

## Explore Before You Restore: Incorporating Complex Systems thinking in Ecosystem Restoration

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4 **Running title**

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9

## 10 **Abstract**

11 1. The global movement for ecosystem restoration has gained momentum in response to the  
12 Bonn Challenge (2010) and the UN Decade on Ecosystem Restoration (UNDER, 2021-2030).  
13 While several science-based guidelines exist to aid in achieving successful restoration  
14 outcomes, significant variation remains in the outcomes of restoration projects. Some of this  
15 disparity can be attributed to unexpected responses of ecosystem components to planned  
16 interventions.

17 2. Given the complex nature of ecosystems, we propose that concepts from Complex Systems  
18 Science (CSS) that are linked to nonlinearity, such as regime shifts, ecological resilience, and  
19 ecological feedbacks, should be employed to help explain this variation in restoration  
20 outcomes from an ecological perspective.

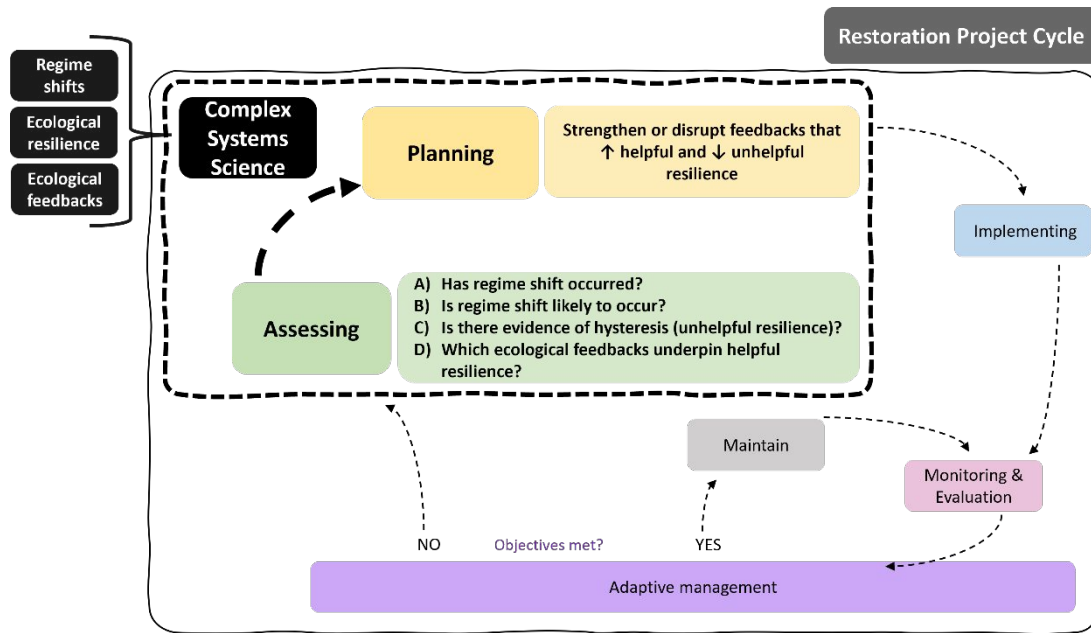
21 3. Our framework, Explore Before You Restore, illustrates how these concepts impact  
22 restoration outcomes by influencing degradation and recovery trajectories. Additionally, we  
23 propose incorporating CSS concepts into the typical restoration project cycle through a CSS  
24 assessment phase, and suggest that the need for such assessment is explicitly included in  
25 the guidelines to improve restoration outcomes.

26 4. To facilitate this inclusion and make it workable by practitioners, we describe indicators and  
27 methods available for restoration teams to answer key questions that should make up such  
28 CSS assessment. In doing so, we identify key outstanding science and policy tasks that are  
29 needed to further operationalize CSS assessment in restoration.

30 4. *Synthesis and applications:* By illustrating how key CSS concepts linked to nonlinear  
31 threshold behavior can impact restoration outcomes through influencing recovery trajectories,  
32 our framework Explore Before You Restore demonstrates the need to incorporate Complex  
33 Systems thinking in ecosystem restoration. We argue that inclusion of CSS assessment into  
34 restoration project cycles, and more broadly, into international restoration guidelines, may  
35 significantly improve restoration outcomes.

36

37 **Graphical abstract**



38

## 39 **Background**

### 40 **Complex System Science concepts in an era of restoration**

41 A movement for ecosystem restoration has emerged in response to global land and water  
42 degradation and associated loss of biodiversity and ecosystem services (Nicholson et al.,  
43 2020; Strassburg et al., 2020). Restoration initiatives aimed at moving ecosystems from an  
44 undesired (i.e. degraded, damaged, or destroyed) to a desired regime are booming worldwide  
45 (Chazdon et al., 2021; Gann et al., 2019). The United Nations (UN) responded to this  
46 momentum by launching the *UN Decade on Ecosystem Restoration 2021-2030*, which has  
47 encouraged further initiatives (Abhilash, 2021; FAO et al., 2021). By now, many useful  
48 guidelines and tools exist to steer the restoration community towards scientifically sound  
49 restoration, e.g. the UNDER *Principles and Standards of Practice for Ecosystem Restoration*  
50 (FAO et al., 2021, 2023), the Society for Ecological Restoration's *Principles and Standards*  
51 (Gann et al., 2019), and ITTO's *Guidelines for Forest Landscape Restoration in the Tropics*  
52 (ITTO, 2020).

53 Despite these clearly defined targets and guidelines (Sacco et al., 2021), restoration  
54 outcomes vary widely, with multiple failures to establish target ecosystems (Banin et al., 2023;  
55 Brancalion & Holl, 2020; Brudvig & Catano, 2021; Dudley et al., 2022). Examples of  
56 ecological failures, i.e. attributed to biotic and abiotic ecological constraints, include poor  
57 survival of planted or naturally regenerating trees in forest restoration (Banin et al., 2023;  
58 Christmann et al., 2023; Kodikara et al., 2017; Magaju et al., 2020), no population growth of  
59 targeted fish species in lake or coral reef restoration (Boström Einarsson et al., 2020; Fox et  
60 al., 2019; Graham et al., 2013), and failure to restore non-turbid water conditions in lake  
61 restoration (Gulati et al., 2008; Jilbert et al., 2020; Søndergaard et al., 2007).

62 Undesired ecological outcomes in restoration may occur due to unexpected responses of  
63 ecosystem components to planned interventions. We argue that, as well as overly ambitious  
64 or unrealistic expectations, threshold behavior due to complex system dynamics associated  
65 with ecological systems can explain unexpected restoration responses. In other words,  
66 ecosystem complexity itself poses constraints to restoration success (Munson et al., 2018;  
67 van Nes et al., 2016). Namely, natural ecosystems are Complex Systems, which are studied

68 in the discipline of *Complex Systems Science* (CSS), and defined by eight emergent  
69 properties: heterogeneity, hierarchy, self-organization, openness, adaptation, memory,  
70 nonlinearity, and uncertainty (**Appendix 1**, Anand et al., 2010; Bullock et al., 2021; Filotas et  
71 al., 2014; Riva et al., 2022). Here, we emphasize three key concepts linked to the specific  
72 CSS property of nonlinearity that we believe hold pivotal implications for restoration outcomes  
73 from an ecological perspective: **regime shifts (and potential hysteresis)**, **ecological**  
74 **resilience**, and **ecological feedbacks**.

75 Nonlinearity implies that ecosystems may show disproportionately large responses to  
76 environmental disturbances over time (e.g., drought, herbivory). In grasslands, for instance,  
77 herbivory may lead to slight declines in biomass in wet years, but the same levels of herbivory  
78 may also cause major declines in biomass and changes in vegetation composition in dry years  
79 (Stone & Ezrati, 1996). As a result of chronic environmental degradation, nonlinearity can  
80 cause abrupt **regime shifts** in ecosystems, whereby they shift to an alternative stable state  
81 or regime by crossing a *critical (disturbance) threshold* (**Box 1, Fig. 1a**; Dantas et al., 2016;  
82 Scheffer et al., 2001). An abrupt regime shift is reflected by a sudden, dramatic change in  
83 ecosystem state variables, e.g., lake waters shifting from clear to turbid due to eutrophication  
84 (Scheffer, 2001; Scheffer et al., 2001; Seidl & Turner, 2022), coral reefs shifting from coral- to  
85 algal-domination (Graham et al. 2013), or forests shifting to savanna systems (or vice versa)  
86 due to changes in fire regime or dry season length (**Fig. 1b**; Dantas et al., 2016; Fletcher et  
87 al., 2014; Oliveras & Malhi, 2016; Staver et al., 2011). After such a shift, restoration to the pre-  
88 degradation regime is likely slow and requires substantial reductions in the environmental  
89 pressures, possibly even to a level well below the one that led to the shift; a phenomenon  
90 called **hysteresis** (**Box 1, Fig. 1c**; Muys, 2013; Selkoe et al., 2015; Staal et al., 2020). Thus,  
91 regime shifts, driven by nonlinear behavior in ecosystems, can influence recovery trajectories  
92 (Mayer & Rietkerk, 2004; Suding & Hobbs, 2009; Suding & Gross, 2006). Further, restoration  
93 trajectories will depend on whether or not a regime shift has already taken place in the  
94 ecosystem at the time when restoration interventions are applied, and if not, on how close to  
95 a critical threshold the ecosystem is at that time (Ghazoul et al., 2015; Ghazoul & Chazdon,  
96 2017).

97 A second concept that is intricately connected to nonlinear behavior of complex systems, and  
98 thus to potential regime shifts, is the **ecological resilience** of degraded ecosystems to  
99 disturbances (Ghazoul et al., 2015; Ghazoul & Chazdon, 2017). Ecological resilience is a  
100 measure of the ecosystem's ability to absorb change and disturbance and still maintain the  
101 same regime (**Appendix 1 Table 2**). A decrease in resilience due to environmental  
102 degradation increases the likelihood of a regime shift to occur (i.e. lower *helpful* resilience  
103 *sensu* Standish et al.(2014); **Box 1**, Folke et al., 2004; Rocha et al., 2015). On the other hand,  
104 ecosystems can be in a highly resilient alternative regime after prolonged degradation due to  
105 hysteresis, when the presence of ecological feedbacks maintain the degraded regime (i.e.,  
106 higher *unhelpful* resilience *sensu* Standish et al.(2014); **Box 1**, Dornelles et al., 2020; Dudney  
107 et al., 2018; Staal et al., 2020). Both low resilience of the desired regime as well as high  
108 resilience of the undesired regime can hamper restoration performance (Magnuszewski et al.,  
109 2015; Standish et al., 2014).

110 A third concept that is tightly linked to nonlinearity of complex (eco)systems are **ecological**  
111 **feedbacks**, i.e. dampening or reinforcing interactions between (a)biotic factors (e.g.  
112 vegetation composition) and disturbance regimes (e.g. fires) that loop back to control  
113 ecosystem dynamics (**Box 1**). These feedbacks can both maintain an ecosystem in a specific  
114 regime as well as cause it to shift to an alternative one, and can thereby strongly influence  
115 degradation as well as recovery trajectories, thus influencing restoration outcomes (Hobbs et  
116 al., 2011; Scheffer et al., 2009; Verbesselt et al., 2016).

117 Importantly, potential **hysteresis** or history-dependence, is tightly linked to each of the three  
118 CSS concepts since this feature i) can occur after a regime shift took place, ii) reflects the new  
119 regime having a high unhelpful resilience, and iii) is governed by the presence of ecological  
120 feedbacks that maintain the new regime.

### 121 **CSS concepts in restoration guidelines**

122 Most current restoration guidelines produced by international organizations do not sufficiently  
123 incorporate or operationalize CSS concepts linked to nonlinear threshold behavior (**Appendix**  
124 **2**). While some guidelines include concepts of 'alternative ecosystems' (Gann et al. 2019,  
125 **App. 2 Table 1**), most do not. There is limited to no inclusion of concepts related to regime

126 shifts, contrasting with frequent inclusion of the resilience concept (**App. 2 Table 1**: 298 x  
127 'resilience' vs. 0 x 'regime shift' across all guidelines). Resilience, however, is rarely  
128 accompanied by a clear definition or concrete measurement tools, limiting its operational use  
129 in restoration practice. Further assessing the meaning of resilience in the guidelines, the focus  
130 is on restoring ecosystems that are resilient to all kinds of shocks (i.e. building *general*  
131 *resilience*), rather than on which ecosystem components should be resilient to which  
132 disturbances, and how to quantify and achieve this (i.e. building *specific resilience*; Dudney  
133 et al., 2018; Folke et al., 2010; **App. 2 Table 2**: 99% 'general vs. 1% 'specific'). Through this  
134 focus on general resilience, the guidelines imply that resilience is always 'good', 'helpful', or  
135 'desirable' in ecosystem restoration. However, this is not always the case, as resilience can  
136 be an unhelpful ecosystem feature, hindering successful restoration by reinforcing  
137 undesirable regimes, as we discuss above.

138 We argue that abrupt nonlinear **regime shifts**, unhelpful **ecological resilience**, and  
139 **ecological feedbacks** that maintain undesired ecosystem regimes, can result in divergent,  
140 unexpected, and unpredictable responses to restoration interventions, ultimately leading to  
141 undesired or 'failed' restoration outcomes (Krievins et al., 2018; Mayer & Rietkerk, 2004).  
142 Many restoration projects may involve degradation scenarios where a regime shift has not  
143 (yet) occurred, and resilience is still helpful, but we argue that the guidelines should be flexible  
144 and suitable to all degradation scenarios, including those where advanced degradation has  
145 already occurred. Hence, operationalizing these CSS concepts into the current guidelines and  
146 across restoration project cycles, can minimize or even avoid undesired outcomes, as well as  
147 potentially speed up the achievement of desired outcomes.

148 Importantly, the desired regime in restoration may not necessarily reflect the historic pre-  
149 degradation regime (Bardgett et al., 2021; Bullock et al., 2021; Crow, 2014; Gann et al., 2019).  
150 While historic regimes were traditionally the focus of 'ecological restoration', restoration  
151 stakeholders often now make a decision on whether their interventions should aim to 'Resist',  
152 'Accept', or 'Direct' the increasingly unpredictable and unprecedented environmental changes  
153 that ecosystems are facing (Jackson, 2021; Lynch et al., 2022).



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154 Furthermore, we acknowledge that ecological aspects alone are not sufficient to explain failed  
155 restoration outcomes (Elias et al., 2022; Maniraho et al., 2023). The process of successfully  
156 and efficiently restoring degraded ecosystems also relies on the trust and engagement of  
157 relevant stakeholder groups such as local communities and authorities, and on the social-  
158 economic and political settings such as functionality of the land tenure policies (Ahammad et  
159 al., 2023; Metcalf et al., 2015; Petursdottir et al., 2013; G. Walters et al., 2021). Since we aim  
160 to demonstrate here how CS dynamics can explain some of the variation in restoration  
161 outcomes from an ecological perspective, instead of highlighting the various dimensions that  
162 may influence restoration outcomes, inclusion of social-economic factors are beyond the  
163 scope of our manuscript. That is, our framework (i) focuses on the ecological dimension of CS  
164 dynamics, which is nested within a broader social-ecological dimension (Nikinmaa, 2020), and  
165 (ii) assumes that restoration planning is being approached from a social-ecological  
166 perspective, i.e. the interventions are designed with careful consideration of social-economic  
167 as well as ecological dimensions (Crow, 2014; Elias et al., 2022; Lade et al., 2013; Maniraho  
168 et al., 2023; Nayak & Armitage, 2018).

169 In the following sections of our framework *Explore Before You Restore*, we demonstrate *how*  
170 regime shifts, ecological resilience, and feedbacks influence recovery trajectories with  
171 examples from science and practice, and then suggest how these concepts might be included  
172 in restoration practice. In doing so, we identify key science and policy tasks that are needed  
173 to operationalize these concepts into useful tools for the restoration community. Our  
174 framework follows a typical 6-step restoration project cycle (**Box 3, App. 3 Table 1:**  
175 **Assessing, Planning, Implementing, Monitoring & Evaluating, Maintaining, and Adaptive**  
176 **Management**), and is therefore directly applicable for restoration practitioners, scientists, and  
177 policymakers.

178 **How Complex Systems Science concepts can help explain**  
179 **restoration trajectories**

180 Regime shifts, possibly coupled with high unhelpful resilience of the new regime in cases of  
181 hysteresis, can strongly influence recovery trajectories and thus determine which restoration  
182 interventions, ranging from simple to more complex, are needed to achieve desired targets  
183 (**Fig. 2**; Mayer & Rietkerk, 2004; Selkoe et al., 2015; Suding & Hobbs, 2009). Namely, in  
184 ecosystems that have experienced an abrupt regime shift but with no evidence of hysteresis,  
185 reversing degradation to below the threshold level that led to the shift is likely sufficient to  
186 restore the system to the pre-threshold regime (i.e., reverse the shift) (**Fig. 2 middle scenario**:  
187 halt degradation and/or additional interventions, Chazdon et al. 2021). For example,  
188 regeneration of native vegetation is sometimes constrained by invasive plant species in  
189 severely degraded tropical forests. Effective control of invasives, in these cases, may promote  
190 recovery of native species composition associated with the pre-threshold ecosystem regime  
191 (Brancaion et al., 2019; Douterlungne et al., 2013; Gratton & Denno, 2005).

192 By contrast, in ecosystems where hysteresis maintains the degraded regime through  
193 ecological feedbacks that strengthen unhelpful resilience (**Box 2**), restoration efforts need to  
194 do more than simply establish the environmental condition(s) that were prevalent before the  
195 shift. Disrupting the high unhelpful resilience of the new regime typically requires multiple,  
196 coinciding, and often expensive, interventions (**Fig. 2 bottom scenario**: halt degradation *and*  
197 additional interventions; (Chazdon et al., 2021; Muys, 2013; Selkoe et al., 2015; Van Nes et  
198 al., 2014). For instance, after several decades of heavy grazing in terrestrial grasslands,  
199 palatable plants may essentially be absent, with natural recovery of these systems taking up  
200 to 100 years or longer due to hysteresis (Cipriotti et al., 2019). In arid ecosystems, increased  
201 aridity may then lead to desertification, making the possibility for vegetation recovery even  
202 lower, even where aridity levels subsequently decrease (Kéfi et al., 2007). Achieving  
203 successful restoration then requires a combination of interventions, such as reducing grazing,  
204 combined with measures such as reseeded with desirable well-adapted species, woody  
205 species control, soil erosion prevention and protection and soil water management (**Box 2**).

206 Furthermore, reduced helpful resilience of a system undergoing degradation, but which is still  
207 in the desired ecosystem regime, can also influence the restoration trajectory (with or without  
208 a pending regime shift) (Selkoe et al., 2015). Even though halting degradation will likely  
209 restore the desired regime (**Fig. 2 top scenario**), reduced resilience can slow down recovery.  
210 For instance, abandonment of agricultural systems can create favorable conditions for tree  
211 regeneration to restore forests with generally little need for additional interventions (**Fig. 2**;  
212 Boulton et al., 2022; Poorter et al., 2016; Rolim et al., 2017; Rozendaal et al., 2019). Reduced  
213 helpful resilience of these post-agricultural systems, however, driven by the intensity of the  
214 past agricultural land use and environmental changes, and reflected by e.g. a lack of seed  
215 sources or resprouting ability for native tree species or soil nutrient imbalances, can slow  
216 down regeneration (Broughton et al., 2022; Cramer et al., 2008; Flores & Holmgren, 2021b,  
217 2021a; Lawrence et al., 2010; Styger et al., 2007, 2009; Verheyen, 2021). Here, additional  
218 interventions (e.g. litter addition, enrichment planting) might speed up recovery (**Fig. 2**;  
219 Sansevero et al., 2017; Styger et al., 2007).

220 In sum, restoration practice should strengthen ecological feedbacks that increase helpful  
221 resilience, and at the same time weaken or disrupt those that increase unhelpful resilience.  
222 These feedbacks will ultimately determine the likelihood of an abrupt shift between ecosystem  
223 regimes (**Fig. 2**; Hoffmann et al., 2012; Huang et al., 2018; Stevens et al., 2017). For instance,  
224 if the target regime is grassland, woody encroachment may shift it towards a forest regime.  
225 The reinforcing 'canopy closure feedback' (i.e. trees → canopy closure → more trees through  
226 less below-canopy grasses to fuel fires) would drive the shift towards a forest regime, while  
227 the 'open vegetation feedback' (i.e. grasses → fire → more grasses through increased fuel  
228 loads) would maintain the desired regime. The canopy closure feedback underpins unhelpful  
229 resilience because it reinforces the undesired regime (and should be weakened), while the  
230 open vegetation feedback underpins helpful resilience because it reinforces the desired  
231 regime (and should be strengthened). Reintroduction of fires or introduction of grazers will  
232 both weaken the canopy closure (decrease unhelpful resilience) and strengthen the open  
233 vegetation feedback (increase helpful resilience) (Johnstone et al., 2016; Pausas & Keeley,  
234 2014a, 2014b).

235 Restoration management and guidelines have mainly focused on general resilience, which  
236 stems from the common but incorrect assumption that resilience is always helpful or 'good'  
237 (**App. 3 Table 2**; McDonald, 2000; Nimmo et al., 2015; Standish et al., 2014). This point has  
238 likewise been raised in other socio-ecological disciplines (Dornelles et al., 2020; Oliver et al.,  
239 2018; Van De Leemput et al., 2014). The singular focus on increasing helpful resilience is  
240 likely not sufficient to address degradation scenarios with abrupt regime shifts and hysteresis,  
241 where the presence of high unhelpful resilience implies a need for more complex interventions  
242 to actively disrupt those ecological feedbacks maintaining the undesired regime (**Box 2**).

243 Based on the evidence and examples of how CSS concepts can influence recovery  
244 trajectories and how restoration teams can tailor their interventions, we argue that restoration  
245 guidelines should explicitly incorporate CSS *assessments* in the restoration project cycle (**Box**  
246 **3**). In such CSS assessment, restoration teams should evaluate; i) the likelihood of an abrupt  
247 regime shift to occur, ii) evidence of hysteresis or high unhelpful resilience in the degraded  
248 system, and iii) the underpinning ecological feedbacks that must be strengthened and/or  
249 disrupted to maintain the system in, or shift it to, the desired regime (**Fig. 2, Box 2**).

## 250 **CSS assessment in restoration practice**

251 Our framework follows a restoration project cycle which typically comprises six phases,  
252 including five distinct phases (Assessing, Planning, Implementing, Monitoring & Evaluation,  
253 Maintaining), and one phase that cuts across all others (Adaptive management) (**Appendix**  
254 **3**). To incorporate CSS thinking in ecosystem restoration, we suggest that the *Assessing*  
255 phase is extended to involve four key questions related to CS dynamics in degraded systems  
256 (**Box 3, Fig. 2**). These questions include A) whether an abrupt regime shift has occurred, or  
257 B) is likely to occur, C) where it has occurred, whether there is evidence of hysteresis (high  
258 unhelpful resilience of the degraded regime), and D) which ecological feedbacks underpin  
259 helpful and unhelpful resilience (**Fig. 3**). During *Planning*, restoration interventions should be  
260 tailored to the CSS assessment (**Fig. 3**). Below, we provide an overview of indicators available  
261 to answer these questions during CSS assessment, based on currently available knowledge  
262 and tools. In doing so, we identify key outstanding science and policy tasks needed to further  
263 operationalize CSS assessment in restoration (**Box 4**).

264 **A) Has a regime shift occurred (lagging indicators)?**

265 A critical question to ask is whether a prior abrupt regime shift has occurred to create the  
266 degraded ecosystem (**Fig. 2**). If environmental degradation has led to an abrupt regime shift,  
267 the degraded ecosystem will be substantially reorganized into a self-maintaining new stable  
268 regime (**Fig. 1a, scenario iii-iv**). Importantly, a regime shift could also lead the system into a  
269 new unstable regime, resulting in a spiral of environmental degradation e.g. failure of plant  
270 recruitment and growth leads to greater soil exposure and thence greater erosion and further  
271 vegetative failure. The complexity of restoration interventions will need to be greater after a  
272 regime shift to facilitate successful recovery (**Fig. 1, Box 2**; Carpenter et al., 2008; Ghazoul  
273 & Chazdon, 2017; Suding et al., 2004).

274 To evaluate whether a regime shift has already occurred in the degraded system, restoration  
275 teams can use **lagging indicators of resilience**, which assess whether helpful resilience has  
276 decreased (Carpenter et al., 2008; Carpenter & Brock, 2006; Cowan et al., 2021; Ota et al.,  
277 2021; Scheffer et al., 2009). Such indicators are ecological attributes that develop over long  
278 periods of time in an ecosystem, hence reflecting a unique regime at a single point in time,  
279 and they can therefore indicate substantial reorganization of the degraded system (Berdugo  
280 et al., 2020; Cowan et al., 2021; Seidl & Turner, 2022). Lagging indicators in terrestrial  
281 vegetated ecosystems, for instance, are metrics describing the *above- and below-ground*  
282 *species diversity, dominance, and composition, vegetation cover and structure, and soil*  
283 *fertility* (Cowan 2021). For lake ecosystems, typical indicators may be *nutrient* (e.g. Oxygen,  
284 Phosphorus) or *chlorophyll concentrations, pH, turbidity, and species diversity* (Carpenter &  
285 Cottingham, 1997; Ortiz et al., 2020). Significant differences in these metrics between the  
286 degraded system, and either undisturbed controls (spatial comparison), or historic reference  
287 ecosystems (temporal comparison), at the time of restoration planning, can indicate that an  
288 abrupt shift towards a new stable regime has taken place, since the 'lagging' characteristic of  
289 these indicators implies that a new regime has already been in place for some time at the start  
290 of restoration (**Fig. 1a**, Cowan et al., 2021).

291 For instance, humid Amazonian forests can shift to an alternative savanna state due to altered  
292 fire regimes (Barlow & Peres, 2008; Brando et al., 2014; Flores & Holmgren, 2021a, 2021b;  
293 Silvério et al., 2013). These vegetation state shifts are correlated with changes in vegetation

294 structure and composition, biodiversity and ecosystem functioning that can be used as  
295 'lagging' resilience indicators. For example, repeatedly burnt Amazonian blackwater floodplain  
296 forests lose tree cover, increase herbaceous cover and shift tree species composition from  
297 typically forest species towards an increasing abundance of white-sand savanna species  
298 (locally known as "campinas", Flores and Holmgren 2021a). These vegetation shifts, from  
299 closed floodplain forests to white-sand savannas as fire occurrence increases, appear to be  
300 caused by both nutrient erosion (Flores & Holmgren, 2021a) and seed dispersal limitation  
301 (Flores & Holmgren, 2021b). Seed dispersal limitation could be caused by shifts in animal  
302 communities responsible for seed dispersal. For example, burnt forests and white sand  
303 savannas show a lower abundance of omnivorous and frugivorous fish that are key seed  
304 dispersers for many forest tree species (Lugo-Carvajal et al., 2023). These complex changes  
305 in soil, plant and animal communities can be used as lagging indicators of resilience. Though  
306 these metrics may only provide an indication of regime shifts that happened at some point in  
307 the system's degradation history, for restoration this may already be instructive. We argue  
308 that it may be more important in ecosystem restoration to identify whether the degraded  
309 system finds itself in a new and undesired stable regime, which drivers of degradation have  
310 led to the regime, and what is causing the undesired regime to be maintained in the case of  
311 hysteresis, than to identify when exactly the regime shift took place.

#### 312 **B) Is a regime shift likely to occur (leading or early-warning indicators)?**

313 If the degraded system is not yet substantially reorganized, a shift may still be pending due to  
314 ongoing loss of helpful resilience (Boulton et al., 2022; Scheffer et al., 2001). Assessing the  
315 exact distance of an ecosystem to a critical threshold based on empirical data is not (yet)  
316 feasible, and may always remain challenging (Davidson et al., 2023; Hillebrand et al., 2020;  
317 Van Nes et al., 2014). However, loss of helpful resilience over time, signaling a pending  
318 regime shift, can be evaluated through repeated measurements of **leading indicators of**  
319 **resilience** or **'early-warning signals'** i.e. ecosystem attributes that specifically respond to  
320 environmental disturbances, such as tree growth or vegetation greenness which decrease  
321 due to drought or fire disturbances. Such leading indicators are useful to evaluate 'early-  
322 warning signals' that signal the vicinity of an abrupt shift (EWS, **Box 1**; Biggs et al., 2009;

323 Carpenter et al., 2008; Cowan et al., 2021; Dai et al., 2012; Dakos et al., 2008; Forzieri et al.,  
324 2022).

325 Specifically, studies show that trends of slower recovery rates, or of increased variability in  
326 these indicators in response to disturbances (i.e. *critical slowdown* or *flickering* respectively),  
327 indicate that the ecosystem is approaching an abrupt shift (Carpenter et al., 2008; Dakos et  
328 al., 2015; Scheffer et al., 2001, 2009). For example, slower recovery of vegetation greenness  
329 related to successive droughts, and evaluated using remote sensing time series, has  
330 predicted tree mortality as the onset of a regime shift in different forest types (Boulton et al.,  
331 2022; Dakos et al., 2012; Liu et al., 2019; Verbesselt et al., 2016). Since leading indicators  
332 are useful to predict the likelihood of particular outcomes (Carpenter et al., 2008; Carpenter  
333 & Brock, 2006; Cowan et al., 2021; Ota et al., 2021; Scheffer et al., 2009), leading indicators  
334 of ecological resilience can thus be used to assess whether a regime shift might occur in the  
335 future in the context of CSS assessment.

336 Importantly, to assess a pending regime shift with leading indicators requires evaluating a rate  
337 of change, which is based on repeated measurements of the indicator over time. Repeated  
338 measurements in restoration could be extracted from, among others, indigenous and local  
339 knowledge (ILK), repeated inventories, and remote sensing (Falardeau et al., 2022; Pascual  
340 et al., 2017; Wheeler & Root-Bernstein, 2020). Gathering such data prior to restoration is  
341 generally not feasible for restoration teams, however, as it requires time and money, and  
342 delays restoration on the ground. Therefore, project teams should realistically focus on  
343 incorporating repeated measurements of (the response of) leading indicators (e.g. species  
344 recruitment, biomass) to key disturbances in the ecosystem (e.g. fire, drought) in their M&E  
345 strategies. In this way, they can monitor possible changes in the response of the degraded  
346 ecosystem to disturbances from the restoration onset, which may signal a pending regime  
347 shift, and adjust their interventions if they find indications for the latter.

### 348 **C) Is there evidence of hysteresis? or Which feedbacks underpin unhelpful resilience?**

349 If a regime shift is likely to occur or has occurred, evaluating hysteretic behavior in the  
350 degraded system is key, since greater restoration efforts are required to reverse the (potential)  
351 shift when hysteresis is present (**Fig. 2**). Although trial treatments or driver reversal

352 experiments allow quantification of hysteresis in the field by observing whether the system  
353 returns to a previous regime after halting or reversing the driver of degradation (Gann et al.,  
354 2019; McDonald, 2000; Ratajczak et al., 2018; Standish et al., 2014), these methods are again  
355 generally not feasible for teams on the ground because of a lack of time and money.

356 To assess hysteresis, restoration project teams should therefore evaluate whether the  
357 degraded system shows signs of strong ecological feedbacks at the local or landscape scale  
358 that act to maintain the undesired regime (unhelpful resilience). Such feedbacks can signal  
359 hysteretic behavior (**Fig. 2, Box 2**). In the case of the repeatedly burnt tropical floodplain  
360 forests, for example, lower tree cover due to wildfires in the degradation history of the system  
361 had led to a depleted seed bank, which leads to reduced seed dispersal and consequently  
362 lower seed availability and tree recruitment. This continues low tree cover and constrains  
363 forest recovery through these self-maintaining 'history-dependent' feedbacks between low  
364 tree cover and poor seed sources (Flores & Holmgren, 2021b). In many coral reefs, for  
365 instance, a combination of fishing, eutrophication, and global warming has resulted in algal  
366 dominance and low abundance of herbivore fish groups that feed on algae. This feedback  
367 maintains the algal dominance, and prevents successful coral recruitment through  
368 outcompeting successfully recruited corals (Graham et al., 2013). See **Box 2** for more  
369 examples of hysteretic behavior across different ecosystem types that can hamper successful  
370 recovery and thus impact ecosystem restoration.

#### 371 **D) Which feedbacks underpin helpful resilience?**

372 Besides feedbacks that maintain the undesired regime and indicate hysteresis by  
373 underpinning unhelpful resilience (question C), feedbacks that maintain the desired regime  
374 and thus underpin helpful resilience must be identified as well to facilitate successful  
375 ecosystem recovery. In the example of a shift from the floodplain forest to a more open  
376 savanna ecosystem regime, feedbacks that would promote tree cover, such as assisted  
377 natural regeneration or seeding, underpin helpful resilience, and could help force a shift to the  
378 desired forest regime. Intervening in this feedback is key to strengthening helpful resilience,  
379 in addition to weakening unhelpful resilience through, e.g., disrupting feedbacks that maintain  
380 the savanna regime by means of fire protection (Flores et al., 2016; Flores & Holmgren,  
381 2021a, 2021b; **Box 2** 'Additional interventions').



382 Similarly, in the example of a shift from the coral- to the algal-dominated regime in degraded  
383 coral reefs, intervening in the feedbacks that promote coral recruitment, and underpin helpful  
384 resilience, e.g., by introducing parrot- and surgeon-fishes, can help force a shift to the desired  
385 coral regime (Graham et al., 2013). At the same time, disrupting the feedbacks that maintain  
386 the algal domination, which underpin unhelpful resilience, e.g., by introducing herbivore fish  
387 species that feed on the algae, will help to force the same shift (Graham et al., 2013, **Box 2**  
388 'Additional interventions').

389 In sum, if restoration teams include CSS assessments in their restoration project cycles, they  
390 can adequately determine the complexity of required interventions based on the presence or  
391 likelihood of regime shifts, and evidence of hysteresis (**Fig. 2, Planning**). Further, they can  
392 target their interventions to specifically disrupt feedbacks that underpin unhelpful resilience  
393 and strengthen those that underpin helpful resilience. While collecting information about  
394 regime shifts, hysteresis, and feedbacks may, in practice, be challenging, costly and time  
395 consuming, we reiterate that it can greatly improve restoration outcomes (Magnuszewski et  
396 al., 2015; Maxwell et al., 2017; Qiu et al., 2022; Xiao et al., 2020), possibly saving resources  
397 in the long run.

## 398 **Outstanding tasks**

399 Answering questions A and B from the previous section assumes restoration teams select  
400 measurable and feasible indicators that are: i) comparable to relevant reference systems  
401 across time or space, and ii) responsive to the key disturbance(s) in their ecosystem(s) (for  
402 question B) (Cowan et al., 2021). Despite promising prospects of specific resilience indicators  
403 and methods to detect regime shifts (Andersen et al., 2009; Boulton et al., 2022; Lenton,  
404 2011), operationalization of these methods into clear recommendations and tools to use  
405 across different ecosystem types remains a key outstanding task for the scientific community  
406 (**Box 4**, Selkoe et al., 2015). Specifically, we identify the development of practical tools and  
407 methods to assess ecological resilience loss, abrupt regime shifts, and hysteresis in degraded  
408 systems as outstanding tasks, as these are, to our knowledge, non-existent. The lack of  
409 scientific consensus on the usefulness and applicability of regime shifts in ecology likely also  
410 hampers this operationalization (Higgins et al., 2023; Hillebrand et al., 2023). Further, a helpful

411 platform where restoration teams can explore whether ecosystems from similar climates and  
412 degradation settings have experienced a regime shift, is the online database  
413 [www.regimeshifts.org](http://www.regimeshifts.org) (Biggs et al., 2009; Stockholm Resilience Centre, 2022). This evidence-  
414 based catalog should, however, be extended, as more scientists and practitioners assess  
415 regime shifts across different ecosystems and biomes (**Box 4**). Similarly, data-driven networks  
416 where teams can share their M&E restoration performance data (e.g.,  
417 <https://globalrestorationobservatory.com/>) should be encouraged to facilitate global  
418 monitoring of restoration performance as we progress in the UNDER (Ladouceur &  
419 Shackelford, 2021). Further, scientifically testing the hypotheses brought forward in our  
420 framework, i.e., that the loss of helpful resilience and presence of abrupt regime shifts  
421 significantly influence restoration performance, remains another outstanding task (**Box 4**).  
422 Importantly, this should be done while bringing together different knowledge sources, i.e.  
423 western science. with Indigenous and Local Knowledge (ILK) (Falardeau et al., 2022; Wheeler  
424 & Root-Bernstein, 2020), as well as considering the broader social-ecological dimension of  
425 CS dynamics and ecosystem restoration (**Appendix 1 Table 2**; Folke et al., 2010; Nikinmaa,  
426 2020). For restoration policymakers, we encourage them to step away from common  
427 assumptions on helpful 'general' resilience, and instead introduce the concept of unhelpful  
428 resilience, and further incorporate CSS assessment into their guidelines (**Box 4**). A crucial  
429 step towards CSS incorporation will be to start 'learning-by-doing' (Kato & Ahern, 2008;  
430 Walters & Holling, 1990), i.e. apply the proposed CSS assessment in real-life restoration  
431 projects, tailor the restoration strategies to it, and monitor and evaluate the remaining  
432 constraints and effectiveness (**Box 3**). Importantly, such inclusion of CSS assessment in  
433 restoration should be done through translating the key concepts in practical and  
434 comprehensible language that are accessible to a wide diversity of restoration teams, e.g.  
435 also those teams with limited or no scientific expertise.

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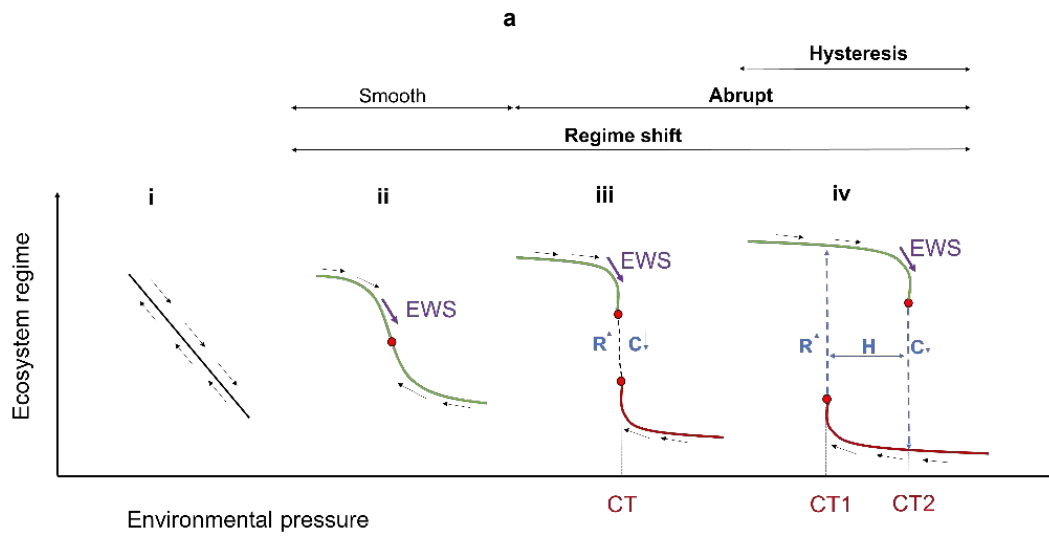


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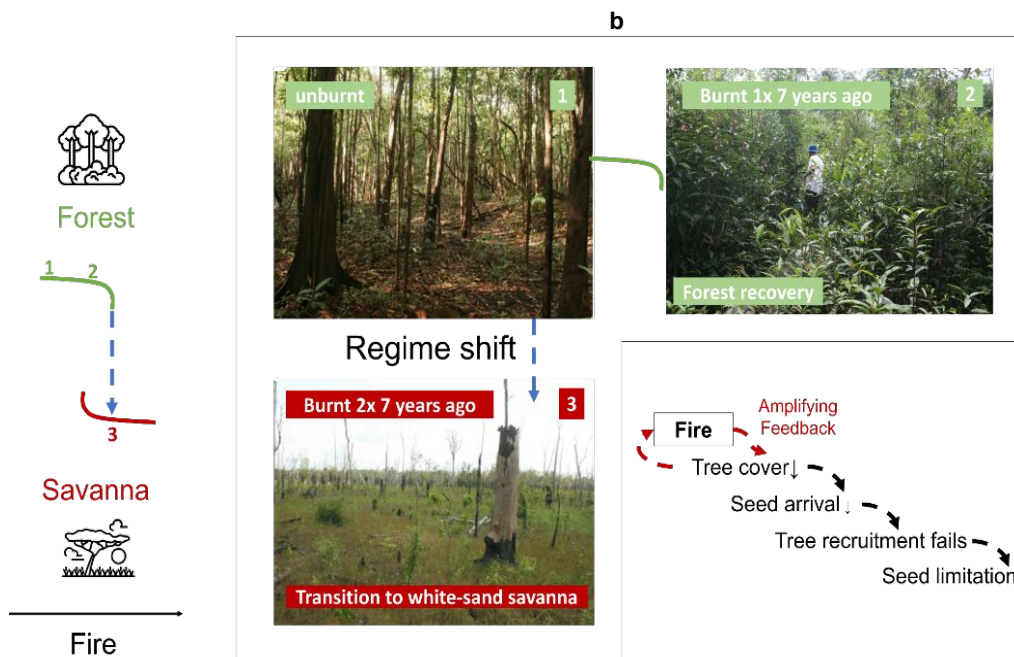
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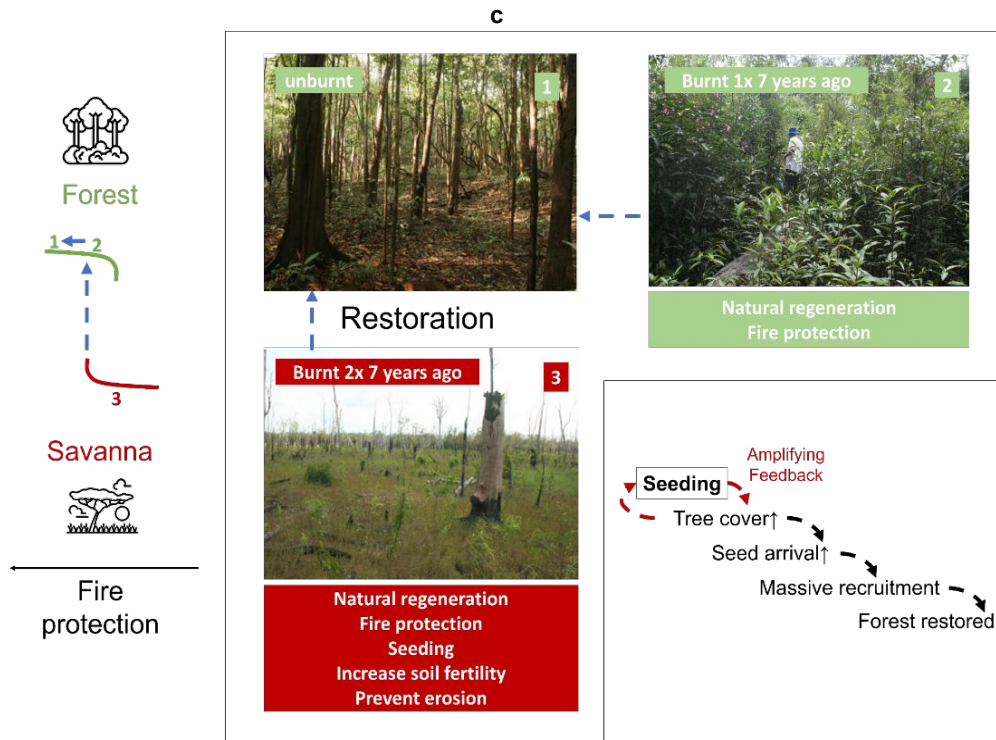
822 **Figures**

823



CT=Critical Threshold  
 EWS=Early-warning signals  
 C=Collapse, R=Recovery, H=Hysteresis



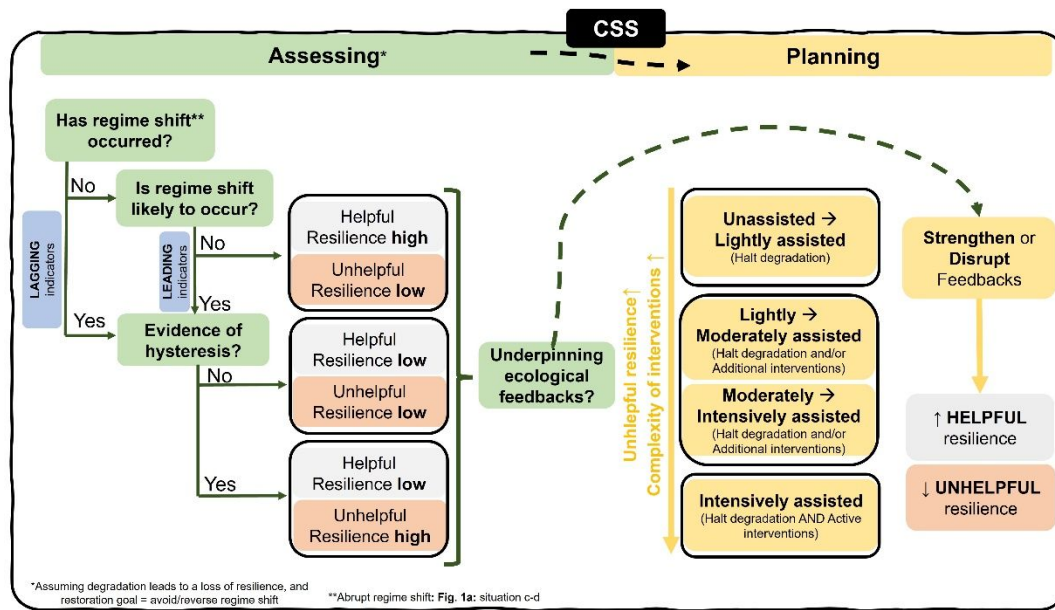


**Figure 1 shows a conceptual graph of Complex System dynamics in ecosystems:** i.e., the presence of regime shifts in response to environmental pressures, with **(a)**, an example of a regime shift in tropical forest ecosystems and **(b)**, the trajectory to successful restoration **(c)**.

a) From left to right: i) linear response to environmental pressures, ii-iv) nonlinear response to environmental pressures with presence of regime shift, where transition to alternative regime is ii) smooth so no presence of critical thresholds, vs. iii-iv) presence of critical thresholds causing an abrupt regime shift to an alternative regime, and iv) exhibiting hysteresis, which implies that the alternative regime is highly resilient (Hu et al., 2022; Selkoe et al., 2015; Suding & Hobbs, 2009). After an abrupt regime shift iii-iv), the ecosystem collapses 'C' from regime 1 to 2. Ecosystem Recovery 'R' occurs when the system is restored through the reversed abrupt pathway to regime 1. In the case of hysteresis 'H', the ecosystem collapse pathway differs from the recovery pathway due to high resilience of regime 2.

b) Photographic evidence of a regime shift in Amazonian floodplain forests (from Flores & Holmgren, 2021a, 2021b). When these forests are repeatedly burnt, tree growth rates slow down due to soil nutrient and seed dispersal limitations. After a first wildfire (2), these forests lose most of their seed banks. With time, seed banks are able to recover, i.e., forest recovery (1). After a second wildfire (3), burned forests persist in the open regime with a tree species composition, % sand and % herbaceous cover similar to white-sand savannas. These forests experience a regime shift to a white-sand savanna as reported by Flores & Holmgren, 2021b, due to the amplifying feedback of repeated fires on change in tree cover and seed availability (bottom right).

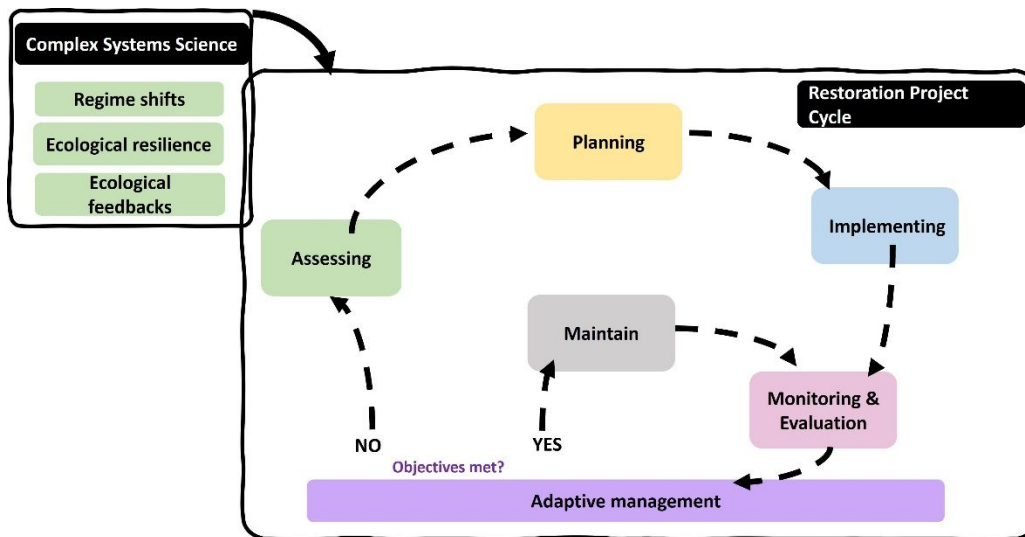
c) Forests burnt once in the floodplain landscape (2) need to be protected from wildfires to prevent recurring fires, which hinder natural forest recovery (1), while re-burnt forests (3) require additional assisted interventions (beyond natural regeneration and fire protection) to fully recover forest structure, diversity, and functioning, such as seeding, soil fertility increases, and soil erosion prevention. Particularly active seeding of well adapted tree species in repeatedly burnt sites should increase tree cover, triggering recovery of the tree cover-seed availability feedback that restores the forest (bottom right).



825  
826 **Figure 2 Incorporating CSS concepts in a restoration project cycle's Assessing and Planning phase.**

827 Key questions (green boxes) to incorporate in the CSS assessment phase in the restoration project cycle (left:  
828 *Assessing*), and guidance on how to prepare planned interventions for CSS assessment (right: *Planning*). The  
829 scheme assumes that degradation leads to a loss of helpful resilience potentially leading to an abrupt regime shift  
830 and that the aim of restoration is to avoid or reverse such shifts. **Left: Assessing:** Green boxes represent four  
831 questions to be answered by restoration teams during CSS assessment. Depending on the replies, three ecosystem  
832 regime scenarios arise: i) no regime shift occurred (i.e. low unhelpful resilience in orange), and none expected (i.e.  
833 high helpful resilience in grey) (top scenario), ii) pending regime shift (i.e. low helpful resilience), but no evidence of  
834 hysteresis (i.e. low unhelpful resilience) (middle scenario), and iii) regime shift has occurred or is pending (i.e. low  
835 helpful resilience) and evidence of hysteresis (i.e. high unhelpful resilience) (bottom scenario). Lagging resilience  
836 indicators (blue) can be assessed to determine whether a regime shift has occurred, while leading indicators (blue)  
837 may signal a pending regime shift. **Right: Planning:** Yellow boxes represent suitable restoration interventions ranging  
838 from simple to more complex (top to bottom), with increasing evidence of regime shifts and hysteresis, i.e., increasing  
839 levels of unhelpful resilience (yellow arrow). The range of interventions are categorized according to the intervention  
840 continuum framework proposed by Chazdon et al., (2021) (unassisted, lightly, moderately, and intensively assisted  
841 recovery). The interventions should act to strengthen or disrupt ecological feedbacks that increase helpful, and  
842 decrease unhelpful resilience.

843



**Figure 3** The different phases of a Restoration Project Cycle identified by scanning 9 ecosystem restoration guidelines from international organizations published in the last decade 2012-2022 (**Appendix 3**). The details of each phase are explained above. Our framework suggests that 3 key elements of Complex Systems Science (top left) should be incorporated into the project cycle to improve restoration outcomes.

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845 **Text boxes**

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## Box 1 Glossary (See Appendix 2 for Extended Glossary)

### Regime shift

(Carpenter et al., 2011; Dudley et al., 2018; Kéfi et al., 2013; Scheffer et al., 2012; Van Meerbeek et al., 2021; Van Nes et al., 2016)

#### Regime shift:

An ecosystem regime is an identifiable configuration with characteristic structure, functions, and feedbacks. A regime shift is the change of an ecosystem from one regime or reference condition to an alternative regime as a result of nonlinear (abrupt or smooth) responses of ecosystem state variables (e.g. biomass) to environmental pressures (Fig. 1a).

**Critical threshold (CT; or Critical transition or Tipping point):** The point at which small disturbances can trigger large, abrupt changes in ecosystem state variable(s).

**Early-warning signals (EWS):** Generic indicators (e.g. critical slowing down) that mark loss of ecological resilience in a system, indicating that a regime shift is likely to occur.

**Hysteresis (or History-dependence):** A phenomenon whereby the ecosystem degradation trajectory differs from the recovery trajectory: crossing the critical degradation threshold (CT2 in Fig. 1a) results in a shift in the ecosystem regime from 1 (green) to 2 (red). To restore an ecosystem to regime 1, the environmental degradation pressure(s) (e.g. eutrophication) must be reduced to a lower threshold than the one which triggered the transformation of the ecosystem to an alternative regime (i.e. to CT1 instead of CT2).

### Ecological resilience

(Dornelles et al., 2020; Dudley et al., 2018; Holling, 1973; Nicholson et al., 2020; Standish et al., 2014)

**Ecological resilience:** A measure of the ability of ecosystems to absorb change and disturbances and still remain within critical thresholds of the same regime, i.e. maintain the regime.

**Helpful resilience:** Resilience that helps to achieve the defined restoration aim. Higher helpful resilience of an ecosystem in regime 1 implies that a shift to regime 2 is less likely to occur under the same degradation scenario. This is considered helpful or desirable if the aim is to avoid regime shifts (Fig. 1a).

**Unhelpful resilience:** Resilience that hinders the achievement of the defined restoration aim (Standish et al. 2014; Dudley et al. 2018). Higher unhelpful resilience of an ecosystem in regime 2 after a regime shift occurs implies that a shift back to 1 is less likely to occur, which is considered unhelpful or undesirable if the aim is to restore regime 1 (Fig. 1a).

### Ecological feedbacks

(Van Nes et al., 2016)

**Ecological feedbacks:** Dynamic ecological interactions between (a)biotic factors (e.g., vegetation composition) and disturbance regimes (e.g., fire regime, grazing level) in an ecosystem that loop back to control system dynamics. Feedbacks can either dampen (negative or stabilizing feedbacks) or reinforce (positive or amplifying feedbacks) system change, thereby maintaining one regime or causing it to shift to an alternative one.

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**Box 2 Examples of hysteresis (history-dependence) in ecosystem dynamics (A),  
and activities to promote successful restoration (B)**

Reference	Regime shift	Disturbance	A) Hysteresis: High unhelpful resilience of the degraded regime	B) Successful restoration (if aim is to reverse the shift): Decrease unhelpful resilience and increase helpful resilience through halting degradation <i>and</i> additional interventions
(Christensen et al., 2023; Cipriotti et al., 2019; Kéfi et al., 2007; Rietkerk et al., 2004; Searle et al., 2009)	Grassland or Savanna → Rangeland or Desert	Overgrazing Drought	Heavy grazing in terrestrial grass-dominated ecosystems leads to a decreased grass-to-shrub cover ratio, replacement of palatable by non-palatable grasses, and altered soil resources and nutrients, restricting recovery of palatable grasses and the grassy system ('Rangeland'). Increased aridity can then lead to desertification ('Desert'), restricting even more the grassy vegetation recovery.	<u>Halt degradation</u> : reduce or eliminate grazing <u>Additional interventions</u> : reseeding with desirable, well-adapted species, woody species control, soil erosion prevention and protection, soil water management
(Flores et al., 2017; Flores & Holmgren, 2021b, 2021a)	Tropical floodplain forest → White-sand savanna	Fire increase	Repeated wildfires in tropical floodplain forests decrease tree cover which leads to reduced seed dispersal and consequently seed availability, keeping tree cover low and hampering forest recovery.	<u>Halt degradation</u> : fire protection <u>Additional interventions</u> : increase soil fertility, soil erosion prevention and protection, assisted natural regeneration, seeding
(Graham et al., 2013)	Coral reef → Algal-dominated reef	Fishing Eutrophication Warming	A combination of fishing, eutrophication, and warming pressures results in algal dominance and low abundance of herbivore fish groups that feed on algae, preventing successful coral recruitment while outcompeting successfully recruited corals.	<u>Halt degradation</u> : reduce fishing pressures and chronic nutrient input, global warming mitigation <u>Additional interventions</u> : introduce herbivore fish groups that feed on algae, thus reducing algal dominance, introduce fish species such as parrot and surgeon fishes that promote coral recruitment
(Contos et al., 2021; Desie et al., 2019; Desie, Van Meerbeek, et al., 2020; Desie, Vancampenhout, et al., 2020; Jansone et al., 2020)	Temperate forest Base buffer domain → Acidic buffer domain	Acidification	Acidification in temperate forests, e.g., through conversion of deciduous to acidifying tree species, leads to greater litter mass and accumulation of toxic exchangeable aluminum, as well as lower microbial functional diversity, earthworm biomass, and base saturation. Slow recolonization speed of earthworms and strong retention of aluminum impedes recovery to the base buffering domain.	<u>Halt degradation</u> : stop conversion from deciduous to acidifying species <u>Additional interventions</u> : plant tree species with nutrient-rich litter, liming, reintroduction of soil microbes or soil fauna
(Anderson et al., 2000; Ratajczak et al., 2018)	Grassland → Woodland	Fire decrease	During periods of fire suppression in prairie communities, increased tree cover (i.e., woody encroachment) results in canopy closure which leads to fewer fires, preventing grassland community recovery.	<u>Halt degradation</u> : stop fire suppression <u>Additional interventions</u> : reintroduce high intensity fire regime, introduce grazers to limit tree regeneration

<p>(Ingwell et al., 2010; Lai et al., 2017; Marshall et al., 2020; Phillips et al., 2002)</p>	<p>Tree-dominated rainforest → Liana-dominated rainforest</p>	<p>Light increase (tree cutting)</p>	<p>Lianas grow rapidly in response to increased light levels caused by heavy disturbance in many tropical and subtropical forests, e.g. from logging or cyclones. Since lianas compete heavily with trees in tropical rainforests, tropical forests with abundant lianas can show slower rates of tree growth and thus slow or arrested forest recovery following disturbance compared to those with few lianas.</p>	<p><u>Halt degradation</u>: stop deforestation <u>Additional interventions</u>: liana cutting</p>
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<b>Box 3 Restoration project cycle</b>		
<p>Our framework <i>Explore Before You Restore</i> suggests that key CSS concepts of regime shifts, ecological resilience, and ecological feedbacks need to be incorporated in the project cycle to improve restoration outcomes. Suggested CSS aspects to be incorporated in the project cycle are in <b>bold</b>. Importantly, our framework assumes that restoration planning (i) carefully considers the social-economic dimensions (in addition to ecological ones), and (ii) is approached from a social-ecological perspective (Crow, 2014; Elias et al., 2022; Maniraho et al., 2023).</p>		
<b>Assessing</b>	<ul style="list-style-type: none"> <li>• Drivers of degradation + Pre-degradation regime</li> <li>• Expected impact of climate change</li> <li>• Local and regional socio-economic context</li> <li>• Reciprocal engagement of local stakeholders</li> <li>• <b>Complex Systems Science (CSS) Assessment</b> <ul style="list-style-type: none"> <li><b>A Has regime shift occurred?</b> Lagging indicators</li> <li><b>B Regime shift likely to occur?</b> Leading indicators</li> <li><b>C Evidence of hysteresis?</b></li> <li><b>D Underpinning ecological feedbacks of resilience?</b></li> </ul> </li> </ul>	<p><b>Adaptive management</b></p> <ul style="list-style-type: none"> <li>• Re-evaluate objectives</li> <li>• Reiterate cycle to           <ul style="list-style-type: none"> <li>A Maintaining or Ongoing management if objectives met</li> <li>B Assessing if objectives not met</li> </ul> </li> </ul>
<b>Planning</b>	<p><b>Visioning</b></p> <ul style="list-style-type: none"> <li>• Determine short-term, measurable objectives and longer-term goals</li> </ul> <p><b>Designing</b></p> <ul style="list-style-type: none"> <li>• Determine interventions to achieve objectives (Unassisted to Intensively assisted interventions)</li> <li>• Establish Key Performance Indicators (KPIs) to track performance</li> <li>• <b>Tailor interventions to CSS assessment</b> <ul style="list-style-type: none"> <li><b>A Determine complexity of interventions needed</b></li> <li><b>B Strengthen and/or Disrupt feedbacks</b></li> <li><b>C ↑Helpful and/or ↓ Unhelpful RESILIENCE</b></li> </ul> </li> </ul>	
<b>Implementing</b>	<ul style="list-style-type: none"> <li>• Perform interventions</li> </ul>	
<b>Monitoring &amp; Evaluating</b>	<ul style="list-style-type: none"> <li>• Track restoration performance through measured KPIs</li> <li>• Are the objectives being met?</li> <li>• Which constraints still remain?</li> </ul>	
<b>Maintaining</b>	<ul style="list-style-type: none"> <li>• Continue tracking restoration performance (M&amp;E)</li> <li>• Continue restoration management</li> </ul>	

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<b>Box 4 Outstanding restoration science, practice, &amp; policy tasks</b>	
<b>Theme</b>	<b>Task</b>
Restoration Science-Practice	<p><u>Extend the framework <i>Explore Before You Restore</i></u></p> <ul style="list-style-type: none"> <li>–Operationalize resilience indicators (lagging, leading) into tools for ecosystem restoration</li> <li>–Develop practical methods to assess hysteresis</li> <li>–Extend ecosystem-, biome-, and region- specific case study evidence on regime shifts and hysteresis in global databases and scientific literature</li> <li>–Support global restoration performance monitoring networks</li> <li>–Evaluate relationships between loss of resilience, abrupt regime shifts, and restoration performance for different approaches (e.g. NR, ANR, Tree planting), bringing together different knowledge sources, i.e. western science. with Indigenous and Local Knowledge (ILK)</li> </ul>
Restoration Policy	<p><u>Operationalize CSS assessment into the Restoration Guidelines</u></p> <ul style="list-style-type: none"> <li>–Introduce the idea that (unhelpful) resilience can also hinder restoration</li> <li>–Translate CSS assessment in the restoration project cycle into practical and accessible language for the diversity of restoration teams</li> <li>–Target interventions that strengthen helpful resilience and weaken unhelpful resilience</li> <li>–Support global restoration performance monitoring networks</li> </ul>

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**Appendix 1 Extended Glossary.****Table 1 Eight properties of complex systems** (after Filotas et al., 2014).

<b>Heterogeneity:</b> Existence of interacting components whose global dynamics cannot be calculated by summing the dynamics of individual components.
<b>Hierarchy:</b> Elements at different levels interact to form an architecture that characterizes the system.
<b>Self-organization:</b> Local interactions among a system's components cause coherent patterns, entities, or behaviors to emerge at higher scales of the hierarchy, which in turn affect the original components through feedbacks.
<b>Openness:</b> Energy, matter, and information are exchanged with the external environment through porous system boundaries.
<b>Adaptation:</b> Adjustments in the behavior and attributes of a complex system in response to changes in external inputs.
<b>Memory:</b> Information from the past influences future trajectories through persistent change in the system's structure and composition.
<b>Nonlinearity:</b> Sensitivity to initial conditions exists so that small differences are amplified and lead to divergent trajectories.
<b>Uncertainty:</b> The dynamics of complex systems are riddled with various sources of uncertainty, which challenges predictions about future regimes.

**Table 2 Complex Systems** (Carpenter et al., 2011; Carpenter et al., 2012; Folke et al., 2010; Nikinmaa, 2020; Scheffer et al., 2012)

<b>Alternative stable state (ASS):</b> Alternative combinations of ecosystem regimes and environmental conditions that may form and persist at a particular spatial extent and temporal scale.
<b>Basin of attraction:</b> A set of system variable and parameter values in which every point will eventually gravitate back to the attractor after being disturbed. A disturbance can move the system from one basin to another and cross a threshold during the process.
<b>Critical slowdown (CSD):</b> Ecosystems recover more slowly from disturbances in the vicinity of tipping points, which is generally indicated by a rise in temporal correlation and variance.
<b>Resilience:</b> The degree, manner, and pace of recovery of ecosystem properties after natural or human disturbance.
a) <b>Engineering resilience:</b> The time it takes for variables to return to their pre-disturbance equilibrium following a disturbance. It encompasses recovery of the system and assumes a single equilibrium regime.
b) <b>Ecological resilience:</b> A measure of the persistence of systems and of their ability to absorb change and disturbance and still maintain within critical thresholds or the same regime. It encompasses resistance and recovery of the system and assumes multiple equilibria regimes.
c) <b>Social-ecological resilience</b> (or <b>Resilience thinking</b> ): The capacity of a social-ecological system to continually change and withstand disturbances yet remaining within critical thresholds or the same regime, i.e., essentially maintaining its structure and functions. It encompasses resistance, recovery, adaptive capacity and ability to transform the system and assumes multiple equilibria regimes.

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Appendix

**Appendix 2 Problem statement.** We tested whether there was a lack of inclusion of Complex Systems concepts in restoration guidance by scanning 13 guidelines documents on ecosystem restoration from leading international organizations (FAO, GPFLR, ICRAF, ITTO, IUCN, IUFRO, RBGKew, SER, and WRI) published in the last decade 2012-2022 (**Table 1**). We performed a word count of keywords related to Regime shifts, Resilience, and Ecological feedbacks. We also examined these documents for their meaning of 'resilience' (**Table 2**), i.e. whether 'resilience' was included as *general* or *specific* resilience, i.e. resilience to all kinds of shocks/stressors or, respectively resilience of a specific ecosystem component and to a specific stressor.

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Appendix

**Table 1 Complex Systems concepts: word use in international restoration guidelines (See citations in Table 2).**

Title	Organization	Year	Regime shifts									Ecological Resilience				Ecological Feedbacks
			ASS <sup>a</sup>	Alternative ecosystem	Regime shift	(Critical) threshold	Tipping/ Turning point	CSD <sup>b</sup>	EWS <sup>c</sup>	Basin of attraction	Hyster-esis	Resilience	Engin <sup>d</sup>	Ecolog <sup>e</sup>	Soc-Ecol <sup>f</sup>	Feedback Feed back
<a href="#">Global Guidelines for the Restoration of Degraded Forests and Landscapes in Drylands</a>	FAO	2015	0	0	0	2	0	0	0	0	0	60	0	1	1	2
<a href="#">Restoring forest landscapes through assisted natural regeneration: a practical manual</a>	FAO	2019	0	0	0	0	0	0	0	0	0	1	0	0	0	0
<a href="#">Principles for ecosystem restoration to guide the United Nations decade 2021-2030</a>	FAO	2021	0	0	0	0	0	0	0	0	0	1	0	0	0	0
<a href="#">Restoring forest and landscapes: the key to a sustainable future</a>	GPFLR	2018	0	0	0	0	0	0	0	0	0	4	0	0	0	0
<a href="#">Practitioner's field guide: agroforestry for climate resilience</a>	ICRAF	2020	0	0	0	0	0	0	0	0	0	84	0	0	0	0
<a href="#">From Tree Planting to Tree Growing: Rethinking Ecosystem Restoration Through Trees</a>	ICRAF	2020	0	0	0	0	0	0	0	0	0	3	0	0	0	0
<a href="#">Guidelines for Forest Landscape Restoration in the Tropics</a>	ITTO	2020	0	0	0	1	0	0	0	0	0	37	0	1	0	0
<a href="#">Biodiversity guidelines for forest landscape restoration opportunities assessments</a>	IUCN	2018	0	0	0	0	0	0	0	0	0	18	0	1	0	0
<a href="#">Implementing Forest Landscape Restoration: A practitioner's Guide</a>	IUFRO	2017	0	0	0	2	0	0	0	0	0	23	0	2	0	0
<a href="#">Kew declaration on reforestation for biodiversity, carbon capture and livelihoods</a>	Royal Botanic Gardens Kew	2021	0	0	0	0	0	0	0	0	0	2	0	0	0	0
<a href="#">International principles and standards for the practice of ecological restoration, 2nd edition</a>	SER	2019	0	2	0	4	0	0	0	0	0	34	0	3	4	2
<a href="#">The Restoration Diagnostic</a>	WRI	2015	0	0	0	0	0	0	0	0	0	14	0	0	0	0
<a href="#">Scaling up Regreening: Six steps to success</a>	WRI	2015	0	0	0	0	0	0	0	0	0	17	0	0	0	0
<b>Across all 13 documents</b>			<b>0</b>	<b>2</b>	<b>0</b>	<b>9</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>298</b>	<b>0</b>	<b>8</b>	<b>5</b>	<b>4</b>

<sup>a</sup>Alternative Stable regime(s); <sup>b</sup>Critical slowdown; <sup>c</sup>Early(-)warning signal; <sup>d</sup>Engineering resilience; <sup>e</sup>Ecological resilience; <sup>f</sup>Social(-)ecological resilience, Socio(-)ecological resilience

**Table 2** The meaning of the Complex Systems concept of ‘Resilience’ as frequently used in international restoration guidelines. We extracted the paragraphs where the word Resilience was used, to evaluate, for each instance, whether the guidelines referred to General resilience (left column), i.e. resilience of ecosystems to all kinds of shocks/stressors, or to Specific resilience (right column), i.e. resilience of a specific ecosystem component to a specific stressor. See below\* for examples.

Title	Organization	Year	General: Resilience of ecosystems to all kinds of shocks/stressors	Specific: Resilience of an ecosystem component to specific stressor
<a href="#">Global Guidelines for the Restoration of Degraded Forests and Landscapes in Drylands</a> (FAO, 2015)	FAO	2015	60	0
<a href="#">Restoring forest landscapes through assisted natural regeneration: a practical manual</a> (FAO, 2019)	FAO	2019	1	0
<a href="#">Principles for ecosystem restoration to guide the United Nations decade 2021-2030</a> (FAO et al., 2021)	FAO	2021	1	0
<a href="#">Restoring forest and landscapes: the key to a sustainable future</a> (Besseau, Graham, and Christophersen, 2018)	GPFLR	2018	4	0
<a href="#">Practitioner's field guide: agroforestry for climate resilience</a> (Martini et al., 2020)	ICRAF	2020	82	2
<a href="#">From Tree Planting to Tree Growing: Rethinking Ecosystem Restoration Through Trees</a> (Duguma et al., 2020)	ICRAF	2020	3	0
<a href="#">Guidelines for Forest Landscape Restoration in the Tropics</a>	ITTO	2020	37	0
<a href="#">Biodiversity guidelines for forest landscape restoration opportunities assessments</a> (Beatty et al., 2018)	IUCN	2018	18	0
<a href="#">Implementing Forest Landscape Restoration: A practitioner's Guide</a> (Stanturf et al., 2017)	IUFRO	2017	23	0
<a href="#">Kew declaration on reforestation for biodiversity, carbon capture and livelihoods</a> (The Declaration Drafting Committee, 2021)	Royal Botanic Gardens Kew	2021	2	0
<a href="#">International principles and standards for the practice of ecological restoration, 2nd edition</a> (Gann et al., 2019)	SER	2019	33	1
<a href="#">The Restoration Diagnostic</a> (Hanson et al., 2015)	WRI	2015	14	0
<a href="#">Scaling up Regreening: Six steps to success</a> (Reij C. & Winterbottom R., 2015)	WRI	2015	17	0
<b>Across all 13 documents</b>			<b>295 (99%)</b>	<b>3 (1%)</b>

\***Examples** (Dudney et al., 2018; Folke et al., 2010)

**General resilience:** Resilience to all kinds of shocks/stressors

- **Example from:** SER, 2019, *International principles and standards for the practice of ecological restoration* (Gann et al., 2019).
- **Paragraph:** *Ecological restoration, when implemented effectively and sustainably, contributes to protecting biodiversity; improving human health and wellbeing; increasing food and water security; delivering goods, services, and economic prosperity; and supporting climate change mitigation, **resilience**, and adaptation.*
- **Explanation:** No specification of resilience of specific ecosystem components and to specific stressors or disturbances in the system. The focus here is on the need for restoration to achieve resilient ecosystems to all kinds of shocks.

**Specific resilience:** Specific resilience of a system component to specific stressor.

- **Example from:** ICRAF, 2020, *Practitioner's field guide: agroforestry for climate resilience* (Martini et al., 2020).
- **Paragraph:** *At landscape level: more than 20 other households adopted and implemented similar agroforestry practices on their individual land; increased planted forest area in the village by more than 100 ha in total; modified the microclimate; and enhanced landscape **resilience** to increasing temperatures.*
- **Explanation:** Resilience is referred to here (although only partly) as resilience of a specific ecosystem component (this part is missing) to a specific measurable stressor (increasing temperatures).



**Appendix 3 Restoration Project Cycle.** Although the nomenclature, structure and restoration project steps vary substantially depending on goal, scale, budget, and organization, we identified six recurrent phases in project cycles based on the different phases that are described in 9 key ecosystem restoration guidelines from leading international organizations published in the last decade 2012-2022: **Assessing** (green), **Planning** (including ‘Visioning’ + ‘Conceptualizing’ or ‘Designing’; orange), **Implementing** (or ‘Acting’; blue), **Monitoring & Evaluation** (or ‘Monitoring’ or ‘M&E’; pink), **Maintaining** (or ‘Managing’ or ‘Sustaining’; grey), and **Adaptive management** (or ‘Replan’; purple; cuts across all phases) (see also **Box 3, Figure 1** in main text). All phases are strongly interconnected as part of an iterative process. Hence, they are not necessarily sequential. E.g., although the bulk of *M&E* occurs after *Implementation*, activities critical to *M&E* begin beforehand because of the need to design monitoring plans, develop budgets, collect pre-implementation data etc. Adaptive management cuts across all other phases, i.e. feedbacks at regular intervals in the cycle exist, where, depending on changing conditions, or on new information gained throughout *implementation*, priorities and *planning* may continuously shift (Gann et al., 2019; ITTO, 2020).

Title	Organization	Year	Phases				
			Assessing	Planning	Implementing	M&E	Maintaining
			Adaptive management				
<a href="#">Global Guidelines for the Restoration of Degraded Forests and Landscapes in Drylands</a>	FAO	2015		Planning	Implementing	Monitoring & Evaluating	
<a href="#">Principles for ecosystem restoration to guide the United Nations decade 2021-2030</a>	FAO	2021		Planning	Implementation	Monitoring & Evaluating	
			Adaptive management				
<a href="#">Restoration team's field guide: agroforestry for climate resilience</a>	ICRAF	2020		Plan	Act	Monitor	
			Replan				
<a href="#">Guidelines for Forest Landscape Restoration in the Tropics</a>	ITTO	2020		Visioning + Conceptualizing	Acting/ Implementing		Sustaining
			Monitoring and Adaptive management				
<a href="#">Biodiversity guidelines for forest landscape restoration opportunities assessments</a>	IUCN	2018	Assessment		Implementation	Monitoring	
<a href="#">Implementing Forest Landscape Restoration: A restoration team's Guide</a>	IUFRO	2017		Conceptualizing + Designing	Implementing	Monitoring	
<a href="#">International principles and standards for the practice of ecological restoration 2nd edition</a>	SER	2019		Planning and Design (incl. Assessment)	Implementation	Monitoring & Evaluating	Maintaining
			Adaptive management				
<a href="#">The Restoration Diagnostic</a>	WRI	2015		Design	Implement	Monitor	
<a href="#">WWF-SER Standards for the certification of forest ecosystem restoration projects (WWF &amp; SER, 2022)</a>	WWF-SER	2022		Planning and Design (including Assessment)	Execution	Monitoring & Evaluation (incl. Reports, Information management)	Aftercare and long-term Maintenance

During the **Assessing** phase, i) the drivers, intensity, and extent of degradation, as well as the pre-degradation historic regime, ii) the expected impacts of climate change, iii) the local and regional socio-economic context and iv) reciprocal engagement of local stakeholders are assessed (**Box 3**). During the **Planning** phase, i) short-term, measurable objectives as well as longer-term goals (i.e. ‘Visioning’),

and ii) suitable restoration measures (i.e. 'Conceptualizing' or 'Designing') are defined along with iii) suitable key performance indicators (KPIs) to track restoration performance (FAO et al., 2021). These measures are then performed in the **Implementing** phase and range from; i) actions to reduce or eliminate degradation, to ii) additional interventions needed to assist recovery such as re-establishing disturbance regimes, restoring physical conditions, removing specific species, facilitating regeneration, adding seeds/species, excluding herbivores etc. (Chazdon, 2008; Poorter et al., 2016; Stanturf et al., 2017; Suding et al., 2004) (Chazdon, 2008; Chazdon et al., 2021; Stanturf et al., 2017; Suding et al., 2004). During **M&E**, restoration performance is tracked by measuring KPIs, which permits evaluation of whether the objectives are being met, and whether constraints remain. Usually, this phase will also include documentation and reporting of project aims and results, and future recommendations to maintain or achieve objectives. Next, once the objectives are met, emphasis shifts from evaluating to maintaining the objectives, and the cycle moves into the **Maintaining** phase (ITTO, 2020; Reij & Winterbottom, 2015; Suding et al., 2004). Finally, some guidelines include an additional phase of **Adaptive management** which cuts across all phases, i.e. at regular intervals in the cycle; i) the objectives are re-evaluated, and ii) the cycle is reiterated to other phases of the project cycle (FAO et al., 2021; Gann et al., 2019; ITTO, 2020; Lynch et al., 2022; Zabin et al., 2022).

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