretical basis for the unified representation
 308 of 2D cross-sectional spatial variability, including non-stationarity and spatial variability
 309 anisotropy. Equation (6) can also be interpreted as a special case of covariance decomposition
 310 in traditional Karhunen-Loève expansion (e.g., Huang et al., 2001). Moreover, DCT-based
 311 ACF enables a direct and convenient comparison between different 2D cross-sections. Using
 312 N_B random samples of DCT spectrum, N_B DCT-based ACFs are obtained by substituting N_B
 313 random samples of $\hat{\omega}_{t,s}^{2D}$ into Equation (6). The best estimate DCT-based ACF is calculated as
 314 the mean of N_B DCT-based ACFs. Statistical uncertainty of approximated DCT spectrum also
 315 propagates to DCT-based ACFs and can be quantified using SD of the N_B DCT-based ACFs.

316 **3.3. Quantification of DCT-based ACF similarity between cross-sections**

317 With the DCT-based ACFs of two cross-sections determined in Section 3.2, cross-sectional
 318 similarity can be quantified by the similarity between the corresponding DCT-based ACFs.
 319 Consider, for example, two cross-sections A and B. Note that the actual dimensions of cross-

320 sections A and B may be different, leading to the different dimensions and patterns of the
321 corresponding DCT-based ACFs. To enable fair and effective comparison between two cross-
322 sections, only the largest overlapped sections with common lag distances of DCT-based ACFs
323 of cross-sections A and B are used accordingly. For example, if the sizes of spatial variability
324 matrices for cross-sections A and B are (200, 300) and (300, 200), respectively, only the
325 overlapped DCT-based ACFs with an identical range of lag distances, i.e., $\tau_1 = 0, 1, 2, \dots, 199$
326 and $\tau_2 = 0, 1, 2, \dots, 199$, are considered for similarity quantification. The overlapped ACFs
327 called effective ACFs offer a benchmark for comparison of two different cross-sections in a
328 statistical manner. Mathematically, a generalized cosine similarity between the effective DCT-
329 based ACFs of cross-sections A and B is calculated as (e.g., Dong et al., 2006; Nguyen and
330 Bai, 2011; Hu and Wang 2024):

$$\rho_{AB} = \frac{tr(\mathbf{ACF}_A \cdot \mathbf{ACF}_B^T)}{\sqrt{tr(\mathbf{ACF}_A \cdot \mathbf{ACF}_A^T)} \sqrt{tr(\mathbf{ACF}_B \cdot \mathbf{ACF}_B^T)}} \quad (7)$$

331 in which ρ_{AB} is defined as the similarity value between cross-sections A and B; \mathbf{ACF}_A and
332 \mathbf{ACF}_B are matrix representations of the effective 2D DCT-based ACFs of cross-sections A and
333 B, respectively; “T” is a transpose operation of a matrix; “tr” is the trace operation of a matrix.
334 This formula is equivalent to calculating the sum of element-wise product of \mathbf{ACF}_A and \mathbf{ACF}_B ,
335 divided by the product of the Frobenius norms of \mathbf{ACF}_A and \mathbf{ACF}_B . ρ_{AB} is therefore defined
336 over the range of [-1, 1]. Equation (7) essentially treats \mathbf{ACF}_A and \mathbf{ACF}_B as high-dimensional
337 vectors and measures the cosine value of the angle between the two vectors. High ρ_{AB} indicates
338 closeness between the two vectors and hence high similarity between cross-sections A and B,
339 and vice versa.

340 Note that a deterministic ρ_{AB} is obtained when substituting the corresponding best
341 estimate DCT-based ACFs of cross-sections A and B into Equation (7). To consider the

342 associated statistical uncertainty in both cross-sections A and B simultaneously, Equation (7)
343 may be used in a probabilistic manner. A random sample of ρ_{AB} is calculated using Equation
344 (7) by substituting a pair of random samples of DCT-based ACFs of cross-sections A and B
345 respectively into Equation (7). After repeating the ρ_{AB} calculation for all N_B pairs of DCT-
346 based ACFs of two cross-sections, statistical analysis is performed on the obtained N_B ρ_{AB}
347 values. The statistical uncertainty associated with the cross-sectional similarity quantification
348 is expressed by the SD of the ρ_{AB} samples. The SD reflects the variability of the cross-sectional
349 similarity quantification in the presence of uncertainties in both cross-sections. Note that in
350 engineering practice, the required number of N_B depends on the characteristics of the spatial
351 variability in the two cross-sections. The optimum value of N_B may be identified by examining
352 the convergence behavior of the obtained similarity values.

353 **4. Implementation procedures**

354 To facilitate its applicability in engineering practice, this section summarizes the
355 implementation procedure of the proposed method for cross-sectional similarity quantification.
356 For example, two cross-sections, e.g., A and B, are to be evaluated. Five steps are involved in
357 implementing the cross-sectional similarity quantification between A and B, as described
358 below:

359 **Step 1:** Obtain the actual spatial dimensions (i.e., depths and horizontal lengths), and
360 determine the corresponding spatial resolutions $N_1 \times N_2$ of 2D spatial variability
361 $F(x_1, x_2)$ for cross-sections A and B, respectively. For example, if a cross-section has
362 a depth of 20m and a horizontal distance of 30m, spatial resolutions of 0.1m along both
363 directions will lead to a discretized 2D cross-section of shape 200×300.

364 **Step 2:** Compile the available soil property data within the cross-sections A and B as
365 measurement data $Y(x_1, x_2)$, which is a subset of $F(x_1, x_2)$. This step leads to two sets
366 of $Y(x_1, x_2)$ for cross-sections A and B, respectively.

367 **Step 3:** Perform BCS simulation to generate N_B (e.g., $N_B=500$) random samples of
368 DCT spectrum from the corresponding measurement data $Y(x_1, x_2)$ in two cross-
369 sections, respectively.

370 **Step 4:** Calculate the N_B DCT-based ACFs using Equation (6) and N_B random samples
371 of DCT spectrum for cross-sections A and B, respectively.

372 **Step 5:** Perform probabilistic cross-sectional similarity quantification. N_B DCT-based
373 ACFs of cross-section A are randomly paired with the N_B DCT-based ACFs of cross-
374 section B. One similarity value is then obtained using Equation (7) for each pair of
375 DCT-based ACFs. N_B pairs of DCT-based ACFs lead to N_B similarity values.
376 Statistical analysis is then performed on the obtained N_B similarity values.

377 With the mean of these N_B similarity values, the similarity between cross-sections A and B can
378 be evaluated based on a pre-specified threshold. The threshold is purpose-dependent and
379 problem-specific. The question of how to select an optimal threshold is an interesting research
380 topic and will be investigated in a future study. One possible approach is to develop
381 characteristic values of similarity for a specific geotechnical problem based on many case
382 studies performed in similar geological settings. The statistical uncertainty of cross-sectional
383 similarity is quantified using SD of N_B similarity values. Note that geotechnical analysis is
384 purpose-dependent and problem-specific. Different engineering projects might be sensitive to
385 the spatial variability of soil properties to different extents. This study only considers the
386 statistical similarity (e.g., the ACF similarity) of 2D cross-sectional spatial variability of one
387 soil property. This study does not consider the response of structures installed in the soil as a
388 result of spatial variability. Geotechnical analyses of different projects still need to resort to

389 specific domain knowledge from geotechnical engineers, even for the same or highly similar
390 site but with different purposes (e.g., deep foundation design versus liquefaction assessment)
391 (e.g., Leung, 2023). In the following section, the proposed method is illustrated using numerical
392 examples.

393 **5. Illustrative examples**

394 *5.1. Numerical examples of soil property cross-sections*

395 In this section, three cross-sections, i.e., namely A, B, and C, are simulated for illustration of
396 the proposed method. The configurations of these three cross-sections are summarized in Table
397 1. These three cross-sections have different spatial dimensions. Cross-section A has a depth of
398 20m and a width of 20m, i.e., a 20m×20m cross-section. Cross-sections B and C are 30m×20m
399 and 20m×30m cross-sections, respectively. A discretization resolution of 0.1m is adopted for
400 these three cross-sections, leading to discretized cross-sections with spatial resolution $N_1 \times N_2$
401 = 200×200, 300×200, and 200×300, respectively. Non-stationary undrained shear strength s_u
402 data are simulated using random field (RF) models for these three discretized cross-sections.
403 The non-stationary s_u random fields are realized by adding a non-stationary trend function to a
404 2D zero-mean random field. As summarized in Table 1, in these three cross-sections, the s_u
405 data have different trend functions, which are formulated as the sum of 50 kPa and a scaled
406 cosine function term (in kPa) in different frequencies or phases. The cosine trend functions
407 adopted herein are to model the periodic property of geological depositional conditions (e.g.,
408 Einsele et al., 1996). Note that the trend functions of cross-section A and cross-section B
409 exhibit the same frequency, i.e., 0.5, which is equivalent to a period of around 12.5m, while
410 cross-section B incorporates an additional spatial shift of 10m. Cross-section B may be
411 interpreted as a cross-section exhibiting similar geological depositional conditions to A, but
412 occurring at another elevation level, as a scenario commonly encountered in engineering

413 practice. Cross-section C contains a frequency of 1, which is higher than cross-sections A and
414 B, and does not incorporate any spatial shift. The trend functions of the three cross-sections are
415 illustrated in Figures 5a-c, respectively. For each cross-section, an SD of 5 kPa and a Gaussian
416 auto-correlation structure are adopted for the 2D zero-mean random field. Different correlation
417 lengths along vertical and horizontal directions are configured for each cross-section. As shown
418 in Table 1, the vertical correlation lengths for three cross-sections are 1m, 1.5m, and 1m,
419 respectively; the horizontal correlation lengths for three cross-sections are 3.5m, 3m, and 2.5m,
420 respectively, leading to different spatial variability anisotropy structures for s_u data. For each
421 cross-section, one s_u data cross-section is realized and used for illustration, as shown in Figures
422 5d-f, respectively. Each s_u data cross-section is a realization of a random field simulated by the
423 spectral representation method (e.g., Shinozuka and Deodatis 1991; Müller et al., 2022).

424 Table 1. Configurations of simulated undrained shear strength s_u data for three cross-sections

Parameters	Cross-section A	Cross-section B	Cross-section C
Depth (m)	20	30	20
Width (m)	20	20	30
Trend $s_u(z)$ versus depth (kPa)	$50+5\times\cos(0.5\times z)$	$50+$ $5\times\cos(0.5\times(z+10))$	$50+5\times\cos(z)$
Standard deviation (kPa)	5	5	5
Correlation function	Gaussian	Gaussian	Gaussian
Vertical correlation length (m)	1	1.5	1
Horizontal correlation length (m)	3.5	3	2.5

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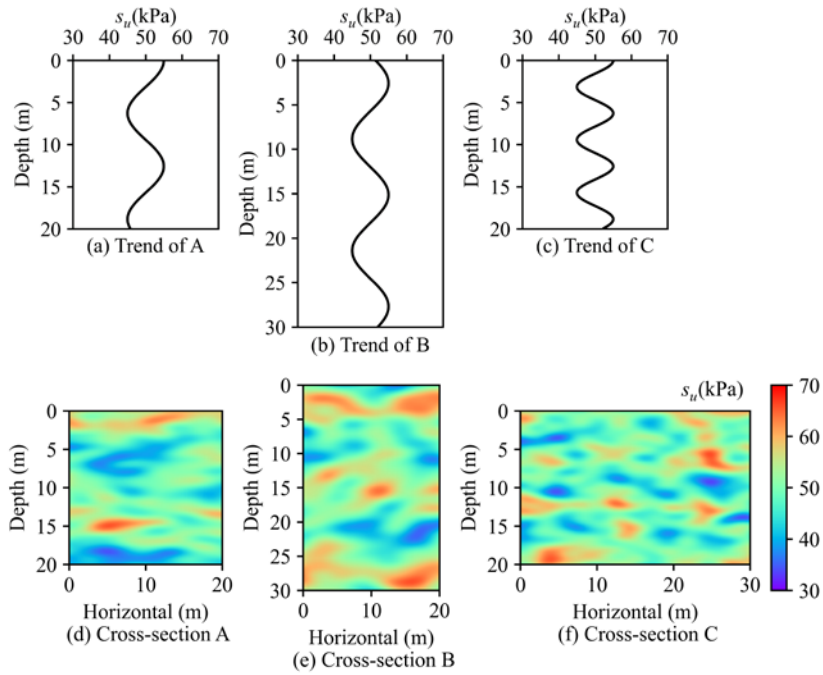


Figure 5. Simulated undrained shear strength (s_u in kPa) data cross-sections A, B, and C

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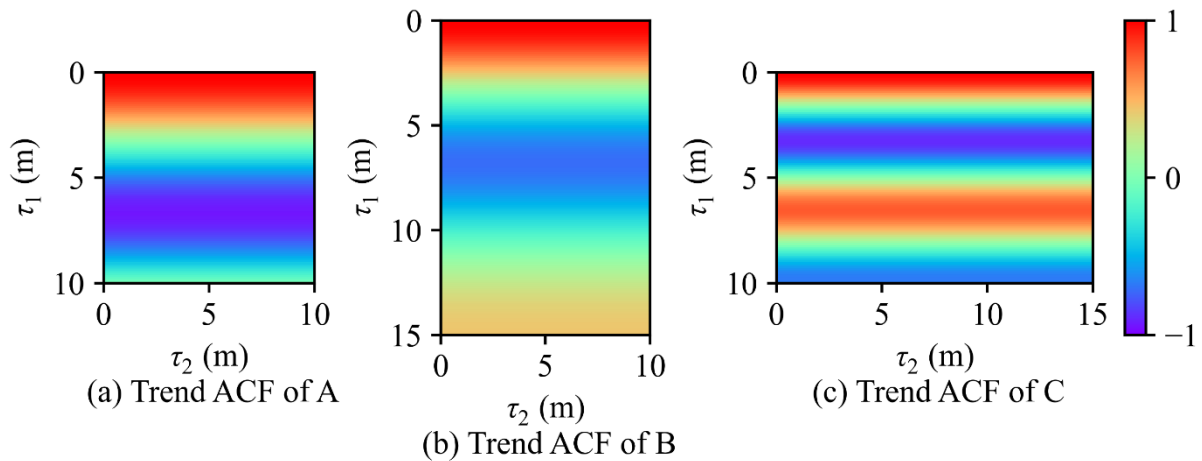
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Note that the three cross-sections are configured to illustrate the challenges of cross-sectional similarity quantification. In Figure 5, it is seen that cross-sections A, B, and C have different spatial dimensions and show different non-stationary and spatial variability anisotropy patterns. It is very challenging to rationally quantify the similarity among these cross-sections using conventional statistical methods. In this study, the derived DCT-based ACF tackles this challenge and offers an effective way to quantify the cross-sectional similarity among these three cross-sections. For each of the three cross-sections, the associated DCT spectrum can be readily obtained using Equation (3), and subsequently, the associated DCT-based ACF can be calculated using Equation (6). Note that the three cross-sections are respectively synthesized by adding up a non-stationary trend function and a 2D zero-mean random field. Therefore, the ACFs of s_u cross-sections are controlled by both the underlying trends and zero-mean RFs. The ACFs of the trend functions for the three cross-sections are shown in Figures 6a-6c, respectively, while the corresponding theoretical RF ACFs are shown in Figures 6d-6f, respectively. Figures 6g-6i, respectively, show the ACFs of the three

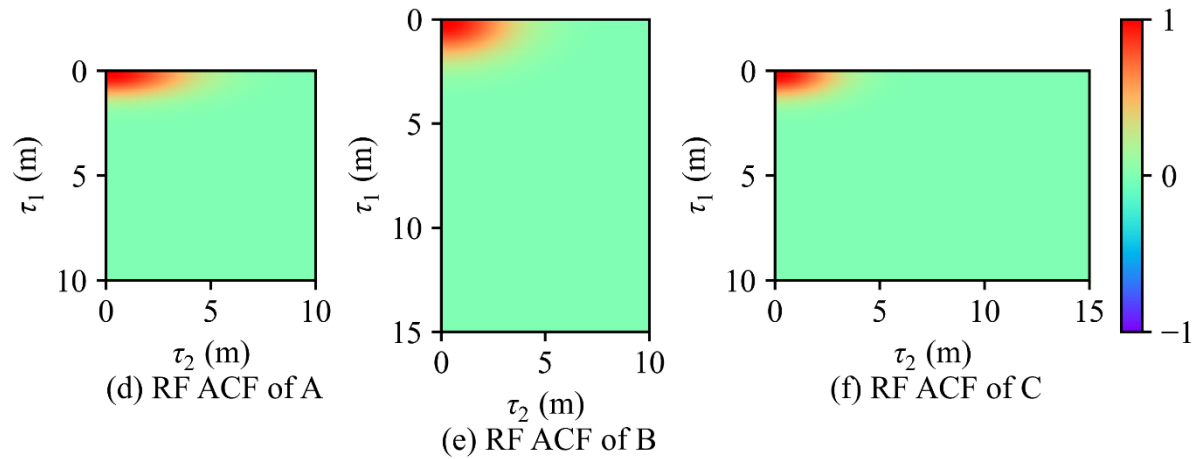
443 synthesized s_u cross-sections. The 2D ACFs are plotted as a colormap versus varying lag
444 distances along two directions. Since the auto-correlation decreases as the lag distance
445 increases, only half of the maximum lag distances along both directions are considered as
446 shown in the figure. In Figures 6a-6c, it is shown that the DCT-based ACFs of the trend
447 functions behave also like cosine functions, with ACF values fluctuating at corresponding
448 frequencies along the depth direction. In Figures 6d-6f, theoretical RF ACFs decay along both
449 directions accordingly to the corresponding RF parameters. Note that in Figures 6g-6i, the
450 DCT-based ACFs of the three s_u cross-sections show combined patterns exhibiting features of
451 the ACFs from the trend functions and the ACFs from the RFs. This indicates that ACF not
452 only may be used to characterize a zero-mean RF, but also simultaneously characterize the
453 underlying deterministic trend function (e.g., Brockwell and Davis, 1991).

454 Note that the three DCT-based ACFs have different shapes. To fairly compare these
455 DCT-based ACFs, the largest overlapped sections between any two cross-sections are selected,
456 as delineated by red dashed lines in Figures 6g-6i. For any two cross-sections, a similarity value
457 is calculated using Equation (7) and the corresponding overlapped DCT-based ACFs. The
458 similarity values for different pairs of cross-sections are calculated as $\rho_{AB} = 0.97$, $\rho_{AC} = 0.27$,
459 and $\rho_{BC} = 0.36$. The similarity values are consistent with the theoretical configurations of the
460 three cross-sections. It has been indicated in Table 1 that cross-sections A and B demonstrate
461 better spectral coherence since their non-stationary trend functions have an identical frequency,
462 although the trend function of cross-section B has a spatial shift. However, the trend function
463 of cross-section C contains a higher frequency than A and B. From a spectral perspective, cross-
464 section C may not be similar to cross-sections A and B. Note that the similarity quantification
465 using DCT-based ACF is invariant to the spatial offset values of spatial variability. Similar
466 examples with different spatial offset values had been analyzed, and consistent results were
467 obtained. In this paper, only the cross-sections configured in Table 1 are presented for brevity.

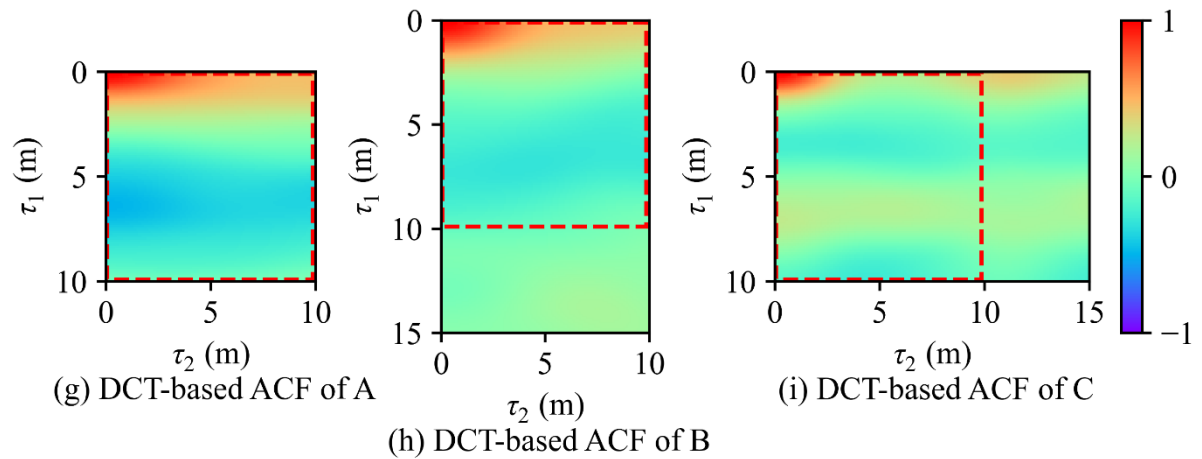
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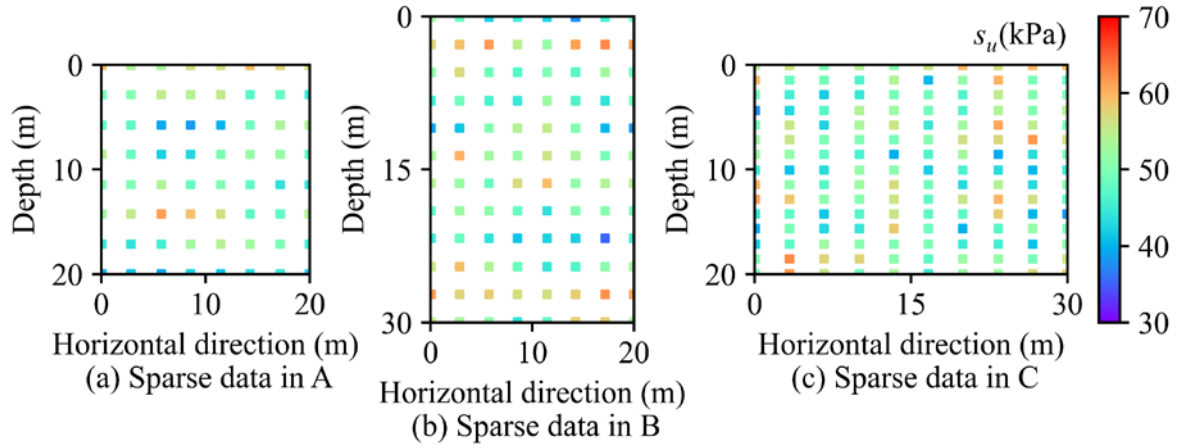


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472 Figure 6. DCT-based ACF in cross-sections A, B, and C: (a) Trend ACF of A; (b) Trend ACF
473 of B; (c) Trend ACF of C; (d) RF ACF of A; (e) RF ACF of B; (f) RF ACF of C; (g) ACF of
474 synthetic s_u data in A; (h) ACF of synthetic s_u data in B; (i) ACF of synthetic s_u data in C
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477 Note that the above cross-sectional similarity quantification is based on the three
 478 simulated cross-sections with complete s_u data. To illustrate the typical scenario of sparse
 479 investigation data, the following subsection performs cross-sectional similarity quantification
 480 using the same examples, but with sparsely measured data.



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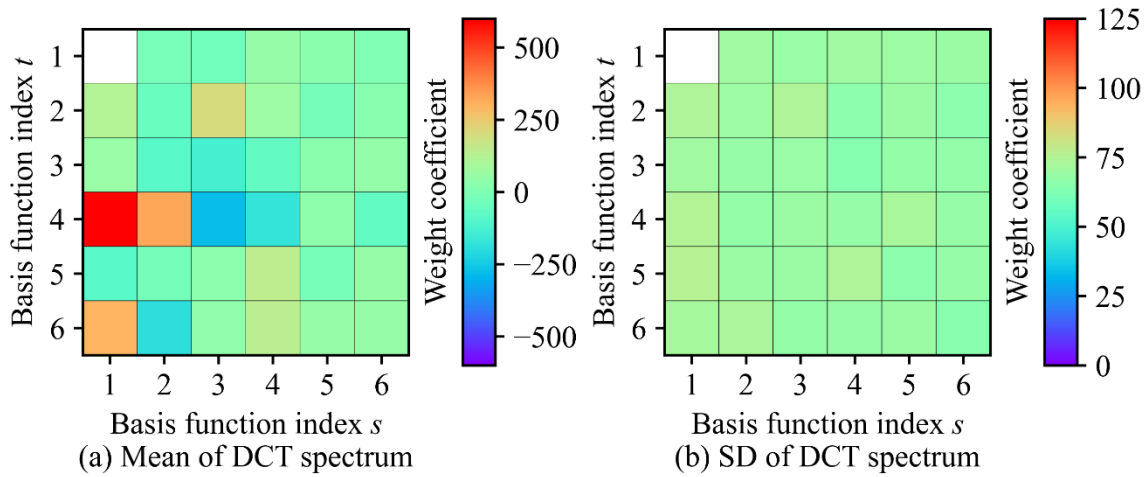
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Figure 7. Sparse data measured from cross-sections A, B, and C

483 **5.2. Similarity quantification between simulated cross-sections using sparse data**

484 To illustrate the challenge of sparse investigation data in cross-sections, selective s_u data are
 485 sampled from the simulated cross-sections as measurement data, which are subsequently used
 486 for probabilistic quantification of similarity between cross-sections with consideration of
 487 statistical uncertainty. As shown in Figure 7, uniform grid sampling is implemented in the three
 488 cross-sections. In cross-sections A, B, and C, 8×8 , 12×8 , and 15×10 s_u data are measured,
 489 respectively. The measured data account for around 0.16%, 0.16%, and 0.25%, respectively,
 490 of the corresponding discretized cross-section. The sampling ratios adopted are generally
 491 comparable to the engineering practice of site investigation, where the ratio of the volume of
 492 sampled soils over the volume of soils loaded/affected is normally around or less than 0.1%,
 493 depending on the project requirements, site complexity, and the level of details needed (e.g.,
 494 Look 2014; Guan and Wang, 2020). For the three cross-sections, the spatial resolutions
 495 $N_1 \times N_2$ are set as the original simulated cross-section, i.e., 200×200 for A, 300×200 for B,

496 and 200×300 for C. The corresponding measurement data are then adopted as $Y(x_1, x_2)$, and
 497 subsequently, BCS simulation is performed to generate $N_B=500$ samples of DCT spectrum for
 498 each cross-section.
 499

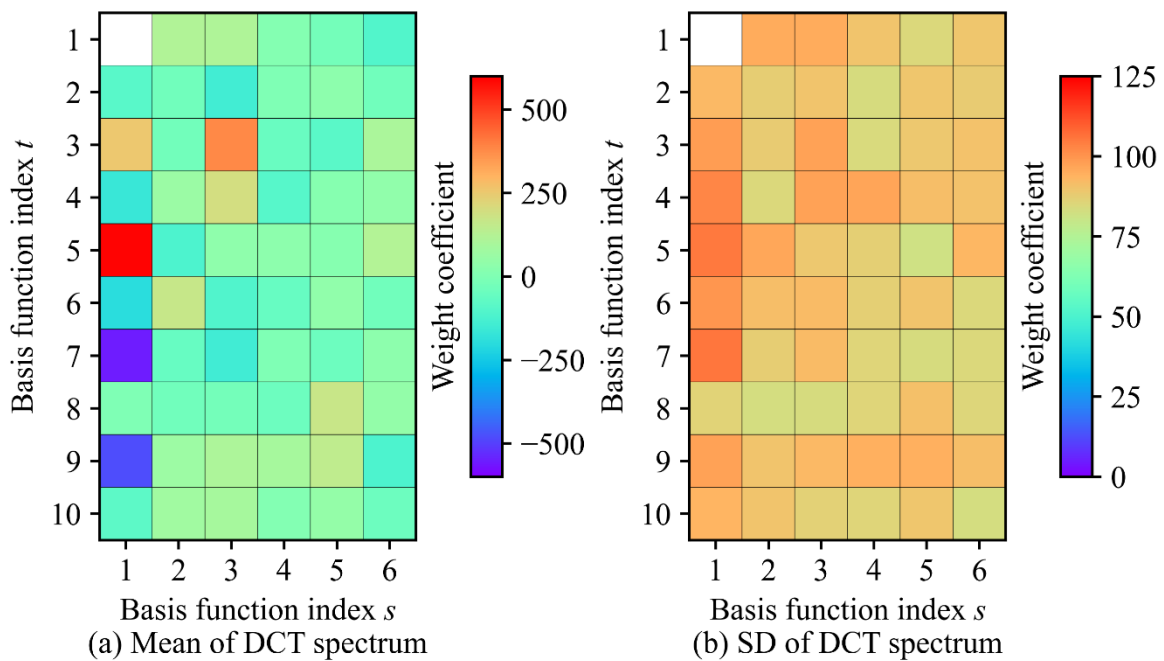


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Figure 8. Statistics of DCT spectrum in cross-section A

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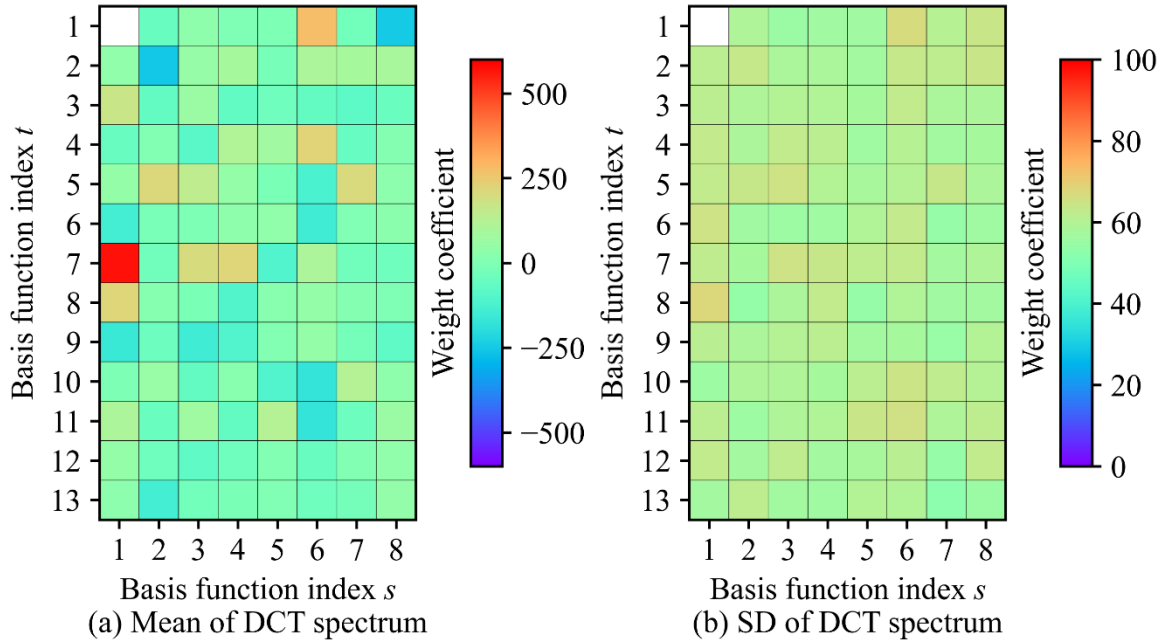


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Figure 9. Statistics of DCT spectrum in cross-section B

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Figure 10. Statistics of DCT spectrum in cross-section C

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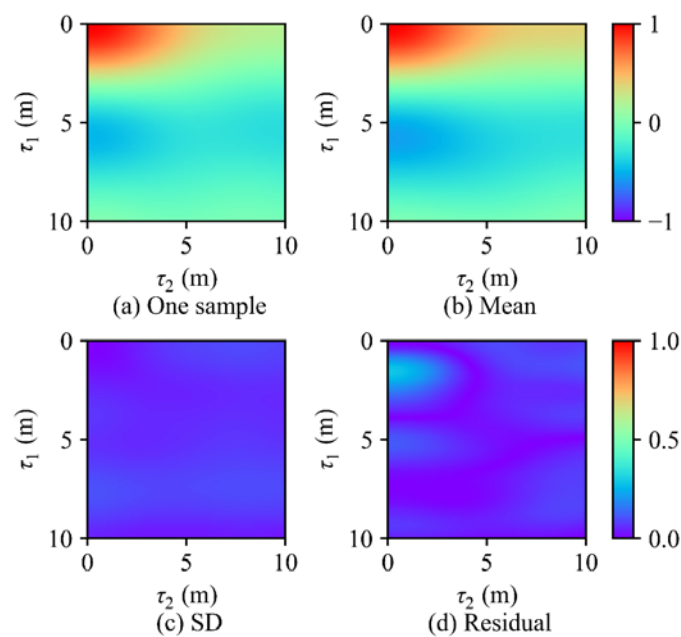
Figures 8a and 8b show the statistics of DCT spectrum for cross-sections A in the form of colored meshes. As shown in Figure 8a, 36 weight coefficients are identified from sparse data using BCS, and they are characterized by a 6×6 matrix of DCT spectrum. Each mesh is color-coded using the mean value of the weight coefficient with indexes t and s , which are indexes (or frequencies) of the corresponding 2D basis functions, as indicated in Equation (2). Note that the $\omega_{1,1}^{2D}$ corresponding to the contribution of the constant basis function $B_{1,1}(x_1, x_2)$ is much greater than the other coefficients and is not required for the calculation of DCT-based ACF (see Equation (6)). Therefore, the upper left cell in Figure 8 is shown as empty for visualization clarity. It is observed that among these 35 weight coefficients, only a few of them are significant, with the remaining ones close to zeros. Figure 8b shows the SD values of the corresponding weight coefficients in Figure 8a, which reflect the statistical uncertainty of the DCT spectrum. Figures 9 and 10 show the statistics of N_B samples of DCT spectrum for cross-sections B and C, respectively. Figures 8-10 display that the number of weight coefficients, as well as the indexes of significant coefficients, in the approximated DCT spectrum are different

522 in different cross-sections. The DCT spectrums are not directly comparable, since they
523 correspond to cross-sections with different spatial dimensions. DCT-based ACF is
524 subsequently calculated for a unified representation of 2D spatial variability in different cross-
525 sections.

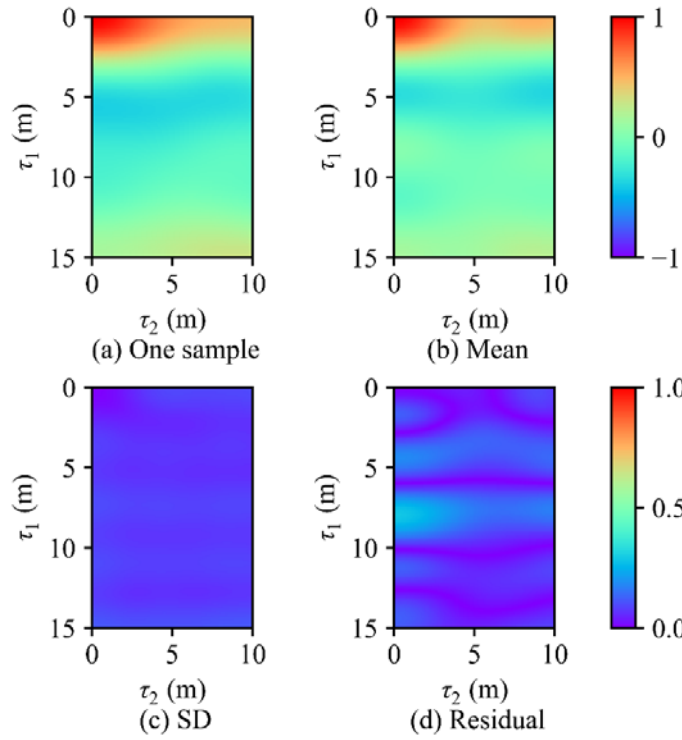
526 Using Equation (6), N_B samples of DCT-based ACFs are obtained for each cross-
527 section. Figure 11 shows the statistics of the obtained DCT-based ACFs in cross-section A by
528 colormaps. Subplot (a) demonstrates one sample of DCT-based ACF, which portrays a possible
529 representation of the 2D spatial variability patterns of s_u data in cross-section A. The associated
530 non-stationarity and spatial variability anisotropy are represented in DCT-based ACF in a
531 unified manner. Subplots (b) and (c) show the mean and the SD of N_B samples of DCT-based
532 ACFs. Subplot (d) reveals the absolute residual between the mean in Subplot (b) and the
533 original DCT-based ACF in Figure 6g. The mean of DCT-based ACFs in Subplot (b) is
534 interpreted as the best estimate for the cross-section A in the presence of sparse investigation
535 data (e.g., see Figure 7a). Figures 12 and 13 show the statistics of the obtained DCT-based
536 ACFs in cross-sections B and C, respectively, following the same presentation format. It is
537 observed from Figures 11-13 that, for all three cross-sections, the colormaps in Subplots (c)
538 and (d) are generally comparable, indicating the quantified statistical uncertainty of DCT-based
539 ACF is rational.

540 To quantify the similarity between any two cross-sections with consideration of
541 statistical uncertainty, N_B DCT-based ACF samples from one cross-section are randomly
542 paired with N_B DCT-based ACF samples from another cross-section. For each pair of DCT-
543 based ACFs, a similarity value is calculated using Equation (7), leading to N_B similarity values.
544 Probabilistic cross-sectional similarity quantification is then performed by statistical analysis
545 of these N_B similarity values. Figure 14 shows the obtained similarity values by blue
546 histograms. Figure 14a presents the similarity values between cross-sections A and B. It shows

547 that for cross-sections A and B, the associated histogram of similarity values peaks at a value
 548 approaching 1. The mean of the similarity values is calculated as 0.88 and is close to the true
 549 similarity value 0.97, which is denoted by a vertical red dashed line in Figure 14a. High cross-
 550 sectional similarity between A and B is reasonably quantified from sparse data. Figure 14b
 551 presents the similarity values between cross-sections A and C. The mean of the similarity
 552 values is calculated as 0.26 which is close to the true value 0.27. Although the correlation
 553 lengths of cross-sections A and B are slightly different as indicated in Table 1, the similarity
 554 quantification may be dominated by the respective trend functions that have the same frequency.
 555 The low cross-sectional similarity between cross-sections A and C is also quantified accurately.
 556 In Figure 14, the SD of similarity values can be interpreted as the statistical uncertainty of
 557 quantified cross-sectional similarity, which integrates the statistical uncertainty of DCT
 558 spectrum from both concerned cross-sections. The SD values are calculated as 0.06 for cross-
 559 sections A and B, and 0.08 for cross-sections A and C. It suggests that for the above two
 560 comparisons, the associated statistical uncertainty is relatively small. In other words, the three
 561 cross-sections, particularly the associated trend functions, may be characterized well by sparse
 562 data.



563
564 Figure 11. Statistics of DCT-based ACFs in cross-section A

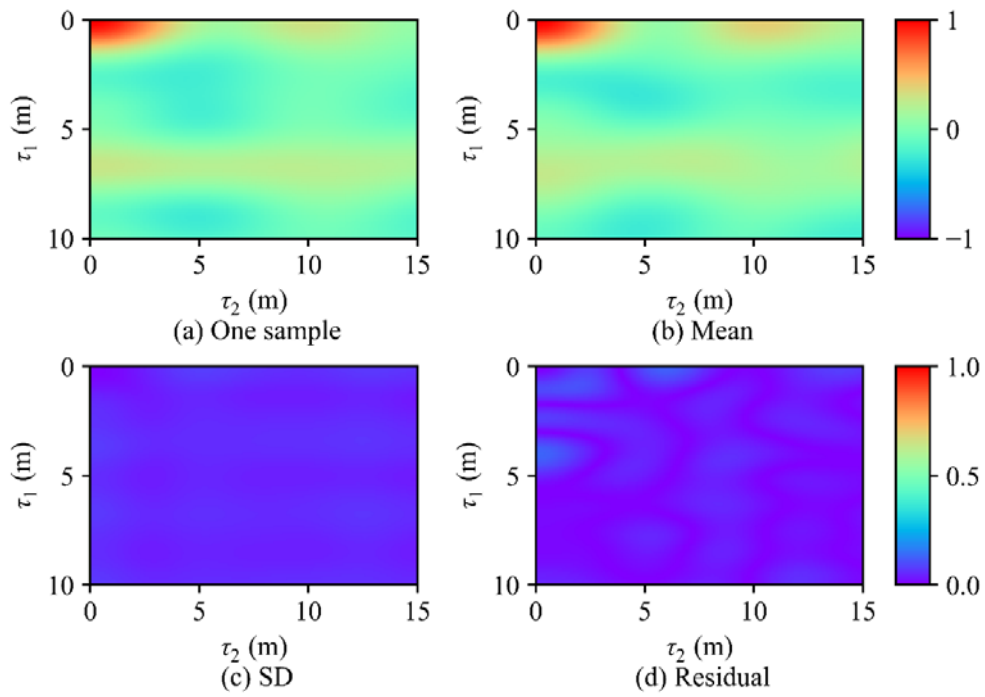


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Figure 12. Statistics of DCT-based ACFs in cross-section B

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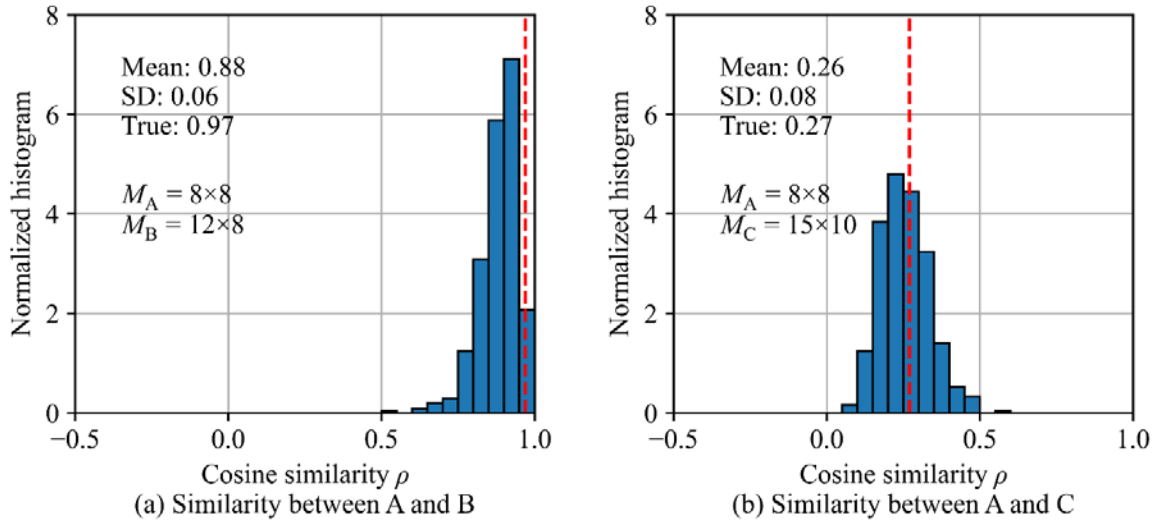


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Figure 13. Statistics of DCT-based ACFs in cross-section C

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Figure 14. Normalized histogram of cosine similarity values between cross-sections: (a) A and B; (b) A and C

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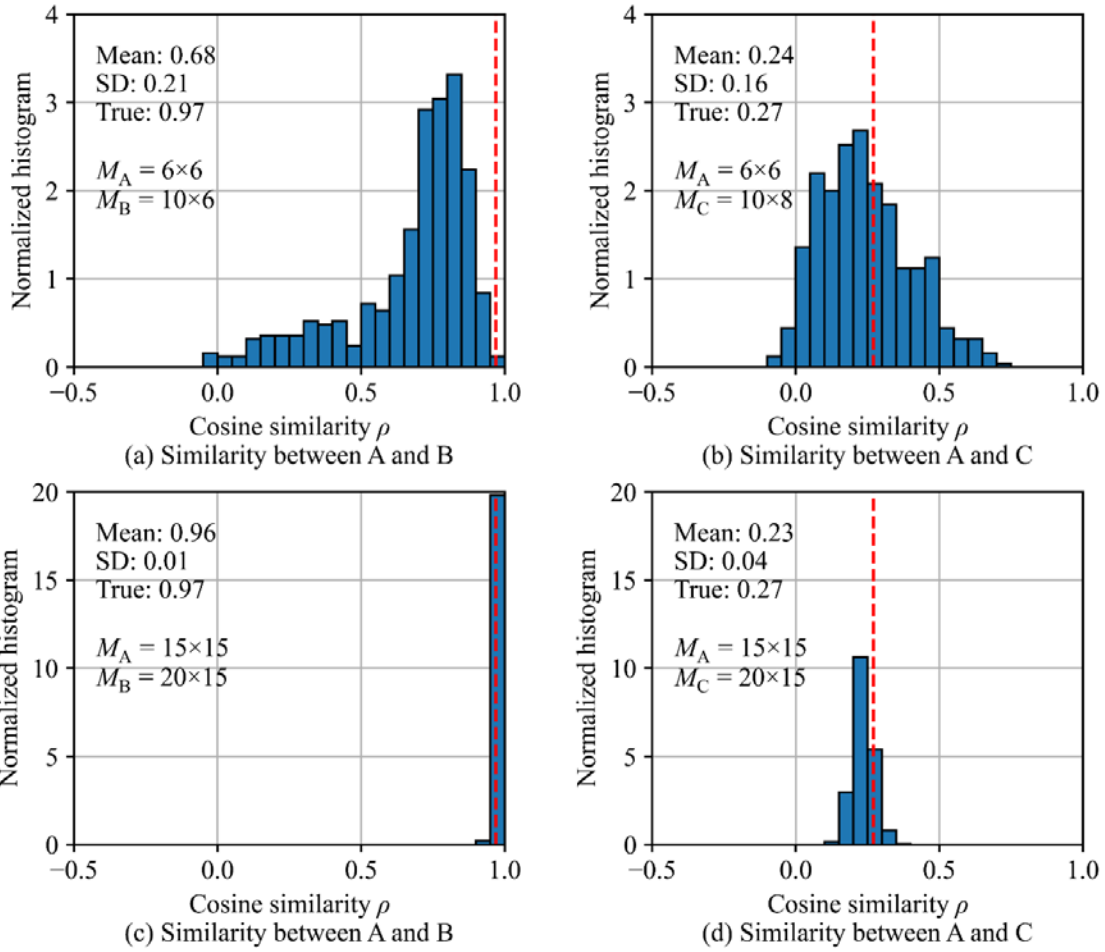
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Table 2. Statistics of cross-sectional similarity values obtained from measurement data of cross-sections A, B, and C

M scenario	Cross-sections	A	B	C
$M_A=6\times 6$	A	1	0.68(0.21)	0.24(0.16)
$M_B=10\times 6$	B	-	1	0.35(0.14)
$M_C=10\times 8$	C	-	-	1
$M_A=8\times 8$	A	1	0.88(0.06)	0.26(0.08)
$M_B=12\times 8$	B	-	1	0.47(0.09)
$M_C=15\times 10$	C	-	-	1
$M_A=15\times 15$	A	1	0.96(0.01)	0.23(0.04)
$M_B=20\times 15$	B	-	1	0.36(0.03)
$M_C=20\times 15$	C	-	-	1

Data format: Mean (Standard deviation)

577



578

579 Figure 15. Normalized histogram of cosine similarity values between cross-sections under
 580 different measurement scenarios (M)

581 **5.3. Effect of the number of measured data points**

582 This subsection investigates the effect of the number, M , of measured data points on the
 583 performance of cross-sectional similarity quantification. Two more measurement scenarios for
 584 the three cross-sections are added. One added scenario has a smaller number of measured s_u
 585 data, i.e., $M=6 \times 6$, 10×6 , and 10×8 s_u data with a uniform grid sampling are measured in cross-
 586 sections A, B, and C, respectively. Another added scenario has a larger number of measured s_u
 587 data, i.e., $M=15 \times 15$, 20×15 , and 20×15 s_u data are measured in the three cross-sections
 588 respectively. For each added scenario, cross-sectional similarity quantifications are performed,
 589 following the implementation procedures described in Section 4.

590 Figure 15 shows the histograms of cross-sectional similarity values for two added
591 scenarios, between A and B, and between A and C, respectively. Figure 15a shows the
592 similarity between cross-sections A and B, when the number of measurement data is relatively
593 small. In comparison to Figure 14a, it is observed that the mean of similarity values decreases
594 significantly from 0.88 to 0.68. In addition, the SD of similarity values increases significantly
595 from 0.08 to 0.21. Figure 15c corresponds to the similarity between cross-sections A and B,
596 when the number of measurement data is relatively large. It shows that the histogram is
597 narrowed down significantly and almost overlaps with the true value. Similar observations are
598 also obtained for similarity values between cross-sections A and C, where the corresponding
599 small and large measurement data number scenarios are shown in Figures 15b and 15d,
600 respectively. For cross-sectional similarity between A and C, it appears that the true similarity
601 value, which is as low as 0.27, can be accurately identified using extremely sparse data. Both
602 high cross-sectional similarity between A and B and low cross-sectional similarity between A
603 and C can be quantified effectively using sparse data. The results of this sensitivity study, as
604 well as the cross-sectional similarity between B and C, are summarized in Table 2. The results
605 indicate that the performance of the proposed method for quantifying cross-sectional similarity
606 depends on the number M of available measured data in corresponding cross-sections. When
607 M is low in the two cross-sections to be compared, the associated statistical uncertainty might
608 become dominant in the subsequent cross-sectional similarity quantification. In this case,
609 additional site investigation might be required to get more measurements and insights into the
610 spatial variability in concerned cross-sections. As M increases, the quantified cross-sectional
611 similarity converges to the true value. Moreover, the proposed method also applies to scenarios
612 where the amount of measured data differs significantly in the two cross-sections, e.g., one
613 cross-section characterized with limited data while another characterized with much more data.
614 This enables the proposed method to be performed in a data-driven manner in practical site

615 investigation. Note that the relationship between the number M of available measurement data
 616 and the cross-sectional similarity is problem-specific and might not necessarily be a general
 617 one that can possibly be applied to other cross-sections.

618

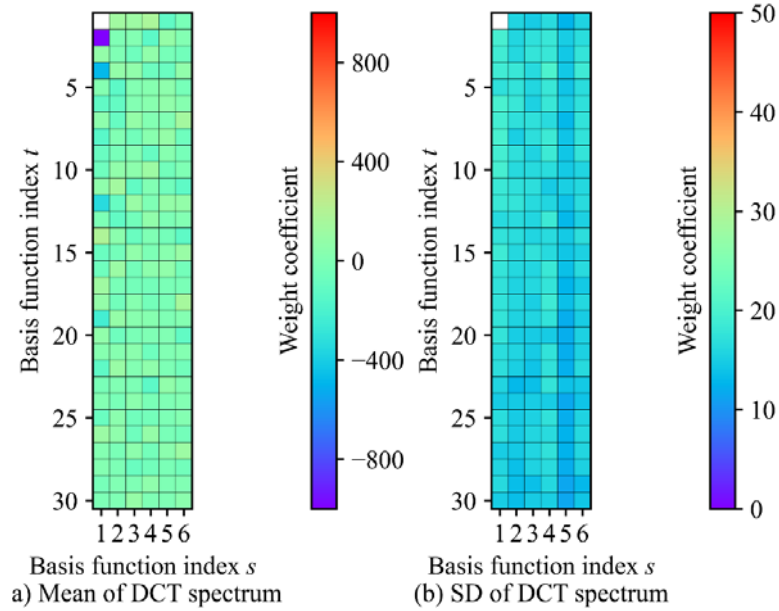


Figure 16. Statistics of DCT spectrum at Site 1

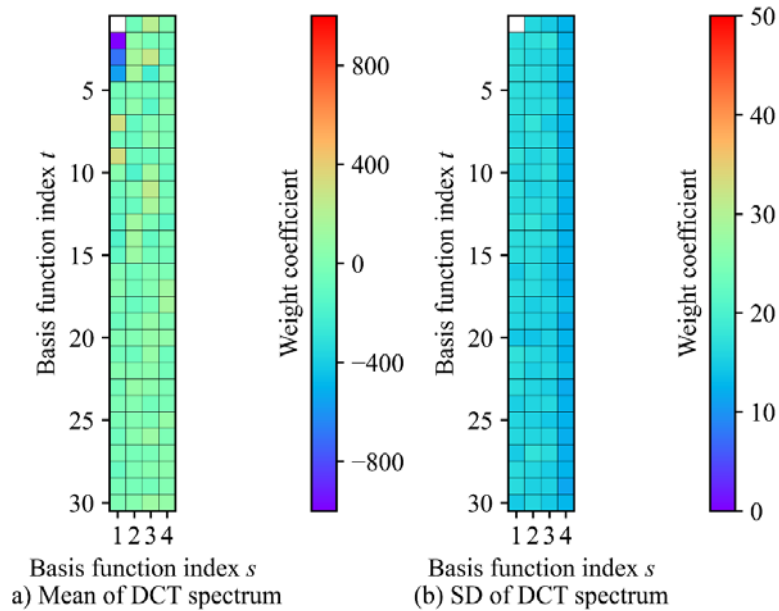
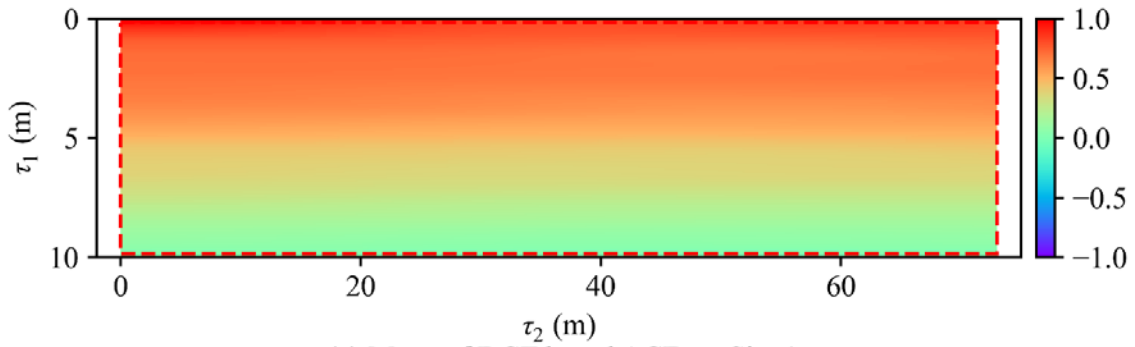


Figure 17. Statistics of DCT spectrum at Site 2

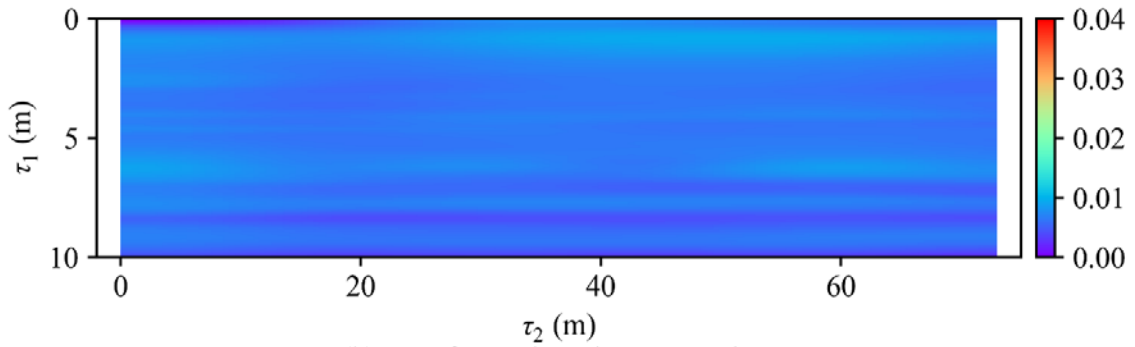
624 **6. Real examples**

625 This section demonstrates an application of the proposed method to the real examples shown
626 in Figure 1. Probabilistic quantification of cross-sectional similarity between Site 1 and Site 2
627 is performed, following the implementation procedures in Section 4. Sites 1 and 2 have a length
628 of 145m and 245m, respectively, and they are both 20m deep. In step 1, a vertical resolution of
629 0.05m and a horizontal resolution of 0.5m are adopted to discretize the cross-sections at the
630 two sites, leading to a cross-section image with a size of 400×290 for Site 1, and a cross-section
631 image with a size of 400×490 for Site 2. In step 2, the CPT data (e.g., corrected cone resistance
632 q_t in this example) within these two cross-sections are obtained. As shown in Figures 2a and
633 2b, eight q_t data profiles are within cross-section at Site 1 and seven profiles are within cross-
634 section at Site 2. In step 3, $N_B=500$ samples of DCT spectrum are generated from q_t data for
635 each site using BCS. Figures 16 and 17 show the statistics of DCT spectrum at Site 1 and Site
636 2, respectively. It is seen that for both sites, the numbers of identified weight coefficients are
637 different, with 179 coefficients for Site 1 and 119 coefficients for Site 2. The indexes of
638 significant coefficients for the two sites are also apparently different. In step 4, N_B DCT-based
639 ACFs are calculated based on N_B samples of DCT spectrum for both sites. Figures 18a and 18b
640 show the mean and SD of N_B samples of DCT-based ACFs at Site 1. Figures 19a and 19b show
641 the corresponding results at Site 2. It is evident that the means of DCT-based ACFs in Figures
642 18a and 19a have generally consistent patterns, i.e., predominant spatial variability patterns
643 along vertical directions and relatively minor variability patterns along horizontal directions.
644 The SD maps in Figures 18b and 19b show similar magnitudes, suggesting the statistical
645 uncertainty for these two sites is comparable. In step 5, to perform a probabilistic quantification
646 of cross-sectional similarity, N_B DCT-based ACFs at Site 1 are randomly paired with N_B DCT-
647 based ACFs at Site 2. Since the horizontal lengths are different for these two sites (i.e., 145m
648 for Site 1 and 245m for Site 2), the associated DCT-based ACFs of these two sites have

649 different dimensions, as shown in Figures 18 and 19. Therefore, the overlapped sections of
650 Sites 1 and 2, denoted by red dashed lines in Figures 18a and 19a, are used for cross-sectional
651 similarity quantification. Using N_B pairs of DCT-based ACFs and Equation (6), N_B similarity
652 values are obtained, which are presented by a histogram in Figure 20. Note that the histogram
653 of similarity values is narrow and mainly located at the ρ range of about 0.97 to 0.98. The mean
654 and SD of the N_B similarity values are calculated as 0.977 and 0.002, respectively. According
655 to the results, the proposed method suggests that Sites 1 and 2 are highly similar, and the
656 associated statistical uncertainty is insignificant. Although the numbers of CPTs soundings are
657 sparse from both sites, the spatial variability patterns of q_t data are prominent and consistent.
658 The increasing trends of q_t data profiles at both sites, as shown in Figure 2 are comparable and
659 properly identified. A systematic study on spatial variability with increasing trend functions is
660 worth exploring in a future study to clearly demonstrate generalizability of the proposed
661 method. In addition, the results indicate that the proposed method performs well even for cross-
662 sections with non-uniformly measured data. This scenario can be regarded as incomplete data,
663 because any non-uniform measurement grid can be derived from a uniform measurement grid
664 by removing measurements from selected points. Hence, this scenario refers to one aspect of
665 MUSIC, which is “I” for incomplete data.



(a) Mean of DCT-based ACFs at Site 1

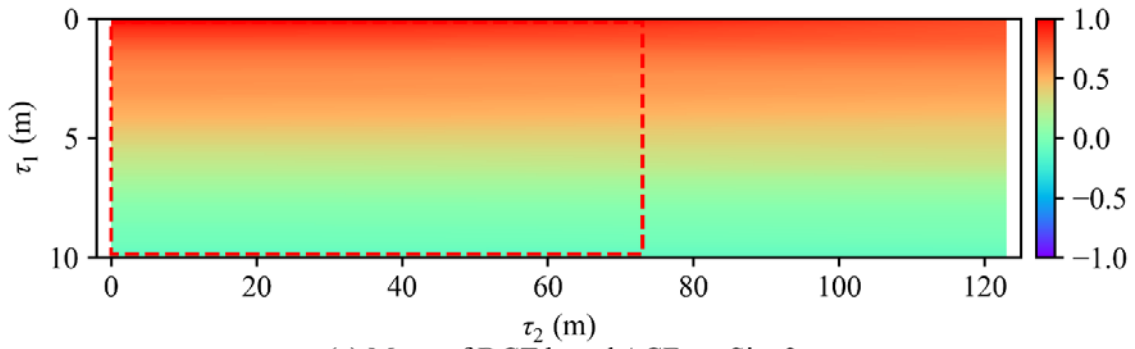


(b) SD of DCT-based ACFs at Site 1

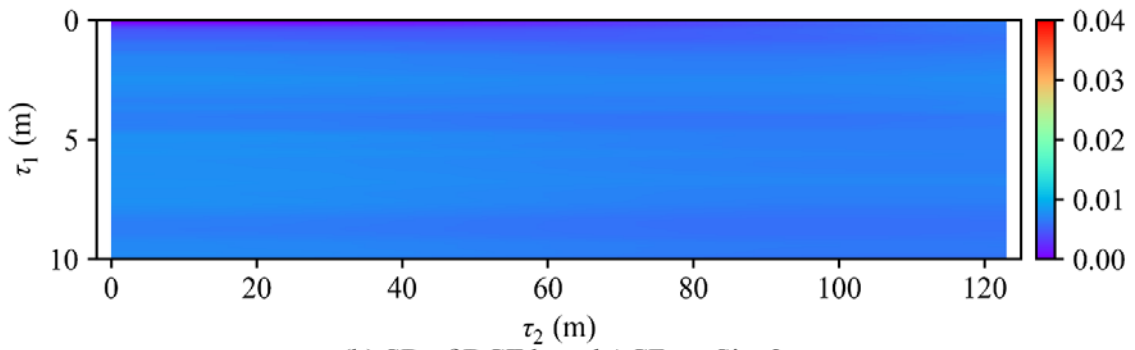
666

667

Figure 18. Statistics of DCT-based ACFs at Site 1



(a) Mean of DCT-based ACFs at Site 2



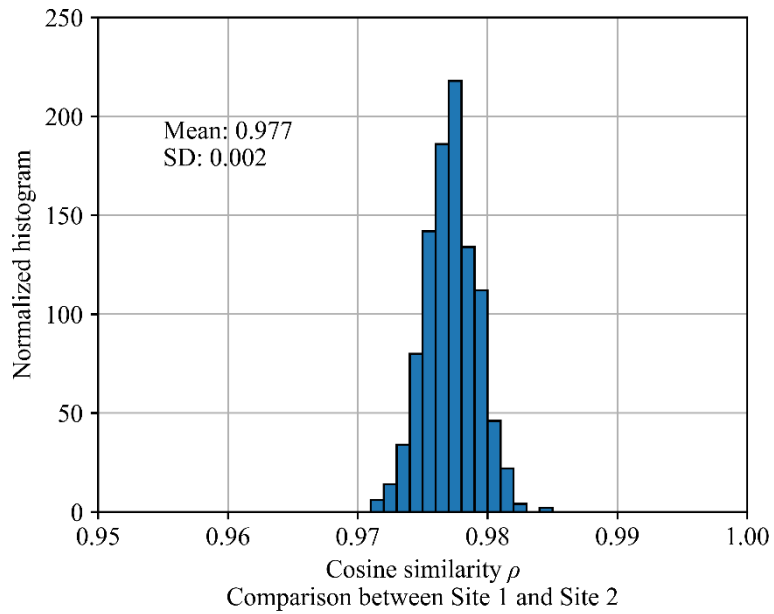
(b) SD of DCT-based ACFs at Site 2

668

669

Figure 19. Statistics of DCT-based ACFs at Site 2

670



671

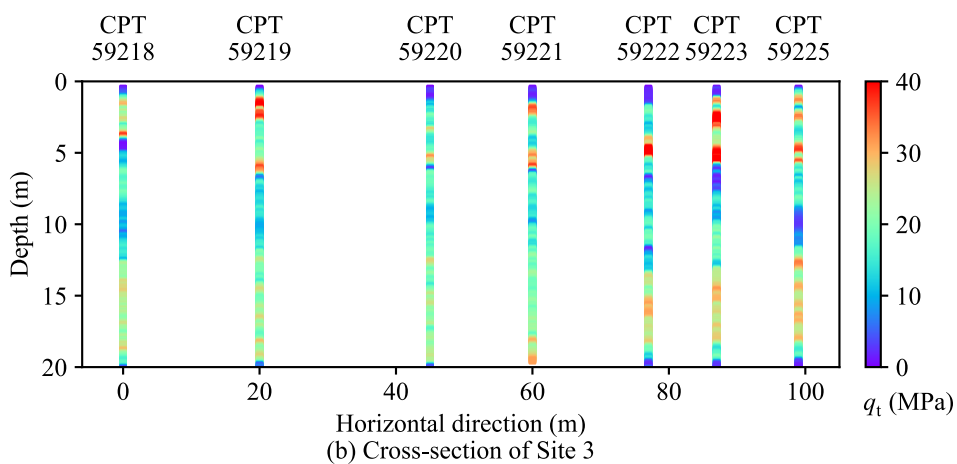
672 Figure 20. Normalized histogram of cosine similarity values between Sites 1 and 2 in the real
673 data example

674



(a) Layout of seven CPTs in Site 3

675

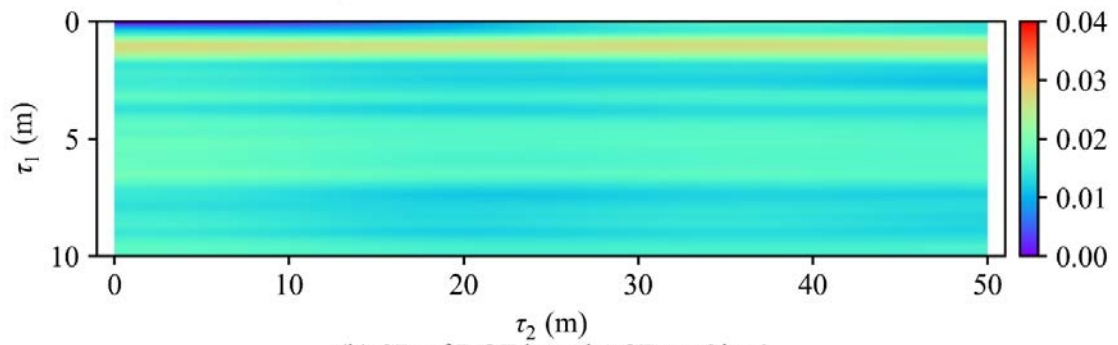
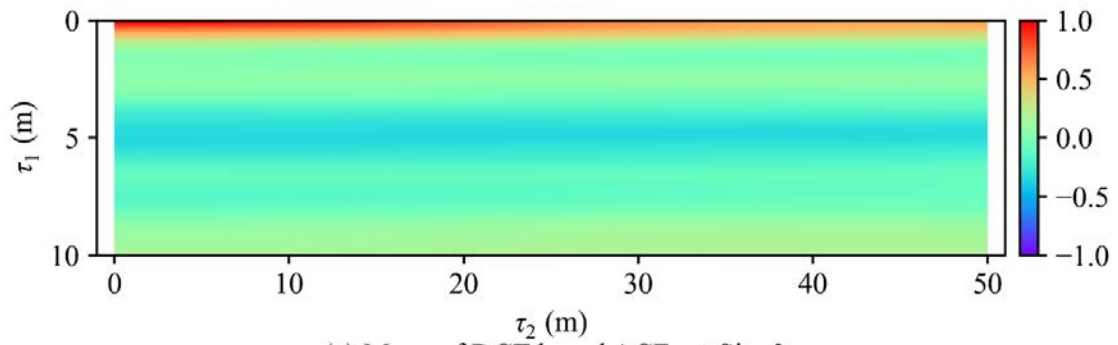


(b) Cross-section of seven CPTs

676

677 Figure 21. Cone penetration tests (CPTs) performed in Site 3: (a) layout map of seven CPTs;
678 (b) Cross-section of seven CPTs

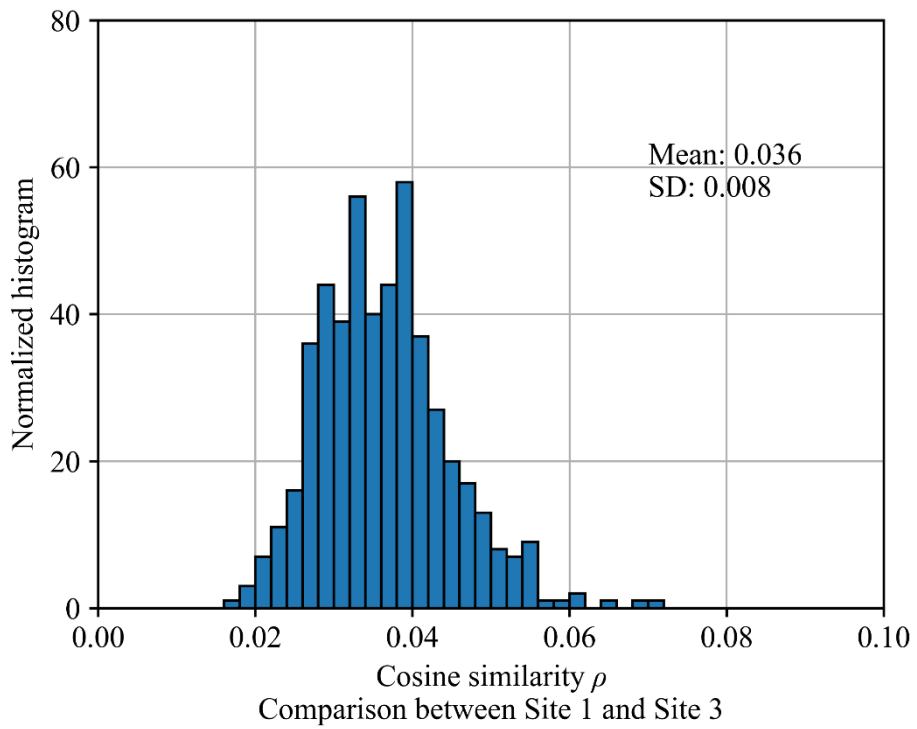
679 To further demonstrate the performance of the proposed method, another cross-section
680 example at Site 3 is compared with the cross-section at Site 1. As shown in Figure 21a, Site 3
681 is a cross-section with a horizontal length of around 100m, and seven CPTs were performed in
682 this cross-section. In contrast to Site 2, which is only approximately 700m away from Site 1,
683 Site 3 is relatively far from Site 1, and they are roughly 5km apart. In view of this spatial
684 distance, CPT data at Site 3 might exhibit different spatial variability patterns from that of Site
685 1. Figure 21b shows the q_t data profiles in this cross-section. Figure 2a and Figure 21b are
686 visually different. Probabilistic quantification of cross-sectional similarity between Sites 1 and
687 3 is performed, following the implementation procedures described in Section 4. After
688 configuring the same spatial resolutions for Site 3 as Site 1 (i.e., vertical resolution of 0.05m
689 and a horizontal resolution of 0.5m), $N_B=500$ samples of DCT spectrum are generated for Site
690 3 using BCS. Subsequently, DCT-based ACFs are calculated for Site 3. Figures 22a and 22b
691 show the mean and SD of DCT-based ACFs at Site 3, respectively. It shows that the mean of
692 DCT-based ACFs in Figure 22a differs significantly from Figure 18a. The ACF at Site 3 decays
693 and fluctuates faster than the ACF at Site 1 along the depth direction. This suggests that the
694 correlation length along the depth direction at Site 3 is much smaller than that at Site 1. In
695 addition, the SD map in Figure 22b shows a higher magnitude than the SD map in Figure 18b,
696 suggesting the statistical uncertainty of q_t data at Site 3 is greater than Site 1. Then, N_B DCT-
697 based ACFs at Site 3 are randomly paired with N_B DCT-based ACFs at Site 1. The resulting
698 N_B similarity values are calculated and shown in Figure 23. The mean and SD of the N_B
699 similarity values are calculated as 0.036 and 0.008, respectively. The difference between Figure
700 20 (similarity between Sites 1 and 2) and Figure 23 (similarity between Sites 1 and 3) is stark.
701 According to the results, the proposed method suggests that Sites 3 and 1 are not similar.



702

703

Figure 22. Statistics of DCT-based ACFs at Site 3



704

705

Figure 23. Normalized histogram of cosine similarity values between Site 1 and Site 3

706 **7. Conclusions**

707 In this study, a novel data-driven method was proposed to quantify 2D cross-sectional
708 similarity based on the spatial variability of one soil property. To the best of the authors'
709 knowledge, the proposed method is the first method to quantify geotechnical site similarity
710 with explicit consideration of 2D spatial variability. It tackled the challenges of sparse
711 investigation data, non-stationary spatial variability, and inconsistent spatial dimensions of
712 different 2D cross-sections. A unified representation framework of 2D spatial variability using
713 DCT-based ACF was developed. Cross-sectional similarity was quantified by the similarity of
714 DCT-based ACFs between cross-sections. For a given 2D cross-section, BCS was adopted to
715 approximate the DCT spectrum directly from sparse investigation data. The associated
716 statistical uncertainty was also quantified by simulation of many random samples of the DCT
717 spectrum. Samples of DCT-based ACF were then calculated using random samples of DCT
718 spectrum and the newly derived equations. Then, cross-sectional similarity was quantified by
719 the cosine similarity of DCT-based ACFs between two cross-sections. Theoretical derivation
720 in the Appendix suggested that DCT-based ACF is an effective surrogate to represent 2D cross-
721 sectional spatial variability from a spectral perspective and enables direct pattern comparison
722 between cross-sections with different spatial dimensions.

723 Numerical examples of three soil property cross-sections were provided to illustrate the
724 performance of the proposed method. The similarity between any two of the three cross-
725 sections was quantified. Results indicated that the proposed method rationally quantifies the
726 cross-sectional similarity and associated statistical uncertainty from sparse data in a data-driven
727 manner. The quantified similarity values converged to the true value when the number of
728 measured data increases. Real data examples from New Zealand were also used to demonstrate
729 the application of the proposed method. High cross-sectional similarity was obtained between
730 two sites which are approximately 700m apart. The proposed method also suggested low

731 similarity between two sites which are 5km apart. The similarity quantification developed in
732 this study assists engineering geologists and geotechnical engineers with an efficient
733 identification of similar project sites and informed decision-making in site characterization.
734 Geotechnical experiences may be effectively shared between the identified similar sites. In
735 practice, the proposed method might also be implemented with masked geographical
736 coordinate information, since such information may be restricted for confidentiality reasons.
737 The proposed method can identify a quasi-regional cluster of CPT soundings in a global
738 database that is more relevant to understanding the spatial variability of a soil property at a
739 target site. It relieves the engineer from sole reliance on subjective judgment and tedious
740 manual visual inspection to complete the same task.

741 **Appendix: Derivation of Equation (6)**

742 Equation (3) suggests that the patterns of basis function $B_{t,s}(x_1, x_2)$ and corresponding weight
743 coefficient $\omega_{t,s}^{2D}$ jointly control the patterns of $F(x_1, x_2)$. To investigate the effect of basis
744 function $B_{t,s}(x_1, x_2)$ patterns on the $F(x_1, x_2)$ patterns when DCT basis function is adopted,
745 the ACF of $B_{t,s}(x_1, x_2)$ is firstly derived based on Equations (1), (2), and (5) (e.g., Shinozuka
746 and Deodatis, 1991; Vanmarcke, 2010):

$$\begin{aligned} & \text{ACF}[B_{t,s}(x_1, x_2), \tau_1, \tau_2] \\ &= \frac{E \left\{ \left[B_{t,s}^{2D}(x_1, x_2) - \mu_{B_{t,s}^{2D}}(x_1, x_2) \right] \left[B_{t,s}^{2D}(x_1 + \tau_1, x_2 + \tau_2) - \mu_{B_{t,s}^{2D}}(x_1, x_2) \right] \right\}}{\sigma_{B_{t,s}^{2D}}^2(x_1, x_2)} \end{aligned} \quad (\text{A.1})$$

747 in which $\mu_{B_{t,s}^{2D}}(x_1, x_2)$ and $\sigma_{B_{t,s}^{2D}}(x_1, x_2)$ are the mean value and standard deviation of $B_{t,s}(x_1, x_2)$,
748 respectively. It is seen from Equation (1) and Figure 4 that, when $t = s = 1$, $\mu_{B_{1,1}^{2D}}(x_1, x_2)$ is a
749 constant function, for which ACF is undefined. For $t \geq 2$, the mean value $\mu_{B_t(x)}$ is zero
750 because of the nature of cosine function:

$$\int_0^1 \cos(t\pi)idi = 0 \quad (\text{for } t \in \mathbb{Z}, t \geq 2, \text{ and } i \in (0, 1)) \quad (\text{A.2})$$

751 Therefore, for $t, s \neq 1$ concurrently, the $\mu_{B_{t,s}^{2D}(x_1, x_2)}$ is reduced to zero according to the
 752 definition of $B_{t,s}(x_1, x_2)$ in Equation (2). Equation (A.1) is then rewritten as:

$$\begin{aligned} & \text{ACF}[B_{t,s}(x_1, x_2), \tau_1, \tau_2] \\ &= \frac{1}{\sigma_{B_{t,s}^{2D}(x_1, x_2)}^2} E\{[B_{t,s}^{2D}(x_1, x_2)][B_{t,s}^{2D}(x_1 + \tau_1, x_2 + \tau_2)]\} \end{aligned} \quad (\text{A.3})$$

753 Since the 2D basis function $B_{t,s}^{2D}(x_1, x_2)$ is constructed by a tensor product of two 1D DCT
 754 basis functions along two orthonormal directions, respectively (see Equation (2)), two 1D DCT
 755 basis functions are independent of each other. $B_{t,s}^{2D}(x_1, x_2)$ and $B_{t,s}^{2D}(x_1 + \tau_1, x_2 + \tau_2)$ hence can
 756 be factorized, and Equation (A.3) is rewritten as:

$$\begin{aligned} & \text{ACF}[B_{t,s}(x_1, x_2), \tau_1, \tau_2] \\ &= \frac{1}{\sigma_{B_{t,s}(x_1, x_2)}^2} E[B_t(x_1)B_t(x_1 + \tau_1)]E[B_s(x_2)B_s(x_2 + \tau_2)] \end{aligned} \quad (\text{A.4})$$

757 The first expectation term in Equation (A.4) for x_1 direction can be derived, after substituting
 758 1D DCT basis function from Equation (1) to Equation (A.4):

$$\begin{aligned} & E[B_t(x_1)B_t(x_1 + \tau_1)] \\ &= E \left[\sqrt{\frac{2}{N_1}} \cos\pi(t-1) \frac{(x_1 - 0.5)}{N_1} \sqrt{\frac{2}{N_1}} \cos\pi(t-1) \frac{(x_1 + \tau_1 - 0.5)}{N_1} \right] \end{aligned} \quad (\text{A.5})$$

759 Using product-to-sum identity, the product of two cosine functions can be rewritten as:

$$\begin{aligned}
& E[B_t(x_1)B_t(x_1 + \tau_1)] \\
&= \frac{1}{N_1} \left\{ E \left[\cos\pi(t-1) \frac{(2x_1 + \tau_1 - 1)}{N_1} \right] + E \left[\cos\pi(t-1) \frac{\tau_1}{N_1} \right] \right\} \tag{A.6}
\end{aligned}$$

760 The first expectation term with index x_1 is reduced to zero after expectation operation for x_1 .

761 Therefore, Equation (A.6) is derived as a cosine function of τ_1 at frequency $\pi(t-1)$:

$$E[B_t(x_1)B_t(x_1 + \tau_1)] = \frac{1}{N_1} \cos\pi(t-1) \frac{\tau_1}{N_1} \tag{A.7}$$

762 In a similar fashion, the second expectation in Equation (A.4) for x_2 direction can be derived

763 as:

$$E[B_s(x_2)B_s(x_2 + \tau_2)] = \frac{1}{N_2} \cos\pi(s-1) \frac{\tau_2}{N_2} \tag{A.8}$$

764 Therefore, substituting Equations (A.7) and (A.8) into Equation (A.4) leads to:

$$\begin{aligned}
& \text{ACF}[B_{t,s}(x_1, x_2), \tau_1, \tau_2] \\
&= \frac{1}{\sigma_{B_{t,s}(x_1, x_2)}^2} E[B_t(x_1)B_t(x_1 + \tau_1)] E[B_s(x_2)B_s(x_2 + \tau_2)] \\
&= \frac{1}{\sigma_{B_{t,s}(x_1, x_2)}^2} \frac{1}{N_1 N_2} \cos\pi(t-1) \frac{\tau_1}{N_1} \cos\pi(s-1) \frac{\tau_2}{N_2} \tag{A.9}
\end{aligned}$$

765 Note that the variance term $\sigma_{B_{t,s}(x_1, x_2)}^2$ can be derived based on the fact that the 2D DCT basis

766 function is zero-mean and orthonormal (e.g., Rao and Yip, 1990). The Frobenius norm of 2D

767 basis function $B_{t,s}(x_1, x_2)$ is unity:

$$\|B_{t,s}(x_1, x_2)\| = \sqrt{B_{t,s}(1, 1)^2 + B_{t,s}(2, 1)^2 + \dots + B_{t,s}(N_1, N_2)^2} = 1 \tag{A.10}$$

768 According to the definition of variance, the $\sigma_{B_{t,s}(x_1, x_2)}^2$ is derived as:

$$\sigma_{B_{t,s}(x_1,x_2)}^2 = \frac{1}{N_1 N_2} [B_{t,s}(1,1)^2 + B_{t,s}(2,1)^2 + \dots + B_{t,s}(N_1, N_2)^2] = \frac{1}{N_1 N_2} \quad (\text{A.11})$$

769 Therefore, substituting Equation (A.11) into Equation (A.9) leads to the normalized ACF of
 770 2D basis function:

$$\begin{aligned} & \text{ACF}[B_{t,s}(x_1, x_2), \tau_1, \tau_2] \\ &= \frac{1}{\sigma_{B_{t,s}(x_1,x_2)}^2} E[B_t(x_1)B_t(x_1 + \tau_1)]E[B_s(x_2)B_s(x_2 + \tau_2)] \\ &= \cos\pi(t-1)\frac{\tau_1}{N_1} \cos\pi(s-1)\frac{\tau_2}{N_2} \quad (t, s \neq 1 \text{ concurrently}) \end{aligned} \quad (\text{A.12})$$

771 Equation (A.12) shows that the ACF of a 2D DCT basis function $B_{t,s}(x_1, x_2)$ is derived as the
 772 product of two cosine functions along x_1 and x_2 directions, respectively. These two cosine
 773 functions are of lag distances τ_1 and τ_2 , respectively. Note that the indexes x_1 and x_2 are
 774 eliminated in Equation (A.12).

775 Based on Equations (2), (3), and (5), the ACF of 2D spatial variability $F(x_1, x_2)$ can
 776 also be derived under DCT framework:

$$\begin{aligned} & \text{ACF}[F(x_1, x_2), \tau_1, \tau_2] \\ &= \frac{E\{[F(x_1, x_2) - \mu_{F(x_1,x_2)}][F(x_1 + \tau_1, x_2 + \tau_2) - \mu_{F(x_1,x_2)}]\}}{\sigma_{F(x_1,x_2)}^2} \end{aligned} \quad (\text{A.13})$$

777 in which $\mu_{F(x_1,x_2)}$ and $\sigma_{F(x_1,x_2)}$ are mean value and variance of $F(x_1, x_2)$. Note that $\mu_{F(x_1,x_2)}$
 778 can be replaced by the contribution of $B_{1,1}(x_1, x_2)$, since $B_{1,1}(x_1, x_2)$ is the only 2D DCT basis
 779 function with a non-zero mean value (see upper left 2D basis function in Figure 4). Substituting
 780 Equation (3) into Equation (5) leads to:

$$\begin{aligned} & \text{ACF}[\text{F}(x_1, x_2), \tau_1, \tau_2] \\ &= \frac{E\{[\sum_{t=1}^{N_1} \sum_{s=1}^{N_2} \omega_{t,s}^{2D} B_{t,s}(x_1, x_2)][\sum_{t'=1}^{N_1} \sum_{s'=1}^{N_2} \omega_{t',s'}^{2D} B_{t',s'}(x_1+\tau_1, x_2+\tau_2)]\}}{\sigma_{\text{F}(x_1, x_2)}^2} \end{aligned} \quad (\text{A.14})$$

(t, s ≠ 1 concurrently; t', s' ≠ 1 concurrently)

781 The prime symbols are used to distinguish two factors along an individual direction. By
 782 utilizing the orthonormal property of $B_{t,s}(x_1, x_2)$, Equation (A.14) can be expanded and
 783 rearranged as a multiple summation:

$$\begin{aligned} & \text{ACF}[\text{F}(x_1, x_2), \tau_1, \tau_2] \\ &= \frac{1}{\sigma_{\text{F}(x_1, x_2)}^2} \sum_{t=1}^{N_1} \sum_{s=1}^{N_2} \sum_{t'=1}^{N_1} \sum_{s'=1}^{N_2} \omega_{t,s}^{2D} \omega_{t',s'}^{2D} E[B_t(x_1)B_{t'}(x_1+\tau_1)]E[B_s(x_2)B_{s'}(x_2+\tau_2)] \end{aligned} \quad (\text{A.15})$$

(t, s ≠ 1 concurrently; t', s' ≠ 1 concurrently)

784 The expectation operations are performed for x_1 and x_2 directions, separately. For x_1 direction,
 785 after substituting 1D DCT basis function in Equation (1), the expectation operation
 786 $E[B_t(x_1)B_{t'}(x_1+\tau_1)]$ can be further expressed as:

$$\begin{aligned} & E[B_t(x_1)B_{t'}(x_1+\tau_1)] \\ &= \begin{cases} E \left[\sqrt{\frac{2}{N_1}} \sqrt{\frac{2}{N_1}} \cos\pi \frac{(t'-1)(x_1+\tau_1-0.5)}{N_1} \right] = 0 & \text{when } t=1, t' \neq 1 \\ E \left[\sqrt{\frac{2}{N_1}} \sqrt{\frac{2}{N_1}} \cos\pi \frac{(t-1)(x_1-0.5)}{N_1} \right] = 0 & \text{when } t \neq 1, t'=1 \\ E \left[\sqrt{\frac{2}{N_1}} \sqrt{\frac{2}{N_1}} \cos\pi \frac{(t-1)(x_1-0.5)}{N_1} \right] & \text{when } t \neq 1, t' \neq 1 \\ \quad \cos\pi \frac{(t'-1)(x_1+\tau_1-0.5)}{N_1} \end{cases} \end{aligned} \quad (\text{A.16})$$

787 Equation (A.16) consists of three scenarios, i.e., when $t=1, t' \neq 1$; $t \neq 1, t'=1$; and $t \neq$
 788 $1, t' \neq 1$. Since the first two scenarios lead to single cosine functions of x_1 , the associated
 789 terms are reduced to zeros after expectation operation on x_1 . When $t \neq 1, t' \neq 1$, the

790 expectation of product of two cosine functions yields zero when the frequencies of two basis
 791 function are not equal, i.e., $t \neq t'$. Only the product of two cosine functions with equal
 792 frequencies remain, i.e., $t = t'$:

$$\begin{aligned}
 & E[B_t(x_1)B_{t'}(x_1+\tau_1)] = \\
 & = \begin{cases} 0 & \text{when } t \neq t' \\ \frac{2}{N_1} E \left[\cos\pi \frac{(t-1)(x_1-0.5)}{N_1} \cos\pi \frac{(t-1)(x_1+\tau_1-0.5)}{N_1} \right] & \text{when } t = t' \end{cases} \quad (\text{A.17})
 \end{aligned}$$

793 When $t = t'$, the product of two cosine functions can be rewritten using product-to-sum
 794 identity as:

$$\begin{aligned}
 & E[B_t(x_1)B_{t'}(x_1+\tau_1)] \\
 & = \frac{1}{N_1} E \left[\cos\pi \frac{2(t-1)(x_1-0.5) + (t-1)\tau_1}{N_1} + \cos\pi(t-1) \frac{\tau_1}{N_1} \right] \quad (\text{A.18})
 \end{aligned}$$

795 Similarly, in Equation (A.18), the first cosine function term reduces to zeros after expectation
 796 operation on x_1 . Therefore, Equation (A.18) is then derived as:

$$E[B_t(x_1)B_{t'}(x_1+\tau_1)] = \frac{1}{N_1} \cos\pi(t-1) \frac{\tau_1}{N_1} \quad \text{when } t = t' \quad (\text{A.19})$$

797 In a similar fashion, the second expectation term in Equation (A.15) for x_2 direction is derived
 798 as:

$$E[B_s(x_2)B_{s'}(x_2+\tau_2)] = \frac{1}{N_2} \cos\pi(s-1) \frac{\tau_2}{N_2} \quad \text{when } s = s' \quad (\text{A.20})$$

799 After substituting Equations (A.19) and (A.20) into Equation (A.15), Equation (A.15) can be
 800 rewritten as:

$$\begin{aligned}
& \text{ACF}[F(x_1, x_2), \tau_1, \tau_2] \\
&= \frac{1}{N_1 N_2} \frac{1}{\sigma_{F(x_1, x_2)}^2} \sum_{t=1}^{N_1} \sum_{s=1}^{N_2} \omega_{t,s}^{2D^2} \cos\pi(t-1) \frac{\tau_1}{N_1} \cos\pi(s-1) \frac{\tau_2}{N_2} \quad (\text{A.21}) \\
& \qquad \qquad \qquad (t, s \neq 1 \text{ concurrently})
\end{aligned}$$

801 The variance term $\sigma_{F(x_1, x_2)}^2$ can also be derived since the 2D DCT basis function $B_{t,s}(x_1, x_2)$ is
802 orthonormal and independent of each other. By definition, $\sigma_{F(x_1, x_2)}^2$ is expressed as:

$$\sigma_{F(x_1, x_2)}^2 = E \left\{ [F(x_1, x_2) - \mu_{F(x_1, x_2)}]^2 \right\} = \sum_{t=1}^{N_1} \sum_{s=1}^{N_2} \omega_{t,s}^{2D^2} \sigma_{B_{t,s}(x_1, x_2)}^2 \quad (\text{A.22})$$

803 Note that in Equation (A.11), $\sigma_{B_{t,s}(x_1, x_2)}^2$ is derived as $\frac{1}{N_1 N_2}$. Therefore, Equation (A.22) can be
804 rewritten as

$$\sigma_{F(x_1, x_2)}^2 = \frac{1}{N_1 N_2} \sum_{t=1}^{N_1} \sum_{s=1}^{N_2} \omega_{t,s}^{2D^2} \quad (\text{A.23})$$

805 Combining Equations (A.23) and (A.21) yields the normalized DCT-based ACF of $F(x_1, x_2)$,
806 which is provided as Equation (6) in the main text:

$$\begin{aligned}
& \text{ACF}[F(x_1, x_2), \tau_1, \tau_2] \\
&= \frac{1}{\sum_{t=1}^{N_1} \sum_{s=1}^{N_2} \omega_{t,s}^{2D^2}} \sum_{t=1}^{N_1} \sum_{s=1}^{N_2} \omega_{t,s}^{2D^2} \text{ACF}[B_{t,s}(x_1, x_2), \tau_1, \tau_2] \quad (\text{A.24}) \\
& \qquad \qquad \qquad (t, s \neq 1 \text{ concurrently})
\end{aligned}$$

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