Exploring unconventional approaches
to Molecular Replacement in Protein Crystallography with AMPLE

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by

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Abstract

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This thesis is concerned with the development and application of AMPLE, a software pipeline for macromolecular crystallographic Molecular Replacement, to different classes of proteins.

The ability of AMPLE to solve protein structures via Molecular Replacement was first explored with two new classes of proteins: coiled-coils and transmembrane helical proteins. The results were very positive, with AMPLE solving 75 of 94 (80%) of the coiled-coil and 10 of 15 (67%) of the transmembrane protein test cases. In both cases the performance of AMPLE was benchmarked against a library of ideal helices. The performance of ideal helices was found to be surprisingly good (solving 44 of the coiled-coil and 7 of the transmembrane test cases), but the performance of AMPLE was significantly better.

AMPLE’s truncation and ensembling pipeline was then applied to the solution of protein structures using very distant homologs, and compared with the performance of the current state-of-the-art in automated Molecular Replacement in MRBUMP. The AMPLE pipeline was able to solve structures that could be be solved using MRBUMP, showing how AMPLE is able to find the evolutionarily conserved structural core from homologs that cannot be accessed using existing protocols.

Work was also carried out to optimise AMPLE’s cluster and truncate procedure. This has resulted in a significant improvement on AMPLE’s ability to solve the structures in a difficult set of test cases (solving 11 of 18 test cases compared with 6 for the original protocol), despite only a modest increase in processing time.

As part of this work, AMPLE has been extended from a prototype piece of software consisting of a collection of independent scripts, to a coherent, modularised program incorporating a range of software best practice. AMPLE is also now available as a server as part of CCP4 online at: https://www.ccp4.ac.uk/ccp4online.
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Chapter 1: Introduction
1 Introduction

1.1 General Introduction
This thesis is concerned with the development and application of AMPLE, a software pipeline for Macromolecular Crystallographic Molecular Replacement, to different classes of proteins. AMPLE is a program that works at the boundary of three academic disciplines: macromolecular crystallography, bioinformatics and molecular modelling. As such, this thesis will need to at least touch on these areas in order to explain and contextualise the work.

The primary context for this work is Macromolecular Crystallography, a discipline concerned with determining the three-dimensional structure of biological macromolecules such as proteins. One of the main techniques within Macromolecular Crystallography is Molecular Replacement, which is the use of a similar model to help solve a novel structure. AMPLE uses methods from Bioinformatics and Molecular Modelling to overcome some of the problems within Molecular Replacement. This thesis will therefore begin with an introduction to crystallography to explain what Molecular Replacement is and why it often encounters difficulties.

AMPLE is developed as a collaboration with CCP4 (Winn et al. 2011). CCP4 is the Collaborative Computational Project Number 4, a U. K.-based organisation and associated community that exists to "produce and support a world-leading, integrated suite of programs that allows researchers to determine macromolecular structures by X-ray crystallography, and other biophysical techniques" ("CCP4: Software for Macromolecular Crystallography" 2016). As AMPLE is developed within CCP4 and uses CCP4 programs internally, the different programs that are used by AMPLE will be introduced and brief explanations given. The introduction will then move on to explore Molecular Modelling and Bioinformatics and show how AMPLE applies these to Molecular Replacement. The final section will then give an overview of the structure and function of AMPLE.
1.2 Macromolecular Crystallography
In our everyday world we use light to see the things around us. The wavelength of visible light extends from about 400 to 700nm and so with the help of optical microscopes, structures down to about one micrometer in size can be resolved. In light microscopy, the object of interest is illuminated with light, which diffracts off the object. The diffracted light is then focussed by the microscope lenses (which have a high refractive index and so bend the light) onto a small area using a lens, and it is the focussed beam that creates the image.

Proteins range in size from a few tens of amino acids (Hobbs et al. 2011) to the monster Titin at more than 30,000 amino acids (3000 kDa) (Erickson 2009). However, the atoms in proteins are of the order of an Angstrom (Å) apart (0.1nm), which is far too small to resolve with visible light. In order to resolve structures of this size a form of radiation with a wavelength of a similar size needs to be used. The wavelength of x-ray radiation is of the correct order of magnitude, but unfortunately the refractive index of x-rays is 1 in almost all materials (i.e. the x-rays are hardly deflected and pass through most materials unchanged). This means that a lens that could bend x-rays to form an image would be so large that it would be effectively impractical.

X-rays are however reflected by electrons, and the physical shape of atomic matter is dominated by electrons, so the scattering of x-rays by electrons can provide a route into the determination of protein structure, although the methods will be far more complex than if x-rays could be focussed.

1.2.1 X-ray scattering
There are two types of scattering from electrons: elastic and inelastic. In inelastic scattering the x-ray photons either impart or absorb energy from the electron and depart with a different energy. In elastic scattering they are considered to bounce off with their energy unchanged. For this discussion only elastic scattering will be considered.

1.2.1.1 Atomic scattering
The simplest form of scattering to consider is the scattering of a single x-ray photon by a single electron. The Thomson formula gives this scattering probability and the picture it paints is of non-
isotropic scattering with significant scattering both in forward and backward directions, but only 0.1-1% scattered transversely to the path of the incoming x-ray photon (Rupp 2009). For elastic scattering the wavelength of the scattered photon is coherent with the incoming x-rays so most of the photons effectively pass through the material unchanged. This explains why x-rays have such a low refractive index.

The picture of scattering by a single electron needs to be expanded to consider scattering from an entire atom. An atom consists of a nucleus surrounded by one or more electrons, and the scattering will depend on the arrangement of the electrons around the nucleus. This arrangement is given by their quantum mechanical wave function and it is the square of the wave function that gives the probability distributions of the electrons, and consequently the electron density at a particular point. Rather than considering scattering from individual electrons the scattering from the electron density will now be considered.

To a first approximation, the electron density of a single atom is spherical. The incoming x-ray photons are scattered by the electron density at all points in space within the atom and the photons scattered from different points will interact and interfere.

The equation describing the phase difference between waves scattered from different points in space is:

\[ \Delta \phi = 2\pi (s_1 - s_0) \cdot r = 2\pi S \cdot r \]  

(1.1)

Where \( \Delta \phi \) is the phase difference, \( r \) the distance between the two scattering elements 0 and 1, \( s_0 \) is the vector of the scattered wave from element 0, \( s_1 \) the vector of the scattered wave from element 1, and \( S \) the vector of the resultant wave. Where \( \Delta \phi \) is in phase the scattering will be enhanced, and where it is out of phase it will be reduced.
If it is assumed that an atom is spherical and centrosymmetric then integration over the volume of a sphere will elicit the scattering factor for a single atom:

\[ f_s = \int_{V_{\text{atom}}} \rho(r) \exp(2\pi i Sr) \, dr \] (1.2)

Where \( \rho(r) \) is the electron density (as calculated from the quantum mechanical wavefunction) at point \( r \) from the centre of the atom (Rupp 2009).

When the sum runs over the entire volume of the atom the total scattering factor is again non-isotropic with most scattering forward and backwards and only some transverse to the incoming photons. The intensity of the scattering is proportional to the number of electrons in the atom, with more electrons leading to increased scattering.

The scattering from a single isolated atom is called its atomic scattering factor \( f \) and can be derived quantum mechanically for isolated atoms. In reality however, the atomic scattering factor will depend to some extent on the environment of the atom in the molecule. To a first approximation though it is proportional to the number of electrons in the atom. The atomic scattering factor of an atom is the Fourier Transform (see below) of the atom’s real-space electron density.

1.2.1.2 Molecular Scattering

If the discussion is expanded to include molecules, atoms of different sizes arranged at (reasonably) fixed positions in space are being considered. The scattered waves emanating from different atoms can now be considered to be interfering with each other due to the separation of the atoms in space leading to a path difference between the waves. The constructive and destructive interference of these waves leads to varying intensities of the x-ray photon wave at different points in space around the molecule.
Considering a molecule, each atom will scatter in proportion to its atomic scattering power $f$. The total scattering from a molecule is therefore the sum of the scattering from all the atoms, which can be determined from the sum:

$$F_s = \sum_{j=1}^{\text{atoms}} f_{s,j}^0 \cdot \exp(2\pi i S r_j)$$  \hspace{1cm} (1.3)

Where the symbols are as for equation 1.2, but with $F_s$ the total scattering, $f$ the atomic scattering power for atom $j$, and $i$ is a complex number related to the phase (Rupp 2009).

The three-dimensional pattern of the scattered waves in space is determined by the three-dimensional arrangement of the atoms in the molecule. If therefore the position, phase and intensity of the diffraction from a single molecule could be accurately measured, all the information required to determine its three-dimensional structure would be available.

Unfortunately, the diffraction from a single molecule is so weak that it is not feasible to measure the diffraction above that of the background radiation. With the advent of electron lasers, it may become possible to blast a molecule with enough coherent radiation that a diffraction pattern could be measured before the molecule was ripped apart by the radiation, but this is the subject of ongoing research (Chapman et al. 2011).

1.2.2 Crystallography

In order to enable the measurement of the diffraction of a molecule, some method of amplifying that diffraction is required. In x-ray crystallography, the method used to achieve this amplification is to crystallise the molecule so that millions of atoms are arranged in a periodic repeating lattice. The repeating unit of this lattice is the unit cell, and if it contains a single molecule, moving one unit cell's direction from an atom in any particular direction encounters another identical atom.

The maximum scattering from a crystal occurs when there is maximum constructive interference between the waves diffracted from the related atoms in different unit cells. The Laue equations
relate the maximal scattering to the unit cell dimensions. For the phase to be a maximum, the phase difference is required to be an integer \((n)\) multiple of the wavelength, so:

\[
\Delta \psi = 2\pi S \cdot r = n2\pi \tag{1.4}
\]

In a crystal, the distances between related atoms are the unit cell dimensions \((a,b,c)\), so:

\[
S.a = n_1, \ S.b= n_2, \ S.c = n_3 \tag{1.5}
\]

which are the Laue equations (Rupp 2009).

In order to quantitatively understand the diffraction from a periodic system, the Bragg Equation, developed by William Lawrence Bragg (Bragg and Bragg 1913) is used. The theoretical interpretation of the Bragg equation relies on having different scattering elements arranged vertically above each other with the incoming beam entering from the side and the resultant scattering vector pointing directly up. In this interpretation the scattering from two planes separated by a distance \(d\) is considered. In this view, scattering will be a maximum when:

\[
n\lambda = 2d_{hkl} \sin \theta \tag{1.6}
\]

Where \(n\) is an integer, \(\lambda\) the wavelength, \(d_{hkl}\) the spacing between the scattering elements and \(\theta\) the angle of the incoming radiation. The subscripts \(hkl\) refer to the Miller indices, which can be defined as points in reciprocal space, or as the inverse of the fractional intercept of a plane with the unit cell lattice vectors. Figure 1.1 illustrates Bragg scattering in two dimensions.
Figure 1.1. Schematic of Bragg scattering from planes of spacing \( d \) and incoming radiation at an angle \( \theta \).

This states that given a particular spacing of repeated atomic planes, incoming radiation will diffract maximally depending on the angle of the incoming radiation and its wavelength. If the molecules are arranged in a periodic crystal, so that the separation of the lattice planes of the crystal is commensurate with the wavelength of the incoming radiation, then the diffraction from different planes can reinforce and lead to increased scattering.

The combined scattering from all of the molecules in crystalline lattice now needs to be considered.

1.2.2.1 The Structure Factor Equation

Bragg’s Law requires that the phase difference between the waves scattered by successive unit cells must be equal to an integral multiple of \( 2\pi / \lambda \). The coordinates of the atoms are usually represented in fractional coordinates; i.e. fractions of the unit cell edges. The coordinate \( r \) in equation 1.4, can therefore be written as:

\[
 r_j = ax_j + by_j + cz_j \quad (1.7)
\]

The product \( r_j \cdot S \), can therefore be written as:

\[
 r_j \cdot S = ax_j . S + by_j . S + cz_j . S = hx_j + ky_j + lz_j \quad (1.8)
\]
where h, k and l are the Miller indices of the planes from which diffraction is taking place. If this is applied to equation 1.3, the canonical form of the structure factor equation is achieved, which is therefore:

\[ F(hkl) = \sum_n f_n e^{2\pi i(hx_n + ky_n + lz_n)} \]  

(1.9)

Where \( F(hkl) \) is the intensity of the reflection from the plane with Miller indices hkl, the sum is over the n atoms in the unit cell, \( f_n \) is the scattering factor of atom n, and x, y, z are the fractional coordinates of the atom n. This allows the calculation of the phase and intensity for a diffracted wave.

It should be noted that the above structure factor equation is 'ideal'; the simple picture is complicated by the fact that not all of the molecules in the crystal will be identical, and the single 'crystal' may actually be 'mosaic' and made up of many different crystals arranged in a more or less regular alignment to each other. In addition, there are a number of effects that must be taken into account, namely:

- multiplicity, j
- the polarisation factor, P
- the Lorentz factor, L
- X-ray absorption, A
- temperature

These are accounted for by applying additional terms to the structure factor equation. However, for the purposes of this general explanation, these will be eschewed.

1.2.2 Fourier Transforms and Electron Density from the Structure Factor

The structure factor equation relates the information in one domain (real-space electron density) to that in a reciprocal domain (diffraction space). This means that the structure factor equation is a form of equation known as a Fourier Transform. Fourier Transforms have the fortunate property that they are their own inverse: if you apply a Fourier Transform to the Fourier Transform of a function you get the original function back.
This allows the calculation the electron density directly from the measured structure factors, simply by applying a Fourier Transform to the structure factor equations (Rupp 2009). The sum needs to run over all structure factors and a normalisation factor needs to be applied, but with this the equation becomes:

\[
Q(x, y, z) = \frac{1}{V} \sum_{h=-\infty}^{+\infty} \sum_{k=-\infty}^{+\infty} \sum_{l=-\infty}^{+\infty} F(hkl) \cdot \exp[-2\pi i (hx + ky + lz)]
\]  

(1.10)

1.2.2.3 The Phase Problem
Unfortunately, although diffraction from a molecule, and how this is related to the electron density is now clear, a problem is encountered in that only the intensity can be measured, not the phase of the diffracted x-ray photons. Current measurement devices such as Charge-Coupled Devices or Silicon Pixel Detectors such as the Pilatus (Henrich et al. 2009), can only effectively count the number of photons they encounter; they cannot measure their energy. This is a consequence of Heisenberg’s Uncertainty Principle in Quantum Mechanics, which states that the location and energy of a quantum mechanical particle cannot be determined at the same time.

X-ray diffraction experiments are therefore only able to measure the intensity part of the information required to reconstruct the electron density. The phase, which actually contains the bulk of the information is not recovered in a single diffraction experiment and alternative approaches must therefore be considered.

In order to take account of phase the structure factor equation can be separated into a phase-dependent and phase-independent parts by separating it into real and imaginary, or sine and cosine, parts:

\[
F(hkl) = \sum_{n} f_{n} e^{2\pi i (hx_{n} + ky_{n} + lz_{n})} = \sum_{n} f_{n} \cos(2\pi i (hx_{n} + ky_{n} + lz_{n})) + i \sum_{n} f_{n} \sin(2\pi i (hx_{n} + ky_{n} + lz_{n}))
\]  

(1.11)
The cosine part determines the intensity of the diffraction spot, but the phase part is described by the sine part and as this cannot be measured, alternative methods are required for its determination.

1.2.2.4 Experimental methods for recovering phase information
There are currently two main methods for recovering the phase from diffraction experiments. The first, and oldest methods are experimental, and most rely on the anomalous (non-elastic) diffraction from heavier atoms. These have the advantage that the phase information derived is experimental (and so suffers less from the bias inherent in methods such as Molecular Replacement). However, they often have the disadvantage that more complex experiments must be conducted and either multiple crystals, or a longer exposure, must be used to collect the additional data.

For particularly troublesome cases where only a few crystals are available or the crystals are too small and sensitive to survive a high dose of x-ray radiation this may not be an option, so an alternative method is required. In addition, even if experimental methods are available, Molecular Replacement is one of the simplest and quickest methods for recovering the phase information.

1.2.3 Molecular replacement
Molecular replacement (MR) is the process of "borrowing" the phases from a similar structure to the one that is being investigated as a good first initial guess for the true phases of the structure. As the electron density is the Fourier Transform of the diffraction pattern, a known structure can be taken, placed in the target unit cell, and a Fourier Transform applied to calculate the intensities and phases that it would generate. Those phases can then be applied to the target structure. Unless the structures are identical and in identical unit cells, the phases will be different. In favourable cases, however, they are likely to be substantially correct and serve as a starting point for structure refinement and the generation of optimal phases and therefore an optimum model.

The process of MR was first described by Rossman and Blow (Rossmann and Blow 1962), and was initially used for taking the phases of identical subunits related by non-crystallographic
symmetry (NCS) to solve larger structures. The method has since been developed and extended and can now be used to solve structures that are substantially different from the target structure.

MR is currently the most popular method for x-ray structure solution. At the time of writing, there were 105,912 x-ray structures in the PDB (Rose et al. 2013), of which 82 percent (70007 structures and 16102 ligands) were solved with MR. The proportion of structures solved with MR in the PDB has been increasing with time because the more structures that there are in the PDB the greater the chances are that there is something similar which can be used for MR.

For successful MR, the structure used to generate the phases must be sufficiently similar to the target structure. Experience with standard MR has shown that it is expected to be unlikely to succeed when the sequence identity (a proxy for structural similarity) is between 20 and 30% (Abergel 2013) and impossible when it is lower than 20%.

1.2.3.1 Placement of the Structure in the Unit Cell
For MR to work the spatial transformation required to position the MR search model in the unit cell needs to be determined so that it is optimally aligned with the target structure and the phases that are generated will be as applicable to the target as possible. It is methods of solving this problem that constitute the field of Molecular Replacement.

1.2.3.1.1 Brute-force methods
The naive method of solving this problem would be to run a grid-search through the entire unit cell, placing the structure at each grid point and then calculating the intensities of the diffraction pattern to see how they compare with the measured intensities (using an R-factor - see section 2.3.5). In addition to sampling all grid points (at a suitable density), all possible rotations would also have to be tested as it is both the position and the orientation of the structure that determines the diffraction pattern.

Although there are some programs that attempt this approach (such as Queen of Spades and BEAST (Glykos and Kokkinidis 2000; Read 2001)), it is in general prohibitively expensive. For
each position and orientation, the structure factor for all reflections must be calculated, and as all atoms contribute to each structure factor, the number of calculations is extremely high.

1.2.3.1.2 Rotation/Translation searches
As brute-force methods are largely impractical, alternatives have been developed, which generally rely on separating out the rotation and translation searches. This separation greatly reduces the number of searches that need to be made, as rather than having to compute all rotations for each translation search, the rotation search only needs to be completed once. The correctly rotated molecule can then be used in the translation search. This, however, requires that the correct orientation of the search model can be determined. To understand conceptually how this is done a brief foray into the subject of Patterson maps is required.

1.2.3.1.3 Patterson maps
The Patterson map is named after Arthur Patterson (Patterson 1934), and can be considered as a convolution integral of the electron density with its inverse:

\[ P(\mathbf{u}) = \mathbf{Q}(\mathbf{r}) \cdot \mathbf{Q}(-\mathbf{r}) \]  \hspace{1cm} (1.12)

Where \( P \) is the Patterson function, \( \rho \) the density and \( r \) a position vector.

This form shows that the Patterson map contains peaks for all of the position vectors between each pair of atoms, weighted by the product of the electron density at those positions. The Patterson map therefore contains \( N^2 \) peaks, but if self-peaks are excluded (which appear at the origin), \( N(N-1) \) peaks remain. Even excluding the self-peaks means that the Patterson is a very crowded and hard to interpret map, particularly for large protein structures. Figure 1.2 shows a schematic of a highly simplified Patterson map.
The major advantage of the Patterson map is that it can be computed directly from the intensities of the diffraction pattern without requiring any phase information, using the equation:

\[
P(uv \times) = \frac{2}{V} \sum_{h=-\infty}^{+\infty} \sum_{k=-\infty}^{+\infty} \sum_{l=-\infty}^{+\infty} F_h^2 \cos 2\pi (hu + kv + lw)
\]  

(1.13)

This is the Fourier Transform of the diffraction data using the intensities as the amplitudes and setting the phases to zero. It is also possible, to some extent, to separate the Patterson map into inter- and intra-molecular vectors. There will always be an extremely large peak at the centre that contains all of the self-peaks. Further out the peaks gradually become dominated by inter-molecular peaks.

For a known structure, placing the model in a unit cell far larger than the model effectively separates the inter and intra-molecular peaks, with the inter-molecular peaks crowding near the origin and the inter-molecular peaks separated from them by the distance of the unit cell. For a crystal structure in a unit cell with dimensions commensurate with the size of the molecule there is no clean separation of the peaks, but those closer to the origin will again be dominated by the inter-molecular peaks.

The advantage of being able to separate the intra- and inter-molecular peaks is that the intra-molecular peaks are invariant under translation of the molecule and depend entirely on its
orientation. Therefore, by comparing the intra-molecular Patterson peaks of the search model in all orientations with those of the diffraction pattern (usually using least-square methods), it should be possible to determine the correct orientation of the search model. With the orientation determined a similar procedure can be carried out to determine the translation vector.

These types of methods are called Patterson Methods and were the methods first used to solve structures using Molecular Replacement. They provide a convenient theoretical explanation of the types of procedures employed, but are not an accurate portrayal of the algorithms the current state-of-the-art programs employ, most of which are based on Maximum Likelihood.

1.2.3.1.4 Maximum Likelihood
Most of the current methods employed in crystallography now use variations on Maximum Likelihood and Bayesian Inference both in order to account for the highly noisy and statistical nature of crystallographic experiments, and to more easily combine these with existing knowledge about molecular structures (Airlie J. McCoy 2004).

Maximum Likelihood is a way of determining the best hypothesis to explain an observation. For example, given two dice, one with 4 sides and one with 10 sides, if an 8 is rolled, the likelihood of the hypothesis that it was the 4-sided dice is zero. The likelihood can however be increased by changing the hypothesis to it being the 10-sided dice that was rolled, in which case the likelihood becomes one. Within crystallography Maximum Likelihood is used to determine the optimum model (molecular structure) that explains the data (measured diffraction pattern) with the highest probability.

Maximum Likelihood therefore provides a way of generating an optimum model to explain the data, but it takes no account of the overall viability of the model; it is quite possible to generate a molecular model that accounts for a diffraction pattern, but is completely impossible in reality (i.e. it contains an arrangement of atoms that would not be adopted by any known structure).
In order to incorporate prior knowledge of physics, chemistry and biology into the model recourse to Bayesian Inference is required.

1.2.3.1.5 Bayes Theorem
The Bayes Theorem makes it possible to determine whether an hypothesis formulated to interpret a set of evidence offers the most likely explanation, by linking with it the prior knowledge of the conditions pertaining to that hypothesis (Bayes and Price 1763).

Bayes Theorem can be written as:

\[
P(\text{Model}|\text{Data}) = \frac{P(\text{Data}|\text{Model}) P(\text{Model})}{P(\text{Data})} \tag{1.14}
\]

This states that, given the data, the probability of the model (the posterior probability; how well the model can explain the data) is the product of the probability of the data given the model (the likelihood of the data given the model), with the probability of the model (called the prior probability; basically the viability of the model) divided by the prior probability of the data, or the probability of measuring the data. In other terms:

\[
\text{posterior} = \frac{\text{likelihood} \times \text{prior}}{\text{evidence}} \tag{1.15}
\]

The denominator is the prior probability of the data and is constant in experimental situations so can either be converted to a constant or ignored when probabilities are being compared. With the prior for the evidence constant, the crystallographic version of Bayes Theorem becomes:

\[
P(\text{model} | \text{data}) = P(\text{data} | \text{model}) P(\text{model}) \tag{1.16}
\]

which states that the likelihood of the model structure explaining the diffraction data is maximised by optimising the likelihood of the model explaining the data (altering the model to best fit the data), whilst at the same time ensuring that the model is maximally viable.

Maximum Likelihood has become the preferred method of solving MR (and indeed of refining structures following MR) as it enables the inclusion of error estimates (such as the RMS errors in the model coordinates), something that cannot be included in Patterson Methods.
Within crystallography the application of Maximum Likelihood involves the multiplication of many small probabilities, which is a problem as computers struggle to represent very small floating point numbers, leading to an accumulation of rounding errors. For this reason the log of the likelihood is used, rather than the likelihood itself (something that is possible because log functions are monotonic, so that if \( a < b \), \( \log(a) < \log(b) \)). Additionally, as most computational optimisation algorithms tend to minimise functions, it is usually the negative of the log likelihood that is optimised.

1.2.3.2 PHASER

Within CCP4, the two main programs that are used for Molecular Replacement are PHASER and MOLREP (A. J. McCoy et al. 2007; Vagin and Teplyakov 1997). For most of the calculations within this work, PHASER was used.

PHASER is a program for both Molecular Replacement and experimental phasing that comes out of the group of Randy Read at the Cambridge Institute for Medical Research, and is developed primarily by Airlie McCoy. Unlike earlier programs that relied on Patterson methods, PHASER relies heavily on maximum likelihood methods and multivariate statistics. PHASER contains a number of modules for performing the different steps of MR, and in automatic mode, these are all marshalled to run the entire MR pipeline, which consists of the following steps:

- Cell Content Analysis
- Anisotropy correction
- Translational NCS correction
- Rotation Function
- Translation Function
- Packing
- Refinement

Within PHASER, the results of MR are scored using an LLG and Z-score. The LLG score is the Log-Likelihood Gain, which is the difference between the likelihood calculated for the model and
the likelihood calculated from a Wilson Distribution (a distribution calculated for random distribution of atoms in a unit cell), and the Z-score, which is the number of standard deviations that the LLG score differs from the mean of a random set of translation and rotation scores. A LLG score of $\geq 120$ and a TFZ score of $\geq 8$ are usually very reliable indicators of success.

1.2.3.3 Ensemble Models in MR
When MR was first developed, a single homologous structure would be used as the search model. The effectiveness of using several similar superimposed models was demonstrated when NMR models were first used to try and solve crystal structures. In 1987 Brunger et al. (Brünger et al. 1987) first demonstrated the ability to solve crystal structures using the average structure derived from an NMR ensemble, where no individual structure could achieve solution.

The use of ensembles is now a standard technique in MR although the method differs from the average structure approach used in the NMR method. Within PHASER, the variance between the ensembles is used to weight the structure factors generated from the ensembles, thereby feeding into the maximum likelihood target.

1.2.3.4 Refinement
Unless repeating an experiment with the optimum model that best explains the diffraction data, then the model used in MR is necessarily incorrect to some extent and needs to be improved. The improving of an existing model to best fit the diffraction data is termed refinement.

Refinement involves taking an existing model and altering its structure to best fit the diffraction data. It does not involve adding or removing residues to the model as this is something that is done in model building. Combining the phases calculated from the model positioned by MR with the intensities measured in the experiment using the structure factor equation, the electron density for the experiment can be calculated. The model can then be altered in real space to make it better fit into the density whilst maintaining a chemically sensible structure (in Maximum Likelihood terms adhering to the prior probabilities of a molecular structure). The changes to the model will change the phases and hence the density, so the alteration to the model in real space is an iterative
process. However, at some point this process will stall, and then most programs will switch to a stage of refinement in reciprocal space. In this stage the positions of the atoms (which contribute to the phase) and the B-factors (which affect the intensity of the structure factors) are altered to best fit the measured diffraction pattern whilst ensuring the model obeys stereochemical restraints. This process will generate a new model and phases, and hence new density, so the model can now be refined in real space in the new density.

Most refinement involves cycling between refinement in real space and reciprocal space until the overall refinement has converged. The success of refinement is usually quantified with an R-factor.

1.2.3.5 The R-Factor
The R-factor is a measure of how well the model can explain the diffraction data. The R-factor is a global linear residual that can be written as:

$$ R = \frac{1}{\sum_{h,k,l} F_{\text{obs}}(h,k,l)} \sum_{h,k,l} \left| F_{\text{obs}}(h,k,l) - F_{\text{calc}}(h,k,l) \right| $$

Where the summation runs over the structure factors with Miller indices $h,k,l$, and $F_{\text{obs}}(h,k,l)$ are the observed structure factors, and $F_{\text{calc}}(h,k,l)$ the calculated structure factors. The R-factor would be zero for a model that perfectly explained the diffraction data and one for one that had no explaining power. For an initial refinement after MR, anything below 0.5 is considered a good indication that MR has succeeded.

1.2.3.6 Model bias and RFREE
As the phases dominate the structure factor equation and the experiment only measures intensities, adding phases from a partial model will heavily skew the electron density in favour of the model, regardless of how correct the model is. In order to guard against this model bias, Axel Brunger introduced the idea of the Rfree set (Brunger 1992). This is a small selection (of the order of a few percent) of the reflections that are set aside and not used in refinement to ensure that the model has not been refined against these reflections. An R-factor can then be calculated for these
reflections. If the refinement is unbiased, the R-factor for this subset should be similar to those against which the model has been refined. If they are significantly different, and particularly if they diverge over the course of a refinement, then it is an indication of model bias.

1.2.3.7 REFMAC
The refinement program used within CCP4 is REFMAC (Murshudov et al. 2011), the development of which is led by Garib Murshudov, and this is the program that is used within the AMPLE pipeline itself and many of the programs that it runs. REFMAC implements a number of techniques to aid refinement, including TLS (Translation, Libration, Screw), bulk solvent techniques, such as solvent masking, and jelly body restraints.

Within the MrBUMP (Keegan and Winn 2007) and therefore AMPLE pipelines, REFMAC is run by default for 30 macrocycles with jelly body restraints with a weight of sigma 0.02. Jelly body restraints are a form of rigid-body restraints applied to the second-derivative matrix of the overall maximum likelihood refinement function. The restraints effectively serve to dynamically rigidify all atom pairs within a radius (currently 4.2Å), with the weight determining the contribution to the function. The weight is inversely proportional to sigma, so smaller sigmas indicate a larger weight and a more rigid-body type approach. With a weight of 1, jelly body refinement would effectively be full rigid-body refinement.

1.2.3.8 Density modification, chain tracing and SHELXE
Once a model has been refined, a common step is to use density modification to improve the phases. Density modification is a technique for altering the phases so that the electron density better matches what is known about the structure of protein molecules in solvent. Experience has shown that the density within solvent regions of a protein crystal is lower and less ordered than the regions where there is protein. Modifying the density to better demarcate these regions has been shown to be a powerful technique for improving phases. A number of techniques can be used for density modification, such as solvent flattening and flipping, histogram matching and density averaging.
Within the AMPLE pipeline, SHELXE (G. M. Sheldrick 2007; Thorn and Sheldrick 2013) is used for both density modification, chain tracing to expand the small fragments generated by AMPLE and also to determine if the MR step has succeeded. A brief explanation of the operation of SHELXE will therefore be provided.

SHELXE is part of the SHELX suite of programs developed by George Sheldrick, and was initially developed to aid the experimental phasing of macromolecules by improving the phases from a heavy-atom substructure. However, it has since proved to be of great utility for expanding small MR solutions as generated by AMPLE, ARCIMBOLDO (Millán, Sammito, and Usón 2015) and Auto-Rickshaw (Panjikar et al. 2005).

Crucial to the operation of SHELXE it the CC score, which is the correlation coefficient of the partial structure of the native data. The CC score was formulated by Fujinaga and Read (Fujinaga and Read 1987) and is calculated as:

\[
CC = 100 \frac{N \Sigma(E_o E_c) - \Sigma(E_o) \Sigma(E_c)}{\left\{ N \Sigma(E_o^2) - \Sigma(E_o)^2 \right\} \left\{ N \Sigma(E_c^2) - \Sigma(E_c)^2 \right\}^{1/2}}
\]

(1.18)

where \(E_o\) are the normalised observed structure factors and \(E_c\) the normalised structure factors for the partial structure.

Experience has shown that the CC score is a powerful metric for determining whether MR has worked, with a CC score of >= 25% usually being a clear indication that MR has been successful (for data of resolution <= 2.5Å). However, a good CC score can arise due to an physically unreasonable arrangement of atoms, so in most practical cases an average traced chain length (see below) of >= 10 is added as an additional requirement.
The CC score is used in an optional first stage of the SHELXE algorithm called CC-trimming, where the initial MR fragment is pruned by removing each residue in turn to see if this improves the CC score. If the CC score increases then the residue is removed.

The heart of the SHELXE 'sphere of influence' algorithm, which is based on the idea that the average 1,3 interatomic distance between carbon atoms (i.e. the distance between carbon atoms separated by two bonds) in macromolecules is 2.42Å. The algorithm works by taking each point on a grid in the density map and looking at the variance of the density of a sphere of radius 2.42Å. If the density on the surface of the sphere is highly variable it indicates the point may be a main chain atom and the density is left unchanged. If the variance of the density is low the point is assumed to be in solvent and the density is flipped (i.e the sign of the density is changed whilst leaving the magnitude unchanged). By default, 20 cycles of density-modification are carried out before there is a cycle of autotracing.

Autotracing involves extending out from any initial tri-peptides, using a simplex search with a two-residue look-ahead, and allowing a limited variation of the N-Cα-Cτ torsion angles. A number of searches are trialled and when they cannot be extended any further, those that meet the criteria for the figure of merit (based on trace length, fit to the density and various structural parameters) are kept and, where appropriate, spliced together.

By default 15 cycles of autotracing, each with 20 cycles of density modification are undertaken and the cycle with the best CC score is kept for additional model building. If the CC score is >= 25% and the average traced chain length is >= 10, then the structure is deemed to have been solved.

1.2.3.9 Model building with BUCCANEER and ARPWARP
In many MR cases, the search model will only contain a subset of the residues expected in the final structure. Therefore, following refinement (and possible chain tracing by SHELXE), the missing parts of the structure need to be built into the density. The way that this usually proceeds is that where the sequence of the model is known and the density for one or more missing residue
can be seen, the residues are built into the density. The structure with the new residues is then subject to one or more rounds of refinement, which should improve the density allowing additional residues to be built into the structure. This process is iterated until all residues, ligands and potentially waters, etc. have been added to the structure and the building and refinement are deemed to have converged (exactly when this has happened is still a hotly debated topic in crystallography).

Previously this was done manually, but today, automated programs are able to carry out most if not all of these steps. Within CCP4 the two main model building programs are BUCCANEER (Cowtan 2006), developed by Kevin Cowtan and ARPWARP (Langer et al. 2008), developed by the group of Victor Lamzin. As they perform similar tasks both programs have similar workflows, alternating between cycles of finding atom and residue positions, linking adjacent residues to form chains and then attempting to sequence the chains, with cycles of refinement with REFMAC. They differ primarily in their approach to interpreting the electron density to find structures of interest. BUCCANEER uses a reference map of known protein features that it modifies based on the target density, and then searches for those features using a likelihood function, whereas ARPWARP inserts pseudo-atoms into the density and then attempts to join and merge pseudo-atoms to build up residues.

1.2.3.10 MR Pipelines
Within CCP4 there are a number of MR pipelines that automate the process of structure solution, from model selection, MR, refinement, density modification and model building. These include BALBES, MRBUMP and ARCIMBOLDO (Long et al. 2008; Keegan and Winn 2007; Rodríguez et al. 2009). MRBUMP is used extensively by AMPLE, and AMPLE and ARCIMBOLDO share a similar approach, so both will be examined below.

1.2.3.10.1 MRBUMP
MRBUMP is a MR pipeline written in PYTHON and developed by Ronan Keegan that automates the entire process of MR, from model selection through to final model building. The MRBUMP pipeline involves the following steps:
1. **Search for model templates:** using the sequence of the target protein, a sequence search of online databases is made using one or more of PSIBLAST (Altschul et al. 1997), PHMMER (Finn, Clements, and Eddy 2011) or HHPRED (Söding, Biegert, and Lupas 2005) in order to select homologs (subsequently referred to as templates) of the target.

2. **Domain search:** a search is made of the SCOP database to determine if any of the templates can be split into domains, in which case additional templates are generated by splitting the parent template into separate domains.

3. **Multiple sequence alignment (MSA):** a MSA of the templates to the target is made using either PHMMER or MAFFT (Katoh et al. 2002), in order to aid the subsequent search model preparation stage and also to score and rank the various templates.

4. **Single search model preparation:** using the MSA from step 3, each template is prepared in four different ways, using the programs PDBCLIP, MOLREP, CHAINSAW (Stein 2008), and SCULPTOR (Bunkóczi and Read 2011). PDBCLIP just removes any waters and selects the most probable conformation, whereas the others all prune or modify the side chains of the template based on the alignment to the target. In addition to these four models, an unmodified template, and another where all side chains are mutated to polyalanine are used.

5. **Ensemble search model preparation:** In addition to the six templates above an additional ensemble search model is prepared by creating an ensemble from all templates using either GESAMT (Evgeny Krissinel 2012) or SUPERPOSE (E. Krissinel and Henrick 2004).

6. **Molecular Replacement:** MR is then run on all search models using either PHASER or MOLREP.

7. **Refinement:** following MR, the models are refined with REFMAC. Following refinement, MRBUMP will rate each model according to the following criteria:
   a. **good:** the final RFREE is < 0.35 or is < 0.5 and has dropped by 20%.
   b. **marginal:** final RFREE is < 0.48 or < 0.52 and has dropped by 5%.
   c. **poor:** anything else.
8. Model building and density modification: the refined model may then either be submitted directly to ARPWARP or BUCCANEER for model building, or may first be submitted to SHELXE for chain tracing, followed by optional submission to ARPWARP or BUCCANEER for final model building.

The latter stages of the MRBUMP pipeline, from steps 6 to 8, are used within AMBLE to drive the MR and model building stages.

1.2.3.10.2 ARCIMBOLDO
Of the MR pipelines in CCP4, ARCIMBOLDO is most similar in philosophy to AMBLE, and has driven a number of the developments to PHASER and SHELXE that have aided AMBLE. ARCIMBOLDO is developed by the group of Isabel Usón and attempts MR solution with small fragments and then builds up to full structures from these fragments.

ARCIMBOLDO derives its inspiration from direct solutions methods, such as the Shake-and-Bake algorithm as implemented in the SnB and SHELXD programs (George M. Sheldrick 1998). These all start from the assumption that a structure is composed of atoms, and then attempt to locate the atoms as peaks in the density map and join them together to create full structures (Millán, Sammito, and Usón 2015). This approach can only be successful with atomic-resolution data, something that is still extremely rare in macromolecular crystallography. ARCIMBOLDO uses small fragments to extend this approach beyond atomic resolution, placing and combining small fragments to build up larger structures.

The first version of ARCIMBOLDO used 14-residue ideal polyalanine helices to solve the structure of PRD-II (Rodríguez et al. 2009), placing 3 copies of the helix with PHASER and then using SHELXE to trace the final structure. This resulted in three successful solutions from the 1,473 trials attempted by PHASER. As so many attempts are explored, the early versions of ARCIMBOLDO required a supercomputer or computational grid in order to achieve solution.
Since the first version was developed, the developers have extended their approach to use the PHASER rotation search to prioritise solutions. A rotation search is made for each fragment and the rotations grouped to find the most promising candidates. For each candidate rotation a translation search is then made and the resulting placement submitted to SHELXE for CC-trimming, density modification and main-chain tracing. For multiple fragments these stages are repeated for each candidate rotation peak.

ARCIMBOLDO has since expanded to comprise a suite of four programs.

- **ARCIMBOLDO and ARCIMBOLDO_LITE** (Sammito et al. 2015): these use small, user-selected fragments (usually ideal α-helices) to attempt solution as described above. ARCIMBOLDO_LITE is a version that is parallelised to run on multi-core desktop machines.

- **ARCIMBOLDO BORGES** (Sammito et al. 2013): this relies on an existing library of small structural motifs extracted from the PDB. Fragments from the library are selected based on a geometrical description and are then geometrically clustered, before being clustered again via the rotation function and submitted to the ARCIMBOLDO pipeline.

- **ARCIMBOLDO SHREDDER** (Sammito et al. 2014): this takes a distant homolog and successively removes residues based on their Shred-LLG function, which is calculated from the rotation function. A selection of the best-scoring shredded models are then submitted to the ARCIMBOLDO pipeline.

### 1.2.4 Problems with Molecular Replacement

The previous sections have explored Macromolecular Crystallography with a focus on Molecular Replacement. As has been mentioned, Molecular Replacement is the most popular technique for solving Macromolecular Crystal structures, but usually relies on the existence of a similar structure. When no suitable similar structure exists in the PDB, or structures expected to be similar are not (such as coiled-coil proteins, in which similar proteins can pack very differently), alternatives need to be found. AMPLE's first and still primary role, is the use of *Ab Initio Molecular Modelling* to generate novel structures where none can be found, and to prepare these in a form suitable for
1.3 Ab Initio Modelling

1.3.1 The Protein Folding Problem
Determining the tertiary (folded) structure of a protein from its amino acid sequence is known as the 'Protein Folding Problem' and has been an active area of research for more than 40 years. The nature of the problem was demonstrated by Cyrus Levinthal, in a 1969 paper (Levinthal 1969), which was concerned with a theoretical protein of 150 amino acids and considered only the 450 degrees of freedom that arise from rotations of the main chain and side chain rotamers. If the rotations were determined to a tenth of a radian, there would be $10^{300}$ possible conformations that would have sampled in order to determine the lowest energy conformation of the protein; something that would take a protein longer than the lifetime of the universe to accomplish.

A similar problem afflicts computational protein folding and means that a brute-force conformational search will never be feasible (at least with non-quantum computers). For this reason, a variety of methods that severely curtail the conformational space that needs to be sampled have been developed. Nearly all of these methods rely in some way on using existing structures (i.e. those in the PDB). Some methods use general information derived from all structures (such as common bond lengths, and favoured rotamer angles), others use either entire structures, or structural fragments, and some use a combination of both.

Examples of using an entire structure are protein 'threading' or homology modelling. These involve overlaying a novel amino acid sequence over an existing structure, which serves as a guide for the overall fold. The novel structure can then be refined and minimised to determine the correct final structure. Although often very successful and capable of generating structures that differ from native structures by only a few Angstroms, these methods are hampered by the fact that they require a largely complete model of a similar protein fold. For novel structures, or structures where...
similar sequences can form quite different folds, these methods may not be applicable, and this is where *ab initio* folding protocols come into play

1.3.2 Ab Initio Protein Structure Prediction
Ab Initio Protein Structure Prediction, is the process of determining the tertiary structure of a protein starting purely from the protein sequence, and not relying on the structure of an existing model as a template.

There are a number of methods and protocols that have been developed to accomplish this. In the 2014 CASP Community Wide Experiment on the Critical Assessment of Techniques for Protein Structure Prediction (Taylor et al. 2014), for example, 22 separate groups used distinct methods to determine the structures of the submitted proteins (Taylor et al. 2014). Although all distinct, the methods are predominantly variations on ways of incorporating and permuting data from known structures to generate models.

The ROSETTA suite of programs (Simons et al. 1997; Rohl et al. 2004) is one of the best established and widely used methods, and is also the one that has been used most within AMPLE, so a brief explanation of how it works follows.

1.3.2.1 ROSETTA modelling protocol
The ROSETTA modelling protocol (Simons et al. 1997; Rohl et al. 2004) is a mixture of knowledge-based (i.e. using information from known structures) and first-principles physics-based approaches. It starts from the idea that the overall structure of a protein arises from the interplay of the forces derived from local structure, together with those arising from the global conformation of the protein. The local structure guides the initial folding, but it is the larger features such as the burying of hydrophobic residues or the pairings of β-strands that determine the overall fold.
The overall ROSETTA protocol can be separated into three parts:

- an initial decoy generation stage where many (typically tens of thousands) of relatively computationally inexpensive molecular structures are generated.
- a clustering stage where the decoys are clustered to determine the centroid models.
- a computationally expensive optimisation stage, where the centroid models are refined to produce final models.

1.3.2.1.1 Decoy Generation
The protocol starts with a search for short protein fragments (3 or 9 residues in length) with identical or related residue composition for every position along the length of the protein. The positions are overlapping, so that for the triplet fragments, there is a fragment starting at the first residue, another at the second and so forth. The fragments are collected from a non-redundant database of fragments that have been assembled from known structures, but with their bond lengths and angles set at idealised values. This is required because the fragments are represented in a simplified form using the heavy atoms of the main chain and the C-β atom of the side chain, with bond lengths and angles held constant according to the ideal geometry of alanine. The fragments are described both by their φ, ψ and ω backbone torsional angles, and their secondary structure content.

The protocol uses a search through torsional space starting from a fully extended protein, with the fragments defining the conformational space to be searched. A position is selected at random in the protein, and the torsional angles from a randomly selected fragment from the top 25 for that position are applied to the protein. The energy is then evaluated according to a scoring function and the move is either accepted if it lowers the energy, or accepted according to the Metropolis (Metropolis and Ulam 1949) criteria if it raises it.

Simulated annealing is incorporated by lowering the temperature over the course of the simulation. In addition, terms are progressively added to the scoring function used to assess moves as the simulation progresses and the structure nears a supposedly more native-like conformation. Side
chain conformations are added to the backbone using a Monte-Carlo simulated annealing search with a rotamer library. Following the 9-fragment assembly stage, the structure is refined with a short stage of 3-residue insertions using the full energy function to score the resulting models.

The full energy function for evaluating the structures during the fragment-assembly strategy contains the following terms (Rohl et al. 2004):

- residue environment (solvation)
- residue pair interactions (electrostatics, disulfides)
- strand pairing (hydrogen bonding)
- strand arrangement into sheets
- helix-strand packing
- radius of gyration (van der Waals attraction, solvation)
- Cβ density (solvation; correction for excluded volume effect introduced by simulation)
- steric repulsion (van der Waals)
- The energy function operates on a reduced representation of the side chains, in which they are represented by a centroid located at the centre of mass of the side chain (defined as the atoms including and beyond Cβ).

Once they have been generated, the decoys are clustered.

1.3.2.1.2 Decoy clustering
The rationale for clustering the decoys is the hypothesis that the native state of a protein is the minimum of the folding energy landscape, and that there are numerous low-energy states clustered around this state, the folding being largely dictated by long-range hydrophobic effects (Shortle, Simons, and Baker 1998). As such, in a large selection of energy-minimised models, the majority should approximate the native state. If the models are clustered by structural similarity then the largest cluster often contains the best model, and the centroid of the cluster is likely to be the best prediction for that model.
In the ROSETTA protocol the models are clustered using the CLUSTER application. This takes a subset of the models (usually 400) and finds the model with the highest number of neighbours within the cluster radius. This is considered the centroid, and then all the models that are within the specified radius of this cluster from all the models are added to the cluster and removed from the list of available models. The process is then repeated until all the models have been claimed by a cluster. By working with subsets of models, this algorithm avoids the need to calculate the large and expensive all-by-all distance matrix.

1.3.2.1.3 All-atom refinement
Decoys may either be generated as low-resolution models without side chains, or, more time-consumingly, as fully refined models. If low-resolution models have been used, following clustering, centroid representatives of the top 5-10 clusters may be sent forward for a more computationally expensive all-atom refinement.

1.3.3 Evolutionary Contact Prediction
A major development in the field of bioinformatics and protein structure prediction has been the rapid progress made in the prediction of residue-residue contacts from patterns of co-evolution of residues in related families of proteins (de Juan, Pazos, and Valencia 2013). Accurate information about which residues are likely to be in contact vastly reduces the conformational space that needs to be sampled and can lead to more rapid and accurate modelling.

The hypothesis behind contact prediction is that residues that are in contact with one another, and particularly those that have important structural and functional roles, will need to vary in concert with each other in order to preserve the function or structure. For example, for two residues with an important structural role, if one residue mutates to become larger, then the other will need to be mutated to something smaller in order to maintain the structure, so their changes will be correlated.

A multiple sequence alignment (MSA) of a protein family shows how the residues vary through the family, but this information is of limited value without a probabilistic model that relates the changes at one position to any other in the alignment. A key problem is how to separate direct (A-B and B-
C) changes with indirect ones (A-C). Such a model shows which positions have changes that are strongly, directly correlated and therefore likely to be in physical contact with each other.

Most approaches encode the MSA using probabilistic graphical models (where nodes correspond to the columns of the MSA and edges the conditional dependencies between the columns), and the task is then to "learn" the graph to generate the probabilities. The two main approaches that have been developed can be broadly categorised into sparse covariance matrix models (examples of which include PSICOV (Protein Sparse Inverse COVariance) and EVfold-mfDCA (mean field Direct Coupling Analysis), and pseudo-likelihood maximization methods, which include GREMLIN and PLMDCA (Jones et al. 2012; Kaján et al. 2014; de Juan, Pazos, and Valencia 2013; Ovchinnikov, Kamisetty, and Baker 2014).

Since the foregoing sections have explored theoretical background and programs that underlie AMPLE, the next section will explain AMPLE within that context.

1.4 AMPLE
The name AMPLE stands for ‘Ab Initio Modelling of Proteins for Molecular Replacement’. As the name suggests, AMPLE was initially developed to provide a way to efficiently use \textit{ab initio} models for MR. This built on work in the papers by Qian et al. and Rigden et al. (Qian et al. 2007; Rigden, Keegan, and Winn 2008) showing that \textit{ab initio} models could be successfully used for MR. Rigden et al. showed that this was possible with the cheaply-obtained low-resolution decoys, as opposed to the fully optimised models used by Qian et al. The first AMPLE paper extended the approach developed by Rigden et al. to automate the creation and preparation of \textit{ab initio} decoys for MR.

The heart of the AMPLE algorithm are the following three ideas:

1. Clustering of \textit{ab initio} decoys selects a subset of models that should approximate the target fold.
2. Structurally aligning the clustered decoys and measuring the inter-residue structural variance of the aligned decoys provides a proxy for the accuracy of the modelling. Removing residues based on the degree of structural variance should therefore remove less accurately modelled regions leaving a more accurate core that may be suitable for MR.

3. Even if small relative to the native structure, an ensemble of structurally similar truncated decoys may contain enough information for MR to work and allow auto-tracing and autobuilding programs such as SHELXE and BUCCANEER to construct a largely full model from a correctly placed search model.

AMPLE is a pipeline that automates the entire process, from the creation of the *ab initio* decoys, through the creation of the ensembles, to the MR and autobuilding steps. Figure 1.2 below depicts the ensemble creation pipeline.

![Figure 1.2. The AMPLE ensemble preparation pipeline](image-url)
The AMPLE ensemble preparation pipeline consists of the following steps:

1. Clustering of the 1000 ab initio decoys with SPICKER (Y. Zhang and Skolnick 2004) and selection of up to 200 decoys from the top cluster.

2. Truncation of the decoys at 20 different levels (5% intervals by default), based on the inter-residue variance score calculated by THESEUS (Theobald and Wuttke 2006).

3. Sub-clustering of the decoys in each truncation level under 1, 2 or 3Å RMSD thresholds (as calculated by MAXCLUSTER ("MaxCluster - A Tool for Protein Structure Comparison and Clustering," n.d.)), and the creation of a structurally aligned ensemble from up to 30 of the resulting models using THESEUS.

4. Three different side chain treatments of the final ensemble:
   - retention of all side chains.
   - retention of only those side chains that are usually well-modelled by SCWRL (Krivov, Shapovalov, and Dunbrack 2009).
   - removal of all side chains to polyalanine.

From the initial 1000 decoys, up to 180 ensembles, each containing anywhere from 2 to 30 decoys are generated. The ensembles are then sent for processing to MRBUMP, which undertakes steps 6-8 of the MRBUMP pipeline described above.

A key part of the success of the AMPLE (and MRBUMP) pipelines is that every putatively MR-positioned model produced by Phaser is submitted blindly to SHELXE, regardless of whether the statistics indicate that the job has succeeded. Any model that is traced by SHELXE to a CC of >= 20 and an average chain length of >= 8 is then submitted for autobuilding by BUCCANEER and ARPWARP. This is important, because, as with ARCIMBOLDO, AMPLE often uses such small fragments that the LLG and TFZ statistics generated by Phaser (particularly with earlier versions of the program) are often not a reliable indicator of success and it is only the CC score following SHELXE autotracing that provides this.

Runtime for AMPLE is highly variable as it depends on the length of the target (which affects the time required for ab initio modelling), the resolution of the data (which affects the runtime for SHELXE, with higher-resolution data significantly slowing things down), the number of ensembles generated and the ratio of successful (if any) ensembles generated by the pipeline. In most use cases AMPLE takes of the order of 24 hours on a single processor. However run times can vary
from a few hours for simple cases to several days for the most difficult cases. AMPLE is extensively parallellised and as most parts of the pipeline can be split into independent jobs, the time taken reduces almost linearly with the number of processors. On a modern desktop with 4-8 processors, AMPLE should run to completion in considerably less than 24 hours.

When it was first developed, AMPLE's primary focus was on *ab initio* models generated by ROSETTA. However, since then AMPLE has been extended to work with QUARK *ab initio* models, NMR ensembles and distant homologs.

**1.5 Structure of the thesis**
The work undertaken for this thesis falls into three parts:

- the first is covered in chapter two, which focuses on the software developments that have been made to the AMPLE code to improve it and make it easier to maintain, extend and distribute on different platforms.
- the second is treated in chapters three to five, which explore the use of AMPLE on three different types of protein targets, namely coiled-coil and transmembrane proteins, and distant homologs.
- the third is dealt with in chapter six, which covers the improvements to the original AMPLE ensembling and truncation algorithm that were made in the light of the insights gained in the second section.
Chapter 2: Software Developments
2 Software Developments

2.1 Software Development Approaches

2.1.1 Issues with the first version of AMPLE
As with most prototype scientific software, AMPLE was initially developed as a piecemeal series of scripts for carrying out particular tasks, that were pulled together by a single monolithic script to implement the pipeline. As time progressed, more functionality was added, including the ability to run either on a local machine or submitting to a queueing system to run on a cluster/supercomputer. These two paths through the code were implemented separately, and led to duplication of code and the possibility of errors. In addition, there were no tests implemented, so it wasn't clear whether all parts of the code were working as expected.

AMPLE quickly became incorporated and distributed with CCP4. As a piece of software responsible for wider scientific research and used by the general crystallographic community, it became even more necessary to ensure that it was easy to maintain and to ensure the correctness of its results. As a result of this, the first task that was completed as part of this PhD was to extensively refactor and rewrite AMPLE in best accord with current software development best practice.

2.1.2 Software Development Approaches
AMPLE is written in PYTHON ("Welcome to Python.org" 2016), which is a powerful multi-purpose language. PYTHON is quick and easy to learn, and it is often mis-represented as 'just' a scripting language, but it's simplicity belies its great power.

Within software development, there are two main types of approach employed: object-oriented and functional programming.
2.1.2.1 Object-oriented programming

With this approach, the code contains 'objects', which encapsulate all the code and data to represent a particular facet of the problem at hand. So for example, a molecular model might be an object and have methods to rotate or translate the object. Object orientation is a powerful method of organising code, and also permits easy extension of code, using the inheritance approach, whereby a new object can use all of the code from its parent object and just add or alter the methods that it alone requires. So for example, a transmembrane protein model would be able to use all of the methods of the molecular model object but might have additional methods to define its relation to the cell membrane.

Object-orientation is a successful and widely used approach. However, it can have the disadvantage that it can obfuscate the operation of the objects through multiple inheritance, and the objects also have a 'state', which can become very complex and difficult to manage.

2.1.2.2 Functional programming

This is a way of programming where almost all interactions with the code happen through functions. The functions take a set of parameters as inputs and return a result. The advantage of functional programming is that it is often clear to see what is happening, as the code has to be broken down into defined chunks, and the output of a function is entirely determined by its input; there is no complicated internal state to account for, as there might be in object-oriented programming. This makes it particularly easy to test functional programs as the inputs and outputs for each operation are completely deterministic. PYTHON permits both forms of programming, and as far as possible AMPLE has been written to apply the most suitable paradigm where possible.

2.2 Restructuring the code and adding new features

2.2.1 Adding logging functionality

The first version of AMPLE relied on using print statements to output data to the terminal to inform the user of its ongoing operations. It then created several files to hold information regarding the results and progress of the run. This had the problem that each individual print statement would
have to be changed if the print format needed to be changed, or the information from the print statement also needed to appear in a file. Additionally, it was almost impossible to follow the flow of the program to determine the source of the errors as there was no chronological log of what the program was doing when.

One of the first changes that was made was therefore to add a logger. A logger is an object that is given a series of messages from a variety of code sources, each tagged with a level (such as WARNING, INFO, or DEBUG) and can then output them to a variety of different sources (usually files or terminals). Loggers allow the sequence of events within a program to be tracked chronologically, but that information to be filtered so that only certain portions of it end up in different sources.

To facilitate logging within AMPLE, any time an external program is called, the call is made through a wrapper function that logs all the details of the call, such as the arguments, working directory, output files etc. In addition, numerous logging statements have been added to the code with the 'debug' level, describing the in-depth operations of the program. With these changes, the entire flow of the program is tracked and logged, something that has saved countless hours in determining the cause of errors.

General log statements of interest to the users are tagged with a level of INFO or higher, and the logger outputs these to a standard log file and also to the text terminal where the program is run from. In addition a file called 'debug.log' is created which contains all logged statements. This isn't expected to be viewed by the user, but serves as a complete record of an AMPLE run and can be sent to the developers if any problems are encountered.

2.2.2 Saving State and restarting
Issues that have plagued AMPLE since its inception are the huge quantities of data that are generated, the need to extract and analyse those data, and the problems of restarting failed or
incomplete jobs, and then tracking the data between the initial and restarted run. To deal with these issues, a data structure has been added to the code in the form of a python dictionary that holds all of the inputs and outputs relating to the running of the code. As programs are run and data are parsed from files generated by them, the dictionary is updated, and is also periodically serialised and written out as a file.

To a large extent, the dictionary completely encapsulates the state and outputs of the program and contains all of the data that are required to analyse an AMPLE run. This has meant that the analysis of an AMPLE run can now be an integral part of the normal running of the program, rather than something that takes weeks to do, as was the case previously. In addition, the file can be used to trivially restart a job that has failed, or carry out additional steps on an existing job, as all of the information required to run the job, and the results of any intermediate calculations are already present in the file.

As AMPLE relies heavily on MRBUMP, and requires information from it, a similar data structure has been added to MRBUMP. AMPLE is now only required to collect these data rather than reprocess all of the files itself, something that took considerable time and effort previously.

2.2.3 A job-running framework
As AMPLE is a pipeline, it relies heavily on running other programs. For the modelling and MR steps with MRBUMP, large numbers of jobs are generated. In the case of modelling, up to 1000 separate ROSETTA ab initio jobs may be required. AMPLE is also required to run both on standard desktop computers and cluster- and super-computers. This adds an order of complexity to the task of managing the jobs, as at each stage that a program is run, it needs to be determined whether the job should be run locally or submitted to a cluster queueing system.

2.2.3.1 Running jobs locally
The initial version of AMPLE had a simple script for running jobs on a local multi-core machine that split the jobs into as many groups as there were processors, and then distributed a group to each
processor. Although effective, this method took no account of the time taken to run a job, so if a particular processor received more than its fair share of long-running jobs, it would be running long after all the other processors had finished and were sat idle. This was a particular problem with AMPLE as the MR jobs in particular can take anywhere from a few minutes to many hours to complete, so a single processor could often be running for many hours after the others had finished.

To address this, a dynamically load-balanced job running framework was developed using the PYTHON multiprocessing module.

For each job a script (BASH on Unix, DOS batch file on Windows) is created with the commands required to run the job. A queue object is created which holds a list of all the jobs, and as many worker processes are created as there are processors. Each processor takes a job from the queue, and then once it has finished, it queries the queue for any subsequent jobs. The multiprocessing module ensures that all the queue operations happen in a defined order and avoids any race conditions.

This means that as long as there are jobs and available processors, all the processors will be active. In addition, the structure of the worker module means that the jobs are periodically queried to determine their state. This has made it possible to stop the other processors if a particular job has succeeded or if an error has occurred, something that has significantly reduced the average runtime for AMPLE on a multicore machine. In addition, the creation of the scripts means that it is easy to re-run any individual job if there are any issues or the job needs to be debugged.

2.2.3.2 Running jobs on a cluster
For running jobs on a cluster, previously the code to run on a desktop was duplicated and there was a separate path through the code. This has now been rationalised so that there is only a single path through the code for both desktop and cluster submission.
As mentioned above, AMPLE generates batch scripts for all the jobs it will run; these are the same both for desktop and cluster submission. On a cluster however, the scripts are given to the cluster submission engine. This takes the scripts and adds the queue directives for the clustering queueing system (currently Sun Grid Engine and Loadleveller are supported), submits them to the queue, and monitors the queue until all jobs are completed. For SGE, the scripts are run in batch mode, something that is required for large numbers of jobs in some supercomputer centres. This also facilitates the management of jobs in the queue.

The advantage of the new job submission framework is that the way the job is run is completely decoupled from the nature of the job. The code in the desktop or cluster cases is identical. It is only when the job is executed that the job submission framework decides how to run it. This has made the code far simpler and allowed a significant proportion of the old code to be removed, as it was just duplicating the steps in the desktop or cluster mode.

2.2.4 Using CCTBX
Over the course of an AMPLE run, a variety of crystallographic data files (mmcif, mtz) need to be examined, and numerous protein structure pdb files queried and manipulated. In the first version, the pdb editing was carried out by simple text manipulations of the files, and the crystallographic data files queried with the command-line CCP4 programs.

Although this worked, it was both inefficient and error-prone. In particular, simple text search-and-replace, or selection of particular lines with matching patterns from a pdb file can easily be hampered by the file containing unexpected features such as multiple chains/models, alternate configurations, incompatible residue sequence numbering, etc.

In order to avoid these sorts of errors, a framework was developed to read in a pdb and maintain a hierarchical structure of the models/chains/residues, and allow manipulations on them. This
framework was useful, but constantly needed to be updated as additional unexpected features of pdb files were learnt about, and errors discovered.

Fortunately, a year or so after starting this work, research into different software packages uncovered the CCTBX. The CCTBX is the Computational Crystallographic Toolbox, and was a project originally started as part of the PHENIX project by Ralph Grosse-Kunstleve and Paul Adams (Grosse-Kunstleve et al. 2002). The CCTBX provides tools for working in all areas of crystallography, and has a particularly well thought-out model for manipulating crystallographic and pdb data files.

Although started within PHENIX, the CCTBX is an open-source project and many of its libraries and modules are used as core components within the PHASER and DIALS (Waterman et al. 2013) projects, that form core components of the CCP4 package. As such, CCTBX is included with CCP4 and built on all the platforms supported by it.

It was therefore decided to use CCTBX within AMPLE, as it would allow the leveraging of all the development effort that had gone into the creation of the library and just focus on what was required, rather than worry about how it would be done. Currently, almost all manipulations of pdb files are carried out with the CCTBX, and it is also used to query MTZ files to determine what data they contain, if they have a valid RFREE set etc.

2.3 An analysis/benchmarking framework
As AMPLE has been extended and then extensively benchmarked on a range of different structures, a large quantity of data have been generated that needs to be analysed. Previously this was done in a separate step requiring considerable effort. Now however, as mentioned previously, all the data required to analyse an AMPLE run are collected during its execution, and so can be analysed as part of the run.
An analysis framework was developed to analyse this data. The analysis primarily attempts to
answer the following questions:

- what is the nature of the target structure for which solution is being attempted (resolution,
  size, etc.)?
- how good are any ab initio models that have been generated? How do they compare to the
  target structure?
- how successful has the MR step been? Even for jobs where the MR step does not pass
  any of the statistical criteria for a successful job, has any part of the model been placed
  correctly or incorrectly?

A description of the different parts of the analysis framework that attempts to answer these
questions will follow.

2.3.1 Analysis of the target PDB
All of the data on the nature of the target PDB are contained within the PDB file, mostly in the
header section. Code was initially written to manually parse this data out, but with the adoption of
the CCTBX (see above), this has been used instead. However, much of the data that are required
from the PDB is not trivially available within the CCTBX, so a number of wrapper functions have
been written to extract this information.

2.3.2 Analysis of the ab initio models
There are a number of programs that can be used to compare models within crystallography (such
as REFORIGIN (“CCP4: Software for Macromolecular Crystallography” 2016)), but most assume a
one-to-one correspondence of the residues between the two models. This, unfortunately is not
always the case for the target structure and the ab initio models that are generated.

2.3.2.1 Comparison of native structures with ab initio models
The first problem is that the PDB file is the record of a crystallographic experiment, and, as such,
the structure contained within it will reflect the nature of the experiment, leading to issue such as:
- alternate conformations for atoms, entire residues and even whole models, meaning that there is not a single defined position for many residues.
- atoms and residues for which no electron density could be ascertained, and so have been left out of the structure, thus leading to gaps.
- residues for which there may be density for a Cβ residue, but not a Cα residue (and most structure comparison programs only use Cα), so a missing Cα is the same as a residue being missing.
- purification tags such as HIS tags that are present in the crystal, but are not part of the original protein sequence.

An additional issue is to do with the residue sequence. The PDB files are stored in the PDB repository together with their sequence in the form of a FASTA file. The FASTA file (which is the same as the SEQRES section of the PDB header) is usually assumed to reflect the sequence in the PDB. However, this is not always the case. In some cases the FASTA file reflects the sequence that the structure is derived from although the construct that was used to crystallise the structure may have been different (usually being shorter), so that the FASTA sequence is longer than that in the PDB. This is in addition to any gaps due to experimental issues described above.

A final concern is that the numbering of the residues in the PDB frequently does not start from one, as the numbering may reflect the numbering of the original gene sequence from which the numbering was derived. In some cases this leads to the first residue starting with a number in the hundreds. In cases where the protein has a HIS tag, the numbering may even be negative. This has meant that the structure and model files may need to be altered in order to allow them to be successfully compared to each other. The following pipeline was developed in order to do this:

1. Remove all solvent and HETATMS from the native structure, and select the most probable conformation/model for all chains.
2. Determine the actual sequence from the native PDB (this is not the sequence from the SEQRES header, but the sequence for all residues where at least a Cα residue was observed).

3. Align the observed sequence and the model sequence. This cannot be done using standard alignment software as the alignment must also account for the residue numbering. For example, in figure 2.1 below with two C residues missing, a pure sequence alignment could not determine which of the two C residues were missing, and therefore which of the two C residues of the second sequence align with which two of the four from the first sequence.

![Figure 2.1. Graphical representation of the alignment of two sequences, together with their residue numbers, showing that without the residue numbers, it is not clear whether residue 4 in the lower sequence aligns with residue 3, 4 or 5 in the upper sequence.](image)

A custom aligner was therefore written that uses both the sequence and residue numbering information.

4. With the models aligned a 'residue sequence map' is created. This stores the result of the alignment and can be used to map the residues between the two structures, and also to list any atoms that are not present in both structures and so cannot be compared.

5. The information in the residue sequence map is then used to create two new PDB files, one for the native and one for the model, where any atoms that are not present in both
structures have been removed, and the residue numbering has been brought into correspondence.

2.3.2.1.1 Metrics for comparing protein structures
There are a number of ways that two protein structures can be compared. The two that are used predominantly in the AMPLE analysis will be described here.

2.3.2.1.1.1 Root Mean Square Deviation (RMSD)
The RMSD is the square root of the squared deviations between two (equally numbered) sets of values. It is calculated using the formula:

$$\text{RMSD} (v, w) = \sqrt{\frac{1}{n} \sum_{i} |v_i - w_i|^2}$$

Where v and w, are two structures, each containing n positional coordinates and $v_i$ and $w_i$ are the individual positional coordinate vectors. The RMSD shows how far, on average, all of the positions differ from each other.

In order to calculate the RMSD, the two structures must be brought into an optimal alignment (as otherwise, if, for example they were aligned head to tail, even two identical structures would have terrible RMSD values). This is usually done using Wolfgang Kabsch's 1976 algorithm (W. Kabsch 1976).

The RMSD is a powerful metric for comparing sequence identical structures, but it is less effective for comparing structures that are substantially similar, but have widely different sections. For example, if a structure has a large rigid core, but contains a long, floppy loop, two configurations might have an identical core configuration, but the loop in different positions. Despite these structures being substantially similar, the RMSD comparison would identify them as being widely different.

It is considerations like this, particularly in the context of having to compare models submitted as part of the CASP (Critical Assessment of Protein Structure Prediction (Taylor et al. 2014)), that
have led to a number of different metrics being developed, such as the Template Modelling (TM)
score, Global Distance Threshold (GDT) and Maxsub score (Yang Zhang and Skolnick 2004). The
approach taken by many of these metrics is similar, so focus will be restricted to the TM score, as
that is the one that is used most within AMPLE.

2.3.2.1.2 TM-score
The TM-score algorithm was developed by Yang Zhang and Jeffrey Skolnick. It consists of an
iterative search where a small number (L_int) of corresponding residues in the two structures are
selected and superimposed using the Kabsch algorithm. All residues that are with a distance of \(d_0\)
of each other are then collected and the superposition is repeated with the collected residues, and
the process repeated until the collected residues do not change. This can be written as:

\[
TM\text{score} = \text{Max} \left[ \frac{1}{L_n} \sum_{i=1}^{L_T} \frac{1}{1 + \left( \frac{d_i}{d_0} \right)^2} \right]
\]  

(2.2)

Where Max denotes the maximum value after the optimum superposition, \(L_n\) is the number of
residues in the native structure, and \(d_i\) the distance between the aligned residues \(i\) in the set of
maximally aligned residues containing \(L_T\) residues.

The value \(d_0\) is calculated using the formula:

\[
d_0 = 1.25 \sqrt[3]{L_n - 15} - 1.8
\]

(2.3)

where \(L_n\) is as in equation 2.2. This was determined based on the comparison of randomly
superposed structures from the PDB.

The TM-score is on a scale of 0-1 and has the advantage that the score is not length-dependent.
This was one of the primary motivations behind the creation of the TM score, as the significance of
a GDT or Maxsub score is different depending on the length of the structure being investigated (a
GDT score of 0.4 is highly significant for 400 residues, but would indicate a random alignment of
40 residues) (Xu and Zhang 2010).
In their 2010 paper (Xu and Zhang 2010), Zhang and Xu determine that structures with a TM-score of greater than 0.5 have a P-value of $5.5 \times 10^{-7}$. The P-value was calculated by fitting an Extreme Value Distribution to 71,583,085 random protein pairs from 6,684 non-homologous protein structures, and then integrating the resulting distribution from the TM-score value (0.5 in this case) to 1. This means that at least 1.8 million random protein pairs need to be considered to generate a TM-score greater than 0.5. A TM score of 0.5 is therefore a good indication that two structures are similar, so would probably be considered in the same fold in the classification databases such as SCOP and CATH (Murzin et al. 1995; Sillitoe et al. 2015). However, with AMPLE highly truncated structures are being processed, so care needs to be taken with the extent of reliance on overall TM scores.

2.3.2.1.3 Software for comparing models
For comparing the models to each other and to the native structure the MAXCLUSTER software was used (“MaxCluster - A Tool for Protein Structure Comparison and Clustering,” n.d.). This is a C++ program that can either make a comparison between two structures using RMSD, GDT and TM metrics, or do an all-by-all comparison of a large number of structures. MAXCLUSTER was chosen because, although there are a number of programs that can compare individual structures, it was the only one that could compare up to a thousand structures in just a few minutes.

2.3.3 Analysing the results of Molecular Replacement
The final main question for the analysis is how the MR step has fared. The way this is usually done is to compare the RMSD of the MR solution overlaid with the native structure. This would be trivial problem were it not for the complication of crystal symmetry and the related problem of alternative origins of the crystal axes.

2.3.3.1 Alternate Origins
MR programs such as PHASER are working with data that span real space and reciprocal space. The data from the experiment are in reciprocal space, and there is no set way of relating this to a fixed point in real space. When these programs start work in real space, they just pick a point, which then becomes the origin of the real space. For MR, refinement and the like, this is fine as it
is just the relation of real space to reciprocal space that is relevant. However, if comparing real space solutions is it essential to compare the same origin as otherwise the structures will be in different frames of reference.

Considering a unit cell in a space group such as P212121 with three orthogonal 2-fold rotation axes, then there are 8 places where the asymmetric unit could be placed (and hence fix the origin) within the unit cell, and the entire unit cell could then be reconstructed by applying the 2-fold rotation symmetry operators. Figure 2.2 below demonstrates this for the protein 1PZ4.

![Figure 2.2. PDB 1PZ4, with the 4 copies of the P212121 asymmetric unit displayed, two coloured blue and two green. The unit cell is shown as the blue surrounding box and the red ellipses show the 8 possible positions for the origin.](image)

This choice of origin is entirely arbitrary and there is no way of knowing a-priori which origin has been selected. The only way to compare a native structure and a search model that have been placed in unit cells with alternate origins is try the overlap of the two structures in all origins and select the one that has the best overlap. This of course assumes that the native model accurately
describes the electron density within the unit cell and that the MR program has placed the search model so that it best describes the density.

This problem is relatively simple to solve for non-polar space groups, as there are only a defined number of alternate origins that need to be tested. For polar space groups, the problem becomes much harder because one or more of the origins is ‘floating’ and hence can appear at any point along the axis. The only way to determine the origin along a floating axis is to run a fine-grained search along that axis until the optimum overlap has been determined.

2.3.3.2 REFORIGIN
The CCP4 program REFORIGIN can be used to compare a MR solution with a native structure for all space groups, generating an RMSD score of the maximal overlap. REFORIGIN assumes that the two structures are sequence identical, so if the search model is from an ab initio structure, the input files must be treated using the same procedure as for the direct comparison of an ab initio model with a native structure, to make sure that there is a one-to-one correspondence between the residues.

After using REFORIGIN on a number of MR results from AMPLE, it quickly became clear that there was a problem with using REFORIGIN with the small, highly truncated models generated by AMPLE. Many of the MR solutions that generated very high RMSD scores with REFORIGIN still went on to solve, indicating that the search models had been well-placed within the unit cell (i.e. the model was placed in valid electron density).

The problem traced to the models being placed incorrectly with regards to sequence, but correctly with regards to the electron density. An example of this is shown in figure 2.3, where a 25-residue helix has been placed to correctly overlap with the native structure along residues 187-212, although the modelled helix was actually from residues 40-65.
2.3.3.3 RIO score
An alternative scoring method that is able to quantify the placement of an MR search model with respect to a native structure is therefore required. The method needs to:

- determine the correct origin.
- provide a simple metric of how the MR has performed.
- indicate whether fragments have been placed correctly with regard to the sequence (in-register), or incorrectly with regard to the sequence, but correctly into the electron density (out-of-register).
It should be noted that there is an existing metric that could be applied in this situation, which is the phase difference between two structures, such as can be calculated with the CCP4 program CPHASEMATCH ("CCP4: Software for Macromolecular Crystallography" 2016). This calculates the difference in phases between those calculated from the native structure and those calculated from the MR result, taking into account all possible origin shifts.

Although this is a useful metric and shows how well the overall MR step has performed, it does not indicate whether fragments have been placed in-, or out-of-register. This is of interest with regards to AMPLE as it provides insight into how the \textit{ab initio} modelling is working to solve the structures. The Residue-Independent Overlap (RIO) and Atom-Independent Overlap (AIO) scores were therefore developed to fulfill these needs.

The RIO score counts the number of C\textalpha{} atoms in the MR result that are less than 1.5 Å from a C\textalpha{} in the native structure (regardless of how the C\textalpha{} atoms are related in sequence), including only stretches where at least three consecutive C\textalpha{} atoms of the MR result overlie matching C\textalpha{} atoms in the native structure. This quantifies how well the MR result maps onto the native, without making any assumptions on the correctness of the model or placement with respect to register. The total RIO score is composed of an in-register RIO\textsubscript{in} component and a second RIO\textsubscript{out} figure summing out of register overlays.

The AIO score counts how many non-hydrogen atoms between the native and MR result are within 0.5 Å of each other. Pairs of atoms that are within 0.5 Å of each other are counted towards a final score. An atom in one structure may contribute to more than one of the enumerated pairs if it is closer than 0.5 Å to more than one atom in the other. This makes no assumptions as to what types of atoms have matched; it just quantifies the number of MR solution atoms positioned close to native atoms.
When the RIO score was first developed, the only program that we were aware of that could determine the origin shift for both polar and non-polar space groups was Tom Terwilliger's get_cc_mtz_pdb, which is part of the PHENIX package.

An initial RIO pipeline using get_cc_mtz_pdb was therefore developed, which consisted of the following steps:

- the MR PDB is renumbered to bring the residue numbers into correspondence with the native structure.
- the MTZ file containing the crystallographic data is parsed to determine the F, SIGFP and FREE column labels.
- REFMAC is run using the native PDB file and the MTZ file to generate a density map for the native structure.
- the PHENIX tool get_cc_mtz_pdb uses the density map and the MR result to determine the origin of the MR result with respect to the native structure.
- the MR result is moved onto the same origin as the native structure.
- the MR result and the native structure are concatenated into a single PDB file (with the chains renamed in order to distinguish the two structures).
- CCP4 NCONT is used to count all contacts between the native and MR structures; once for Cα atoms within 1.5Å and once for all atoms within 0.5 Å.
- the output of NCONT is parsed with a PYTHON script to determine the RIO and AIO scores, as well as determining how many Cα atoms are positioned in- and out-of-register.
- optionally the CCP4 tool CSYMMATCH (“CCP4: Software for Macromolecular Crystallography” 2016) can be used to overlay the chains in the MR result onto the native structure providing a visual representation of how well the MR result has worked.

The RIO algorithm itself can be described in pseudo-code in table 2.1.
Table 2.1. Pseudo-code for RIO classification algorithm

```
# split the contacts into chunks >= 3 residues:
FOREACH NCONT contact pair
  IF the residue numbering of both atoms is +/- 1 the previous residue number AND each residue is part of the same chain as the previous residue:
    THEN add the atom pair to the current chunk
  ELSE:
    IF the current chunk is >3 residues long:
      THEN add the chunk to the list of chunks

# Classify each chunk
IF the residue numbering for both atoms pairs matches:
  THEN increase in-register count by chunk size
ELSE:
  increase out-of-register count by chunk size
  IF the residue numbering is backwards:
    THEN increase backwards count by chunk size
```

2.3.3.3.1 Problems with get_cc_mtz_pdb
As an initial test, the RIO pipeline was run on a range of the coiled-coil targets, which had generated 15,000 MR solutions. Of these, approximately 400 gave incorrect origins (i.e. origins that were not acceptable alternative origins as defined by the website: http://www.ccp4.ac.uk/html/alternate_origins.html). Tom Terwilliger, author of get_cc_mtz_pdb was contacted and he confirmed that this was a problem due to the algorithm for finding the local maximum failing if the starting point had a density less than all the neighboring points. The author was fortunately quickly able to fix this in a subsequent release.
2.3.3.3.2 Using SHELXE to determine the origin shift
Although the RIO implementation with get_cc_mtz_pdb worked it was relatively slow and required an AMPLE user also to have PHENIX installed. It was thus not something that could be included in a solely CCP4 environment.

Following discussions with George Sheldrick, the author of the SHELX suite of programs, he indicated that he had recently introduced an option to SHELXE, whereby if a native structure was given to SHELXE with the '-x' option, SHELXE would calculate the origin shift. The new method not only gives the correct origin shift, but is orders of magnitude faster than get_cc_mtz_pdb. The current RIO implementation therefore uses SHELXE to determine the origin shift.

2.4 Testing the code
Testing of software is essential to ensure that it works as expected and that the results it generates can be trusted. Testing is taken extremely seriously within most professional software development companies, which employ entire departments purely to test a code and make sure it is working. Unfortunately, within academia, time and manpower constraints, and also the fact that most code is a research exercise and so rapidly changing and without a formal specification, mean that testing of code is often very minimal or completely non-existent.

This is a serious problem as it means that errors within academic software are frequently not spotted and an indeterminate amount of research will have been carried out with software that was not functioning properly.

2.4.1 Unit tests
Within software development practice, Test Driven Development (TDD) is a well respected practice that is encouraged. Within TDD, before any functional code is written, a small piece of code (called a unit test) is created that tests the functionality that is about to be implemented. The code is then written to implement the functionality, and provided that the unit test (or tests) fully exercise that functionality, one can be reasonably confident that the code is working.
The unit tests are then maintained alongside the code and are run regularly to ensure that no new changes to the code, or even related libraries, cause unforeseen errors within existing code.

As unit testing is so fundamental to software development best practice, the standard Python library contains a fully functioning unit-testing framework. When the work to rewrite and refactor AMPL was started, the first job was to develop a set of unit tests that exercised the basic functionality of the core program. Once the testing framework had been developed, then it was possible to refactor the code with confidence, as it was immediately obvious if the changes had broken any of existing functionality.

As a result, there is now an extensive set of unit tests for the code, and a framework to run them all with a single command. All new developments are made using the TDD approach, and the unit tests are run on all new platforms that the code is run on to ensure that it is functioning correctly.

2.4.2 Integration testing
Although they are an essential part of reliable software development, unit tests by themselves are not sufficient to ensure that software is functioning correctly. By their very nature unit tests only test a small atomic piece of the code and do not test the overall functionality of the code or how the various pieces interact with each other. This is the role of integration testing, which either tests the entire program, or large pieces of it that exercise a substantial section of interacting pieces of code.

As integration testing is usually very specific to a particular piece of code, and there wasn't an existing framework that we were aware of that could be used for AMPL, one was developed.

The design requirements of the integration testing framework were that:

- it must be trivial to run on a range of platforms to ensure that it is run frequently to ensure the correctness of the code.
• it should integrate well with the existing AMPLE examples, so that any changes are reflected in the examples to ensure that users always have valid example inputs to test.
• it must be easy to maintain and add new examples, so that developers can easily add tests for any functionality that they develop.
• it must be easy to test different options with existing examples so that new functionality can be tested on many different paths through the code.
• as far as possible it should integrate with the existing PYTHON testing framework to leverage its utility and also to make it easier for new developers and adopt.

With these requirements in mind, the following framework was developed.

2.4.2.1 Framework Structure
As part of the initial rewrite of AMPLE, an example directory had been created, with each subdirectory containing a script and input data for running a particular type AMPLE run (such as ab initio ROSETTA modelling, or from ideal helices).

A single script called 'test_cases.py' is created in each example directory, which already contains all of the data required to run the example. The script contains all the command-line arguments to run the test case, together with the test code required to confirm that the test case has worked. The test code is called AMPLETest and is a subclass of the standard python unit test TestCase class, so all methods of that class are available. In addition, the class provides access to the AMPLE results dictionary of the job so that the user can query the dictionary to ensure that all results are as expected. An example of a file is in table 2.2.
Table 2.2. Example code for an AMPLE test case

```python
# Specify the arguments to AMPLE to run this test case
args_homologs = [
    ['-fasta', os.path.join(INPUT_DIR, '3DCY.fasta')],
    ['-mtz', os.path.join(INPUT_DIR, '3dcy-sf.mtz')],
    ['-homologs', 'True'],
    ['-models', INPUT_DIR]
]

class AMPLETest(AMPLEBaseTest):
    def test_homologs(self):
        self.assertTrue(selfAMPLE_DICT['AMPLE_finished'])
        self.assertIn('mrbump_results', selfAMPLE_DICT)
        self.assertGreater(len(selfAMPLE_DICT['mrbump_results']), 0, "No MRBUMP results")
        self.assertTrue(AMPLE_DICT['success'])
        self.assertGreater(AMPLE_DICT['mrbump_results'][0]['SHELXE_CC'], 25, "SHELXE_CC criteria not met")
        return

    # Add everything to the test_dict - the key is used to name the script and run directory
TEST_DICT['homologs'] = {'args': args_homologs,
                          'test': AMPLETest,
                          }
```

Once the file has been created it is accessed by the testing framework, which uses the arguments to generate an input file suitable for the platform, and can also add any additional options that may need to be tested. The input file can be saved and used as an example of how to run this mode of AMPLE on a particular platform, ensuring that the example files are always kept up to date. The testing framework then calls the job submission framework (see above) to run the jobs, so the jobs can either be run on multiple cores of a desktop, or submitted to a cluster to further speed up the process. Once all jobs have completed, the framework runs all of the tests and reports the results back to the user.

Together, the unit testing and integration framework mean that there is increased confidence that AMPLE is running as expected on all platforms, and also provides a way of quickly verifying the integrity of the code before any new releases are made.
2.5 Server Development
In order to facilitate the use of AMPLE and encourage greater uptake, a web-service version of AMPLE been developed so that users can run AMPLE without having it installed on their local machines, and also so that they can take advantage of the greater processing power of the server that powers the web-service. The web service is accessed on the CCP4 website at:

http://www.ccp4.ac.uk/ccp4online

The web service front-end has been developed by Ville Uski at CCP4 with guidance from ourselves. The front-end is written in Java and is responsible for providing a submission interface to the user, collecting the input and files, and then staging the files to the server where the job is run. All other functionality of the server is maintained within AMPLE itself.

The server that runs the jobs and where AMPLE is installed is the cluster morrigan.rc-harwell.ac.uk, situated at the Rutherford Appleton Laboratory. The cluster consists of 160 cores spread across 10 nodes. Each node has 16 cores in the form of two 8-core Intel Xeon E5640 2.67GHz processors. Submission of jobs to the cluster is controlled by a Sun Grid Engine queuing system, and the AMPLE queue allows 20 concurrent AMPLE jobs to run. Each AMPLE job is allowed 10 subjob slots in the queuing system meaning that AMPLE can occupy 20*10 + 20 slots in the queue when fully utilised.

A number of changes were required to AMPLE in order to make it suitable for the web service. The first was to restrict the disk usage. By default, AMPLE keeps all the intermediary files from a run to aid debugging in problem cases and so that users can see how the ensembles have been derived. However, for a large run, this can lead to many GB of data, and this isn't acceptable on a server with limited disk space where there may be many dozens of users running jobs at the same time.

A 'purge' option was therefore added to AMPLE to delete all intermediary files. However, even with these files removed, disk usage was still too high due to the large quantity of data generated by
MRBUMP. Simply removing the files was not acceptable, as even the data for failing jobs could be of interest and could indicate possible avenues for structure solution. Code was therefore added to remove all of the data files from all MRBUMP jobs that were not successful, while maintaining a data structure with all of the relevant information from the job. This could then be displayed to the user, together with a script that could be executed to re-run the job and generate any data files.

The final stage in the creation of the server was to develop a Graphical User Interface (GUI) for the output from AMPLE. It was decided to use the RVAPI code for the interface that has been developed by Eugene Krissinel at CCP4. RVAPI is a C++ code based on the Qt library that creates an HTML/JAVASCRIPT output that can be displayed in any browser, and also has an interface to PYTHON (PYRVAPI), making it easy to use from within AMPLE. It has the advantage that it creates an interface that can be displayed on a user's browser for the server, but also can be used within the desktop version of CCP4 with its own built-in browser. This enables the creation of a single GUI for AMPLE that can be used both for the server and the desktop versions.

The GUI has been developed with three tabs:

- a log tab that displays the detailed stdout from AMPLE and displays any error or warning messages.
- a summary tab that displays two tables showing all of data associated with the ensembling and MRBUMP stages of an AMPLE run.
- a results tab that displays the top three MRBUMP jobs as scored by the SHELXE score, as well as the top three results as scored by the PHASER TFZ score, together with a display of all of the files generated and buttons to open the files in the relevant CCP4 program.

An example of the results tab is shown in figure 2.5.
Figure 2.5. Results tab from the AMPLE Pyrvapi interface
2.6 Ideal Helices
As AMPLE has developed it has been found increasingly useful to use ideal helices as models for MR. Ideal helices provide a useful benchmark for determining whether the modelling carried out by AMPLE is adding additional structural information beyond the selection of small helical fragments.

For the work on coiled-coil proteins, it was necessary to generate a large number of ideal helices with different sequences and side chains. This is not something that is feasible to accomplish manually, but there did not appear to be a scriptable or command-line program that could accomplish the task.

The Python/C++ molecular modelling program AVOGADRO (Hanwell et al. 2012) is built on top of the OPENBABEL (O'Boyle et al. 2011) libraries and has the functionality to generate ideal helices, but only via the graphical interface. As AVOGADRO and OPENBABEL are open source, it was possible to isolate the source code that generated the ideal helices and create a small command-line program to automate the preparation of the ideal helices. This program has been included within the AMPLE source code. For all work involving ideal helices in this thesis, the helices were built with \( \phi = -57.8^\circ \), \( \psi = -47.0^\circ \) and SCWRL (Krivov, Shapovalov, and Dunbrack 2009) was used to add the side chains where appropriate. In order to enable an ideal helices mode within AMPLE, a library of 8 ideal polyalanine helices were created with residue lengths of 5, 10, 15, 20, 25, 30, 35 and 40 respectively.
Chapter 3: Coiled-coil proteins
3 Coiled-Coils
The work in this chapter has been published in a less extended form as the paper:


3.1 Introduction
Coiled-coil protein folds are both pervasive and abundant in nature despite being some of the simplest proteins known (Kuhn, Hyman, and Beyer 2014). They are known to mediate the self- and hetero-association of proteins into functional quaternary assemblies (Robson Marsden and Kros 2010).

Coiled-coils consist typically of two to five amphipathic \( \alpha \)-helices wound around each other to form a supercoil. This distinct fold is achieved by protein sequences consisting of characteristic seven (heptad) or eleven residue repeats, which lead to left- or right-handed coils, respectively (Lupas and Gruber 2005). The heptad repeat is made up of hydrophobic (h) and charged (c) residues in the sequence \( \text{hxxhcxc} \). These seven residues are labelled abcdefg, with a and d being the hydrophobic positions, usually occupied by isoleucine, leucine, or valine. It takes approximately 3.6 residues to complete a turn in an \( \alpha \)-helix, so being four residues apart, the two hydrophobic residues form a pair that are packed against each other. The slight offset from the ideal of 3.5 residues per turn means that the adjacent hydrophobic pairs above and below any given pair are slightly offset, so that there is a hydrophobic stripe that coils around an alpha helix. It is the packing of the two stripes of \( \alpha \)-helices that allows them to pack together. Figures 3.1 and 3.2 show the packing of 1ZIK, an Interleucine Zipper, and the classic example of a coiled-coil.
Figure 3.1. PYMOL rendering of two chains of 1ZIK, with the hydrophobic residues coloured orange and represented in both cartoon and as sticks, and all others rendered purely as cartoon in blue.

Figure 3.2. Helical wheel diagram from (http://www.grigoryanlab.org/drawcoil) showing the packing of 1ZIB, with the hydrophobic residues at positions a and d.

Figure 3.1 shows the 'hydrophobic stripe' in orange and how for two chains of 1ZIB, the stripes lie against each other. Figure 3.2 shows the same in a helical wheel diagram.

Coiled-coil folds are found ubiquitously in Nature and adopt a variety of sizes and oligomeric states. Their scaffolding function underlies many fundamental processes in biology, including transcription, ATP synthesis, intracellular transport, transmembrane signalling, membrane fusion and remodelling, proteostasis, the formation of the extracellular matrix and several cytoskeletal and nuclear structures of the eukaryotic cell (Kuhn, Hyman, and Beyer 2014). Accordingly, mutations of coiled-coil proteins have been associated with significant human diseases such as progeria (Verstraeten et al. 2006), motor neurone disease (Puls et al. 2003), cancer (McClatchey 2003), and several myopathies (Oldfors et al. 2004). Furthermore, the associative properties of coiled-coils are exploited in biotechnology for the biofabrication of self-assembled and bioactive polymeric materials (Pechar and Pola 2013). The use of coiled-coils in biotechnology includes drug delivery,
synthetic matrices for cell growth and differentiation, biosensors, and antigen display particles for vaccination (Apostolovic, Danial, and Klok 2010). They are therefore of substantial physiological, biomedical and biotechnological significance and their structural characterisation is essential to the rapid development of these fields.

3.1.1 Problems with Molecular Replacement
Despite the apparent simplicity of the coiled-coil architecture they are notoriously difficult to solve with MR (Blocquel et al. 2014). This is due to several factors that work in combination to complicate the phasing of these proteins. Firstly, coiled-coil proteins are filamentous in nature and their highly elongated shapes can lead to unusual, tightly-packed crystalline lattices, where molecules are laterally associated resulting in minimal interstitial bulk solvent. This complicates the separation of self and cross Patterson vectors in MR. Secondly, coiled-coil folds can exhibit notable levels of diversification, displaying both distinct superhelical parameters and local helical distortions caused by deviations from the idealised heptad repeat (examples of this are often called 'stutters' (Lupas and Gruber 2005)). These helical and superhelical irregularities can cause long-range deviations in the fold that trouble MR.

Finally, MR approaches suffer from the frequency with which coiled-coil folds differ from expectations, with the assembly of the constituent chains being highly sensitive to experimental conditions, such as crystallographic media and construct design (Franke et al. 2014). This can drastically and unpredictably alter their self-association and through this the overall fold. MR of coiled-coil proteins is therefore rarely straightforward and often requires crystallographers to undertake a bespoke and time-consuming screening of potential search models. The alternative approach of phasing using MAD data often suffers from the generally low frequency of methionine and cysteine residues in repetitive coiled-coil sequences.

As coiled-coils are such difficult targets for MR, but are largely helical, it was reasonable to expect that they might make promising candidates for AMPLE.
3.2 Methods

3.2.1 Test Case Selection
A test set of coiled-coil crystal structures was obtained by a search of the PDB for structures with >70% helical content and diffraction data to better than 3Å resolution containing 1–4 helices and sharing no more than 50% sequence identity. Manual removal of globular proteins resulted in the following 94 crystal structures:

Table 3.1: list of PDB codes for coiled-coil crystal structures.

| PDB codes: | 1BYZ 1D7M 1DEB 1ENV 1EZJ 1G1J 1GMJ 1JCD 1K33 1KQL 1KYC 1M3W 1M5I 1MI7 1N7S 1NKD 1P9I 1S35 1S9Z 1T6F 1U1I 1U1X 1USD 1WT6 1X8Y 1Y66 1YBK 1YOD 1ZV7 1ZVB 2AKF 2B22 2BEZ 2EFR 2FXM 2IC9 2NO2 2OVC 2PNV 2Q5U 2Q6Q 2QIH 2V71 2W6A 2W6B 2WPQ 2XU6 2XUS 2XV5 2YKT 2ZZO 3A2A 3AJW 3AZD 3 BAS 3CVE 3CVF 3ETW 3H00 3H7Z 3HFE 3HRN 3K29 3K9A 3LJM 3M91 3MQC 3NI0 3OKQ 3P7K 3PP5 3Q8T 3QH9 3RA3 3S0R 3S4R 3S9G 3SWF 3SWK 3SWY 3T97 3TRT 3TWE 3TYY 3U1A 3U1C 3V86 3VGY 3VIR 3VP9 4DZK 4DZN 4E61 |

This set still contains several instances where multiple targets were derived from the same protein or from clearly homologous proteins, often corresponding to overlapping fragments or subfragments. Such targets are common in coiled-coil protein research, where long coiled-coil domains are split into shorter sections for structural work.

These were not eliminated by the PDB clustering algorithm for 50% identity redundancy removal since it requires that the alignment must cover at least 90% of the length of both sequences. All of these cases were retained since it is common for coiled-coil substructures to pack differently to their parents (intramolecularly and intermolecularly) so that they should not necessarily be considered as redundant in terms of their crystal structure solution. Of the set of 94 structures, 50 were originally solved by MR and 44 by experimental methods.
3.2.2 Running the test cases
We were granted early-access time on the Blue Wonder HPC cluster at the Science and Technology Facilities Council’s Hartree Centre at Daresbury Laboratory. This is an IBM System iDataPlex cluster comprising 8,192 Intel Xeon E5-2670 processor cores connected by FDR Infiniband interconnects, and high speed IBM GPFS Storage. The default operating system is 64 bit CentOS, with each IBM CPU compute node comprising two Intel SandyBridge E5-2670 8-core CPUs with either 36Gb or 128Gb of RAM.

In order to take advantage of the processing power available on Blue Wonder, rather than use the default strategy of running the model generation through AMPLE as a single-processor job, ROSETTA was compiled using both MPI (“Message Passing Interface (MPI) Forum Home Page” 2016) and the BOOST threads (“Boost C++ Libraries” 2016). MPI and BOOST threads are software libraries that provide an easy way for a developer to parallelise a program without writing the low-level code to accomplish the parallelisation. ROSETTA has been written to take potential advantage of both MPI and BOOST, although they are not default options when compiling the code. Compiling with MPI allows the modelling to be run on multiple nodes, and in the current case, each modelling job was run on two, 16 processor nodes. The fragment picking is only parallelised with threads, which can only run within a node where all processors can access the same shared memory, so fragment picking was run on 16 cores within a single node. 1000 models were generated for each target. An example of a modelling script is shown in figure 3.3.
The native structure was provided to ROSETTA, which resulted in the RMSD of the native to each model being output in the ROSETTA score.fsc file. In addition, MAXCLUSTER was used to compare the model to the native using the TM score. AMPLE 0.1.0 in CCP4 suite 6.4.0-008 was used to create the ensembles and run the MR steps with MRBUMP.

The 94 targets generated 15,244 search ensembles, with an average of 162 ensembles per target (varying from 18 for 1KYC to 297 for 3AZD). Under normal usage, AMPLE would stop as soon as a successful structure solution was produced, using well-established statistics from SHELXE to detect success, but here all search models were processed in order to assess their performance. The established measure for success of MR with SHELXE main chain tracing and electron density modification, is a correlation coefficient (CC) score of >=25 and an average traced chain length of >=10 residues (see introduction chapter). The generally accepted operating parameters for
SHELXE include a resolution better than around 2.1 Å. As the targets examined extended to resolutions as low as 2.91 Å, the success criteria were tightened by requiring that the SHELXE trace could be rebuilt by either BUCCANEER or ARPWARP with a resulting RFREE value of ≤ 0.45. By these criteria, 64 of 94 targets (68%) were successful in a single run of AMPLE.

Since each AMPLE run builds a specific set of ROSETTA decoys, which though similar between runs differ in their details, there is an element of chance in structure solution with AMPLE: a few borderline cases will solve in one run but not in another. Thus, targets that did not solve by the above criteria in the first run were run twice more. These runs added nine cases (1MI7, 2BEZ, 2Q5U, 2ZZO, 3H00, 3H7Z, 3TYY, 3U1A and 3U1C) to the tally of successes. Finally, since successful MR placements may not immediately be refinable to the Rfree criterion, the top model (i.e. that with the best SHELXE CC score) was manually inspected for targets that had not achieved an Rfree ≤ 0.45. For two targets (3BAS and 3CVF) density suggested successful solution and, indeed, further cycles of rebuilding in Buccaneer lowered Rfree values to 0.44 and 0.32, respectively. These further cases give a total of 75 of 94 successes (80% of the set).
The test cases were all run with the software versions detailed in table 3.2.

**Table 3.2: Software versions used in run.**

<table>
<thead>
<tr>
<th>Software</th>
<th>Version</th>
</tr>
</thead>
<tbody>
<tr>
<td>ROSETTA</td>
<td>3.5</td>
</tr>
<tr>
<td>CCP4 suite</td>
<td>6.3</td>
</tr>
<tr>
<td>PHASER</td>
<td>2.5.6</td>
</tr>
<tr>
<td>REFMAC</td>
<td>5.8.0049</td>
</tr>
<tr>
<td>SHELXE</td>
<td>2014/1</td>
</tr>
</tbody>
</table>

### 3.3 Results

#### 3.3.1 Analyses of the solved targets

The successfully solved cases covered the full range of chain lengths in the test set, from the smallest (1BYZ, a designed protein with 13 residues) to the largest (2YKT, the complex of the N-terminal I-BAR domain of IRSp53 with a bacterial peptide; 253 residues) as shown in figure 3.4. It is believed that 2YKT is the largest protein structure solved to date using *ab initio* predictions for MR phasing.
Figure 3.4. Target success mapped against resolution and target chain length. Each circle represents a target with the radius of the outer circle proportional to the number of models generated for that target, and the colour indicating whether the target solved (blue) or not.
(red). The filled blue circles within the open circles indicate the proportion of successful models. The crystal structures of selected targets are shown, with each chain coloured differently. Asymmetric unit contents are shown except for 2N02, where the biological assembly is displayed, with the second chain generated by crystallographic symmetry in light grey.

Similarly, in terms of asymmetric unit content, success over a wide range was seen as shown in figure 3.5, the largest target being 3U1A with four chains in the asymmetric unit totalling 334 residues. Interestingly, 3U1A corresponds to a recently elucidated structure of a fragment of smooth-muscle tropomyosin alpha that was originally phased using SAD on selenium because conventional MR approaches were unsuccessful (Rao et al. 2012).
Figure 3.5. Target success mapped against resolution and number of residues in the ASU. Each circle represents a target with the radius of the outer circle proportional to the number of models generated for that target, and the colour indicating whether the target solved (blue) or not (red). The filled blue circles within the open circles indicate the proportion of successful models.

The resolution of the diffraction data also had little impact on the likelihood of success or failure: the mean resolution of the failures was 2.03Å, that of the successes 1.88Å. The lowest resolution structure (3V86 at 2.91Å) failed to solve, but three of the lower resolution cases in the set (3CVF at 2.90Å, 2W6B and 2NO2 both 2.80Å) all solved; a notable outcome given that SHELXE, which is used to assess success, is only generally considered to work well up to 2.4Å and is reported to require better than 2.1Å data for expansion from small fragments (Thorn and Sheldrick 2013).
These low-resolution successes are remarkable, in particular 2NO2, a domain of Huntingtin-interacting protein 1, that contains a long, unconventional coiled-coil-like assembly (figure 3.4) that was originally phased experimentally using MAD. Similar to the other parameters evaluated, the solvent content of crystals of successfully solved cases (mean 46.6%) was not significantly different to that of the failures (mean 50.4%). The fact that some targets solved only with small numbers of search models (e.g. 1KQL solved only with one from a set of 234), demonstrates the value of AMPLE’s extensive sampling.

These results indicate that AMPLE is an universal tool for the phasing of coiled-coil-like assemblies that can resolve structures over a broad range of sizes, not being limited a priori by resolution or crystallographic parameters. Most importantly, AMPLE does not require previous knowledge of the arrangement of chains (parallel/antiparallel) or their level of oligomerization and, thus, can succeed in unconventional cases that remain challenging for classical MR approaches. For example, both the two unusual right-handed coiled-coils in the test set solved, namely the structures of the bacterial surface layer protein tetrabrachion (1YBK; see figure 3.4) and the vasodilator-stimulated phosphoprotein tetramerization domain (1USD). Also successful were the two other structures in the set containing both right- and left-handed coiled-coils (human lamin (2XV5) and bacterial autotransporter segments (3H7Z)).

3.3.2 Analysis of the search models
In order to understand AMPLE's successes, the respective performance of the search was examined. Analysis of the length distributions of successful search models (figures 3.6 and 3.7) indicated that search models of all lengths were capable of solving structures, but the majority tended to be relatively short, approx. 20-30 residues in length.
Some of the targets here solved with most or all of the derived search models (eg all 180 in the case of 3TWE) yet eight (1D7M, 1KQL, 1MI7, 2BEZ, 2Q5U, 3CVE, 3M91 and 3U1A) were solvable only with a single model; in the case of 1KQL from a pool of 234 models.

Remarkably, some successful search models contained only minimal portions of the target. The structure of a phospholamban variant (1YOD) was solved with a search ensemble with the smallest number of residues, five, representing 16% of the target chain length. This reiterates the value of sampling over a range of truncations, from mild to substantial. Some of these small fragments were successfully expanded in SHELXE at resolutions worse than the 2.1Å currently considered to be required eg. the structure of a designed protein (3S0R with a resolution of 2.45Å) solved with an ensemble with nine residues.

As in previous work, the overall success rates for search models derived from three different modes of side chain treatment (all side chains, only a more reliably predictable subset, or no side chains i.e. polyalanine - see section 1.4) and from the three different sub-clustering radii (1, 2 and 3Å) were broadly similar (figures 3.8 and 3.9). There was complementarity between the treatments:
certain search models were only successful with one or two modes, not with the other(s). For example, 3M91 only solved with the more reliably predicted subset of side chains, and 2Q5U only solved with 3Å sub-clustering.

![Figure 3.8](image1.png)  
**Figure 3.8.** Number of successes (blue) and failures (red) for different ensemble side chain treatments.

![Figure 3.9](image2.png)  
**Figure 3.9.** Number of successes (blue) and failures (red) for different ensemble sub-clustering radii.

### 3.3.3 Analysis of the MR results

Probing the accuracy of the MR using the CCP4 tool REFORIGIN (figure 3.10) shows that many search models were succeeding despite a high RMSD of the placed model to the crystal structure, indicating that the model had been placed “incorrectly”.
Figure 3.10. Distribution of REFORIGIN rmsd scores for successful (blue) and failing (red) search models. The dashed black line is at 3Å; for conventional homology-based MR, estimates of the maximum allowable divergence between search model and target crystal structure vary, but 3Å can be considered as a rough maximum.

The histograms in figures 3.11 and 3.12 show the Cα RMSD and TM values respectively, after superposition on the corresponding portion of the native structure of the centroid model that was used to derive the ensemble for successful (blue) or failing (red) models. These demonstrate that most search models are poor approximations of the native structure.
Figure 3.11. Distribution of RMSD values for the centroid model compared to the crystal structure used for deriving the ensemble for the successful (blue) and failing (red) search models.

Figure 3.12. Distribution of TM-scores for the centroid model used for deriving the ensemble for the successful (blue) and failing (red) search models.

It is striking how little correlation between model quality and success there is, indicating that AMPlE can compose successful search models from unpromising starting material. Examination of cases quickly revealed that helical segments in search models were frequently overlaid on different helical portions of the native structure. In such cases the local structure of the search model, especially the backbone, matched the native structure well despite the incorrect sequence register. To probe this effect further, the models were examined with the RIO and AIO scores described earlier.
Figure 3.13 shows the RIO distribution for all test cases. The major peak is for failing models with a RIO score of zero. As this peak is so large and obscures other details, figure 3.14 shows the RIO distribution omitting the large peak at RIO=0. Figure 3.13 shows that the distribution of RIO scores for successes has four broad peaks separated by roughly 20 residues. As most successful search models are 25 residues in length, this indicates that many structures are solved by placement of multiple copies of the same fragment.

The above analysis shows the number of RIO contacts that contributed to success, but amalgamates all the contacts and therefore does not provide any information on the length of the individual helical segments. The plot below displays the length distribution of the longest individual RIO helical segments, with any single-residue gaps included in the overall length.
Figure 3.15. Length distribution of the longest RIO helices with any single-residue gaps included in the overall length.

Figure 3.15 shows that there is a peak in the length distribution at about 20 residues. This confirms that most structures were solved with one or more placements of fragments of approximately 20 residues in length.

Figure 3.16. Comparison of RIO_in and RIO_out scores for successful (blue) or failing (red) search models.

Figure 3.17. Comparison of RIO_in and RIO_out scores for successful (blue) or failing (red) search models. Cases with no contacts omitted.
Figures 3.16 and 3.17 compare the distribution of in- and out-of-register RIO scores. These show that the majority of cases were solved with out-of-register placements. With some cases, there is a mix of in- and out-of-register placements; these are cases where a helical fragment has been placed correctly, but then additional copies of the same helical fragment have been placed at different points in the ASU.

**Figure 3.18.** The percentage of out-of-register RIO scores for successful models.

Figure 3.18 shows the percentage of out-of-register RIO scores for successful models. The vast majority (85.19%) solved with exclusively out-of-register contacts, whereas only 3.45% solved with exclusively in-register. The remaining search models solved with a mixture of in- and out-of-register, and for 28 models (0.74%), the RIO score was zero.

Notably, figure 3.18 shows some success from search models with placements that have a RIO score of zero i.e. positions in which three consecutive Cα atoms are not overlaid within 1.5Å, even disregarding register. Figure 3.20d below exemplifies cases of this kind, in which the search model
was translated and rotated about its helical axis such that Cα positions are too far apart to be counted by this metric. There is, nevertheless, overlay of heavy atoms (carbon, oxygen or nitrogen) in the search model upon other heavy atoms in the target.

Cases like these were captured by the Atom-Independent Overlap (AIO) which counts overlaid heavy atoms irrespective of their being O, C or N. Figure 3.19 examines the number of non-AIO contacts (i.e. number of atoms that were not placed within viable density) against the RIO score as a percentage of the native structure.

![RIO as percentage vs number non-AIO](image)

**Figure 3.19.** RIO score as a percentage of the number of Cα in the ASU against the
number of non-AIO atoms. The graphs are faceted by resolution to disentangle any effects from resolution.

Figure 3.19 shows that as more atoms are placed out of viable density (increasing number of non-AIO atoms), the chances of solution decrease. However, as more of the structure is placed correctly (as measure by the percentage of RIO scores), solution is more likely, and this can even overcome a substantial number of atoms being placed incorrectly. Successes are almost guaranteed if more than 50% of the Cα atoms are placed correctly. Interestingly, solution is still possible even with negligible RIO and AIO scores.

Figure 3.20 shows actual solutions that illustrate a number of these cases, from almost perfect solutions (3.20a) to solutions that had very low RIO and AIO scores (3.20d and e).
Figure 3.20. Illustrative examples of successful coiled-coil structure solution with AMPLE. In each case, the target chain of the crystal structure is shown on the left as a green cartoon, MR-placed model(s) as blue lines and, where appropriate, cartoon; and the ensemble search model is displayed on the right in blue. MR-placed model ensembles (panels a-d, g-h) are represented here, for clarity, by their first member a) In-register placement (i.e. the sequence of the search model correctly aligns with that of the substrate) of two copies of a mildly truncated centroid structure as search model solves
the coiled-coil domain structure of the Sin Nombre Virus Nucleocapsid Protein (2IC9); b) Out-of-register placement (backbone structures of search model and target coincide closely, but their sequences do not match) of eight copies of a heavily truncated search model ensemble with polyalanine side chain treatment solves a coiled-coil fragment from the HIV-1 protein gp41 (3H00); c) Four copies of an ensemble with reliable side chain treatment solves the coiled-coil domain structure from the replication regulator geminin (1UII); d) A heavily truncated polyalanine search model solves the structure of adhesin UspA1 (2QIH) with a RIO score of zero; e) Two copies of a 5 residue ideal polyalanine helix solved a de novo designed assembly protein (3S0R; 2.45Å); f) Two copies of a 20 residue ideal polyalanine helix solved a dynamin adaptor protein (2XU6; 2.7Å); g) Five copies of a mildly truncated polyalanine search model ensemble solved the complex of GGA1 GAT domain (yellow) with the GAT-binding domain of Rabaptin5 (green) (1X79; 2.4Å); h) Four copies of a polyalanine search model ensemble solved a transcription regulation complex (1H8A; 2.23Å) containing a coiled-coil domain (green), an additional helical protein (yellow) and duplex DNA (brown).

### 3.3.3.1 MR and model-building/refinement scores

AMPLE’s strategy of submitting all PHASER solutions to SHELXE, regardless of the PHASER statistics was also reinforced in the current work (figure 3.21), where solutions were found with PHASER LLG scores as low as -833, and TFZ scores as low as 2.1.

![Figure 3.21. PHASER LLG and TFZ scores for successful (blue) and failing (red) search models.](image-url)
In fact, some successes were seen from initial PHASER placements in runs where the program declined to produce a final result; solution was still possible as PHASER produces PDB files for the intermediate results. These intriguing new observations come to light because AMPLE employs no statistical cut-off of any kind after MR, attempting SHELXE tracing of the top placement regardless of the score.

As mentioned, an additional criterion for automatic definition of success - refinement of SHELXE-traced structure to $R_{free} \leq 0.45$ by BUCCANEER or ARP/wARP - was applied. For comparison, placements defined as failures were also treated in the same way.

![Figure 3.22. SHELXE CC score against BUCCANEER $R_{free}$ score. Successful jobs are coloured blue and failing ones red,](image)

As figure 3.22 shows, a large majority of successes (95.3%) could be refined to $R_{free} \leq 40\%$.

Interestingly, a very few placements classified as failures after SHELXE were refined to low $R_{free}$ values. These were cases where the SHELXE CC was greater than 25 but mean chain length was
less than 10. The key predictor of success, SHELXE CC ≥ 25, is therefore reinforced by the results in figure 3.22.

![Buccaneer vs ArpWarp](image.png)

**Figure 3.23.** BUCCANEER and ARP/wARP Rfree scores for successful models i.e. SHELXE CC ≥ 25 and mean chain length ≥ 10.

Figure 3.23 shows the Rfree for the models after rebuilding with ARP/wARP and BUCCANEER. This shows that the two programs are broadly comparable, although a few models that rebuild and refine with ARP/wARP could not be processed by BUCCANEER.

### 3.3.4 Timing analyses

The time taken per target can be split into search model generation and the processing of these by MrBUMP. For search model generation (including fragment generation, ab initio modelling, search model ensembling), each target took on average 13.0 CPU hours as shown in table 3.3. This reduces to 9.0 CPU hours if fragment generation is done on the ROBETTA server.
Figure 3.24. Average timing breakdown across all jobs in CPU seconds.

Table 3.3: average time for each step of the AMPLE pipeline.

<table>
<thead>
<tr>
<th>Step</th>
<th>Average Time per target (CPU hours)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fragment generation</td>
<td>3.0</td>
</tr>
<tr>
<td>Model generation</td>
<td>9.9</td>
</tr>
<tr>
<td>Ensemble generation</td>
<td>0.07</td>
</tr>
<tr>
<td>MrBUMP processing</td>
<td>2.6</td>
</tr>
</tbody>
</table>

On average, 162 search models are generated per target. The time taken for MR and tracing will obviously depend on how many search models are successful for the target in question. On average, a search model takes 2.6 CPU hours to process with MRBUMP, and one third of the search models were successful. On average three search models would have to be processed before a job was successful, so the processing step takes around 8 hours. Typically, therefore, successful AMPLE runs terminate well within 24 hours and this is what is observed in practice.

3.3.5 Why do these search models succeed?
It is proposed that the power of AMPLE derives from several factors. The first is the ability of rapid ROSETTA ab initio modelling to accurately recapitulate at least local features of the native structure and sometimes the global fold. Secondly, AMPLE progressively truncates clusters of ROSETTA predictions using structural variance within the clusters (THESEUS) as a predictor of inaccuracy. Finally, AMPLE treats side chains three different ways and constructs search models as ensembles derived from sub-clustering of truncated superpositions.
3.3.5.1 The ensemble effect

Ensembles are considered to be particularly effective MR search models and so their contribution to success in MR was tested by rerunning AMPLE with single-structure search models instead of the normal ensembles of 2-30 structures. AMPLE constructs ensembles by sub-clustering the truncated decoys under 3 clustering radii (1, 2 and 3Å). The first cluster in the ensemble is the centroid of the ensemble and so is the same for all three ensembles. A comparison of the performance of the centroid structure to the 1Å sub-clustering radius ensemble (the sub-clustering radius that performed best) was therefore undertaken. Figure 3.25 plots the SHELXE CC scores for the ensemble and single-structure cases.
Figure 3.25. Comparison of performance (SHELXE CC score) for single centroid structures and the 1Å sub-clustering radius ensembles. Points are coloured as follows - blue, both the single centroid structure and the 1Å ensemble were classified as successes (by both SHELXE and refinement criteria); yellow, the ensemble but not its centroid structure succeeded; orange, the centroid structure alone succeeded; red, both were classified as failures. The large point on the origin represents the cases in which neither single centroid structure nor the ensemble achieved a non-zero SHELXE CC score.

In terms of targets solved, ensembles are more successful than single centroid structures, solving 66 against the latter’s 59. There is a similar disparity in number of successful search models - 1494 successes (28%) against 1171 (22%) for the single structures. This suggests that ensembling, a key characteristic of AMPLE, is generally an efficient strategy for gainfully combining information from a number of predictions into a single search model. Despite the overall greater success of ensembles, five targets (2Q5U, 3Q8T, 3TYY, 3U1C and 4DZK) were solved with single structure
search models, but not with a single run of the ensembles. Of these, four were all solved in subsequent reruns of the ensembles, but 4DZK only solved with the single-structure search model. 165 single structures succeeded despite the failure of their corresponding 1Å ensemble search model. This suggests that while ensembling is an efficient strategy for gainfully combining information from a number of models, in a small minority of cases, potential success that would be achieved with a single structure is masked by the ensembling.

![Figure 3.26](image)

**Figure 3.26.** Target success mapped against resolution and target chain length for single-conformer (centroid) search models. Each circle represents a target with the radius of the outer circle proportional to the number of models generated for that target, and the colour indicating whether the target solved (blue) or not (red). The filled blue circles within the open circles indicate the proportion of successful models.

### 3.3.5.2 Solving structures with ideal polyalanine helices

The success of short, out-of-register helical fragments raises the question of the extent to which AMPLE’s success derived from its ability to select promising fragments of the ROSETTA decoys and whether these models have advantages over simpler, ideal α-helices.
A comparison of ROSETTA-derived search models with ideal helices was therefore carried out in order to shed light on the contribution to success of the modelling by ROSETTA. As a first step in untangling the effect of the modelling, ideal α-helices were created from the sequences of the largest α-helical segment that contributed to successful structure solution in the full ensemble run. This restricts the analyses only to those targets that were solved successfully with an ensemble, but selects MR jobs that are likely to be solvable with an α-helical segment.

In order to construct the sequence of residues for the α-helical segment, the RIO results and DSSP secondary structure analyses were used to determine where the α-helices between the native structure and ensemble MR solution overlapped. DSSP is a program developed by Wolfgang Kabsch and Chris Sander and uses the three-dimensional structure of a protein, in particular the hydrogen bond energies and geometries, to determine the secondary structure (Wolfgang Kabsch and Sander 1983). This resulted in one or more α-helical fragments, at least three residues long, with the fragments potentially separated by one or more residues. The native sequence was then used to fill in any gap between fragments that was smaller than 4 residues. For example, if residues 10-30 in the native structure comprised a helix then the largest helical region in the search model could be residues 12-15, 19-22 and 25-26. In that case, an ideal helix of residues 12-26 would be built. The sequence of the largest segment resulting after any gaps had been filled was then used to create the ideal α-helix. In this way, for all bar five of the successful ensemble search models it was possible to derive an α-helical segment at least three residues long.

As the ideal α-helices are single structures (not ensembles), they were compared against the single structure search model set. Ideal helices and single structures can be paired, since each helix derives from a search model ensemble, allowing for a direct comparison. Furthermore, the helices and single structures each inherit the same side chain treatment as their parent ensemble: for the single structures side chains are already present and for the helices they were generated using SCWRL.
Figure 3.27. Comparison of performance (SHELXE CC score) for search models comprising a single centroid structure or an idealised helix. Points are coloured as follows - blue, both the single centroid structure and the idealised helix were classified as successes (by both SHELXE and refinement criteria); yellow, the single structure but not the idealised helix deriving from the same search models ensemble succeeded; orange, the idealised helix alone succeeded; red, both were classified as failures. The large point on the origin represents the cases in which neither the single centroid structure nor the idealised helix counterpart achieved a non-zero SHELXE CC score.

Figure 3.27 shows that single structures succeed somewhat more often than idealised helices: for all pairs of search models compared, both were successful in 617 cases. In 398 further cases where the idealised helix failed the single structure was successful, although in 127 cases, the idealised helix succeeded where the single structure failed. This comparison clearly underlines the value of employing explicit tertiary structure prediction. This can be rationalised here by
consideration of the variable but typically significant distortions from α-helix ideality found in coiled-coil structures. Figure 3.19a shows the significant α-helical twist seen in one of the successful search models that solved the structure of PDB entry 2IC9. Examination of the ROSETTA outputs confirms that it picked out fragments deriving from other similarly twisted coiled-coil structures and used them to build a search model of appropriate local structure and, in this case, correct register after MR placement.

As the foregoing idealised helices were derived from the structures of the single-models, they assumed knowledge about what could actually solve the structure. In order to be of utility for solving novel structures, it is of interest to know if structures could be solved using ideal polyalanine helices. Solution of the original test set using ideal polyalanine α-helices as search models was therefore attempted. As the most successful search ensembles were between 20 and 30 residues in length, eight ideal α-helices were generated, starting at five residues in length and extending to 40, in five-residue increments, with ideal backbone torsion angles of φ=-57.8° and ψ=-47.0°.

These simple polyalanine helices solved 52 of the targets (55%), including PDB entry 2QIH with 278 residues (2 chains) in the ASU and PDB entry 2W6B, with a resolution of 2.8 Å (figure 3.27). The success covered almost the entire breadth of the test set. However, AMPLE with ab initio prediction-derived search models performed considerably better, solving an additional 23 targets. In particular, the success of ideal helices in the lower resolution range - data to worse than 2.5Å - was notably lower than with ensembles: only two targets in this set of 15 solved with ideal helices. Considering the effort often devoted to preparing search models for MR, it is surprising to discover that many coiled-coil structures, including those with long chains and limited resolution, could be solved solely with these simple helical models. Despite the successes of the polyalanine helices, the data confirm the added value of the AMPLE pipeline.
Figure 3.28. Ideal polyalanine helices solve around half of coiled-coil targets in AMPLE. Targets are coloured blue (solved) or red (failed) and are plotted by the target chain length and diffraction data resolution.

3.3.5.3 Comparison of the different methods
The following section compares the results of three of the methods attempted: full ensembles, single models and the 8 ideal polyalanine helices (the idealised helices constructed from the sequence of the first model in the ensemble have been omitted).

Figure 3.29. Ab initio model-derived search model ensembles solve more coiled-coil targets than either single structures or ideal helices. a) Overall successes with a single run of ensemble (green), single structure (blue) or ideal polyalanine helix (purple) search models. A single structure, 3H00, solved with ideal helices that did not solve with a single run of ab initio prediction-derived search models.
Figure 3.29 shows that 44 of the models can be considered ‘easy’ as they were solvable with all the different methods. AMPLE ensembles were by far the most successful, solving 64 cases, but were unable to solve all the cases that were solvable, as both ideal helices and single structures were able to solve structures that could not be solved with either of the other methods. Figure 3.30 summarises the results by chain length and resolution.

![Figure 3.30. Detailed breakdown of targets solved with ensembles, single structures and/or polyalanine helices. Red triangles - failures; filled blue circles - solved with each search model (ensemble, single-structure and helices); empty blue circles - solved with one or more of the above; blue circle with a cross - only solved with the single-structure run (4DZK); purple circles - solved with a re-run of the ensemble (1MI7, 2BEZ, 2Q5U, 2ZZO, 3H00, 3H7Z, 3TYY, 3U1A and 3U1C); purple square - manual inspection indicated success (3BAS and 3CVF).]

3.3.6 Exploiting coiled-coils for structure solution of complexes
Coiled-coil proteins are often involved in the formation of protein complexes due to their biological roles in scaffolding, transcription and cell signalling (Burkhard, Stetefeld, and Strelkov 2001). The conspicuous success of AMPLE in solving coiled-coil targets indicated that sufficient phasing
information might be obtainable through MR with AMPLE when applied to coiled-coil components of complexes, that could then permit tracing protein or polynucleotide partners in biological assemblies. Phasing with AMPLE and coiled-coil subunit sequences was attempted for two such complexes (PDB entries 1X79 and 1H8A) identified using the SCOP database (Andreeva et al. 2004).

PDB entry 1X79 contains 322 residues and comprises a dimeric coiled-coil domain in complex with a small, helical GAT domain (Fig. 3.20g). The structure solved with an ensemble search model of the coiled-coil chain, 112 residues long, ultimately tracing and refining at 2.41Å resolution to R=0.25, Rfree=0.29 after ARPWARP. The backbone structure was accurately traced in the final result, although there were errors in the assignment of sequence to the trace (figure 3.20a). 1H8A contains 284 residues - a dimeric coiled-coil domain (2 x 78 residues) from CCAAT/enhancer-binding protein beta and a Myb DNA-binding domain - in complex with a 26-base long DNA duplex (figure 3.20h). The DNA contributes about a third of the scattering matter of the crystal. Nevertheless, search models deriving from predictions of the coiled-coil sequence solved the structure. Taking the AMPLE-produced SHELXE Cα trace as a starting point, a rough initial model for the target could be built using a combination of BUCCANEER for the protein molecules and the CCP4 program NAUTILUS for the DNA. Phases from this initial model were then further improved in SHELXE. With these improved phases a more complete model could be built with BUCCANEER/NAUTILUS achieving R=0.39 and Rfree=0.42 at 2.23Å resolution (figure 3.31b).
Figure 3.31. Electron density maps of 1H8A a) immediately post-AMPLE and b) after phase improvement with SHELXE and further model building with BUCCANEER/NAUTILUS (see text for details). In blue, the (2Fo-Fc)ac map is contoured at 2\(\sigma\); positive difference map (Fo-Fc)ac contoured at 2\(\sigma\) is shown in green. The crystal structure is shown as a ribbon (black with blue used for its symmetry mates) while the structure built at each stage is indicated in magenta. The density in a) supported the correctness of the MR solution, for example revealing density resembling elements of a DNA duplex backbone, later shown (b) to indeed correspond to this missing component.
3.4 Discussion
MR is the dominant route to protein structure solution, and as more structures are determined and the chance of a homologous structure existing in the PDB becomes greater, this position becomes more entrenched. For coiled-coiled proteins however, homology is not a sufficient condition to guarantee success. Unless experimental phasing is attempted, there are two routes available. The first is to search the PDB to find homologous structures, and/or to use experience and intuition to select models that might be suitable and then undertake extensive and laborious work to prepare these structures for MR; standard preparation techniques will often not be suitable as coiled-coils with similar sequences can crystallise in such different forms. The second possibility is to use the approach pioneered by ARCIMBOLDO of using small fragments and expanding up to a full solution. The first comes with extensive human labour time, and the second with extensive CPU time. The work completed here demonstrates that AMPLE can provide a computationally efficient route that bridges these two approaches.

The study of small, idealised polyalanine helices shows that many coiled coils (55% - more than half of the test set) are actually trivially solvable with the powerful combination of PHASER, SHELXE, REFMAC and then either Arp/WARP or BUCCANEER. However, this still leaves a large minority that cannot be solved in this way and require a more sophisticated approach.

The power of AMPLE to select salient features from ab initio models and construct MR search ensembles enables the solution of the majority of those not solvable with ideal helices. The in-depth analyses of the results indicates that this ability derives from three main factors.

The first is the ability to exploit the modelling capabilities of ROSETTA and the structural information contained within its fragment database. Since the 9-residue fragments that contribute to ROSETTA model building are long enough to detect the classical coiled-coil heptad repeat, the resulting models will include helices with distortions from ideality reflecting those naturally
occurring in the PDB. Given the poor overall quality of the modelling it is unreasonable to expect the decoys produced to faithfully reproduce the fold-specific bends and twists of the target. However, by assembling fragments from naturally occurring distorted helices, and favouring structures containing plausible helical packing modes, the ab initio modelling provides sets of structures from which usefully non-ideal helical search models, suitable for solving coiled-coil structures, can be derived. Fig. 2.19a demonstrates the significant α-helical bend seen in one of the successful search models that solved the 2IC9 structure.

However, just selecting plausible fragments is not enough, as the weaker performance of the single-models as compared with the ensembles demonstrates. It is AMPLE's ability to progressively truncate models down to a smaller chunks, and then create ensembles that indicate which parts of the search model are likely to be most accurate, that enables solution of the additional cases not solvable with ideal helices or single-models. Indeed AMPLE is so effective at solving coiled-coil structures, that they can even be used as a route to solving coiled-coil complexes, as shown by the solution of 1H8A and 1X79.

An interesting facet of this work has been the discovery that most of the models were solved with smaller search models, approximately 20-residues in length, that often only consisted of a single helix. PHASER is often able to place these fragments correctly, although the 'standard' LLG and TFZ scores are often not a reliable indicator of success, as can be seen from figure 3.21. However, SHELXE is able to undertake density modification and main-chain tracing to turn these unpromising fragments into largely complete models, with the SHELXE CC being a largely reliable indicator of whether the MR step has succeeded. As smaller search models are often successful, these have now been prioritised within AMPLE, so that they are run earlier, increasing the chances of an early success. The somewhat poor performance of the PHASER LLG and TFZ scores with this version of PHASER (2.5.6) was raised with the developers, and with later versions of PHASER the LLG and TFZ score are now more reliable indicators of success.
Additionally, the importance of using different side chain treatments and sub-clustering radii was reinforced by the fact that a small subset of models only solved with one particular side chain treatment or sub-clustering radii. This has been explored further in the work that was carried out in chapter 6.

In summary, this work demonstrates that AMPLE should be the first tool of choice for a crystallographer working with coiled-coils proteins. The use of ideal helices (which has now been added as an option within AMPLE) should be attempted first, as it may provide a quick route to solution. If this fails, then one or more modelling runs should be attempted with ROSETTA or QUARK, as there is a very good chance that the structure will be solvable without the need of having to resort to much manual computational work, or further experimental work to collect anomalous data.
Chapter 4: Transmembrane Proteins
4 Transmembrane Proteins

4.1 Introduction
Transmembrane proteins are an important class of proteins, that are estimated to comprise about 30% of all proteins (Tusnády, Dosztányi, and Simon 2004). As their name suggests, transmembrane proteins tend to be located primarily within the hydrophobic cell membrane, sandwiched between the aqueous cell interior and exterior. Their primarily hydrophobic nature means that transmembrane proteins are particularly difficult to work with and produce poorly diffracting crystals. As a result, of the more than 80,000 protein structures currently in the PDB, fewer than 1800 are classified as transmembrane proteins by the TMDET algorithm (Tusnády, Dosztányi, and Simon 2004).

Transmembrane proteins come in two main forms: α-helical and β-barrel, with the overwhelming majority being of the α-helical form. Studies have proposed that 27% of all human proteins are α-helical transmembrane proteins (Almén et al. 2009). β-barrel proteins by contrast, are found in the cell walls of gram-positive bacteria, and in mitochondria and chloroplasts (Wimley 2003/8).

The portion of an alpha-helical transmembrane protein that is located within the cell membrane is almost always exclusively alpha-helical and is constrained by the surrounding membrane. The structural constraints that are placed on proteins within the membrane limit the conformational space available to them and can be used to guide protein modelling protocols. The more focussed modelling and smaller conformational space increases the size of protein that is amenable for modelling. Conversely, most current protein modelling protocols rely heavily on either entire known structures (as is the case for homology modelling), or fragments as is the case for the ROSETTA. The relatively small number of transmembrane protein structures mean that these protocols have a
much smaller pool of data to draw on, which is likely to have a negative impact on their effectiveness.

However, the α-helical form of transmembrane proteins, and the paucity of homologous structures within the PDB that would be candidates for homology modelling, mean that *ab initio* modelling could be a valuable option for generating models and indicate that these will be interesting candidates to explore with AMPLE.

### 4.2 Methods

#### 4.2.1 ROSETTA modelling

There are currently two protocols within ROSETTA for modelling transmembrane proteins.

4.2.1.1 *RosettaMembrane Protocol*

The original RosettaMembrane protocol was initially developed by V. Yarov-Yarovoy (Yarov-Yarovoy, Schonbrun, and Baker 2005). The first step in this method is the prediction of the transmembrane regions. This is done using the online Octopus server (Viklund and Elofsson 2008), which uses a combination of Hidden-Markov models and artificial neural networks that have been trained to recognise transmembrane regions to predict which residues are part of the membrane. For each residue within the protein, Octopus will classify it as o (outside), i (inside) or M (membrane).

A lipophilicity prediction is then made using the *run_lips.pl* perl script supplied with ROSETTA that combines the data from the OCTOPUS prediction with a PSIBLAST multiple sequence alignment of the target sequence against the NR database (McEntyre and Ostell 2002). This requires that the user has the large (~100GB) NR database installed locally, together with the BLAST version 2 executables, something that is not part of a standard ROSETTA installation.

As part of this work it was discovered that there is an error in the *run_lips.pl* script, as it attempts to use the server script [http://gila.bioengr.uic.edu/cgi-bin/lips/script.cgi](http://gila.bioengr.uic.edu/cgi-bin/lips/script.cgi) to calculate the lipophilicity.
The server is no longer maintained, but the script can be downloaded and run locally. This issue was raised with the RosettaMembrane developers on the Rosetta Commons forum, but none of the developers have responded. Despite several other people encountering the same error, fortunately it was possible to amend run_lips.pl to use the script locally, but this indicates that RosettaMembrane is no longer actively maintained.

The final preparatory stage is the generation of the fragments for the modelling. In their original paper, Yarov-Yarovoy recommend only using SAM (Sequence Alignment and Modeling System) (Katzman et al. 2008) to predict the secondary structure, as, in their experience, the default JUFO and PSIPRED (Jones 1999) predictors often have problems predicting the secondary structure for transmembrane proteins.

The modelling of the protein is then carried out using the fragments, predicted membrane regions and lipophilicity and a modified ROSETTA modelling protocol. In the modified protocol, the membrane is represented by two parallel planes 60Å apart, which is subdivided into five regions as shown in figure 4.1.
A modified scoring term is used, which contains the following terms:

\[ E_{\text{total}} = E_{\text{env}} + E_{\text{pair}} + E_{\text{clash}} + E_{\text{density}} + E_{\text{strand}} \]

The terms are as follows:

- **\( E_{\text{env}} \)** - environment term. Accounts for the different amino acid propensities for the 5 layers, together with the burial state, which is the number of amino acids with centroids within 10Å.
- **\( E_{\text{pair}} \)** - amino acid pair propensities; the tendencies for particular amino acids to be close together in either polar or hydrophobic regions, based on their separation and position with the hydrophobic or polar regions.
- **\( E_{\text{clash}} \)** - penalty for steric overlaps.
- **\( E_{\text{density}} \)** - accounts for both close-range residue packing and overall protein density for both polar and hydrophobic regions.
- **\( E_{\text{strand}} \)** - term to favour strand pairings.

The membrane modeling protocol is also modified in that the protein is built up helix by helix, starting from the middle of the membrane. After each move, a search is made for the optimal
embedding of the helix within the membrane. This protocol is thought to capture some of the features of how a physical protein folds to its native state.

The second ROSETTA protocol uses the GREMLIN (Ovchinnikov, Kamisetty, and Baker 2014) server to predict evolutionary contacts.

4.2.1.2 ROSETTA Modelling with GREMLIN evolutionary contacts
The ROSETTA team have developed the GREMLIN protocol to predict residue-residue contacts.
GREMLIN uses a pseudo-likelihood method coupled with block-L1 regularisation and quasi-Newton optimisation methods (Kamisetty, Ovchinnikov, and Baker 2013). This avoids the need to implement some of the assumptions about the statistical distribution of the underlying Multiple Sequence Alignment, and is also highly parallelisable, so computationally very tractable.

Work by Ovchinnikov et al (Ovchinnikov et al. 2015) has demonstrated that the contact information from the GERMLIN server alone is sufficient to model Transmembrane Proteins with a slightly modified form of the ROSETTA modelling protocol. In their work, they benchmarked this method on 13 Transmembrane Proteins, ranging in size from 188 to 434 residues and predicted structures with an overall RMSD of less than 4.0 Å (the RMSDs over the structurally aligned regions were all below 2.8 Å). Their method presents an attractive alternative to the original RosettaMembrane protocol, as it only requires a user to generate the contact information using the GREMLIN server, and then run the standard ROSETTA executables. There is no need to perform the lipophilicity prediction, and associated need for BLAST and the NR database to be installed, as there is with RosettaMembrane.

GREMLIN is made available as a server that accepts a fasta sequence as input and then performs a Multiple Sequence Alignment using the HHBLITS (Remmert et al. 2011) database search program (this is the default, there is also an option to use JACKHMMER (Eddy 2011)). The GREMLIN protocol is then run on the Multiple Sequence Alignment to determine the residue-residue covariance, and the server has the option to download a file of restraints for ROSETTA
modelling. By default, the server generates Cβ-Cβ sigmoid restraints with a cutoff for the scaled score of 1.0 for the contact to be included as a restraint. The scaled score is raw score for the contact divided by the average of all the raw scores for the contacts. The ROSETTA sigmoid restraint is of the form:

\[ f(x) = \left( \frac{1}{1 + \exp(-mx(x-x_0))} - 0.5 \right) \]  

(5.1)

where the limits are hard-coded at 0.5, \( x_0 \) is the centre of the sigmoid function and \( m \) its slope.

The only change required to the modelling protocol is a modification of the ROSETTA energy function to reflect the exposure of non-polar residues in the membrane-spanning regions. This requires the Lazaridis-Karplus solvation energy term weight to be set to zero, and to compensate for the short-range repulsion implicit in the solvation model, the Lennard-Jones repulsive and attractive terms being given equal weights. This requires the creation of a ROSETTA weights file with the following flags:

\[
\begin{align*}
\text{fa_atr} &= 0.8 \\
\text{fa_rep} &= 0.8 \\
\text{fa_sol} &= 0.0
\end{align*}
\]

The weights file is then supplied to ROSETTA with the `-score:patch` command-line flag.

The second change is for the normalized GREMLIN score to be multiplied by three to give the contact restraints roughly the same total dynamic range as the ROSETTA energy. This requires the addition of the `-constraints:cst_weight 3` and `-constraints:cst_fa_weight 3` flags.

Both methods will be contrasted and compared in this work.

4.2.2 Test set Selection
A set of 15 transmembrane structures was selected using the following procedure:

- a list of all the α-helical proteins from the PDBTM (Tusnády, Dosztányi, and Simon 2004)
was created.

- an advanced query was run against the PDB to extract all structures from this list containing a single protein entity (i.e. distinct chemical entities, regardless of the number of copies), with a sequence length less than 250 and where the structure was resolved to a resolution of better than 2.5Å.

- the list of structures was then clustered with CD-HIT (Li and Godzik 2006) using a sequence identity threshold of 0.4 and a word length of 2. For each cluster with more than one structure, the shortest, highest resolution structure was selected, where there was a viable MTZ file (a binary file containing the reflection data from a crystallography experiment in CCP4 format).

- PDB entries 2BL2 and 2X2V were removed from the list of structures as the unit cell contained 10 and 13 copies of the chain respectively, so that an individual chain was only 10% or 7% of the scattering mass. Although successes have been seen with as little as 4% of a structure, solving such small cases are the exceptions, and an initial test with 2BL2 and 2X2V showed that the PHASER search for these two exceed the time for the rest of the targets, making it difficult to run the cases in a reasonable amount of time.

- PDB entry 3LBW was removed from the list as it was too short (22 residues) to enable lipophilicity prediction by ROSETTA; a key step in the RosettaMembrane protocol.

- PDB entry 2UUI had been used for initial testing, but was not included in the list after clustering as it was closely related to PDB entry 3PCV. It was however included in the list of structures analysed to add to the data and also to check the results for reproducibility.

The final test set is listed in table 4.1.
Table 4.1. The final list of selected structures with data collated from the PDB and thumbnail images generated by PYMOL.

<table>
<thead>
<tr>
<th>PDB</th>
<th>Title</th>
<th>Chain Length</th>
<th>Chains</th>
<th>Resolution (Å)</th>
<th>Thumbnail</th>
</tr>
</thead>
<tbody>
<tr>
<td>1GU8</td>
<td>Sensory Rhodopsin II</td>
<td>239</td>
<td>1</td>
<td>2.27</td>
<td></td>
</tr>
<tr>
<td>2BHW</td>
<td>Pea light-harvesting complex II</td>
<td>232</td>
<td>3</td>
<td>2.5</td>
<td></td>
</tr>
<tr>
<td>2EVU</td>
<td>Crystal structure of Aquaporin AQPM</td>
<td>246</td>
<td>1</td>
<td>2.3</td>
<td></td>
</tr>
<tr>
<td>2O9G</td>
<td>Crystal structure of AQPZ mutant L170C complexed with mercury.</td>
<td>234</td>
<td>1</td>
<td>1.9</td>
<td></td>
</tr>
<tr>
<td>2UUI</td>
<td>Crystal structure of human leukotriene C4 synthase</td>
<td>156</td>
<td>1</td>
<td>2.0</td>
<td></td>
</tr>
<tr>
<td>2WIE</td>
<td>High-resolution structure of the rotor ring from a proton-dependent ATP synthase</td>
<td>82</td>
<td>5</td>
<td>2.13</td>
<td></td>
</tr>
<tr>
<td>2XOV</td>
<td>Crystal structure of e.coli rhomboid protease glpg, native enzyme</td>
<td>181</td>
<td>1</td>
<td>1.65</td>
<td></td>
</tr>
<tr>
<td>3GD8</td>
<td>Crystal Structure of Human Aquaporin 4 at 1.8 and its Mechanism of Conductance</td>
<td>223</td>
<td>1</td>
<td>1.8</td>
<td></td>
</tr>
<tr>
<td>3HAP</td>
<td>Crystal structure of bacteriorhodopsin mutant L111A crystallized from bicelles</td>
<td>249</td>
<td>1</td>
<td>1.6</td>
<td></td>
</tr>
<tr>
<td>3LDC</td>
<td>High resolution open MthK pore structure crystallized in 100 mM K+</td>
<td>82</td>
<td>1</td>
<td>1.45</td>
<td></td>
</tr>
<tr>
<td>PDB Code</td>
<td>Description</td>
<td>Chain</td>
<td>Resolution</td>
<td>RMSD</td>
<td></td>
</tr>
<tr>
<td>---------</td>
<td>-------------------------------------------------------------------------------------------------------</td>
<td>-------</td>
<td>------------</td>
<td>------</td>
<td></td>
</tr>
<tr>
<td>3OUF</td>
<td>Structure of a K+ selective NaK mutant</td>
<td></td>
<td>1.55</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3PCV</td>
<td>Crystal structure analysis of human leukotriene C4 synthase.</td>
<td></td>
<td>1.9</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3RLB</td>
<td>Crystal structure at 2.0 Å of the S-component for thiamin from an ECF-type ABC transporter</td>
<td></td>
<td>2.0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3U2F</td>
<td>ATP synthase c10 ring in proton-unlocked conformation at pH 8.3</td>
<td></td>
<td>2.0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4DVE</td>
<td>Crystal structure at 2.1 Å of the S-component for biotin from an ECF-type ABC transporter</td>
<td></td>
<td>2.09</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
4.2.3 Model Generation

4.2.3.1 Fragment Generation
The recommended way to generate fragments for the RosettaMembrane protocol is to use SAM (Katzman et al. 2008) only for the secondary structure prediction. In the initial work on transmembrane proteins that was undertaken for the MRes project, SAM was compiled from source and only used this to predict the original fragments. However, SAM is no longer supported by the authors for stand-alone compilation and to get a working version required alteration of the source code, which entailed considerable effort. Additionally, the online ROBETTA server has no option to generate fragments specifically for transmembrane proteins, and as this is the recommended way for AMPLE users to generate fragments, there is no obvious route for AMPLE users to generate transmembrane-specific fragments. Therefore any methods developed using transmembrane-specific fragments would not be available to AMPLE users and so of limited utility.

The decision was therefore taken to use standard fragments with the RosettaMembrane modelling protocol. This has the added advantage that as the ROSETTA GREMLIN protocol uses standard fragments, the same fragments can be used in both cases, making comparison of the two methods easier. In both cases, the fragments were generated with close homologs excluded from the fragment picking.

4.2.3.2 Modelling
All of the computation for this was undertaken on the cluster badb.rc-harwell.ac.uk based at the Research Complex at Harwell at Rutherford Appleton Laboratory. The machine is made up of 16 Xeon E5-2640v3 processors, each with 8 cores, giving a total of 128 cores. The machine consists of 4 separate boxes each with 2 processors. Each box has 64Gb of RAM, giving a total of 4GB per core. The interconnect is a 1 Gb ethernet switch. The operating system is CentOS Linux 6.5, with the Rocks cluster management software and Sun Grid Engine queueing system. The test cases were all run with the software versions detailed in table 4.2.
Table 4.2. Software versions used in run.

<table>
<thead>
<tr>
<th>Software</th>
<th>Version</th>
</tr>
</thead>
<tbody>
<tr>
<td>ROSETTA</td>
<td>2015.22.57859</td>
</tr>
<tr>
<td>CCP4 suite</td>
<td>6.5.13</td>
</tr>
<tr>
<td>PHASER</td>
<td>2.5.7</td>
</tr>
<tr>
<td>REFMAC</td>
<td>5.8.0124</td>
</tr>
<tr>
<td>SHELXE</td>
<td>2014/4</td>
</tr>
</tbody>
</table>

4.3 Results

4.3.1 Ideal helices and RosettaMembrane
As with the coiled-coil work, an initial attempt was made to solve the test cases with ideal helices to provide a baseline of cases which can be trivially solved with ideal helices, and which require additional structural information from the modelling. The test cases were then run using the RosettaMembrane protocol.

Previous work as part of my MRes had investigated this set of transmembrane proteins with the RosettaMembrane protocol, but with earlier versions of the various software and databases. The software versions detailed in table 4.3 were used.

Table 4.3. Software versions used in the earlier MRes run.

<table>
<thead>
<tr>
<th>Software</th>
<th>Version</th>
</tr>
</thead>
<tbody>
<tr>
<td>ROSETTA</td>
<td>3.5</td>
</tr>
<tr>
<td>CCP4 suite</td>
<td>6.3</td>
</tr>
<tr>
<td>PHASER</td>
<td>2.5.4</td>
</tr>
<tr>
<td>REFMAC</td>
<td>5.7.0032</td>
</tr>
<tr>
<td>SHELXE</td>
<td>2013/2</td>
</tr>
</tbody>
</table>

When that work was undertaken, the criterion for success was solely whether the SHELXE CC score was $\geq 25$ and the SHELXE average chain length $\geq 10$. In all subsequent work, these criteria have been tightened to include a successful rebuild of the SHELXE trace with either
ARP/WRAP or BUCCANEER to an RFREE of <= 0.5. As it is relevant to this work, the results from the MRes run have been included below.

Table 4.4 below shows the number of successful search ensembles when attempting to solve the test cases with ideal helices and RosettaMembrane. The table includes both a run with the latest version of the software, and the earlier versions used in the MRes. As the earlier work only included the SHELXE criteria, the table shows the success both for SHELXE as well as the augmented rebuild criteria.
Table 4.4. The number of successful/unsuccessful search models (columns 5-9) achieved in the three runs.

<table>
<thead>
<tr>
<th>PDB</th>
<th>Resolution (Å)</th>
<th>Residues in ASU</th>
<th>Chain Length</th>
<th>Rosetta Membrane</th>
<th>Rosetta MembraneSHELXE</th>
<th>Ideal HelicesSHELXE</th>
<th>Ideal Helices</th>
<th>MRes Rosetta Membrane SHELXE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1GU8</td>
<td>2.27</td>
<td>436</td>
<td>239</td>
<td>0/66</td>
<td>3/66</td>
<td>0/8</td>
<td>0/8</td>
<td>5/150</td>
</tr>
<tr>
<td>2BHW</td>
<td>2.5</td>
<td>669</td>
<td>232</td>
<td>0/33</td>
<td>0/33</td>
<td>0/8</td>
<td>0/8</td>
<td>0/36</td>
</tr>
<tr>
<td>2EVU</td>
<td>2.3</td>
<td>245</td>
<td>246</td>
<td>0/30</td>
<td>/300</td>
<td>0/8</td>
<td>0/8</td>
<td>0/54</td>
</tr>
<tr>
<td>2O9G</td>
<td>1.9</td>
<td>234</td>
<td>234</td>
<td>0/21</td>
<td>0/21</td>
<td>2/8</td>
<td>2/8</td>
<td>0/66</td>
</tr>
<tr>
<td>2UUI</td>
<td>2</td>
<td>167</td>
<td>156</td>
<td>29/93</td>
<td>29/93</td>
<td>6/8</td>
<td>6/8</td>
<td>31/246</td>
</tr>
<tr>
<td>2WIE</td>
<td>2.13</td>
<td>406</td>
<td>82</td>
<td>0/180</td>
<td>0/180</td>
<td>0/8</td>
<td>0/8</td>
<td>0/204</td>
</tr>
<tr>
<td>2XOV</td>
<td>1.65</td>
<td>182</td>
<td>181</td>
<td>0/105</td>
<td>0/105</td>
<td>3/8</td>
<td>3/8</td>
<td>1/210</td>
</tr>
<tr>
<td>3GD8</td>
<td>1.8</td>
<td>225</td>
<td>223</td>
<td>4/45</td>
<td>4/45</td>
<td>0/8</td>
<td>0/8</td>
<td>1/114</td>
</tr>
<tr>
<td>3HAP</td>
<td>1.6</td>
<td>261</td>
<td>249</td>
<td>0/99</td>
<td>0/99</td>
<td>3/8</td>
<td>3/8</td>
<td>0/138</td>
</tr>
<tr>
<td>3LDC</td>
<td>1.45</td>
<td>82</td>
<td>82</td>
<td>30/180</td>
<td>30/180</td>
<td>1/8</td>
<td>1/8</td>
<td>21/354</td>
</tr>
<tr>
<td>3OUF</td>
<td>1.55</td>
<td>189</td>
<td>97</td>
<td>22/171</td>
<td>22/171</td>
<td>1/8</td>
<td>1/8</td>
<td>38/384</td>
</tr>
<tr>
<td>3PCV</td>
<td>1.9</td>
<td>160</td>
<td>156</td>
<td>6/105</td>
<td>6/105</td>
<td>5/8</td>
<td>5/8</td>
<td>8/276</td>
</tr>
<tr>
<td>3RLB</td>
<td>2</td>
<td>354</td>
<td>192</td>
<td>0/72</td>
<td>0/72</td>
<td>0/8</td>
<td>0/8</td>
<td>0/252</td>
</tr>
<tr>
<td>3U2F</td>
<td>2</td>
<td>391</td>
<td>76</td>
<td>0/171</td>
<td>0/171</td>
<td>0/8</td>
<td>0/8</td>
<td>0/228</td>
</tr>
<tr>
<td>4DVE</td>
<td>2.09</td>
<td>567</td>
<td>198</td>
<td>0/81</td>
<td>0/81</td>
<td>0/8</td>
<td>0/8</td>
<td>0/174</td>
</tr>
</tbody>
</table>

Cases with successful ensembles are coloured green. Column headers with SHELXE appended show the results solely for the SHELXE criteria without the additional rebuild criteria. The MRes results have higher number of search models due to an error in the earlier version of AMPLE that led to duplicate search models being created.

Table 4.4 shows that ideal helices are the most successful method, solving seven of the 15 test cases. 2O9G and 3HAP solve only with ideal helices. 2XOV didn't solve with the standard RosettaMembrane with the most current run, although it did solve (under the SHELXE criteria) with the earlier run. 3GD8 is not solvable with ideal helices, although it did solve with both the current and previous versions of the RosettaMembrane protocol. 2UUI, 3LDC, 3OUF and 3PCV all solve with both RosettaMembrane and ideal helices, so seem to be relatively easy to solve. All of the test cases that solved with ideal helices were at 2Å resolution or better, and tended to be the smaller cases, the largest solved being 3HAP with 261 residues in the asymmetric unit.
3GD8 was the only structure that was not solvable with ideal helices but was solvable with the RosettaMembrane protocol. The highest scoring successful search model from the latest RosettaMembrane run is shown below in figure 4.2.

**Figure 4.2.** PYMOL cartoon rendering of 3GD8 (green) with the top-rated MR model c1_t11_r3_polyAla (blue) overlaid on it. This was a helical fragment of 25 residues and solved with a RIO score of 16.

Figure 4.2 shows that the successful search model is a single, largely straight helix of length 25 residues with polyalanine side chains. That this was able to solve 3GD8, whereas the ideal helix of 25 residues was not, shows that even the very minor deviations from ideal helicity that the ROSETTA modelling confers can be the determinant of success or failure.

Comparison of the two RosettaMembrane runs show that the significant differences were that 2XOV solved with a single search model in the previous run, but failed to solve in the latest one, and that 3GD8 solved with four search models in the current run but only one in the previous run. These differences are more likely to be due to the inherent variability within different AMPLE runs.
(due to the stochastic nature of the \textit{ab initio} modelling) rather than the differences in the ROSETTA software or database of structures and fragments that were used. This effect was clearly apparent in the work on coiled-coil protein study, where nine additional targets out of the 94 solved when subjected to two additional runs.

Manual inspection of the top solution for 1GU8 for the earlier run with RosettaMembrane revealed reasonably high PHASER LLG and TFZ scores of 77.0 and 5.1 respectively, and a single copy of the MR model placed so as to overlap reasonably well with three of the helices of the native structure, as shown in figure 4.3.

Unfortunately, the resolution of 1GU8 is 2.27Å, the third lowest of the test set, and above the 2.1Å limit where SHELXE is expected to work with small fragments. It is possible that 1GU8 could be rebuilt with manual work, but this has not been attempted as the goal of this work is to develop automatic protocols within AMPLE.
4.3.2 PCONSC2 Contacts

In order to see if an improvement could be made on the initial results, a run was attempted with the standard ROSETTA modelling protocol, but using contact information derived from PCONSC2. PCONSC2 is a contact metapredictor that combines contact prediction from PSICOV and PLMDCA (Skwark et al. 2014). The contacts generated by PCONSC2 were then implemented as Cβ constraints within ROSETTA using the FADE energy function, and the standard ROSETTA modelling protocol was used. The FADE function is a smoothed square well energy function that in this case lowers the energy by -15 ROSETTA Energy Units for residue pairs where the Cβ atoms are closer than 9Å, but has no effect on residues further apart than this. This has the effect of rewarding contacts that are satisfied, whilst not permitting false contacts for residues that are far apart from distorting the structure. The results are displayed in table 4.5.
Table 4.5. Number of successful/unsuccessful search models for the RosettaMembrane and PCONSC2 runs.

<table>
<thead>
<tr>
<th>PDB</th>
<th>Neff</th>
<th>Number of Contacts used</th>
<th>Native PPV**</th>
<th>Rosetta Membrane</th>
<th>PCONSC2</th>
</tr>
</thead>
<tbody>
<tr>
<td>1GU8</td>
<td>184</td>
<td>244</td>
<td>0.312</td>
<td>0/66</td>
<td>0/18</td>
</tr>
<tr>
<td>2BHW</td>
<td>229</td>
<td>232</td>
<td>0.186</td>
<td>0/33</td>
<td>0/6</td>
</tr>
<tr>
<td>2EVU</td>
<td>820</td>
<td>246</td>
<td>0.694</td>
<td>0/30</td>
<td>0/12</td>
</tr>
<tr>
<td>2O9G</td>
<td>836</td>
<td>240</td>
<td>0.687</td>
<td>0/21</td>
<td>0/15</td>
</tr>
<tr>
<td>2UUI</td>
<td>434</td>
<td>156</td>
<td>0.419</td>
<td>29/93</td>
<td>0/33</td>
</tr>
<tr>
<td>2WIE</td>
<td>288</td>
<td>82</td>
<td>0.432</td>
<td>0/180</td>
<td>0/180</td>
</tr>
<tr>
<td>2XOV</td>
<td>1142</td>
<td>181</td>
<td>0.533</td>
<td>0/105</td>
<td>0/42</td>
</tr>
<tr>
<td>3GD8</td>
<td>851</td>
<td>223</td>
<td>0.707</td>
<td>4/45</td>
<td>0/21</td>
</tr>
<tr>
<td>3HAP</td>
<td>183</td>
<td>249</td>
<td>0.274</td>
<td>0/99</td>
<td>0/60</td>
</tr>
<tr>
<td>3LDC</td>
<td>942</td>
<td>82</td>
<td>0.481</td>
<td>30/180</td>
<td>4/141</td>
</tr>
<tr>
<td>3OUF</td>
<td>1065</td>
<td>97</td>
<td>0.323</td>
<td>22/171</td>
<td>15/147</td>
</tr>
<tr>
<td>3PCV</td>
<td>444</td>
<td>156</td>
<td>0.444</td>
<td>6/105</td>
<td>0/36</td>
</tr>
<tr>
<td>3RLB</td>
<td>374</td>
<td>192</td>
<td>0.529</td>
<td>0/72</td>
<td>0/36</td>
</tr>
<tr>
<td>3U2F</td>
<td>254</td>
<td>76</td>
<td>0.417</td>
<td>0/171</td>
<td>0/171</td>
</tr>
<tr>
<td>4DVE</td>
<td>682</td>
<td>198</td>
<td>0.660</td>
<td>0/81</td>
<td>0/102</td>
</tr>
</tbody>
</table>

Cells with successful models are coloured green.

* Neff: Number of Effective Sequences for PCONSC2 run
** PPV: Positive Predictive Value of the native structure.

Table 4.5 shows that the addition of the contacts has significantly reduced the ability of the models to solve the structures. This raises a number of questions. The first is whether the contact information is correct and whether it can provide useful information to ROSETTA.

Column two in table 4.5 shows the Number of Effective Sequences (Neff) for the different targets. Neff is generated by generating an Multiple Sequence Alignment (MSA) with HHblits v.2.0.15 against the UniProt20 database v.2013.03 ("UniProt" 2016) (Jones et al. 2015). The MSA is then clustered with CD-HIT v.4.6.3 at 63% sequence identity and the reciprocal of the number of sequences in each cluster is summed. Neff is calculated as:
where $N$ is the number of clusters and $n_i$ is the number of sequences in cluster $i$. An $N_{eff}$ of $> 100$ is considered to be the minimum for accurate contact predictions. Column 2 of table 4.5 shows that the Neff values vary significantly, but that all targets have a sufficient number of effective sequences for some predictive power, so that the contact information should be improving the quality of the models.

Column three in table 4.5 shows the Positive Predictive Value of the predicted contacts against the native structure. The PPV is calculated as:

$$PPV = \frac{N_{true}}{N_{true} + N_{false}}$$

Where $N_{true}$ is the number of true predicted contacts and $N_{false}$ is the number of false predicted contacts. The PPV is on a scale of zero to one and shows how well the contact information correctly predicts the intermolar contacts, with a value of one indicating all contacts are correct.

The PPV values in table 4.5 show that the contact information is in general quite poor, with the majority of the predicted contacts being wrong for eight out of the fifteen targets. Despite this one would expect slightly better results with the contacts included, particularly for cases such as 3GD8 and 2EVU, where there are a significant majority of correctly predicted contacts.

This second question that the poor result of the contact-guided modelling raises is whether the contact information was correctly incorporated by ROSETTA. Figure 4.4 below shows the PPV of the centroid of the top SPICKER cluster for the RosettaMembrane Models and the Contact models. This shows that the PPV for the PCONSC2 models is significantly higher than for the RosettaMembrane models and demonstrates that the contact information has been successfully incorporated into the ROSETTA modelling.
Figure 4.4. Positive Predictive Value (PPV) scores for the PCONSC2 and RosettaMembrane models.

Figure 4.5. Median Template Modelling (TM) scores for the PCONSC2 and RosettaMembrane models.

Figure 4.5 shows the median TM score of top SPICKER cluster for the PCONSC2 and RosettaMembrane models. This shows that the addition of the contact information has in almost all cases altered the models, but there does not appear to be a trend in the results, with some models becoming better and others worse.
4.3.3 GREMLIN contacts

As the contact information from PCONSC2 did not improve the results, it was decided to attempt the solution of the targets using GREMLIN contacts and the ROSETTA modelling procedure with the modified Lazaridis-Karplus solvation energy and Lennard-Jones repulsive and attractive terms described by Ovchinnikov et al. Table 4.6 shows the results, together with the previous attempts for comparison.

Table 4.6. Number of successful/unsuccessful search models for GREMLIN using the 0.0 and 1.0 cutoffs, RosettaMembrane and Ideal Helix runs.

<table>
<thead>
<tr>
<th>PDB</th>
<th>Resolution (Å)</th>
<th>Residues in ASU</th>
<th>Chain Length</th>
<th>Solvent (%)</th>
<th>GREMLIN 1.0</th>
<th>GREMLIN 0.0</th>
<th>Rosetta Membrane</th>
<th>Ideal Helices</th>
</tr>
</thead>
<tbody>
<tr>
<td>1GU8</td>
<td>2.27</td>
<td>436</td>
<td>239</td>
<td>53</td>
<td>4/78</td>
<td>20/135</td>
<td>0/66</td>
<td>0/8</td>
</tr>
<tr>
<td>2BHW</td>
<td>2.5</td>
<td>669</td>
<td>232</td>
<td>69</td>
<td>0/18</td>
<td>0/27</td>
<td>0/33</td>
<td>0/8</td>
</tr>
<tr>
<td>2EUV</td>
<td>2.3</td>
<td>245</td>
<td>246</td>
<td>63.57</td>
<td>0/24</td>
<td>0/54</td>
<td>0/30</td>
<td>0/8</td>
</tr>
<tr>
<td>2O9G</td>
<td>1.9</td>
<td>234</td>
<td>234</td>
<td>63.19</td>
<td>0/9</td>
<td>0/54</td>
<td>0/21</td>
<td>2/8</td>
</tr>
<tr>
<td>2UUI</td>
<td>2</td>
<td>167</td>
<td>156</td>
<td>72.83</td>
<td>11/105</td>
<td>80/147</td>
<td>29/93</td>
<td>6/8</td>
</tr>
<tr>
<td>2WIE</td>
<td>2.13</td>
<td>406</td>
<td>82</td>
<td>68</td>
<td>0/180</td>
<td>0/180</td>
<td>0/180</td>
<td>0/8</td>
</tr>
<tr>
<td>2XOV</td>
<td>1.65</td>
<td>182</td>
<td>181</td>
<td>64.92</td>
<td>0/54</td>
<td>8/207</td>
<td>0/105</td>
<td>3/8</td>
</tr>
<tr>
<td>3GD8</td>
<td>1.8</td>
<td>225</td>
<td>223</td>
<td>54.97</td>
<td>0/36</td>
<td>0/81</td>
<td>4/45</td>
<td>0/8</td>
</tr>
<tr>
<td>3HAP</td>
<td>1.6</td>
<td>261</td>
<td>249</td>
<td>54.99</td>
<td>0/96</td>
<td>23/132</td>
<td>0/99</td>
<td>3/8</td>
</tr>
<tr>
<td>3LDC</td>
<td>1.45</td>
<td>82</td>
<td>82</td>
<td>50.44</td>
<td>0/180</td>
<td>0/180</td>
<td>30/180</td>
<td>1/8</td>
</tr>
<tr>
<td>3OUF</td>
<td>1.55</td>
<td>189</td>
<td>97</td>
<td>48.76</td>
<td>17/171</td>
<td>0/171</td>
<td>22/171</td>
<td>1/8</td>
</tr>
<tr>
<td>3PCV</td>
<td>1.9</td>
<td>160</td>
<td>156</td>
<td>74.77</td>
<td>14/99</td>
<td>60/162</td>
<td>6/105</td>
<td>5/8</td>
</tr>
<tr>
<td>3RLB</td>
<td>2</td>
<td>354</td>
<td>192</td>
<td>68.39</td>
<td>0/27</td>
<td>0/90</td>
<td>0/72</td>
<td>0/8</td>
</tr>
<tr>
<td>3U2F</td>
<td>2</td>
<td>391</td>
<td>76</td>
<td>46.92</td>
<td>0/171</td>
<td>0/171</td>
<td>0/171</td>
<td>0/8</td>
</tr>
<tr>
<td>4DVE</td>
<td>2.09</td>
<td>567</td>
<td>198</td>
<td>62.4</td>
<td>0/99</td>
<td>0/96</td>
<td>0/81</td>
<td>0/8</td>
</tr>
</tbody>
</table>

Cells with successful models are coloured green. Successes are defined as a SHELXE CC score of \(\geq 25\), a SHELXE average chain length of \(\geq 10\), and an RFREE for the rebuilding of the SHELXE trace with either ARPWARP or BUCCANEER being \(\leq 0.5\).

After an initial run with the test set, it was discovered that the GREMLIN authors had altered the default settings on the server from the cutoff of zero for contact inclusion used in their paper, to 1.0. On discovering this, a second run was attempted using a cutoff of zero as per the original paper. Unfortunately by this time the version of SHELXE had expired, so that rather than using the SHELXE version 2014/4 that had been used in the previous run, SHELXE version 2016/1 was used. However subsequent testing has shown that there are no significant differences between the two versions.
The results in table 5.6 show that GREMLIN with a cutoff of 0.0 performs better than with a cutoff of 1.0, although again the results are mixed, with 3OUF only solving with the cutoff of 1.0, but 2XOV and 3HAP only solving with a cutoff of 0.0. Both GREMLIN runs are able to solve 1GU8, which was not solvable with any of the previous runs.

Table 4.7 below lists the median TM score of the models in the top SPICKER cluster for the GREMLIN models with a 0.0 cutoff and the original RosettaMembrane models, together with the number of effective sequences for the different targets.
Table 4.7. The median TM score of the models in the top SPICKER cluster for the GREMLIN models with a 0.0 cutoff and the original RosettaMembrane models, together with the number of effective sequences (Neff) and number of GREMLIN contacts used for the different targets.

<table>
<thead>
<tr>
<th>PDB</th>
<th>RosettaMembrane TM</th>
<th>GREMLIN TM</th>
<th>Number of GREMLIN contacts</th>
<th>Neff</th>
</tr>
</thead>
<tbody>
<tr>
<td>1GU8</td>
<td>0.299</td>
<td>0.747</td>
<td>103</td>
<td>184</td>
</tr>
<tr>
<td>2BHW</td>
<td>0.159</td>
<td>0.228</td>
<td>114</td>
<td>229</td>
</tr>
<tr>
<td>2EVU</td>
<td>0.404</td>
<td>0.364</td>
<td>115</td>
<td>820</td>
</tr>
<tr>
<td>2O9G</td>
<td>0.272</td>
<td>0.337</td>
<td>115</td>
<td>836</td>
</tr>
<tr>
<td>2UUI</td>
<td>0.301</td>
<td>0.602</td>
<td>62</td>
<td>434</td>
</tr>
<tr>
<td>2WIE</td>
<td>0.629</td>
<td>0.671</td>
<td>40</td>
<td>288</td>
</tr>
<tr>
<td>2XOV</td>
<td>0.325</td>
<td>0.520</td>
<td>86</td>
<td>1142</td>
</tr>
<tr>
<td>3GD8</td>
<td>0.377</td>
<td>0.355</td>
<td>115</td>
<td>851</td>
</tr>
<tr>
<td>3HAP</td>
<td>0.329</td>
<td>0.701</td>
<td>100</td>
<td>183</td>
</tr>
<tr>
<td>3LDC</td>
<td>0.432</td>
<td>0.388</td>
<td>37</td>
<td>942</td>
</tr>
<tr>
<td>3OUF</td>
<td>0.407</td>
<td>0.585</td>
<td>46</td>
<td>1065</td>
</tr>
<tr>
<td>3PCV</td>
<td>0.244</td>
<td>0.684</td>
<td>67</td>
<td>444</td>
</tr>
<tr>
<td>3RLB</td>
<td>0.299</td>
<td>0.366</td>
<td>88</td>
<td>374</td>
</tr>
<tr>
<td>3U2F</td>
<td>0.576</td>
<td>0.585</td>
<td>39</td>
<td>254</td>
</tr>
<tr>
<td>4DVE</td>
<td>0.613</td>
<td>0.459</td>
<td>84</td>
<td>682</td>
</tr>
</tbody>
</table>

Figure 4.6 below shows a comparison of the median TM score of the models in the top SPICKER cluster for the GREMLIN models with a 0.0 cutoff and the original RosettaMembrane models.
Figure 4.6. Median TM scores for the top SPICKER cluster for the GREMLIN models with a cutoff of 0.0 and the RosettaMembrane models.

Figure 4.6 and table 4.7 show that in almost all cases the GREMLIN models are more accurate with respect to the native structure. The only exceptions are for 2EVU, 3GD8, and 3LDC, where there is a small decrease in the TM score, and 4DVE where the TM score drops from 0.613 to 0.459. Interestingly, this does not correlate with the number of effective sequences. It might be expected that the cases for which the GREMLIN contact-assisted modelling was better would be those that had a lower number of effective sequences, and where the contact information was therefore presumably of lower quality.
4.4 Discussion
This work has involved the application of AMPLE to Transmembrane Proteins; a difficult class of proteins for crystallographers. Figure 4.7 below summarises the results as a Venn diagram.

A primary point of interest arising from this work has been the discovery that many transmembrane proteins are solvable with ideal helices. Ideal helices solved seven of the 15 test cases, although the successes were limited to 2Å resolution or better, and with fewer than 262 residues in the unit cell. This is encouraging because ideal helices require no modelling (a significant portion of the time of an AMPLE run), and also because, with the current ideal helix configuration in AMPLE, only
eight MR jobs are attempted, as opposed to the maximum of 180 that was generated by AMPLE from the ROSETTA models. As such, solution with AMPLE on a standard desktop should only take a few hours. This suggests that attempting solution with ideal helices should be the initial choice for an AMPLE user.

The only additional target that the RosettaMembrane protocol was able to solve beyond what was solvable with ideal helices, was 3GD8. That only one additional target could be solved appears to be at least in part a consequence of the generally poor quality of the models, as shown by the low TM scores depicted in figure 4.2. However, considering that the RosettaMembrane protocol is lengthy, involves the installation of the NR database and is unsupported, the overall poor performance is not such a negative. Unfortunately 1GU8 was not solvable with any other method, indicating that the RosettaMembrane protocol may be of use in particularly challenging cases.

The run with models using the PCONSC2 contacts performed particularly poorly, only solving 3LDC and 3OUF, both of which solved with ideal helices. Again, the poor performance seems to be a consequence of poor models, which could in turn be related to the poor quality of the contact information, as demonstrated by the generally low PPV values against the native structures as shown in column 3 in table 4.5. Additionally, the PCONSC2 models were generated with the standard ROSETTA modelling protocol. The developers of the GREMLIN modelling protocol modified the electrostatic terms for the ROSETTA modelling for their contact-assisted modelling of transmembrane proteins, so it is possible that the PCONSC2 might perform better with this altered modelling protocol. This is something that will be explored in future work.

The GREMLIN transmembrane modelling protocol solves the same number of targets as the RosettaMembrane protocol, although the identity of the individual targets solved is different, so it was able to solve 1GU8, which was not solved with any other protocol. The GREMLIN protocol has the advantage that the online server for generating the contacts is relatively quick and easy to use, and the modelling then just uses the standard ROSETTA binaries with some modified flags that
have been implemented within AMPLE. This means that it is relatively easy for AMPLE users to adopt this approach. The success of this approach seems to be sensitive to the handling of the contacts, as there is a marked difference in the performance when the cut-off is changed from 1.0 to 0.0. In general the results are much better with 0.0, although 3OUF only solved with the cutoff of 1.0. This suggests that better parameterisation of GREMLIN could lead to better results.

On the basis of this work, a crystallographer with a transmembrane protein would be recommended to attempt solution of the protein first with ideal helices. AMPLE using ideal helices is far quicker than its other modes of operation, as no modelling is undertaken and only eight MR jobs are generated. As such, most runs complete in a few hours.

If solution with ideal helices is not successful, then solution with GREMLIN contacts would be the next logical step. Contacts can be calculated by the GREMLIN server with results being returned in the order of a day, and then AMPLE can be run either on the user's workstation or via the AMPLE webserver, with an expected runtime of the order of 1-2 days. In particularly challenging cases where ideal helices and GREMLIN contacts fail, an attempt could be made to solve the structure using the RosettaTransmembrane protocol.

Future work will explore different ways of using and combining contact information from different sources and also using a modified AMPLE protocol that will be explored in chapter 6. The modified protocol uses a modified version of SPICKER that clusters models based on TM scores, rather than RMSD scores. AMPLE then sparsely samples all 10 clusters, as opposed to a deeper sampling of the top cluster. The work in chapter 6 shows that this approach is able to considerably improve the performance of AMPLE, particularly with difficult-to-solve cases.
Chapter 5: Distant Homologs
5 Distant Homologs

5.1 Introduction

5.1.1 Homologs as MR models
Experience with standard MR has shown that it is expected to be unlikely to succeed when the sequence identity is between 20% and 30% and impossible when it is lower than 20% (Abergel 2013). However, tools such as HHPRED (Söding, Biegert, and Lupas 2005) are constantly improving and able to discover ever more distant homologs, which although sequentially and structurally diverse should still contain useful structural information that could aid MR. In addition, PHASER's maximum likelihood algorithms are also constantly being improved and are better able to use the structural information from an ensemble composed of superimposed structures to weight different parts of a search model. An individual homolog might not contain sufficient high-quality structural information to derive valid phases from, but it is conceivable that by combining the information from a number of remote homologs, sufficient information could be gathered to enable successful MR.

As mentioned in the introduction, the use of structurally superimposed homologs is a standard technique in MR, and is part of the standard pipeline for MrBUMP. However, by their very nature distant homologs are very diverse, and this diversity could degrade and mask the structural information. Structurally superimposing a set of distant homologs, and subjecting them to AMPLE's truncation strategy to prune back to a structurally conserved core could potentially improve the signal-to-noise ratio from a geometrically conserved core by pruning away the more diverse regions.
The strategy in this work is to select a sequence-diverse set of homologs, ascertain whether conventional MR can solve them, and then establish whether AMPLER ensembles formed from a subset of those models enables solutions that would not otherwise be possible.

5.1.2 Structural Superposition
The first task in creating the ensembles from a diverse set of homologs is to structurally superimpose the homologs so that THESEUS (Theobald and Wuttke 2006) can determine the variance between the residues required for the truncation procedure.

THESEUS uses a maximum likelihood approach to superposing structures which is able to downweight variable regions of the structures and correct for correlations among atoms. This is generally superior to a least squares superposition. Although THESEUS can superimpose diverse homologs, it bases its superposition on an initial sequence alignment. The sequence alignment determines which atoms are CORE (i.e. shared amongst all structures) and these are then used to guide the structural superposition (Douglas Theobald, personal communication). As the structural alignment is restricted to the core atoms found from the sequence alignment, it can never be as effective as a pure structural alignment. This is because sequence is only a proxy for structure, and the best structural alignment will be one that only considers structure.

MUSTANG (Konagurthu et al. 2006) and GESAMT (Evgeny Krissinbel 2012) are two programs that undertake a purely structural alignment based entirely on the position of the Cα atoms. Both programs adopt the approach of sequentially determining small fragments that superimpose and then extending these fragments to build up a larger superposition. However, they differ in their scoring metrics and approaches to selecting, collating and extending the fragments. As the algorithms are somewhat different, both programs were used and their relative performance assessed.
5.1.3 Histidine Phosphatase Superfamily
The histidine phosphatase superfamily is a large and functionally diverse set of proteins. Although functionally diverse, they all share a conserved catalytic core centred on histidine, which is usually involved in a phosphorylation reaction. Although a single superfamily, the proteins can be separated into two branches. Branch 1 is primarily composed of bacterial, usually intracellular proteins and Branch 2, predominantly of eukaryotic extracellular proteins. The two branches are so diverse that sensitive sequence and structural analyses are required to show the shared heritage. Even within the branches there is considerable sequence, structural and functional diversity (Rigden 2008).

The diversity in sequence and structure makes this family an interesting candidate for investigating the effectiveness of distant homologs in MR. Although sharing a functional core and related structural features that suggest that diverse members of the family could be suitable as MR search models for each other, the diversity in sequence and structure means that conventional routes to preparing MR models from related family members will not usually succeed. In this work, an investigation of the potential for using AMPLE’s cluster and truncate approach to prepare search ensembles from homologous models was undertaken.

5.2 Methods

5.2.1 AMPLE Homologs Pipeline
A number of changes were required to AMPLE to enable the use of homologous proteins as models. AMPLE was initially written to work with ab initio decoys, all of which are of the same length and have identical sequences and residue numbering. This made it very easy to handle the models, as the only changes between the different PDB files was the coordinates. This meant that the truncation stage could use the residue sequence number field of the pdb to identify a particular residue across all models, since this number would always be the same. Considering a collection of diverse homologs, the residue sequence number would no longer be the same between files.
The pipeline was therefore altered to use the position index of a residue, something which required a number of changes to the internal architecture.

The following steps are carried out by the homolog module:

1. Take selection of homologs and standardise them by selecting the most probable conformation and removing any solvent/HETATMS and ANISOU records.
2. Perform a structural alignment with either MUSTANG or GESAMT and generate a FASTA format alignment file describing the alignment.
3. Align the homologs with THESEUS using the alignment file as a guide to which are the CORE atoms, and record the variances at each residue position.
4. Remove all non-core atoms to generate a set of models that are all the same length.
5. Subject the core models to the standard AMPLE truncation procedure using the residue positions instead of the residue sequence number.

5.2.1.1 Test Case Selection

Seven examples from branch one of the histidine phosphatase family were selected to serve as test cases. In each case chain A was selected. The seven structures are listed in table 5.1, and the sequence identity matrix of all the structures is shown in table 5.2.

Table 5.1. Seven histidine phosphatases structures used as test cases.

<table>
<thead>
<tr>
<th>PDB</th>
<th>PDB Title</th>
<th>Resolution (Å)</th>
<th>Length</th>
</tr>
</thead>
<tbody>
<tr>
<td>1UJB</td>
<td>Structure of the protein histidine phosphatase SixA</td>
<td>2.06</td>
<td>161</td>
</tr>
<tr>
<td>2A6P</td>
<td>Structure Solution to 2.2 Angstrom of the Open Reading Frame Rv3214 from Mycobacterium tuberculosis</td>
<td>2.2</td>
<td>208</td>
</tr>
<tr>
<td>3C7T</td>
<td>Crystal structure of the ecdysone phosphate phosphatase, EPPase, from Bombix mori in complex with tungstate</td>
<td>1.76</td>
<td>263</td>
</tr>
<tr>
<td>1E59</td>
<td>E. Coli cofactor-dependent phosphoglycerate mutase complexed with vanadate</td>
<td>1.3</td>
<td>249</td>
</tr>
<tr>
<td>1EBB</td>
<td>Bacillus Stearothermophilus YHFR</td>
<td>2.3</td>
<td>202</td>
</tr>
<tr>
<td>2QNI</td>
<td>Crystal structure of uncharacterized protein Atu0299</td>
<td>1.8</td>
<td>219</td>
</tr>
<tr>
<td>3DCY</td>
<td>Crystal Structure a TP53-induced glycolysis and apoptosis regulator protein from Homo sapiens sapiens</td>
<td>1.75</td>
<td>275</td>
</tr>
</tbody>
</table>
As can be seen in table 5.2, the sequence identity varies from 0.07 to 0.279, which indicates that these are all likely to be either hard or impossible to solve with conventional MR.

The three structures 1UJB, 2A6P and 3C7T were selected at random to serve as the distant homologs that would be used to try and solve the structures of the other members of the family (1E59, 1EBB, 2QNI and 3DCY). Figure 5.1 shows the sequence alignment of all seven structures based on a structural alignment with GESAMT. The 'Conservation' line graph in the figure shows the degree of conservation of residue type where there is an aligned residue at that position for each structure. As there is only a value in the conservation graph where there are aligned residues for all the structures, this also corresponds to the position of the CORE residues.
Figure 5.1. JALVIEW display of GESAMT alignment of 1E59, 1EBB, 1UJB, 2A6P, 2QNI, 3C7T and 3DCY, with ClustalX colouring. Structurally aligned residues are in UPPER CASE whereas lower case letters are not part of the structural alignment.

Figure 5.2 shows a similar alignment just for the three structures that will be used as the models for MR.
Figure 5.2. JALVIEW display of GESAMT alignment of 1UJB, 2A6P and 3C7T with ClustalX colouring. Structurally aligned residues are in UPPER CASE whereas lower case letters are not part of the structural alignment.

Figures 5.3 and 5.4 show the GESAMT structural alignment of all seven structures.

Figure 5.3. GESAMT superposition of 1UJB, 2A6P and 3C7T.

Figure 5.4. GESAMT superposition of 1E59, 1EBB, 1UJB, 2A6P, 2QNI, 3C7T and 3DCY
5.2.1.2 Running the test cases

In order to determine the effectiveness of the AMPLE ensembling procedure on remote homologs, a comparison needs to be made with standard MR techniques. This was done by firstly trying to solve the four individual cases using the three homologs with MrBUMP. When given the three homologs, MrBUMP generates the 10 MR search models shown in table 5.3.

### Table 5.3. 10 ensembles prepared by MrBUMP

<table>
<thead>
<tr>
<th>Model Name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>ensemble_model0</td>
<td>All structures prepared as an ensemble using SUPERPOSE.</td>
</tr>
<tr>
<td>A_1ujb_CHNSAW</td>
<td>Chain A of structure 1UJB prepared using the CHAINSAW method</td>
</tr>
<tr>
<td>A_1ujb_MOLREP</td>
<td>Chain A of structure 1UJB prepared using the MOLREP method</td>
</tr>
<tr>
<td>A_1ujb_SCLPTR</td>
<td>Chain A of structure 1UJB prepared using the Phaser.SCULPTOR method</td>
</tr>
<tr>
<td>A_2a6pA_CHNSAW</td>
<td>Chain A of structure 2A6P prepared using the CHAINSAW method</td>
</tr>
<tr>
<td>A_2a6pA_MOLREP</td>
<td>Chain A of structure 2A6P prepared using the MOLREP method</td>
</tr>
<tr>
<td>A_2a6pA_SCLPTR</td>
<td>Chain A of structure 2A6P prepared using the Phaser.SCULPTOR method</td>
</tr>
<tr>
<td>A_3c7tA_CHNSAW</td>
<td>Chain A of structure 3C7T prepared using the CHAINSAW method</td>
</tr>
<tr>
<td>A_3c7tA_MOLREP</td>
<td>Chain A of structure 3C7T prepared using the MOLREP method</td>
</tr>
<tr>
<td>A_3c7tA_SCLPTR</td>
<td>Chain A of structure 3C7T prepared using the Phaser.SCULPTOR method</td>
</tr>
</tbody>
</table>

With CHAINSAW, models are prepared with the MIXS keyword, that implements the Mixed Model of Schwarzenbacher et al. (Schwarzenbacher et al. 2004), in which non-conserved residues are truncated to the gamma atom, while conserved residues are preserved unchanged.

MOLREP firstly performs a sequence alignment and creates a corrected model with the atoms corresponding to the alignment. It then removes various atoms from the model (water molecules, H atoms, atoms with alternative conformation (except the first), atoms with occupancy = 0), shifts the model to the origin, computes the atomic accessible surface area and replaces atomic B with $B = 15.0 + \text{SURFACE\_AREA} \times 10.0$. 

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PHASER.SCULPTOR undertakes main-chain deletion, side chain pruning and B-factor modification of the model based on a refined version of the Schwarzenbacher algorithm that makes decisions based on the similarity scores from the sequence alignment of the model with the target sequence using a binary score matrix combined with the BLOSUM62 matrix. (Bunkóczi and Read 2011).

The full ensemble is created using the SUPERPOSE program in CCP4, which aligns models using a graph-theoretical approach based on secondary structure elements (E. Krissinel and Henrick 2004). The results of the MrBUMP run were then compared with AMPLE using the distant homolog pipeline described above, once using MUSTANG to perform the initial alignment, and once using GESAMT.

During this work, two errors were discovered with MUSTANG, one of which caused it to generate an incorrect structural alignment when given a relatively diverse set of homologs, and another which caused it to hang indefinitely. The developers were contacted regarding these problems, but they stated that, the primary developer having left the group, they lacked the expertise to fix the problem themselves. While the bug fortunately did not affect the cases used in this work, all future work will be undertaken solely with GESAMT. For both MrBUMP and AMPLE, the software versions as detailed in table 5.4 were used:

<table>
<thead>
<tr>
<th>Software</th>
<th>Version</th>
<th>Settings</th>
</tr>
</thead>
<tbody>
<tr>
<td>CCP4 suite</td>
<td>6.5.15</td>
<td>Default</td>
</tr>
<tr>
<td>PHASER</td>
<td>2.5.7</td>
<td>Default</td>
</tr>
<tr>
<td>REFMAC</td>
<td>5.8.0131</td>
<td>30 cycles of jelly-body refinement</td>
</tr>
<tr>
<td>SHELXE</td>
<td>2014/4</td>
<td>Default</td>
</tr>
</tbody>
</table>

5.3 Results
Table 5.5 show the results of running AMPLE and MrBUMP with different structures.
Table 5.5. The results of attempting to solve 1E59, 1EBB, 2QNI and 3DCY with two or more of 1UJB, 2A6P and 3C7T, with MrBUMP and AMPLE.

<table>
<thead>
<tr>
<th>Structures in AMPLE superposition</th>
<th>MrBUMP</th>
</tr>
</thead>
<tbody>
<tr>
<td>PDB</td>
<td>1UJB 2A6P 3C7T</td>
</tr>
<tr>
<td>1E59 Mustang</td>
<td>0/57 0/57 0/57 0/57</td>
</tr>
<tr>
<td>1E59 Gesamt</td>
<td>0/60 0/60 0/57 0/57</td>
</tr>
<tr>
<td>1EBB Mustang</td>
<td>11/57 0/57 1/63 14/63</td>
</tr>
<tr>
<td>1EBB Gesamt</td>
<td>13/60 0/60 2/57 6/57</td>
</tr>
<tr>
<td>2QNI Mustang</td>
<td>34/57 14/57 19/63 27/63</td>
</tr>
<tr>
<td>2QNI Gesamt</td>
<td>28/60 4/60 5/57 13/57</td>
</tr>
<tr>
<td>3DCY Mustang</td>
<td>45/57 41/57 40/57 45/57</td>
</tr>
<tr>
<td>3DCY Gesamt</td>
<td>45/60 37/60 35/57 37/57</td>
</tr>
</tbody>
</table>

The numbers in the table show the number of successful/unsuccessful ensembles for each case. Cells with successful runs are coloured green.

The second and sixth columns show the results of attempts to solve the four targets with structure 1UJB, 2A6P and 3C7T, with AMPLE and MrBUMP respectively. MrBUMP succeeds in solving structures 1EBB and 3DCY with 3 and 2 of the 10 ensembles respectively, but fails with both structures 1E59 and 2QNI. AMPLE is able to solve both structures 1EBB and 3DCY, but additionally solves 2QNI. Neither approach is able to solve structure 1E59.

Comparing the AMPLE results with GESAMT and MUSTANG, the results with the two programs are broadly similar. The different alignments, resulting residue variances, and hence the different truncation parameters, mean that different programs generate different numbers of ensembles. For structure 3DCY both programs were equally successful (although MUSTANG generated the same number of successes with 3 fewer ensembles). For structure 1EBB GESAMT was more successful.
(13 success versus MUSTANG’s 11), but for structure 2QNI MUSTANG was more successful (34 successes versus GESAMT’S 28).

The results for attempting to solve structures 1E59, 1EBB, 2QNI and 3DCY with structures 1UJB, 2A6P and 3C7T using GESAMT were combined to provide an overall analysis.

![Figure 5.5.](image)

**Figure 5.5.** Number of residues per chain in successful (blue) and unsuccessful (red) models for all ensemble search models for attempting to solve 1E59, 1EBB, 2QNI and 3DCY with 1UJB, 2A6P and 3C7T.

In previous work with *ab initio* models (Bibby et al. 2012; Thomas et al. 2015), the number of residues per chain for successful ensembles had a maximum at about 25 residues. The successful homolog ensembles have a maximum at about 80 residues, so are considerably larger.
Figure 5.6. Percentage of core ensemble in successful (blue) and unsuccessful (red) models for all ensemble search models for attempting to solve 1E59, 1EBB, 2QNI and 3DCY with 1UJB, 2A6P and 3C7T.

Similarly, the maximum for the percentage of the chain in the ensemble was at about 50% with \textit{ab initio} models, but is at about 65% here. However, it must be remembered that there is an additional truncation step from full homolog models down to the structural core that is shared between all models. As a result, the initial core ensemble is already somewhat smaller than the original homologs. With this taken into account, the percentage of the original homolog model that is successfully able to solve the crystal structure is similar to that in previous work.
An analysis of the side chain treatments show that all side chain treatments were capable of generating successes, with poly-alanine being the most successful. All of the structures that were solved were solved with at least two different side chain treatments as is shown in table 5.6. No side chain treatments were exclusively successful, but polyalanine was the most successful and all of the structures would have been solvable if only polyalanine side chains had been used.

<table>
<thead>
<tr>
<th>PDB</th>
<th>Num. Ensembles</th>
<th>Successful Ensembles</th>
<th>Success PolyAla</th>
<th>Success Reliable</th>
<th>Success Allatom</th>
</tr>
</thead>
<tbody>
<tr>
<td>1E59</td>
<td>60</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>1EBB</td>
<td>60</td>
<td>8</td>
<td>3</td>
<td>5</td>
<td>0</td>
</tr>
<tr>
<td>2QNI</td>
<td>60</td>
<td>29</td>
<td>14</td>
<td>10</td>
<td>5</td>
</tr>
<tr>
<td>3DCY</td>
<td>60</td>
<td>41</td>
<td>16</td>
<td>14</td>
<td>11</td>
</tr>
</tbody>
</table>

5.3.1 Solution with fewer search models
Looking at which search models solved for MRBUMP the following is observed:
Table 5.7. Successful MRBUMP search models for attempting to solve 1EBB and 3DCY with 1UJB, 2A6P and 3C7T

<table>
<thead>
<tr>
<th>Target PDB</th>
<th>Successful search models</th>
</tr>
</thead>
<tbody>
<tr>
<td>1EBB</td>
<td>A_1ujb_CHNSAW</td>
</tr>
<tr>
<td></td>
<td>A_1ujb_MOLREP</td>
</tr>
<tr>
<td></td>
<td>A_1ujb_SCLPTR</td>
</tr>
<tr>
<td>3DCY</td>
<td>A_1ujb_CHNSAW</td>
</tr>
<tr>
<td></td>
<td>A_1ujb_SCLPTR</td>
</tr>
</tbody>
</table>

In both cases a search model derived from 1UJB alone was able to enable successful MR. Initially this seems unexpected as it shares 0.186 sequence-identity with 1EBB and 0.163 with 3DCY, seemingly too low to enable solution. However examination of the sequence and structural alignments in figures 5.2 and 5.3 provide a possible explanation. Only a partial model may be required for successful MR, particularly if that model is accurate. The whole philosophy of AMPLE is to truncate models down to an accurate core that can solve the structure, and 1UJB is almost a truncated core of 2A6P and 3C7T. Therefore, although the overall sequence diversity is large, the structures share the conserved core, which is represented by 1UJB and means that solution with 1UJB alone is possible.

It was therefore interesting to see whether it was still possible to solve this structure without including structure 1UJB. An additional run of MRBUMP was therefore carried out with just 2A6P and 3C7T. As shown in the 7th column of table 5.5, none of the seven search models generated was able to solve any of the targets.

Solution was then attempted with AMPLE with all pairs of 1UJB, 2A6P and 3C7T. As columns 3-5 of table 5.5 show, AMPLE was able to solve all the structures, with the exception of 1EBB when 3C7T was omitted from the ensemble.

5.4 Discussion
This work has shown that AMPLE's truncation algorithm is able to automatically extract sufficient structural information from distant homologs to enable structure solution where the individual
homologs, or a superposition of all of them cannot succeed, as demonstrated by the failure of MRBUMP to succeed with the same search models.

Combining multiple homologs with a structural alignment and using THESEUS to determine the inter-residue variance enables AMPLE to determine which parts of the structure are likely to be conserved and which are likely to vary. Truncating the ensemble using this information creates a series of ensemble search models that should successively better approximate the conserved core (although only up to a point as excessive truncation can lead to a model too small to enable successful solution)

When run with MRBUMP, PHASER is also given a structurally aligned ensemble of all the search models, and hence has some information on how the models align and which parts are likely to be more accurate. However it seems that the information from the more variable regions is masking the signal from the core.

AMPLE is able extract this information so effectively that it is able to succeed with just two structures, where solution with conventional methods is not even possible with three.

This work has presented an initial foray into the use of distant homologs within AMPLE. It has shown that AMPLE holds great promise for extracting hitherto unavailable information from distant homologs. Further work will explore the effectiveness of this approach.
Chapter 6: Algorithm Developments
6 Algorithm Developments

6.1 Introduction
The AMPLE pipeline can be divided into three largely separate stages:

- model generation.
- clustering and truncation of models to create ensembles for molecular replacement (MR).
- MR and automated model building.

For each stage there are a number of different parameters and options that can be selected; for example, the number of models generated by ROSETTA, or the sub-clustering radius for the ensemble creation. The parameters for the original pipeline were selected based on the best available evidence at the time, but have not been extensively benchmarked to determine their optimum values. The purpose of this work is to explore those parameters that are believed most likely to have an effect on the success of the pipeline.

6.1.1 Selection of stage to test and parameters to explore
In order to approach this in a systematic manner, and reduce the number of combinations of parameters to be explored, the assumption was made that the three stages of the AMPLE pipeline are mutually independent. This is based on the hypothesis that accurate models are likely to make the best ensembles, which in turn are most likely to be more successful in MR. It is conceivable that a particular class of models (coiled-coil proteins, or β-sheet proteins for example), might fare better under particular ensembling parameters and might favour parameters for MR that are different to other classes of proteins. However, these are expected to be minor deviations from the norm and can be explored in subsequent work if there are any indications that this may be the case.

The heart of the AMPLE algorithm is the preparation of the ensembles for MR from existing models. As this stage is most specific to AMPLE and the one that the AMPLE pipeline has most control over, it was decided to examine this "ensembling" stage first. Additionally, the optimal
ensembling strategy is likely to extract the greatest potential from even relatively poor models and provide more data for the MR pipeline to work with. This should make it easier to determine an optimal MR strategy.

6.2 Methods
The optimal configuration of each step within the ensembling pipeline was assumed to be largely independent of the others. Each was therefore examined in turn. The parameters available to be explored for each stage will be discussed below. The different stages of the AMPLE ensemble preparation pipeline are depicted in figure 1.1 in chapter 1.

6.2.1 Truncation
Currently AMPLE truncates the models from the first cluster based on the residue variances across different models as calculated by THESEUS. The truncation is currently at evenly-spaced 5% intervals based on the number of residues in the protein chain.

As the truncation is based exclusively on the variance score the truncation often produces models that have isolated residues. It is conceivable that these isolated residues would not contribute usefully to the molecular replacement signal. The truncation algorithm was therefore amended to remove any single residue remaining after the truncation step that was isolated from any other residue by a gap of at least 2 residues in sequence.

6.2.2 Sub-clustering
The truncated models are sub-clustered under three successively increasing RMSD thresholds (1, 2 and 3Å) and a maximum of 30 are then selected to create an ensemble. An ensemble is only created for a sub-clustering radius if the list of models selected differs from previous ensembles.

6.2.2.1 Slicing sub-clusters
On examining the code for this step some minor limitations were discovered. In the original code, the comparison of the list of models was carried out based on the size of the list. However this excludes potential ensembles, since if there were more than 30 models in the list, a different ensemble could be created from it. In addition, the comparison was carried out before the list was
truncated to 30. Due to the nature of the sub-clustering algorithm it is very likely that two different lists of sub-clustered models could share the first 30 members, which would lead to duplication of the ensembles. This would not affect the overall success of the code, but would mean that more ensembles would be generated than need be, which would waste CPU time. Finally, the sub-clustering algorithm would return a random model even if no models could be sub-clustered under a particular radius, and this single model would become the ensemble.

The code was therefore altered to use the following algorithm.

- if no models are sub-clustered under a given radius, no ensemble is created.
- if more than 30 models are sub-clustered for any given radius:
  - if this is the first radius (1Å), the first 30 models from the list of sub-clustered models are selected.
  - if the radius is 2Å, a check is made to see if the first 30 are the same as that chosen for 1Å
    - if the list is the same, the slice of the list of length 30 is taken, starting at an index of half of the remainder, when 30 is subtracted from the length of the list.
  - if the radius is 3Å, a check is made as to whether the first 30 are the same as that chosen for 1 or 2Å
    - if the list is the same, a slice of the list of length 30 is taken from the end of the list.

The test set was then run to examine the effect of this change.

6.2.2.2 Increasing sub-cluster radii
If no models are selected for a particular sub-clustering radius, then the sub-clustering stage would stop. However no examination had been undertaken previously to determine whether increasing the sub-clustering radius until more models are selected could generate additional solutions.
The code was therefore altered so that if no models are selected for a particular sub-clustering radius, the radius will be increased until at least two models have been selected. This will be referred to as sub-clustering with floating radii.

6.2.3 Clustering

6.2.3.1 Clustering algorithms and distance metrics
Currently the clustering in AMPLE is carried out with SPICKER. SPICKER is developed by the Zhang group at the University of Michigan and is a well-respected tool used in the I-TASSER and QUARK \textit{ab initio} modelling programs. SPICKER clusters models using the RMSD of the C$\alpha$ atoms as the distance metric to compare models. This makes it extremely fast, so that even for the largest models used within AMPLE, the clustering time is of the order of about 15 minutes.

However, RMSD is a global distance metric, so that structural differences in flexible regions of two proteins (such as loops), can cause large deviations in the RMSD even if the core regions of the proteins are similar. For this reason a number of alternative metrics for comparing proteins, such as the Template Modelling (TM) score, Longest Continuous Segment (LCS) and Global Distance Test (GDT) have been developed to overcome this shortcoming (Yang Zhang and Skolnick 2004).

As AMPLE prunes back the most variable regions of its models to a conserved core to generate the ensembles, it could be expected that clustering the models based on a distance metric that can account for similar core regions would perform better than a global distance metric.

There are currently a number of protein model clustering programs that can compare models using different distance metrics including Fast Protein Cluster (FPC) and ClusCo (Hung and Samudrala 2014; Jamroz and Kolinski 2013). For this work, it was decided to use FPC, as it is available under a permissive licence, was developed to work with many thousands of models, and so has been parallelised for both CPUs and GPUs, which makes it very quick to use. FPC is able to cluster
using both RMSD and TM scores as distance metrics, and can also use a number of different clustering methods, such as the k-means (default) and h-average.

The following strategy will therefore be attempted with FPC clustering:

- cluster with the default k-means clustering algorithm and RMSD scoring to provide a baseline and comparison to the current SPICKER clustering.
- cluster with the default k-means clustering algorithm and TM scoring to elucidate the effect of TM scoring.

6.2.3.2 Multiple Clusters

The decoys are clustered on the hypothesis that, if the models are clustered by structural similarity, then the largest cluster often contains the best model, and the centroid of the cluster is likely to be the best prediction for that model. In the initial work that was done with AMPLE on globular proteins up to 120 residues in size, it was found that this hypothesis held, with the vast majority of success originating in the top (largest) cluster, and only a few in the second cluster.

The time for processing each cluster within AMPLE is the same, so analysing the second cluster doubles the time, and a third triples it. As there were so few solutions in subsequent clusters, the additional cost of processing additional clusters was deemed excessive, so most work on AMPLE since then has involved processing only the top cluster and this is the default setting for users running AMPLE on their own machines.

However, recent experience has indicated that successful solutions to problematic cases can sometimes be found in clusters other than the first. This appears to be happening more frequently now that larger targets that are at the limit of what the molecular modelling programs such as ROSETTA are able to work with are being attempted. In these cases, it is often only a part of the model that enables solution, with the accuracy of the overall modelled fold being insufficient to enable a solution. In these cases it would appear to be more effective to sample a number of
different fold predictions, each of which may contain smaller structural elements that are accurately modelled, rather than attempting to select the overall best structure.

This work was therefore an opportunity to explore the additional clusters and see whether an algorithm could be developed that enables exploration of the additional clusters in a tractable way.

6.2.4 Test set Selection
In order to systematically explore the effect of different parameters, a systematically varied test set was required. The requirements of the test set are that it:

- be small enough to run multiple times without generating too much data or consuming excessive CPU time.
- contain representative examples of the different diffraction resolutions, chain lengths and classes of protein amenable to solution by AMPLE.
- be representative of cases that:
  - did not previously solve in order to highlight if a particular change produces a solution.
  - only produced a few solutions, making it obvious if a change prevents solution or creates additional solutions.
  - have many solutions, to clearly show if a change alters the proportion of successes.

In total, 409 crystal structures have been benchmarked in previous AMPLE investigations. Fifteen of these were selected for the test set. As the work with globular proteins only included chains of up to 120 residues, and AMPLE has shown to be successful with considerably larger structures, an additional three larger globular proteins were added to this list. Table 6.1 below lists the 18 structures together with the reason for their inclusion. Targets included for having the largest percentage of β-sheet or number of residues whilst having failed in previous runs were included because these were those most unlikely to succeed, and so their solution would provide a clear indication of an improvement.
Table 6.1. Rationale for the selection of each target in the test set.

<table>
<thead>
<tr>
<th>PDB</th>
<th>Class</th>
<th>Reason for selection</th>
</tr>
</thead>
<tbody>
<tr>
<td>1MIX</td>
<td>Globular</td>
<td>Additional mixed globular protein &gt; 120 chain length.</td>
</tr>
<tr>
<td>1P9G</td>
<td>Globular</td>
<td>Shortest chain length from the globular set that failed.</td>
</tr>
<tr>
<td>1UCS</td>
<td>Globular</td>
<td>Highest resolution that failed from the globular set.</td>
</tr>
<tr>
<td>1XKR</td>
<td>Globular</td>
<td>Additional mixed globular protein &gt; 120 chain length.</td>
</tr>
<tr>
<td>2EFR</td>
<td>Coiled-Coil</td>
<td>Longest chain length that failed from the coiled-coil set.</td>
</tr>
<tr>
<td>2FM9</td>
<td>Globular</td>
<td>Additional all-alpha globular protein &gt; 120 chain length.</td>
</tr>
<tr>
<td>2JKU</td>
<td>Globular</td>
<td>The highest percentage beta target that succeeded from the globular set.</td>
</tr>
<tr>
<td>2QIH</td>
<td>Coiled-Coil</td>
<td>Longest chain length that succeeded from the coiled-coil set.</td>
</tr>
<tr>
<td>2QSK</td>
<td>Globular</td>
<td>Target with equal proportion of alpha and beta that failed from the globular set.</td>
</tr>
<tr>
<td>2UUI</td>
<td>TM</td>
<td>Lowest resolution that succeeded from the transmembrane set.</td>
</tr>
<tr>
<td>2XF D</td>
<td>Globular</td>
<td>Largest percentage of beta sheet that failed from the globular set.</td>
</tr>
<tr>
<td>2YKT</td>
<td>Coiled-Coil</td>
<td>Longest chain length that succeeded from the coiled-coil set.</td>
</tr>
<tr>
<td>3CI9</td>
<td>Globular</td>
<td>Highest percentage of alpha helix that failed in the globular set</td>
</tr>
<tr>
<td>3CVF</td>
<td>Coiled-Coil</td>
<td>Lowest resolution that succeeded from the coiled-coil set.</td>
</tr>
<tr>
<td>3GD8</td>
<td>TM</td>
<td>Maximum chain length that succeeded from the transmembrane set.</td>
</tr>
<tr>
<td>3GHF</td>
<td>Globular</td>
<td>The largest number of residues that failed in the globular set.</td>
</tr>
<tr>
<td>3HAP</td>
<td>TM</td>
<td>Longest chain length that failed in the transmembrane set.</td>
</tr>
<tr>
<td>3HFE</td>
<td>Coiled-Coil</td>
<td>Shortest chain length that failed in the coiled-coil set.</td>
</tr>
</tbody>
</table>

Table 6.2 lists the 18 structures and provides additional information on their makeup.
Table 6.2. Description of the targets in the test set selection.

<table>
<thead>
<tr>
<th>PDB</th>
<th>Class</th>
<th>Resoln. Å</th>
<th>Solvent %</th>
<th>Space Group</th>
<th>Chain Length</th>
<th>Chains in ASU</th>
<th>Residues</th>
<th>α %</th>
<th>β %</th>
</tr>
</thead>
<tbody>
<tr>
<td>1MIX</td>
<td>Glob.</td>
<td>1.75</td>
<td>40.43</td>
<td>C 1 2 1</td>
<td>206</td>
<td>1</td>
<td>206</td>
<td>39</td>
<td>18</td>
</tr>
<tr>
<td>1P9G</td>
<td>Glob.</td>
<td>0.84</td>
<td>20.89</td>
<td>P 1 2 1 1</td>
<td>41</td>
<td>1</td>
<td>41</td>
<td>0</td>
<td>43.9</td>
</tr>
<tr>
<td>1UCS</td>
<td>Glob.</td>
<td>0.62</td>
<td>11.58</td>
<td>P 2 1 2 1</td>
<td>64</td>
<td>1</td>
<td>64</td>
<td>34.38</td>
<td>17.19</td>
</tr>
<tr>
<td>1XKR</td>
<td>Glob.</td>
<td>1.75</td>
<td>63.5</td>
<td>P 4 2 1 2</td>
<td>206</td>
<td>1</td>
<td>206</td>
<td>44</td>
<td>27</td>
</tr>
<tr>
<td>2EFR</td>
<td>Coiled-Coil</td>
<td>1.8</td>
<td>50.1</td>
<td>P 1</td>
<td>155</td>
<td>4</td>
<td>620</td>
<td>98</td>
<td>0</td>
</tr>
<tr>
<td>2FM9</td>
<td>Glob.</td>
<td>2.0</td>
<td>59.31</td>
<td>P 3 2 1 1</td>
<td>215</td>
<td>1</td>
<td>215</td>
<td>72</td>
<td>0</td>
</tr>
<tr>
<td>2JKU</td>
<td>Glob.</td>
<td>1.5</td>
<td>65.53</td>
<td>P 6 4 2 2</td>
<td>94</td>
<td>1</td>
<td>94</td>
<td>45.74</td>
<td>0</td>
</tr>
<tr>
<td>2QIH</td>
<td>Coiled-Coil</td>
<td>1.9</td>
<td>53.66</td>
<td>P 3</td>
<td>157</td>
<td>2</td>
<td>314</td>
<td>85</td>
<td>0</td>
</tr>
<tr>
<td>2QSK</td>
<td>Glob.</td>
<td>1.0</td>
<td>46.02</td>
<td>P 2 1 2 1</td>
<td>95</td>
<td>1</td>
<td>95</td>
<td>12</td>
<td>12</td>
</tr>
<tr>
<td>2UUI</td>
<td>TM</td>
<td>2.0</td>
<td>72.83</td>
<td>F 2 3</td>
<td>156</td>
<td>1</td>
<td>156</td>
<td>80</td>
<td>0</td>
</tr>
<tr>
<td>2XFD</td>
<td>Glob.</td>
<td>1.19</td>
<td>41.0</td>
<td>P 2 1 2 1</td>
<td>112</td>
<td>1</td>
<td>112</td>
<td>0</td>
<td>59.82</td>
</tr>
<tr>
<td>2YKT</td>
<td>Coiled-Coil</td>
<td>2.11</td>
<td>54.63</td>
<td>C 2 2 1</td>
<td>253</td>
<td>2</td>
<td>266</td>
<td>80</td>
<td>0</td>
</tr>
<tr>
<td>3CI9</td>
<td>Glob.</td>
<td>1.8</td>
<td>51.58</td>
<td>H 3</td>
<td>48</td>
<td>4</td>
<td>96</td>
<td>93.75</td>
<td>0</td>
</tr>
<tr>
<td>3CVF</td>
<td>Coiled-Coil</td>
<td>2.9</td>
<td>85.71</td>
<td>P 6 5</td>
<td>79</td>
<td>4</td>
<td>316</td>
<td>81</td>
<td>0</td>
</tr>
<tr>
<td>3GD8</td>
<td>TM</td>
<td>1.8</td>
<td>54.97</td>
<td>P 4 2 1 2</td>
<td>223</td>
<td>1</td>
<td>223</td>
<td>69</td>
<td>0</td>
</tr>
<tr>
<td>3GHF</td>
<td>Glob.</td>
<td>2.2</td>
<td>44.04</td>
<td>P 3 2 1</td>
<td>120</td>
<td>1</td>
<td>120</td>
<td>26.67</td>
<td>18.33</td>
</tr>
<tr>
<td>3HAP</td>
<td>TM</td>
<td>1.6</td>
<td>54.99</td>
<td>C 2 2 1</td>
<td>249</td>
<td>1</td>
<td>249</td>
<td>70</td>
<td>4</td>
</tr>
<tr>
<td>3HFE</td>
<td>Coiled-Coil</td>
<td>1.7</td>
<td>33.17</td>
<td>C 1 2 1</td>
<td>31</td>
<td>3</td>
<td>93</td>
<td>70</td>
<td>0</td>
</tr>
</tbody>
</table>

6.2.5 Model generation and MR
A set of 1000 decoys was generated for each target, and the same models used for all subsequent runs. The same version of CCP4 was also used for all MR stages as detailed in table 6.3.

Table 6.3. Software versions used in the run.

<table>
<thead>
<tr>
<th>Software</th>
<th>Version</th>
</tr>
</thead>
<tbody>
<tr>
<td>ROSETTA</td>
<td>2014.35.57232</td>
</tr>
<tr>
<td>CCP4 suite</td>
<td>6.5.015</td>
</tr>
<tr>
<td>PHASER</td>
<td>2.5.7</td>
</tr>
<tr>
<td>REFMAC</td>
<td>5.8.0103</td>
</tr>
<tr>
<td>SHELXE</td>
<td>2014/4</td>
</tr>
</tbody>
</table>

6.2.6 Ideal Helices
As in previous work, solution was first attempted with ideal helices. Although these would obviously not be expected to work with a predominantly β-sheet protein with no α-helices, it does provide
some indication of which structures are relatively easy to solve and do not require the additional information from the modelling to enable solution.

6.3 Results

6.3.1 Truncation

Table 6.4 compares the results of the original AMPLE single-cluster run with that when isolated residues are removed following truncation.

Table 6.4. Comparison of results for the default truncation and that with pruning.

<table>
<thead>
<tr>
<th>PDB</th>
<th>Benchmark</th>
<th>Pruning</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Search Models</td>
<td>Succeeded</td>
</tr>
<tr>
<td>1MIX</td>
<td>30</td>
<td>0</td>
</tr>
<tr>
<td>1P9G</td>
<td>162</td>
<td>0</td>
</tr>
<tr>
<td>1UCS</td>
<td>144</td>
<td>0</td>
</tr>
<tr>
<td>1XKR</td>
<td>21</td>
<td>0</td>
</tr>
<tr>
<td>2BL2</td>
<td>153</td>
<td>0</td>
</tr>
<tr>
<td>2EFR</td>
<td>21</td>
<td>0</td>
</tr>
<tr>
<td>2FM9</td>
<td>45</td>
<td>0</td>
</tr>
<tr>
<td>2JKU</td>
<td>114</td>
<td>5</td>
</tr>
<tr>
<td>2QIH</td>
<td>60</td>
<td>5</td>
</tr>
<tr>
<td>2QSK</td>
<td>15</td>
<td>0</td>
</tr>
<tr>
<td>2UUI</td>
<td>90</td>
<td>19</td>
</tr>
<tr>
<td>2XFD</td>
<td>126</td>
<td>0</td>
</tr>
<tr>
<td>2YKT</td>
<td>63</td>
<td>3</td>
</tr>
<tr>
<td>3CI9</td>
<td>177</td>
<td>88</td>
</tr>
<tr>
<td>3CVF</td>
<td>159</td>
<td>0</td>
</tr>
<tr>
<td>3GD8</td>
<td>21</td>
<td>0</td>
</tr>
<tr>
<td>3GHF</td>
<td>81</td>
<td>0</td>
</tr>
<tr>
<td>3HAP</td>
<td>81</td>
<td>3</td>
</tr>
<tr>
<td>3HFE</td>
<td>111</td>
<td>0</td>
</tr>
<tr>
<td>Total:</td>
<td>1674</td>
<td>123</td>
</tr>
</tbody>
</table>

Table 6.4 shows that there is very little difference between the two runs, with the original run being marginally more successful, with 123 successes against the truncation run with 119 successes.
Interestingly, two cases fare better with truncation (2JKU and 2QIH), whereas all the others fare slightly worse.

### 6.3.2 Sub-clustering

**Table 6.5. Comparison of the results for different sub-clustering strategies.**

<table>
<thead>
<tr>
<th>PDB</th>
<th>Benchmark</th>
<th>Sub-cluster slice</th>
<th>Sub-cluster floating radii</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Search Models</td>
<td>Succeeded</td>
<td>Search Models</td>
</tr>
<tr>
<td>1MIX</td>
<td>30</td>
<td>0</td>
<td>30</td>
</tr>
<tr>
<td>1P9G</td>
<td>162</td>
<td>0</td>
<td>168</td>
</tr>
<tr>
<td>1UCS</td>
<td>144</td>
<td>0</td>
<td>147</td>
</tr>
<tr>
<td>1XKR</td>
<td>21</td>
<td>0</td>
<td>21</td>
</tr>
<tr>
<td>2BL2</td>
<td>153</td>
<td>0</td>
<td>150</td>
</tr>
<tr>
<td>2EFR</td>
<td>21</td>
<td>0</td>
<td>21</td>
</tr>
<tr>
<td>2FM9</td>
<td>45</td>
<td>0</td>
<td>54</td>
</tr>
<tr>
<td>2QIH</td>
<td>60</td>
<td>5</td>
<td>60</td>
</tr>
<tr>
<td>2QSK</td>
<td>15</td>
<td>0</td>
<td>15</td>
</tr>
<tr>
<td>2UUI</td>
<td>90</td>
<td>19</td>
<td>93</td>
</tr>
<tr>
<td>2XFD</td>
<td>126</td>
<td>0</td>
<td>126</td>
</tr>
<tr>
<td>2YKT</td>
<td>63</td>
<td>1</td>
<td>63</td>
</tr>
<tr>
<td>3CI9</td>
<td>177</td>
<td>80</td>
<td>204</td>
</tr>
<tr>
<td>3CVF</td>
<td>159</td>
<td>0</td>
<td>159</td>
</tr>
<tr>
<td>3GD8</td>
<td>21</td>
<td>0</td>
<td>21</td>
</tr>
<tr>
<td>3GHF</td>
<td>81</td>
<td>0</td>
<td>81</td>
</tr>
<tr>
<td>3HAP</td>
<td>81</td>
<td>3</td>
<td>81</td>
</tr>
<tr>
<td>3HFE</td>
<td>111</td>
<td>0</td>
<td>132</td>
</tr>
<tr>
<td><strong>Total:</strong></td>
<td><strong>1560</strong></td>
<td><strong>108</strong></td>
<td><strong>1626</strong></td>
</tr>
</tbody>
</table>

For this run, 2JKU has been excluded due to a technical problems, but all other data are valid.

Table 6.5 above shows that the new sub-cluster-slicing algorithm produces more ensembles than the original benchmark code, but fewer successes. The creation of more ensembles overall is due to the additional ensembles created when sub-clustering at a larger radius creates the same list of ensembles and a different slice is selected. The drop in successes for 2UUI and 3CI9 is due to the removal of duplicate ensembles due to the altering of the original sub-clustering implementation.
For sub-cluster floating, many more ensembles were created, as three ensembles were always created for each sub-clustering step. However, despite the creation of more ensembles from sub-clusters with larger radii, only two ensembles solved with radii greater than 3Å, which were two ensembles sub-clustered under 4 and 5Å for 2YKT.

### 6.3.3 Clustering Algorithms

Table 6.6 below shows the results of the different clustering algorithms for the decoys from the top cluster, together with a run of AMPLE using ideal helices.

#### Table 6.6. Number of successful/total search models for the different clustering treatments.

<table>
<thead>
<tr>
<th>PDB</th>
<th>Class</th>
<th>IDEAL HELICES</th>
<th>SPICKER RMSD</th>
<th>SPICKER TM</th>
<th>FPC K-means RMSD</th>
<th>FPC K-means TM</th>
<th>FPC H-complete TM</th>
</tr>
</thead>
<tbody>
<tr>
<td>1MIX</td>
<td>Globular</td>
<td>0/8</td>
<td>0/30</td>
<td>0/45</td>
<td>0/18</td>
<td>0/57</td>
<td>0/54</td>
</tr>
<tr>
<td>1P9G</td>
<td>Globular</td>
<td>0/8</td>
<td>0/162</td>
<td>0/159</td>
<td>0/165</td>
<td>0/162</td>
<td>1/150</td>
</tr>
<tr>
<td>1UCS</td>
<td>Globular</td>
<td>0/8</td>
<td>0/144</td>
<td>0/141</td>
<td>0/114</td>
<td>0/132</td>
<td>0/123</td>
</tr>
<tr>
<td>1XKR</td>
<td>Globular</td>
<td>0/8</td>
<td>0/21</td>
<td>0/33</td>
<td>0/39</td>
<td>0/54</td>
<td>0/48</td>
</tr>
<tr>
<td>2EFR</td>
<td>Coiled-Coil</td>
<td>0/8</td>
<td>0/21</td>
<td>0/63</td>
<td>0/48</td>
<td>0/54</td>
<td>0/51</td>
</tr>
<tr>
<td>2FM9</td>
<td>Globular</td>
<td>0/8</td>
<td>0/45</td>
<td>0/48</td>
<td>0/42</td>
<td>0/72</td>
<td>0/48</td>
</tr>
<tr>
<td>2JKU</td>
<td>Globular</td>
<td>0/8</td>
<td>5/114</td>
<td>63/126</td>
<td>1/75</td>
<td>18/108</td>
<td>15/105</td>
</tr>
<tr>
<td>2QIH</td>
<td>Coiled-Coil</td>
<td>7/8</td>
<td>5/60</td>
<td>52/87</td>
<td>16/81</td>
<td>35/81</td>
<td>48/78</td>
</tr>
<tr>
<td>2QSK</td>
<td>Coiled-Coil</td>
<td>0/8</td>
<td>0/15</td>
<td>0/12</td>
<td>0/9</td>
<td>0/15</td>
<td>0/9</td>
</tr>
<tr>
<td>2UUI</td>
<td>TM</td>
<td>6/8</td>
<td>19/90</td>
<td>16/99</td>
<td>12/84</td>
<td>16/93</td>
<td>12/81</td>
</tr>
<tr>
<td>2XF D</td>
<td>Globular</td>
<td>0/8</td>
<td>0/126</td>
<td>0/132</td>
<td>0/117</td>
<td>0/120</td>
<td>0/120</td>
</tr>
<tr>
<td>2YKT</td>
<td>Coiled-Coil</td>
<td>4/8</td>
<td>3/63</td>
<td>2/66</td>
<td>3/60</td>
<td>7/72</td>
<td>6/75</td>
</tr>
<tr>
<td>3CI9</td>
<td>Globular</td>
<td>4/8</td>
<td>88/177</td>
<td>57/180</td>
<td>50/207</td>
<td>74/195</td>
<td>8/192</td>
</tr>
<tr>
<td>3CVF</td>
<td>Coiled-Coil</td>
<td>0/8</td>
<td>0/159</td>
<td>1/165</td>
<td>0/156</td>
<td>0/159</td>
<td>0/159</td>
</tr>
<tr>
<td>3GD8</td>
<td>TM</td>
<td>0/8</td>
<td>0/21</td>
<td>0/54</td>
<td>0/18</td>
<td>2/60</td>
<td>0/24</td>
</tr>
<tr>
<td>3GH F</td>
<td>Globular</td>
<td>0/8</td>
<td>0/81</td>
<td>0/102</td>
<td>0/78</td>
<td>0/87</td>
<td>0/69</td>
</tr>
<tr>
<td>3HAP</td>
<td>TM</td>
<td>3/8</td>
<td>3/81</td>
<td>1/99</td>
<td>0/84</td>
<td>0/90</td>
<td>0/81</td>
</tr>
<tr>
<td>3HFE</td>
<td>Coiled-Coil</td>
<td>0/8</td>
<td>0/111</td>
<td>0/114</td>
<td>0/135</td>
<td>0/129</td>
<td>0/132</td>
</tr>
<tr>
<td><strong>Total:</strong></td>
<td>24/144</td>
<td>123/1674</td>
<td>192/1881</td>
<td>82/1674</td>
<td>152/1881</td>
<td>90/1737</td>
<td></td>
</tr>
</tbody>
</table>

Cells with successful search models are coloured green.
The library of 8 ideal helices within AMPLE was able to solve 5 structures, including 3CI9 and 3HAP that had not been solvable with AMPLE when they were first selected (the transmembrane protein 3HAP was subsequently solved with ROSETTA models using GREMLIN contacts, but this was after the test set had been selected).

The default SPICKER/RMSD clustering solved 6 targets. In addition to the 5 that were solvable with ideal helices, it also solved 2JKU, which had been solved in the first AMPLE paper (Bibby et al. 2012).

The first run with FPC used the default k-means clustering algorithm and the model RMSD scores for clustering. This solved only 4 targets, all of which were solvable with the SPICKER/RMSD clustering. This demonstrates that the SPICKER clustering algorithm is well-optimised for its role.

The next step was to see if using TM scores to cluster, rather than RMSD scores would improve the performance. FPC was run using the k-means clustering algorithm, but clustering on TM scores. This run solved 6 targets, so was a substantial improvement on using the RMSD as the scoring metric, but solved the same number as the SPICKER/RMSD clustering. This run solved 3GD8, which was not solvable with any of the preceding methods (but had been solved with SPICKER/RMSD clustering with an earlier set of models). However, it was not able to solve 3HAP, which solved with SPICKER/RMSD clustering. A notable feature of the TM scoring run, is that for the models that solved with both RMSD scoring and TM scoring, there was a marked increase in the number of solutions with TM scoring. This demonstrates that TM scoring provides a significant improvement to RMSD scoring, although at the cost of the additional CPU time required to calculate the TM scoring matrix.

As TM scoring seems to be superior, a run was made with FPC using TM scoring, but using the h-complete clustering algorithm. This solved 6 structures (the same number as SPICKER/RMSD and FPC/k-means), with 5 of the targets (2JKU, 2QIH, 2UUI, 2YKT and 3CI9) being the same as
solved with the other methods. This run managed to solve 1P9G with a single search model; a significant result as it did not solve with any of the other methods and had not been solved with AMPLE previously. This run did not solve 3GD8, which did solve with FPC/k-means.

These results indicate that both the choice of clustering algorithm and scoring metric have a significant effect on the success of AMPLE. TM scoring seems to be the most effective, but the SPICKER clustering algorithm seems to be superior to both k-means and h-complete clustering, as it solves the same number of targets using the seemingly weaker RMSD scoring metric.

It was therefore of interest to see how SPICKER clustering would perform when using TM scoring. Unfortunately this option was unavailable in SPICKER. However, since the source code of SPICKER is made freely available, the program was altered to read in the matrix of TM scores generated by FPC to provide a direct comparison of the SPICKER clustering algorithm with k-means and h-complete.

This SPICKER/TM run was the most successful of all runs, solving 7 targets. 6 of the targets (2JKU, 2QIH, 2UUI, 2YKT, 3CI9 and 3HAP) had been solved with the other methods, but 3CVF was solved for the first time with a single search model. Interestingly, although for 2JKU and 2QIH there was a marked increase in the number of successful search models between SPICKER/RMSD and SPICKER/TM, for all other cases, although the target solved, it did so with fewer search models.

Through all the different runs, 9 out of 18 targets were solvable with this set of models, although no individual clustering method was able to solve all of the targets. TM scoring appears to be most effective and the SPICKER clustering algorithm the most effective overall, although it was unable to select models that are able to solve 1P9G and 3GD8. However, as SPICKER is already included in CCP4, and the source code is accessible, the TM scoring algorithm was added to it. The further
work on clustering was then carried out using the SPICKER clustering algorithm to explore what role additional clusters can have.

6.3.4 Exploring additional clusters
As mentioned previously, in most of the work completed with AMPLE only the top, or occasionally the top three clusters have been used, but there has been no systematic attempt to explore the possibility of solutions in the lower ranked clusters. In order to investigate this, two runs were undertaken using all 10 clusters and treating them all to the full AMPLE truncation, sub-clustering and side chain treatments. As this is an extremely compute- and data-intensive exercise (~150GB of data when compressed), only two runs were attempted. One with SPICKER/RMSD clustering and the other with SPICKER/TM clustering. The results are displayed in table 6.7.

Table 6.7. Successful/total search models with 10 full clusters.

<table>
<thead>
<tr>
<th>PDB</th>
<th>Class</th>
<th>Resoln. Å</th>
<th>Chain Length</th>
<th>Chains in ASU</th>
<th>SPICKER RMSD</th>
<th>SPICKER TM</th>
</tr>
</thead>
<tbody>
<tr>
<td>1MIX</td>
<td>Glob.</td>
<td>1.75</td>
<td>206</td>
<td>1</td>
<td>0/186</td>
<td>0/384</td>
</tr>
<tr>
<td>1P9G</td>
<td>Glob.</td>
<td>0.84</td>
<td>41</td>
<td>1</td>
<td>14/1506</td>
<td>16/1422</td>
</tr>
<tr>
<td>1UCS</td>
<td>Glob.</td>
<td>0.62</td>
<td>64</td>
<td>1</td>
<td>0/1053</td>
<td>7/1134</td>
</tr>
<tr>
<td>1XKR</td>
<td>Glob.</td>
<td>1.75</td>
<td>206</td>
<td>1</td>
<td>0/174</td>
<td>11/279</td>
</tr>
<tr>
<td>2EFR</td>
<td>Coiled-Coil</td>
<td>1.8</td>
<td>155</td>
<td>4</td>
<td>0/180</td>
<td>0/360</td>
</tr>
<tr>
<td>2FM9</td>
<td>Glob.</td>
<td>2.0</td>
<td>215</td>
<td>1</td>
<td>0/303</td>
<td>1/504</td>
</tr>
<tr>
<td>2JKU</td>
<td>Glob.</td>
<td>1.5</td>
<td>94</td>
<td>1</td>
<td>138/912</td>
<td>160/927</td>
</tr>
<tr>
<td>2QIH</td>
<td>Coiled-Coil</td>
<td>1.9</td>
<td>157</td>
<td>2</td>
<td>184/531</td>
<td>310/792</td>
</tr>
<tr>
<td>2QSK</td>
<td>Glob.</td>
<td>1.0</td>
<td>95</td>
<td>1</td>
<td>0/75</td>
<td>0/156</td>
</tr>
<tr>
<td>2UUI</td>
<td>TM</td>
<td>2.0</td>
<td>156</td>
<td>1</td>
<td>153/786</td>
<td>136/870</td>
</tr>
<tr>
<td>2XFD</td>
<td>Glob.</td>
<td>1.19</td>
<td>112</td>
<td>1</td>
<td>0/1002</td>
<td>0/1086</td>
</tr>
<tr>
<td>2YKT</td>
<td>Coiled-Coil</td>
<td>2.11</td>
<td>253</td>
<td>2</td>
<td>17/588</td>
<td>17/723</td>
</tr>
<tr>
<td>3C19</td>
<td>Glob.</td>
<td>1.8</td>
<td>48</td>
<td>4</td>
<td>279/1926</td>
<td>503/1911</td>
</tr>
<tr>
<td>3CVF</td>
<td>Coiled-Coil</td>
<td>2.9</td>
<td>79</td>
<td>4</td>
<td>15/1476</td>
<td>1/1449</td>
</tr>
<tr>
<td>3GD8</td>
<td>TM</td>
<td>1.8</td>
<td>223</td>
<td>1</td>
<td>1/222</td>
<td>8/387</td>
</tr>
<tr>
<td>3GHF</td>
<td>Glob.</td>
<td>2.2</td>
<td>120</td>
<td>1</td>
<td>0/609</td>
<td>0/696</td>
</tr>
<tr>
<td>3HAP</td>
<td>TM</td>
<td>1.6</td>
<td>249</td>
<td>1</td>
<td>3/747</td>
<td>14/768</td>
</tr>
<tr>
<td>3HFE</td>
<td>Coiled-Coil</td>
<td>1.7</td>
<td>31</td>
<td>3</td>
<td>2/1311</td>
<td>0/1338</td>
</tr>
<tr>
<td>Total:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>806/13587</td>
<td>1184/15186</td>
</tr>
</tbody>
</table>

Cells with successful search models are coloured in green. Cells with only a single search models are coloured a lighter shade of green to indicate that this is a rare solution.
The results show a huge jump in the number of targets that can be solved. SPICKER/RMSD is able to solve 10 targets, and SPICKER/TM is able to solve 12. This includes 4 targets (1UCS, 1XKR, 2FM9 and 3HFE) that had not been solved with any of the previous runs and had never been solved by AMPLE with a different set of models.

Overall the performance of SPICKER/TM is better, solving 1UCS, 1XKR and 2FM9 that could not be solved with SPICKER/RMSD. Of these 2FM9 and 3CVF, were only solved with a single model, a result that could be attributed to chance, due to the large number of solutions attempted (504 and 1449 respectively).

SPICKER/RMSD was able to solve 3HFE which was not solvable with SPICKER/TM and also solved 3CVF with 15 search models, a far more definitive solution then the single solution achieved by SPICKER/TM. Conversely, SPICKER/TM is able to solve 3GD8 with 8 search models, as opposed to the single solution generated with SPICKER/RMSD.

Overall SPICKER/TM with 10 clusters appears to be a more effective algorithm, enabling the solution of 12 targets, double that which is possible with the original SPICKER/RMSD single-cluster algorithm currently employed in AMPLE, and two more than SPICKER/RMSD with 10 clusters.

6.3.5 Pruning the clusters
Although the SPICKER/TM algorithm with 10 clusters is able to solve 6 additional targets, the additional processing of 10 clusters increases the total run time of AMPLE by a factor of roughly 10. Under normal use, AMPLE will stop as soon as a solution is found, so the total run time is related to the proportion of successful search models (assuming that the successful jobs are randomly distributed within the clusters). Table 6.8 lists the proportion of successful/total jobs for the different runs.
Table 6.8. Proportion of successful/total jobs for the different clustering algorithms.

<table>
<thead>
<tr>
<th>Run type : N clusters</th>
<th>Successful/Total jobs</th>
<th>Proportion</th>
</tr>
</thead>
<tbody>
<tr>
<td>SPICKER/RMSD: 1</td>
<td>123/1674</td>
<td>0.073</td>
</tr>
<tr>
<td>SPICKER/RMSD: 10</td>
<td>806/13587</td>
<td>0.059</td>
</tr>
<tr>
<td>SPICKER/TM: 10</td>
<td>1184/15180</td>
<td>0.078</td>
</tr>
</tbody>
</table>

Overall the proportion of successful jobs is largely similar, so for a successful job, even with 10 clusters, the run time for a 10-cluster job (excluding modelling/clustering) would be similar to that for a single cluster. However, for unsuccessful jobs, the run time will be 10 times that of a standard AMPLE run, which could be many weeks, and is therefore not acceptable for most users. It is therefore important to see if the number of search models can be reduced, whilst still maintaining the success rate.

The following section will analyse the results to see the best way to sample the search ensembles.

![Figure 6.1. Cluster distribution of successful (blue) and unsuccessful (red) search models for SPICKER/RMSD](image1)

![Figure 6.2. Cluster distribution of successful (blue) and unsuccessful (red) search models for SPICKER/TM](image2)

Figures 6.1 and 6.2 show the distribution of successful and unsuccessful search models between the different clusters for the 10-cluster SPICKER/RMSD and SPICKER/TM runs. They show that
solutions are scattered more or less evenly through the clusters, with little preference for the top cluster.

**Figure 6.3.** Number of successful (blue) and unsuccessful (red) search models for different sub-clustering radii for SPICKER/RMSD.

**Figure 6.4.** Number of successful (blue) and unsuccessful (red) search models for different side chain treatments for SPICKER/RMSD.

**Figure 6.5.** Number of successful (blue) and unsuccessful (red) search models for different sub-clustering radii for SPICKER/TM.

**Figure 6.6.** Number of successful (blue) and unsuccessful (red) search models for different side chain treatments for SPICKER/TM.
Figures 6.4-6.6 show the distribution of successful and unsuccessful jobs for the different side chain treatments and sub-clustering radii for the SPICKER/RMSD and SPICKER/TM runs. They show that the successful jobs are largely evenly distributed amongst the different sub-clustering radii and side chain treatments, with a very slight increase in the number of successes for polyalanine side chains.

As the results gave no particular indication of a way to prune the ensembles, a number of different strategies were attempted for the SPICKER/TM results as shown in table 6.9 below. The different treatment strategies in the table are as follows:

- **All**: the original set with 5% truncation, 1, 2 & 3Å sub-clustering and all-atom, reliable and polyalanine side chains.
- **Full 1, 1+3Å/polyA**: the original full treatment for the first cluster, and then 1 & 3Å sub-clustering and only polyalanine side chains for all subsequent clusters.
- **1Å/polyA**: 1Å sub-clustering and polyalanine side chains for all clusters.
- **2Å/polyA**: 2Å sub-clustering and polyalanine side chains for all clusters.
- **3Å/polyA**: 3Å sub-clustering and polyalanine side chains for all clusters.
- **1+3Å/polyA**: 1 & 3Å sub-clustering and polyalanine side chains for all clusters.
- **10% Truncation**: 10% truncation level, 1, 2 & 3Å sub-clustering and all-atom, reliable and polyalanine side chains for all clusters.
- **10% Truncation**: 10% truncation level, 1 & 3Å sub-clustering and polyalanine side chains for all clusters.
Table 6.9: Successful/total search models for the SPICKER/TM set under different pruning strategies.

<table>
<thead>
<tr>
<th>PDB</th>
<th>All</th>
<th>Full 1</th>
<th>1+3Å/polyAla</th>
<th>1Å/polyAla</th>
<th>2Å/polyAla</th>
<th>3Å/polyAla</th>
<th>1+3Å/polyAla</th>
<th>10% Truncation</th>
<th>10% Truncation 1+3Å/polyAla</th>
</tr>
</thead>
<tbody>
<tr>
<td>1MIX</td>
<td>0/384</td>
<td>0/129</td>
<td>0/19</td>
<td>0/42</td>
<td>0/67</td>
<td>0/86</td>
<td>0/159</td>
<td>0/38</td>
<td></td>
</tr>
<tr>
<td>1UCS</td>
<td>7/1134</td>
<td>4/368</td>
<td>2/84</td>
<td>1/123</td>
<td>2/171</td>
<td>4/255</td>
<td>3/534</td>
<td>0/121</td>
<td></td>
</tr>
<tr>
<td>2EFR</td>
<td>0/360</td>
<td>0/119</td>
<td>0/34</td>
<td>0/46</td>
<td>0/40</td>
<td>0/74</td>
<td>0/162</td>
<td>0/34</td>
<td></td>
</tr>
<tr>
<td>2FM9</td>
<td>1/504</td>
<td>0/154</td>
<td>0/37</td>
<td>1/63</td>
<td>0/68</td>
<td>0/105</td>
<td>0/249</td>
<td>0/51</td>
<td></td>
</tr>
<tr>
<td>2JKU</td>
<td>160/927</td>
<td>88/306</td>
<td>15/85</td>
<td>22/108</td>
<td>22/116</td>
<td>37/201</td>
<td>89/429</td>
<td>21/93</td>
<td></td>
</tr>
<tr>
<td>2QIH</td>
<td>310/792</td>
<td>108/251</td>
<td>25/73</td>
<td>36/96</td>
<td>36/95</td>
<td>61/168</td>
<td>161/384</td>
<td>34/81</td>
<td></td>
</tr>
<tr>
<td>2QSK</td>
<td>0/156</td>
<td>0/36</td>
<td>0/7</td>
<td>0/18</td>
<td>0/27</td>
<td>0/34</td>
<td>0/69</td>
<td>0/15</td>
<td></td>
</tr>
<tr>
<td>2UII</td>
<td>136/870</td>
<td>57/274</td>
<td>15/53</td>
<td>28/84</td>
<td>27/153</td>
<td>42/206</td>
<td>56/408</td>
<td>16/97</td>
<td></td>
</tr>
<tr>
<td>2XF D</td>
<td>0/1086</td>
<td>0/331</td>
<td>0/77</td>
<td>0/134</td>
<td>0/151</td>
<td>0/228</td>
<td>0/409</td>
<td>0/106</td>
<td></td>
</tr>
<tr>
<td>3CI9</td>
<td>503/1911</td>
<td>181/577</td>
<td>62/204</td>
<td>77/221</td>
<td>82/212</td>
<td>144/416</td>
<td>250/990</td>
<td>63/213</td>
<td></td>
</tr>
<tr>
<td>3CVF</td>
<td>1/1449</td>
<td>1/449</td>
<td>1/117</td>
<td>0/172</td>
<td>0/194</td>
<td>1/311</td>
<td>1/711</td>
<td>1/153</td>
<td></td>
</tr>
<tr>
<td>3GD8</td>
<td>8/387</td>
<td>2/130</td>
<td>0/20</td>
<td>0/47</td>
<td>2/62</td>
<td>2/82</td>
<td>3/201</td>
<td>0/42</td>
<td></td>
</tr>
<tr>
<td>3GHF</td>
<td>0/696</td>
<td>0/239</td>
<td>0/39</td>
<td>0/75</td>
<td>0/118</td>
<td>0/157</td>
<td>0/327</td>
<td>0/72</td>
<td></td>
</tr>
<tr>
<td>3HAP</td>
<td>14/768</td>
<td>5/240</td>
<td>5/40</td>
<td>0/94</td>
<td>0/122</td>
<td>5/162</td>
<td>4/342</td>
<td>1/73</td>
<td></td>
</tr>
<tr>
<td>3HFE</td>
<td>0/1338</td>
<td>0/402</td>
<td>0/148</td>
<td>0/149</td>
<td>0/297</td>
<td>0/708</td>
<td>0/157</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Totals:</td>
<td>1184/15186</td>
<td>465/4745</td>
<td>134/1254</td>
<td>174/1753</td>
<td>179/2055</td>
<td>313/3309</td>
<td>584/7401</td>
<td>143/1615</td>
<td></td>
</tr>
</tbody>
</table>

Cells with successful search models are coloured in green. Cells with only a single search models are coloured a lighter shade of green to indicate that this is a weak solution.

The original single cluster SPICKER/RMSD algorithm generated 1674 search ensembles and was able to solve 6 targets. Table 6.9 shows that using 10 clusters with SPICKER/TM clustering, the best pruning strategy is 1+3Å/polyA, as this is able to solve 11 targets with 3309 search ensembles; this is almost double the number of targets solved with just under a doubling of the number of search ensembles.

All the other treatments either significantly reduce the number of targets solved or do not lower the number of search ensembles sufficiently to make the strategy tractable. The 1+3Å/polyA treatment does lose the solution for 2FM9 (as the single solution for this target was under 2Å sub-clustering),
but as this is only a single solution and saving it would entail keeping so many redundant search ensembles, it was deemed acceptable.

For all subsequent work, the clustering strategy of using 10 clusters, together with 1 and 3Å sub-clustering and polyalanine side chains was adopted.

6.3.5.1 Q-scoring and random clusters

The results above show that the current set of models contain enough information to solve at least 13 of the structures. Of the 18 test cases, only 5, namely 1MIX, 2EFR, 2QSK, 2XFD and 3GHF could not be solved. Unfortunately no individual clustering algorithm was able to solve all solvable structures. There was also variation in which structures the different algorithms were able to solve, which indicates that different clustering algorithms are able to extract different information from the models.

It is of interest to know whether the clustering and scoring algorithms are selecting relevant structural information, or whether there is enough information contained within the models to allow a randomly selected set of models to perform as well or even better. It is conceivable that selecting random models would introduce more variation into a the set of models, which would enable the AMPLE truncation algorithm to better prune away the more variable regions to leave a more accurately conserved core. A run was therefore attempted using 10 clusters of randomly selected models.

After this work was started, we were made aware of the GESAMT program, which is able to rapidly compare models using a metric known as the Q-score. The Q-score is defined as:

\[
Q = \frac{N_{\text{align}}^2}{\left[1 + \left(\frac{\text{RMSD}}{R_0}\right)^2\right] N_1 N_2}
\]  

6.1
where $N_{\text{align}}$ is the number of aligned residues between the two structures, $N_1$ and $N_2$ are the number of residues in the two structures, $R_0$ is an empirical parameter set at 3Å, and RMSD the RMSD between the Cα atoms of the two structures. GESAMT, which is developed by Eugene Krissinel and part of CCP4, is extremely fast. If, therefore, the QSCORE is suitable as a metric for clustering the models, it would provide an attractive alternative to calculating TM scores directly with SPICKER. A run was therefore attempted using QSCORE calculated by GESAMT as the metric, but with the SPICKER clustering algorithm.

Table 6.10 below therefore compares the results of the SPICKER/TM, SPICKER/RMSD and SPICKER/QSCORE clustering algorithms with 10 random clusters of 200 models. The ideal helices results are also included for comparison.
Table 6.10. Successful/total search models for the different clustering algorithms and ideal helices

<table>
<thead>
<tr>
<th>PDB</th>
<th>SPICKER TM</th>
<th>SPICKER RMSD</th>
<th>SPICKER QSCORE</th>
<th>RANDOM</th>
<th>IDEAL HELICES</th>
</tr>
</thead>
<tbody>
<tr>
<td>1MIX</td>
<td>0/86</td>
<td>0/46</td>
<td>0/97</td>
<td>0/29</td>
<td>0/8</td>
</tr>
<tr>
<td>1P9G</td>
<td>5/305</td>
<td>2/322</td>
<td>2/320</td>
<td>0/298</td>
<td>0/8</td>
</tr>
<tr>
<td>1UCS</td>
<td>4/255</td>
<td>0/235</td>
<td>0/236</td>
<td>0/275</td>
<td>0/8</td>
</tr>
<tr>
<td>1XKR</td>
<td>6/62</td>
<td>0/40</td>
<td>7/83</td>
<td>0/22</td>
<td>0/8</td>
</tr>
<tr>
<td>2EFR</td>
<td>0/74</td>
<td>0/40</td>
<td>0/112</td>
<td>0/114</td>
<td>0/8</td>
</tr>
<tr>
<td>2FM9</td>
<td>0/105</td>
<td>0/70</td>
<td>0/128</td>
<td>0/93</td>
<td>0/8</td>
</tr>
<tr>
<td>2JKU</td>
<td>37/201</td>
<td>34/193</td>
<td>32/224</td>
<td>2/55</td>
<td>0/8</td>
</tr>
<tr>
<td>2QIH</td>
<td>61/168</td>
<td>35/116</td>
<td>72/153</td>
<td>58/178</td>
<td>7/8</td>
</tr>
<tr>
<td>2QSK</td>
<td>0/34</td>
<td>0/20</td>
<td>0/26</td>
<td>0/24</td>
<td>0/8</td>
</tr>
<tr>
<td>2UUI</td>
<td>42/206</td>
<td>51/190</td>
<td>55/203</td>
<td>65/193</td>
<td>6/8</td>
</tr>
<tr>
<td>2XF D</td>
<td>0/228</td>
<td>0/211</td>
<td>0/234</td>
<td>0/246</td>
<td>0/8</td>
</tr>
<tr>
<td>2YKT</td>
<td>6/160</td>
<td>10/125</td>
<td>19/162</td>
<td>9/159</td>
<td>4/8</td>
</tr>
<tr>
<td>3CI9</td>
<td>144/416</td>
<td>65/421</td>
<td>90/439</td>
<td>51/456</td>
<td>4/8</td>
</tr>
<tr>
<td>3CVF</td>
<td>1/311</td>
<td>1/340</td>
<td>0/322</td>
<td>0/8</td>
<td></td>
</tr>
<tr>
<td>3GD8</td>
<td>2/82</td>
<td>0/54</td>
<td>6/78</td>
<td>3/61</td>
<td>0/8</td>
</tr>
<tr>
<td>3GHF</td>
<td>0/157</td>
<td>0/136</td>
<td>0/165</td>
<td>0/169</td>
<td>0/8</td>
</tr>
<tr>
<td>3HAP</td>
<td>5/162</td>
<td>3/155</td>
<td>0/176</td>
<td>5/173</td>
<td>3/8</td>
</tr>
<tr>
<td>3HFE</td>
<td>0/297</td>
<td>2/291</td>
<td>0/299</td>
<td>0/298</td>
<td>0/8</td>
</tr>
<tr>
<td><strong>Totals:</strong></td>
<td><strong>313/3309</strong></td>
<td><strong>207/2993</strong></td>
<td><strong>284/3475</strong></td>
<td><strong>193/3165</strong></td>
<td><strong>24/144</strong></td>
</tr>
</tbody>
</table>

Cells with successful search models are coloured in green. Cells with only a single search model are coloured a lighter shade of green to indicate that this case was particularly difficult to solve.

Table 6.10 shows that the SPICKER clustering with the QSCORE metric solves 9 targets, as many as the SPICKER/RMSD clustering, although 2 fewer than SPICKER/TM. It is not able to solve 3HAP and 3HFE solved by SPICKER/RMSD, but instead solves 1XKR and 3GD8. This again shows that different scoring metrics are able to select different models and enable different solutions. As the SPICKER/QSCORE algorithm is more computationally expensive than the SPICKER/RMSD (yet only solves the same number of targets), but less effective than the SPICKER/TM algorithm, whilst requiring similar computational effort, it will not be explored further.

Random clustering is able to solve 7 targets. This is interesting on two levels. Firstly it shows that clustering based on a metric related to structural diversity is providing useful information, as the
best method (SPICKER/TM clustering) is able to solve an additional 4 targets (1P9G, 1UCS, 1XKR and 3CVF). However, it also demonstrates that in more than half of the targets, no clustering is required, as a random selection of models is enough to provide a solution. Random clustering is able to solve all the targets solvable with ideal helices, with the addition of 2JKU and 3GD8.

In order to try and understand the performance of the different clustering algorithms, various data relating to the decoys are tabulated in table 6.11 below, together with two columns indicating if the target was solvable at all, and with random clustering. The column data within the table are:

- **TM Median**: the median TM score of all the decoys to the native
- **TM std. dev.**: the standard deviation of the TM scores of the decoys to the native.
- **Cluster 1 size**: the size of the first SPICKER cluster under TM scoring.
- **Cluster 1 TM Median**: the median TM score of the decoys to the native in the first SPICKER cluster.
- **TM Max**: the maximum (best) TM score of all the decoys to the native.
- **% Helical**: the percentage of helical content of the native structure.
Table 6.11. Different data relating to the decoys, together with two columns indicating if the targets were solvable with any method, and with random clustering.

<table>
<thead>
<tr>
<th>PDB</th>
<th>TM Median</th>
<th>TM std. dev.</th>
<th>Cluster 1 size</th>
<th>Cluster 1 TM Median</th>
<th>TM Max</th>
<th>HELICAL %</th>
<th>Structure could be solved?</th>
<th>Solved with Random Clusters</th>
</tr>
</thead>
<tbody>
<tr>
<td>1MIX</td>
<td>0.22</td>
<td>0.03</td>
<td>10</td>
<td>0.22</td>
<td>0.3659</td>
<td></td>
<td>No</td>
<td>No</td>
</tr>
<tr>
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<td>0.07</td>
<td>156</td>
<td>0.25</td>
<td>0.5127</td>
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<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>1UCS</td>
<td>0.21</td>
<td>0.03</td>
<td>146</td>
<td>0.2</td>
<td>0.3736</td>
<td></td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>1XKR</td>
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<td>0.03</td>
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<td>0.25</td>
<td>0.4103</td>
<td></td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>2EFR</td>
<td>0.2</td>
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<td>0.3241</td>
<td></td>
<td>No</td>
<td>No</td>
</tr>
<tr>
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<td>0.04</td>
<td>35</td>
<td>0.32</td>
<td>0.4156</td>
<td></td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>2JKU</td>
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<td>0.12</td>
<td>79</td>
<td>0.41</td>
<td>0.8569</td>
<td></td>
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<tr>
<td>2QIH</td>
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<td>0.04</td>
<td>123</td>
<td>0.24</td>
<td>0.4186</td>
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<td>Yes</td>
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<tr>
<td>2QSK</td>
<td>0.17</td>
<td>0.02</td>
<td>3</td>
<td>0.18</td>
<td>0.3004</td>
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</tr>
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<td>2UUI</td>
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<tr>
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<td>158</td>
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<td>0.3242</td>
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<td>70</td>
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Cells coloured green are for solvable structures. Light blue cells are for targets where the TM median score is >= 0.3, which is indicative that the overall decoy modelling appears to have worked well.

Table 6.11 presents a range of different data on the models, the first five of which are metrics for the quality of the decoys. With 1000 decoys, it is always difficult to judge the overall quality of the decoys. A number of metrics were therefore compared to gain the best overall picture. The median TM score of all the decoys to the native provides some indication as to whether the models are generally similar to the native. The size of the first SPICKER cluster is generally taken as a good indication of the quality of the modelling (Y. Zhang and Skolnick 2004), with a larger cluster (of up to 200 decoys) indicating better modelling. These data are supplemented with the median TM score of the top cluster, which should provide a somewhat better indication of the overall modeling quality than the median TM score of all the models. The TM Max score is the score of the best...
decoy compared to the native, so although not necessarily indicative of the overall modelling, it does provide some indication of what the modelling is capable of.

Taking all the decoy modelling metrics together, it appears that an overall TM median score of greater than 0.3 indicates good modelling. Figure 6.7 below plots the size of the top cluster against the decoy median TM score.

**Figure 6.7.** The median TM score of all the decoys to the native plotted against the size of the first SPICKER cluster under TM scoring. Points are coloured according to whether the target was solvable under any method (blue) or not (red).

Figure 6.7 shows that, with the exception of one target (3GHF), any target where the overall median TM score of the models was greater than 0.3 was solvable. 3GHF only just achieved a median TM score of 0.3 and is one of the lowest resolution datasets, coupled with having the lowest proportion of α-helicity of any of the targets. Resolution and the proportion of α-helicity are
two factors that are known to contribute heavily to AMPLE's ability to solve structures so this could explain why this target could not be solved despite relatively good modelling.

Cluster size is a less clear indicator of success, but in general, most targets where the top cluster was larger than 60 were solvable. The two exceptions are 2XFD and 3GHF. 3GHF has been dealt with above. Despite the large cluster size, the modelling for 2XFD does not appear to have been particularly successful as the median decoy TM score is considerably below 0.3, while the best decoy only has a TM score of 0.3242. Additionally 2XFD has the highest proportion of β-sheet of any of the targets, something that is known to make modelling less reliable and to affect the ability of SHELXE to trace the main chain. Figure 6.8 plots the resolution and percentage of α-helicity of the targets.

![Figure 6.8. The proportion of the target that is α-helical plotted against resolution for the 18 targets. Points are coloured blue for solvable and red for unsolvable.](image)

Figure 6.8 shows that there does not appear to be any particular trend in resolution or α-helicity indicating whether targets are solvable, as both high resolution targets failed to solve, while the
lowest resolution was solvable. Moreover, the target with the lowest proportion of α-helix solved while the target with the highest failed to solve. Taken together with figure 6.7, this would indicate that the quality of the modelling appears to be the best indicator of success.

Of the targets where the modelling appears to have been good, only 3CVF and 3HFE were not solvable with random clusters, which indicates that when the modelling is good, clustering may not be required to select models of sufficient quality to enable solution. That 3CVF did not solve can be explained by the fact that it has the poorest resolution (2.9Å) and is something of an anomaly in being solvable at all with AMPLE, as it falls far outside of the optimal operating parameters of SHELXE, which AMPLE relies on for its solutions. Based on the modelling and target data, there is no clear indication as to why 3HFE did not solve, as it is relatively small, has high-resolution data, is primarily α-helical and the modelling appears to have been good; all factors which indicate it should have been easy to solve with AMPLE.

Figures 6.9 to 6.22 below show the top solution, as measured by the SHELXE CC score from random clustering, and the top solution from SPICKER/TM clustering for comparison.

**Figure 6.9.** Top solution (blue) of 2JKY (green) with random clustering.  
**Figure 6.10.** Top solution (blue) of 2JKY (green) with SPICKER/TM clustering.
Figure 6.11. Top solution (blue) of 2QIH (green) with random clustering.

Figure 6.12. Top solution (blue) of 2QIH (green) with SPICKER/TM clustering.

Figure 6.13. Top solution (blue) of 2UUI (green) with random clustering.

Figure 6.14. Top solution (blue) of 2UUI (green) with SPICKER/TM clustering.
**Figure 6.15.** Top solution (blue) of 2YKT (green) with random clustering.

**Figure 6.16.** Top solution (blue) of 2YKT (green) with SPICKER/TM clustering.

**Figure 6.17.** Top solution (blue) of 3CI9 (green) with random clustering.

**Figure 6.18.** Top solution (blue) of 3CI9 (green) with SPICKER/TM clustering.
Two things are particularly noticeable from figures 6.9 to 6.22. The first is that the solutions for random and SPICKER/TM clustering are generally placed on the same part of the native structure, even when the search models themselves are quite different. The second feature of interest is that the solutions from SPICKER/TM clustering appear more accurate, so that almost all of the search
model is placed on the native structure, whereas with random clustering (particularly for 2JKY, 2QIH and 3CI9) a substantial portion of the model is not overlaid on any part of the native structure.

In order to investigate this more systematically, the RIO score was plotted as a proportion of the number of Cα atoms in the search model(s) for the random and SPICKER/TM clustering. A proportion of 1 would indicate that all atoms in the search model were overlaid on the native structure, whereas lower proportions would indicate increasing numbers of residues placed incorrectly.

**Figure 6.23.** RIO score as a proportion of the number of Cα atoms in the placed search models for random clustering. **Figure 6.24.** RIO score as a proportion of the number of Cα atoms in the placed search models for SPICKER/TM clustering.

Figures 6.23 and 6.24 show quite clearly that the proportion of Cα atoms placed 'correctly' is higher for the SPICKER/TM clustering than for the random clustering, as borne out by the models shown in figures 6.9 to 6.22. This again confirms that the clustering of the decoys is helping to select salient features that are enabling solutions and resulting in the better performance of the SPICKER/TM clustering as opposed to the random clustering.
6.4 Discussion

6.4.1 Truncation
Surprisingly, the truncation of isolated residues does not significantly alter the results. There are two possible reasons for this. Firstly, the truncation is carried out based on the inter-residue variance, which is considered a proxy for the quality of the modelling of that residue. Even if a residue is isolated, it is possible that it has been well modelled and contributes positively to the density.

A second possible reason, is that the truncation of the residues was carried out based on their isolation in sequence. Although this is often correlated with their being isolated in space, this is not necessarily the case (as residues can be packed against residues from a different part of the chain due to flexibility of the chains). A better strategy might be to truncate isolated residues that are isolated in space.

However, as residues that are isolated in space will necessarily be isolated in sequence (since, being isolated in space they cannot be directly connected to any other residues), by removing residues isolated in sequence, all of those isolated in space will also have been removed. That this did not lead to any great change in the number of successes or failures suggests that this is probably not a strategy worth pursuing further.

6.4.2 Sub-clustering
The fixing of the errors in the sub-clustering algorithm, and the implementation of the slicing of larger clusters to create additional ensembles, where clustering at a larger radius does not add any more models, does not greatly change the number of successes or solve any additional targets. It is therefore probably not worth adding the additional ensembles if clustering at a larger radius does not change the number of models.
Sub-clustering under a larger radius than 3Å does not appear to bring any benefit, as only 2 ensembles with radii larger than 3Å solved, and this did not add any additional solutions, but did greatly increase the number of ensembles overall.

The original AMPLE sub-clustering strategy was therefore retained, although the error that led to the creation of duplicate ensembles had been fixed.

6.4.3 Clustering
Of all the strategies attempted here, it is clustering that has demonstrated the best promise and ability to enable AMPLE to solve harder targets. The exploration of different scoring metrics and clustering algorithms has shown that 13 out of the 18 targets selected for the test set are solvable with the current set of decoys. This is an interesting result as all of the test cases were chosen to be hard for AMPLE to solve; even those that had been solved before were at the limits of what was expected to be possible.

The original SPICKER/RMSD single cluster strategy was able to solve 6 targets, but this was increased to 9 when using 10 clusters with 1 and 3Å sub-clustering and polyalanine side chains. This came at a cost of doubling the number of search models, but with the same proportion of successes, meaning that the time to solution in successful cases would be expected to be the same as for the original strategy.

Using the 10-cluster strategy but with TM scoring enabled the solution of 11 targets. This represented a near doubling of the number of solvable targets, but again with a corresponding doubling of the number of search models. In addition, there is the additional time that is required to calculate the all-by-all TM score matrix required by SPICKER. For the largest target, 2YKT, the total time to run SPICKER with TM scoring was just under 8 hours; a huge increase on the 2-3 minutes required for RMSD scoring. However, as part of this work, SPICKER has been parallelised with OPENMP, which has led to a linear increase in the time to run SPICKER with the number of
processors. With 4 processors (not unusual on most desktop or laptops at the time of writing), the time for SPICKER/TM clustering drops to an average of 2 hours, something that is acceptable when the average AMPLE run takes of the order of 24 hours, particularly given the large increase in the number of successes.

As part of this work, the code to cluster with SPICKER with both RMSD and TM scoring, as well as the code to FPC have all been made available within AMPLE, so users now have the possibility of running different strategies for cases that are particularly difficult to solve.
6.5 Conclusion
The examination of the truncation and sub-clustering stages of AMPLE indicates that these parameters are largely optimal, and that there is little scope for improvement here. The shortcomings in the original sub-clustering implementation have been remedied and this will now become the default implementation.

This work has, however, demonstrated that there is considerable scope for improvement in the AMPLE algorithm beyond the current default SPICKER/RMSD single-cluster approach. For most users, if the protein has significant α-helical content, an attempt should be made to solve the structure with ideal helices, as this is by far the quickest route to solution given that it requires no modelling. If ideal helices do not work, or if the target contains no significant α-helical content, the 10-cluster SPICKER/TM algorithm with 1 and 3Å sub-clustering and polyalanine side chains should be attempted, ideally on a multi-core machine to speed up the time to solution.

This work has also looked extensively at the core AMPLE cluster and truncate algorithm. It is clear, however, that there is much scope for further investigation of the modelling and MR stages to see if similar improvements can be made there. For example, evolutionary contact information can be added at the modelling stage, and different MR approaches (e.g. using ACORN instead of SHELXE for density modification) could be used at different x-ray resolutions.
Chapter 7: Conclusion and Outlook
7 Conclusion and Outlook

7.1 Conclusion

This thesis has been concerned with the development of the CCP4 MR pipeline AMPLE and its application to different classes of macromolecules.

The software development work that was undertaken, has taken AMPLE from being a prototype piece of software consisting of a collection of independent scripts, to a coherent, modularised program incorporating a range of software best practice. This has brought a number of advantages, including making it simpler to extend and develop the program, enabling new developers to become involved, and making possible the acceleration of the testing cycle for new CCP4 releases. In addition, the integration of the results analysis within a standard AMPLE run has meant that benchmarking and testing AMPLE on new test cases will be an order of magnitude faster in the future.

The experience of running AMPLE on different classes of proteins has demonstrated the applicability of AMPLE across different protein classes and also provided a range of insights into how it works. When AMPLE was first developed, its fundamental assumption was that clustering would select a potentially correct overall fold, and that truncation would progressively remove the most poorly modelled sections until a relatively large, but well-modelled core, would provide enough information to enable MR.

In contrast, the coiled-coil work has shown that solution is often achieved by the placement of, often very small, fragments that are placed out of register with regard to the section of the protein that they are meant to model. If the resolution of crystallographic data are sufficiently high, then
AMPLE is able to use SHELXE to trace up from the small fragment in a manner similar to that pioneered by ARCIMBOLDO.

The graphs of the percentage of the modelled chain that successfully solved structures (figures 3.6 and 4.6) show that AMPLE is effectively capable of working both with smaller fragments and with more complete structures. For proteins that are smaller than about 150 residues, the modelling is often good enough for largely complete structures to be successful, and, with the advent of evolutionary contact information, this is likely to become possible for ever-larger proteins. When the modelling is poor, or the case particularly difficult, then solving the structure with a small fragment may still be possible.

Partly as a result of seeing the effectiveness of small fragments, but primarily to provide a reliable benchmark of the utility and effectiveness of the modelling, an ideal helix mode has been developed for AMPLE. This has demonstrated that modelling is required for difficult cases. The ideal helix mode has also, however, provided a quick and easy method for the solution of helical proteins. We have already been informed of a number of successes using this mode, both for coiled-coil and globular proteins.

The work on transmembrane proteins has been a mixture of positive and slightly disappointing results. That 10 of the 15 test cases could be solved is extremely positive and opens up another class of proteins to solution by AMPLE. However, despite the good overall success rate, the fact that several proteins expected to be solvable (based on size, resolution etc.) turned out not to be, and that ideal helices were able to solve cases which AMPLE could not is disappointing. It is possible that because of the difficulties of working with transmembrane proteins, crystallographers may be more optimistic when defining the resolution than they would be when working with more experimentally-forgiving water-soluble proteins. However, more work will need to be done to determine if this is the case. The use of the GREMLIN mode for modelling of transmembrane proteins also greatly simplifies the modelling by ROSETTA, as it no longer requires the installation
of the BLAST programs and (very large) NCBI NR database that were required by the original RosettaMembrane protocol.

The work on applying the AMPLE cluster and truncate procedure to distant homologs has opened up an exciting new avenue. The work has demonstrated that AMPLE is able to turn distant homologs that would previously have been unsuccessful in MR into successful search models. This has the advantage that no modelling is required, and so considerably reduces the computational demands of the program. Although likely to be a popular approach to using AMPLE (and considerable interest in its use at several workshops has already been demonstrated), because it is limited to existing structures within the PDB, it lacks the ability to solve entirely novel folds enabled by ab initio modelling programs such as ROSETTA and QUARK.

The final section of work on exploring the different parameters has provided several new modes of running AMPLE that offer the promise of considerably increasing the success rate. The main discovery was that there is valuable information contained in clusters other than the top one returned by SPICKER. By sampling multiple clusters, there is no restriction to a single fold prediction, thus increasing the possibility of using all elements of the modelling. The sub-clustering and side chain treatment strategies, although effective with the single-cluster mode, have less of a role to play when multiple clusters are sampled (previous work having shown that singleton solutions were sometimes obtained with a single sub-clustering radius or side chain treatment). By sampling all 10 clusters, only using 1 and 3Å sub-clustering, and restricting the side chain treatment to polyalanine, the performance could be increased whilst only marginally increasing the run time. Using TM scoring as a clustering metric again increases the performance, albeit at a reasonably large increase in run time, which can, however, be largely amortised through the use of multiple processors.
7.2 Outlook
The work presented here has opened up a range of new avenues for both consolidating and extending the research around AMPLE.

The work on transmembrane proteins will will be revisited using the latest methods for contact prediction, together with the new AMPLE multi-cluster approach, which will become the default mode for clustering. This promises to extend the successes to further targets and make AMPLE a more viable approach for dealing with moderately high-resolution transmembrane proteins.

The multiple-homolog work has really been an initial foray into the potential for using AMPLE to increase the utility of distant homologs for MR. Different ways of structurally aligning the proteins using the variances calculated by GESAMT rather than those calculated by THESEUS are being explored, to see if this improves the results. There is also the possibility of using not just the core of all aligned homologs (i.e. the positions where all structures are aligned within the geometric tolerance), but taking the overall alignment as a guide and then selecting subsets of it where there are two or more proteins that are larger than the core, but nevertheless align well together.

Using single distant homologs and creating ensembles by using programs that create ensembles based on the structure of the protein (such as CONCOORD), also offers a route to expand AMPLE’s capabilities with distant homologs.

The use of multiple clusters and TM-scoring with SPICKER (using the new OPENMP parallelised version) will require the build system for SPICKER to be updated within CCP4. Once this has been done, using AMPLE with TM-clustering will become possible for all CCP4 users.

Additional work that is planned will explore extending the capabilities of the server so that it is better able to present the key capabilities of AMPLE in a simple way that does not require the
installation of large databases or software packages, and allows users to take advantage of the large processing power of the cluster that lies behind it.
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