

1 **Aurora B expression modulates paclitaxel response in non-small cell lung cancer**

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20 **ABSTRACT**

21 Background

22 Taxanes are mitotic poisons widely used in the treatment of non-small cell lung cancer
23 (NSCLC), however, little is known about potential molecular modulators of response to these
24 compounds. Aurora B (AURKB) is a critical regulator of the mitotic spindle assembly,
25 previously shown overexpressed in NSCLC. Here, we investigated the hypothesis that AURKB
26 expression modulates the efficacy of taxanes in NSCLC cells.

27 Methods

28 *AURKB* mRNA expression was determined by qPCR in 132 frozen NSCLC tissues and 9 NSCLC
29 cell lines. AURKB expression was knocked down in cell lines using multiple shRNA constructs.
30 Barasertib was used to specifically inhibit Aurora B activity, determined by the level of H3S10
31 phosphorylation.

32 Results

33 Frequent AURKB mRNA upregulation was observed in NSCLC tissues ($p < 0.0001$), being more
34 prominent in squamous carcinomas ($p < 0.0001$). AURKB expression in cell lines strongly
35 correlated with sensitivity to both docetaxel ($p = 0.004$) and paclitaxel ($p = 0.007$). AURKB
36 knock-down derivatives consistently showed a dose-dependent association between low
37 AURKB expression and resistance to paclitaxel. Specific chemical inhibition of Aurora B
38 activity also demonstrated a strong dose-dependent efficiency in triggering paclitaxel
39 resistance.

40 Conclusion

41 Aurora B activity is an important modulator of taxane response in NSCLC cells. This may lead
42 to further insights into taxane sensitivity of NSCLC tumours.

43 INTRODUCTION

44 Lung cancer is currently responsible for almost a quarter of cancer-related deaths in the
45 developed countries. Non-Small Cell Lung Carcinoma (NSCLC) is the most frequent type with
46 adenocarcinoma (AdC) and Squamous Cell Carcinoma (SqCC) being the most common
47 subtypes (Travis *et al*). Despite the recent advances in targeted therapies for lung cancer,
48 including agents targeting EGFR, VEGF and ALK, the therapeutic regimes currently used for
49 NSCLC treatment are largely still based on the use of organometallics and anti-mitotics
50 (Camidge *et al*, 2014).

51 Taxanes are microtubule targeting agents (MTAs), which are used to treat a variety of
52 human cancers (Del Mastro *et al*, 2013), (Isonishi *et al*, 2013), (Van Veldhuizen *et al*, 2003)
53 including lung carcinomas (Maemondo *et al*, 2014). Paclitaxel and Docetaxel represent the
54 most prominent members of the taxane family and have manifested significant activity,
55 mainly used as part of complex chemotherapeutic regimens (Tsao *et al*, 2011), (Oh *et al*,
56 2013) or less frequently as monotherapy (Herbst *et al*, 2010), (Chu *et al*, 2005). The cytotoxic
57 action of these compounds is mediated primarily through their binding of β tubulin
58 monomers, leading to microtubule stabilization, thus blocking their depolymerization and
59 subsequently triggering cell cycle arrest at the G2/M phase (Monzo *et al*, 1999). However,
60 many other pathways may also be involved in modulating their therapeutic effect. Thus far,
61 the range of relevant interactions is not clear and the mechanisms related to taxane
62 resistance acquisition are largely unexplored, in spite of their long clinical use in cancer
63 therapeutics (Murray *et al*, 2012), (Che *et al*, 2013). Although an increasing number of
64 targeted therapeutic agents have come into clinical use (Kris *et al*, 2014), it is estimated that
65 taxanes will still be used in chemotherapy regimens for lung cancer treatment for the

66 foreseeable future (Cagle & Chirieac, 2012). Therefore, the identification of relevant
67 response predictors will highly benefit clinical practice by stratifying patients into suitable
68 currently available schemes (Cottini & Lautenschlaeger, 2013).

69 A number of genes have been previously implicated in taxane resistance in NSCLC.
70 Functional p53 was shown to induce sensitivity to docetaxel (Jinturkar *et al*, 2012) and
71 paclitaxel (Guntur *et al*, 2010) in NSCLC cell lines. A phase-III randomized trial showed that
72 *KRAS* mutations decrease response of NSCLC to therapy involving paclitaxel, carboplatin and
73 erlotinib (Eberhard *et al*, 2005). It is reasonable to hypothesize that the expression of genes
74 involved in mitotic spindle formation may be predictors of sensitivity to taxanes. Tubulin β III
75 mutations have been associated with resistance to taxanes in NSCLC patients (Kaira *et al*,
76 2013) as well as cultured lung cancer cells (Kavallaris *et al*, 1999). However, the inclusion of
77 *TUBB3* expression levels in the prediction model for docetaxel sensitivity shows no
78 improvement while certain drug pump genes (MRP5 and MVP) and detoxification genes
79 appear as better predictors (Glaysher, Yiannakis *et al*. 2009). The *CHFR* gene has also been
80 suggested as a potential predictor of response of NSCLC patients to first-line therapy with
81 carboplatin and paclitaxel (Pillai *et al*, 2013). Nevertheless, the available information on
82 taxane efficacy predictors does not provide conclusive evidence. Considering the role of
83 Aurora kinases in spindle formation and the reported extent of their deregulation in cancer,
84 one may hypothesize that Aurora-dependent modulation of taxane efficiency is probable.

85 Aurora kinase B (*AURKB*) is a member of the Aurora kinase subfamily of conserved
86 Serine/Threonine kinases, which also includes Aurora A and Aurora C. Deregulation of
87 Aurora kinases leads to impairment of mitotic spindle checkpoints causing abnormal spindle
88 assembly (Fu *et al*, 2007). Aurora B is encoded by the *AURKB* gene (17p13.1) and plays a key

89 role during mitosis by regulating chromosomal alignment, segregation and cytokinesis, as
90 the catalytic protein of the Chromosomal Passenger Complex (CPC). Activation of Aurora B
91 by INCENP is required for promoting transfer of the CPC sub-complex (INCENP–Survivin–
92 Borealin subcomplex) to the spindle midzone during mitotic exit (Xu *et al*, 2009). Here, it is
93 localized to the centromere and is required for kinetochore localization and chromosome
94 attachment to the mitotic spindle (Hauf *et al*, 2003), as well as establishing the spindle
95 assembly checkpoint (SAC) to correct anomalous chromosome-kinetochore microtubule
96 attachment before the cell enters anaphase (Carmena *et al*, 2012).

97 *AURKB* is frequently overexpressed in NSCLC (Vischioni *et al*, 2006) and is associated with
98 genetic instability (Smith *et al*, 2005), aneuploidy and poor patient prognosis (Takeshita *et al*,
99 2013). However, high Aurora B kinase expression has been associated with improved
100 relapse-free survival (RFS) in ovarian cancer patients on taxane-based therapy (Beussel *et al*,
101 2012). Currently, there is very limited information on the potential impact of Aurora B on
102 taxane response in cancer cells. In this study, we confirmed the extent of *AURKB*
103 deregulation in NSCLC and utilized *in vitro* approaches to investigate the hypothesis that
104 *AURKB* activity may modulate and thus be predictive of the sensitivity to taxanes in NSCLC.

105 **METHODS**

106 **Primary NSCLC tumour samples:** This study was undertaken in association with the
107 Liverpool Lung Project. Appropriate Ethical approval has been acquired and all patients have
108 provided written informed consent. 132 snap-frozen tumour samples were obtained at
109 surgery and included in the study; 56 from AdC and 76 from SqCC. Pathological review of the
110 sections used in this study confirmed inclusion of only specimens with >70% tumour cell
111 content. In addition, 44 non-tumour paired surgical tissues (20 from AdC and 24 from SqCC

112 patients) were available for analysis, taken from the distant edge of the lung resection. The
113 clinicopathological characteristics of this cohort are provided in Supplementary Table 1. The
114 median age of those patients was 67 (45-82); 56 of the patients were females and 77 were
115 males.

116 **Cell lines & Growth conditions:** All cell lines in this study were authenticated using the
117 GenePrint® 10 System (Promega) and analysis on a 3130 Genetic Analyzer (Life
118 Technologies) and mycoplasma tested utilising the e-Myco™ plus Mycoplasma PCR
119 Detection Kit (Intron Biotechnology). Nine NSCLC cell lines (A549, Calu-3, CALU6, CRL5802,
120 COR-L23, H358, LUDLU-1, SK-LU-1 & SK-MES1) were cultured in Dulbecco's Modified Eagle's
121 Medium (DMEM)/Ham's Nutrient Mixture F-12 (1:1) containing 5% Fetal Bovine Serum
122 (Sigma-Aldrich). Non tumourigenic immortalised human bronchial epithelial cells (HBEC-3KT)
123 along with their isogenic derivatives; p53 knockouts (HBEC-3KT-53), KRAS mutants
124 (HBEC_3KT_R) and cells with both aberrations (HBEC-3KT-R53) were also employed in this
125 study. HBECs were cultured in Keratinocyte-SFM medium supplemented with 50µg/mL
126 Bovine Pituitary Extract (BPE) and 5 ng/mL human recombinant Epidermal Growth Factor
127 (rEGF) (Life Technologies). All cell lines were maintained at 37°C, 5% CO₂.

128 **Knock-down of *AURKB* by short hairpin RNA (shRNA):** A549 and SK-MES1 cell lines were
129 transfected with five different *AURKB*-targeting MISSION® shRNA constructs (Sigma-Aldrich,
130 clone numbers: TRCN0000000777, TRCN0000000776, TRCN0000000778, TRCN0000000779
131 and TRCN0000010547) along with a scrambled control construct, using Attractene
132 Transfection Reagent (Qiagen). Stable clones were selected by exposure to Puromycin (4
133 nM) and knockdown efficiency was confirmed by qPCR and western blotting.

134 ***AURKB* overexpression**

135 The AURKB-PCMV6-XL4 construct (Cat no. PCMV6XL4, SC117526 NM_004217 AURKB –
136 OriGene, USA) was digested with PstI (New England Biolabs, UK) and ligated with a
137 Blasticidin cassette containing fragment which was derived by SmaI digestion of the
138 pCMV/Bsd plasmid (Catalogue no. V510-20 - Life Technologies, UK). Standard blunt end
139 ligation was performed using T4 DNA ligase (New England Biolabs, UK). One Shot TOP10
140 Chemically Competent E. coli cells (Invitrogen) were transformed and clones were selected
141 for validation. The derived constructs were confirmed by dideoxyterminator sequencing (Life
142 Technologies, UK).

143 CALU3 cell line was transfected with pCMV6-XL4-AURKB/Bsd recombinant plasmid using
144 Attractene Transfection Reagent (Qiagen). Stable transfectant clones were selected in the
145 presence of 5 µg/ml Blasticidin (SIGMA, UK). mRNA overexpression of AURKB was confirmed
146 by qPCR.

147 **Taxane and AZD1152 (Barasertib) exposure experiments.** Depending on the growth rate of
148 each cell line, 5×10^4 to 8×10^4 cells were seeded in each well of flat-bottomed 48-well
149 plates in 6 replicates and cultured in 500 µl of medium. After overnight incubation, the
150 medium was replaced with media containing a range of Paclitaxel (Sigma-Aldrich) or
151 Docetaxel (FLUKA) concentrations (0-35nM) and/or Barasertib (Selleck Chemicals) (0-3.2
152 nM). Cells were incubated for 72 hours with replenishment of medium with drug at 36
153 hours. Toxicity was measured by MTT assay. Briefly, 200 µL of fresh medium containing 0.75
154 mg/ml 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (Sigma-Aldrich) was
155 added and incubated at 37°C for three hours. Cells were lysed using 0.04M HCl in
156 isopropanol and the optical density (O.D.) was measured at 590nm with 630nm as reference
157 in a GENios plate reader (Tecan Austria GmbH).

158 **DNA and RNA extraction, Reverse Transcription and qPCR:** DNA was extracted from cell
159 lines and primary lung tumours using the DNeasy® Blood and Tissue Kit (QIAGEN). Total RNA
160 was extracted using Direct-zol™ RNA MiniPrep Kit (Zymo Research). Quality and quantity of
161 DNA and RNA were determined using a NanoDrop 2000 Spectrophotometer (Thermo
162 Scientific). 200ng RNA was Reverse Transcribed using the High Capacity cDNA Reverse
163 Transcription Kit (Life Technologies). A predesigned FAM labelled Taqman expression assay
164 (Hs00945858_g1 - Life Technologies) was employed to analyse *AURKB* mRNA expression,
165 with a VIC-labelled *ACTB* Taqman assay (4326315E – Life Technologies) serving as
166 endogenous control. Real-time PCR assays were performed in triplicate using a
167 StepOnePlus™ Real-Time PCR System (Life Technologies). HBEC-3KT RNA was used as
168 calibrator for calculating delta delta cycle thresholds ($\Delta\Delta Ct$) and relative quantification (RQ)
169 values.

170 **Western blotting:** Cultured cells were lysed in SDS-based cell lysis buffer containing
171 protease/phosphatase inhibitors and whole cell lysates were sonicated 10 times for 5-15 sec
172 with 10 sec intervening pauses [AC 100V 50/60 HZ]. Protein concentrations were determined
173 using the BCA assay (Thermo Scientific) on a NanoDrop 2000 Spectrophotometer (Thermo
174 Scientific). Ten to fifty μg of total protein was reduced by NuPAGE Reducing Agent (Life
175 Technologies) and incubated at 70°C for 10 minutes. Samples were run in NuPAGE Novex
176 Bis-Tris Gels utilising NuPAGE Antioxidant containing NuPAGE MES Running Buffer (Life
177 Technologies). Electroblothing on PVDF membranes was undertaken by iBlot Dry Blotting
178 System (Life Technologies). The iBind Western System (Life Technologies) was employed for
179 application of blocking, primary and secondary antibodies (at dilution 1:1000) and washing
180 steps. Mouse monoclonal primary antibodies to total Histone H3 (phospho S10) (ab14955)
181 and β -actin (ab8226) (Abcam) were used, while IRDye 800CW Goat Anti-Mouse (LI 925-

182 32210) antibody (Odyssey®- LI-COR Biosciences) served as secondary. The immune
183 complexes were detected using Odyssey® CLx Infrared Imaging System (Odyssey®- LI-COR
184 Biosciences).

185 **Statistical analysis:**

186 All Statistical analyses were performed using IBM® SPSS® statistical software version 22.0
187 (Armonk, NY: IBM Corp) unless otherwise stated. Covariates were assessed for compliance
188 to normal distribution graphically using histograms and by analytical methods using
189 Kolmogorov-Smirnov/Shapiro-Wilk tests. In the absence of normality, Mann-Whitney,
190 Wilcoxon rank or Kruskal-Wallis was employed to assess the difference in *AURKB* mRNA
191 expression and response to taxanes. Kaplan-Meier method was employed for survival
192 analysis and statistical differences between groups were examined with Log-rank test. The
193 IC50 values were calculated using Prism 5 (GraphPad) in comparison to untreated cells at
194 time 0.

195 **RESULTS**

196 ***AURKB mRNA is overexpressed in NSCLC tissues and cell lines.***

197 mRNA expression profiling of *AURKB* in snap-frozen tissues demonstrated significant
198 overexpression of the gene transcript in tumour tissue compared to the adjacent normal
199 tissues (Mann-Whitney test, $p < 0.0001$, Figure 1A). This overexpression was more profound
200 in SqCC than AdCs (Mann-Whitney test, $p < 0.0001$, Figure 1B). In addition, *AURKB* expression
201 was more elevated in higher pathological T stages (T1 vs T2, $p = 0.012$, Supplementary figure
202 1), however, this finding has to be treated with caution as the great majority of tumours in
203 this sample set fall into the pT2 stage group ($n = 101$) while pT1 and pT3/4 group comprised
204 19 and 12 patients respectively. This bias reflects the stage distribution among the operable
205 NSCLC cases in the UK and therefore our access to the relevant tissue. *AURKB* upregulation
206 did not demonstrate a significant impact on overall survival (OS) in this set, although a non-
207 significant trend was demonstrated in adenocarcinoma patients ($p = 0.079$, Supplementary
208 Figure 2). Survival analysis using the top and bottom terciles instead of the median did not
209 result in a significant relationship, most probably due to the significant reduction of numbers
210 of patients in these groups. This weak correlation prompted us to check the available data in
211 the public domain. In there (<http://kmplot.com/analysis/index.php?p=service&cancer=lung>)
212 when selecting all NSCLC, *AURKB* expression significantly reduces survival ($p < 10^{-16}$). However
213 when selecting only the NSCLC group subjected to chemotherapy this correlation is not true
214 ($p = 0.3$). No further associations were found between *AURKB* mRNA expression and age,
215 gender, clinical stage or nodal status in our patient set.

216 *AURKB* mRNA expression profiling was also undertaken in a panel of lung cancer cell lines,
217 along with immortalized normal human bronchial epithelial cells (HBEC-3KT and its isogenic

218 p53 knockout and *KRAS* mutant derivatives). *AURKB* expression was variable among the lung
219 cancer cell lines, however, it was markedly higher in comparison to that of non-tumourigenic
220 HBECs (Figure 2). It is of note that among HBECs *AURKB* mRNA expression was higher in the
221 p53 knockout derivatives (HBEC3KTP53 and HBEC3KTRp53) while a borderline reduction was
222 seen in *KRAS* mutants (HBEC3KTR).

223 ***Modulation of NSCLC cells resistance to taxanes by AURKB expression.***

224 The IC50 values for paclitaxel and docetaxel toxicity among the available lung cell lines were
225 determined following treatment with a range of concentrations (1 - 35 nM) of the two drugs
226 (Supplementary table 2). IC50s for docetaxel were consistently lower than those of paclitaxel
227 with one exception (SKLU1 cells). Interestingly, mRNA expression of *AURKB* in NSCLC cell
228 lines inversely correlated with resistance to both docetaxel (Spearman's test, $\rho=-0.850$,
229 $p=0.004$) and paclitaxel ($\rho= -0.817$, $p=0.007$, Supplementary table 2). We explored the
230 hypothesis this effect simply being a correlation between taxane resistance and doubling
231 time (Supplementary table 3). However, our results did not demonstrate any correlation
232 between doubling time with either *AURKB* expression (Spearman's test, $\rho=-0.533$, $p=0.139$)
233 or resistance to paclitaxel ($\rho=0.333$, $p=0.381$) or docetaxel ($\rho=0.500$, $p=0.170$,
234 Supplementary table 2).

235 In order to further explore the possible modulation of taxane response by *AURKB*, we
236 investigated the resistance of lung cancer cell lines to paclitaxel by (a) knocking down *AURKB*
237 expression and (b) chemically inhibiting its activity. Successful *AURKB* knock down clones
238 were derived from A549 and SK-MES1 cells using different shRNA constructs. Knock-down
239 efficiency was assessed by qPCR and western blot (Figure 3). The clones, as expected,
240 demonstrated variable knock down efficiency. When exposing these clones to paclitaxel it

241 was apparent that response to the drug inversely correlated to the level of *AURKB* mRNA
242 expression in a dose-dependent manner. This was true for both clones derived from A549
243 and 4 clones derived from SK-MES1, while scrambled shRNA clones did not demonstrate
244 altered response to paclitaxel when compared to the paternal cells (Figure 3).

245 Additional support to the knock down experiments came from overexpressing *AURKB* in
246 Calu-3 cell line. A stable overexpressing clone derived from these cells demonstrated higher
247 sensitivity to paclitaxel (Supplementary figure 6).

248 ***Selective inhibition of AURKB desensitizes NSCLC cells to paclitaxel.***

249 In order to gain additional supporting evidence, we undertook selective inhibition of Aurora
250 B using a highly specific Aurora B inhibitor (Barasertib). After experimentally determining the
251 IC50s of Barasertib in A549 SK-MES1 and SKLU1 as 0.9 nM 1.2 nM and 2.3 nM respectively,
252 we simultaneously exposed cells to paclitaxel and a range of Barasertib concentrations.
253 *AURKB* inhibition was confirmed by measuring phosphorylation of histone 3 serine 10
254 (H3S10), which is on one of its prime substrates (Supplementary Figure 3). In addition, we
255 confirmed that Barasertib exposure does not alter the mRNA expression of *AURKB*
256 (Supplementary Figure 3). Barasertib-mediated *AURKB* inhibition clearly demonstrated a
257 dose-dependent effect on paclitaxel efficiency; increased Barasertib concentrations resulted
258 in increased resistance to paclitaxel in all three cell lines (Figure 4). We subsequently
259 confirmed the Barasertib-mediated resistance to paclitaxel in the remaining NSCLC cell lines
260 included in this study (LUDLU1, CRL5807, CRL5802, CORL23, CALU6 and CALU3) as well as all
261 the *AURKB* knock-down derivatives from SKMES and A549 (supplementary Figure 4). It is of
262 note that in the *AURKB* knockdown clones the Barasertib effect, while still visible, is reduced
263 in comparison to the non-*AURKB* engineered NSCLC cell lines, as expected.

264 **DISCUSSION**

265 Aurora B kinase is an important contributor to the mitotic spindle assembly and its
266 overexpression in human cancer has been frequently reported (Sorrentino *et al*, 2005), (Lin
267 *et al*, 2010) (Pohl *et al*, 2011), therefore attracting significant interest in both cancer biology
268 and therapeutics. In this study, we hypothesized that Aurora B activity may be implicated in
269 modulating cellular response to taxanes, due to its role in mitotic spindle function, which is
270 the target of these compounds. mRNA profiling of our NSCLC patient cohort confirmed the
271 extensive overexpression of this gene, as previously reported (Takeshita *et al*, 2013),
272 (Vischioni *et al*, 2006), (Smith *et al*, 2005). *AURKB* overexpression seems to be the main
273 abnormality related with this gene in NSCLC. The relevant entries in COSMIC database
274 (<http://cancer.sanger.ac.uk/cosmic>) report low number of point mutations (5/1985, 0.2%),
275 copy number variations (4/843, 0.4%) and frequent overexpression (139/1008, 13.8%). In
276 addition to the frequency difference in histological NSCLC types, *AURKB* overexpression
277 appeared to be weakly associated with higher pathological stages. This observation may
278 indicate that *AURKB* expression deregulation is a progressive phenomenon; however, one
279 has to replicate this finding in additional cohorts. Nevertheless, investigation of the data
280 available in TCGA study (<http://www.cbioportal.org/index.do>) do not show any pT stage
281 related differences in lung adenocarcinoma or tumour dimensions for squamous lung
282 carcinomas (pT stage was not available in the query in this histology).

283 The molecular triggers behind *AURKB* overexpression in NSCLC are not yet clear. We have
284 investigated a possible relationship between *AURKB* and *KRAS*/ *TP53* status in the studied
285 cancer cell lines, however no such correlation was obtained. This is not surprising, as tumour
286 cell lines are highly abnormal and diverse at the genetic and epigenetic levels, therefore

287 many confounding factors will influence AURKB expression. An interesting observation came
288 from our HBEC isogenic lines (Figure 2), where the addition of mutant KRAS actually
289 significantly reduces AURKB expression, either in the presence or absence of TP53. This is in
290 contrast to a single report available (Dos Santos *et al*, 2016) which demonstrates
291 upregulation of AURKB by KRAS in a different immortalised lung epithelial cell line. Therefore
292 it is not yet clear whether KRAS has a positive or negative impact on AURKB expression. In
293 contrast, TP53 knock-down is clearly associated with increased AURKB levels. While no
294 evidence for direct transcriptional inhibition of AURKB by p53 exists, a possible mechanism is
295 through c-myc. p53 represses, through induction of miR-145 c-myc (Sachdeva *et al*, 2009),
296 which is known to induce expression of both AURKA and AURKB (den Hollander *et al*, 2010).

297 *AURKB* mRNA overexpression alone did not correlate with overall survival, in agreement
298 with two previous studies (Smith *et al*, 2005) (Perumal, Singh *et al*. 2012), although the latter
299 has suggested that *AURKB* expression can be part of a prognostic multi-gene signature. In
300 contrast, immunohistochemical analysis of AURKB protein expression was reported to
301 correlate with poor prognosis (Takeshita *et al*, 2013; Vischioni *et al*, 2006) suggesting that
302 stability and/or correct localization of the protein may also be important.

303 *AURKB* mRNA expression was higher in all lung cancer cell lines tested in comparison to
304 HBECs, as has been previously described (Hayama *et al*, 2007). The most significant
305 observation of this study is the inverse correlation found in NSCLC cell lines between *AURKB*
306 mRNA expression and sensitivity to both tested taxanes, with low levels of *AURKB* being
307 associated with taxane resistance. The hypothesis that this change in resistance might be
308 simply the reflection of a reduction in doubling times was disproved, as no such correlation
309 was demonstrated. In order to verify the inverse correlation found between the endogenous

310 *AURKB* levels and taxane resistance, we knocked down *AURKB* mRNA expression in two lung
311 cancer cell lines. This knockdown consistently triggered a marked increased resistance to
312 paclitaxel. Moreover, it was observed that different knock down efficiency in each clone led
313 to a different level of resistance to paclitaxel, showing for the first time a quantitative effect
314 between expression of this gene and modulation of resistance to a taxane in human cancer
315 cells.

316 In order to exclude possible off-target effects of genetic manipulation, we used a highly
317 selective *AURKB* inhibitor (Barasertib or AZD1152), which was reported to have a 3700-fold
318 higher specificity for *AURKB* inhibition in comparison to *AURKA* (Walsby *et al*, 2008; Yang *et*
319 *al*). This experiment also demonstrated a clear dose-dependent increase of resistance to
320 paclitaxel with increasing concentrations of Barasertib in all cell lines tested. Barasertib
321 effect, as hypothesized, was moderated when tested in *AURKB* knockdown clones, due to
322 the already reduced availability of *AURKB* protein in these clones. This data is in contrast to a
323 previous report suggesting that Aurora B inhibition sensitizes cells to antimetabolic agents
324 (Tanaka *et al*). However, that study utilized a generic kinase inhibitor AT9283, which inhibits
325 Aurora A and other kinases, such as Jak2, Jak3 and Abl kinase as well as Aurora B (Curry *et*
326 *al*). It is well known that Aurora A inhibition enhances chemosensitivity to paclitaxel in
327 pancreatic cancer cells (Lin *et al*), to docetaxel in human oesophageal squamous cell
328 carcinoma (Isham *et al*) and ovarian cancer cells (Scharer *et al*), therefore this sensitization
329 can be most probably attributed to *AURKA* inhibition. The association of high Aurora B
330 kinase expression sensitivity to taxane-based therapy has been also shown for ovarian
331 cancer (Beussel *et al*, 2012). In addition, miRNA-dependent knock-down of *AURKB* also
332 results in resistance to taxanes in an in vivo breast cancer model (Winsel *et al*, 2014) .

333 Therefore the mechanism behind resistance to paclitaxel therapy due to AURKB mitotic
334 checkpoint abrogation is not limited to NSCLC and appears to be getting well established.

335 Possible mechanisms to explain the effect of Aurora B on taxane efficiency may be drawn
336 from a number of previous observations. Paclitaxel acts by perturbation of mitotic spindle
337 assembly and activating the spindle assembly checkpoint (SAC) (Zachos *et al*), (Hung *et al*).
338 This leads to a cell death response triggered through p53-independent apoptotic pathways
339 that depend upon maintaining mitotic arrest (Huang *et al*). If mitotic slippage occurs, evasion
340 of apoptosis is allowed, mainly due to the stabilization of anti-apoptotic proteins (Wertz *et*
341 *al*). The use of Aurora B inhibitors (Hauf *et al*, 2003), (Ditchfield, 2003), (Vader *et al*), (Girdler
342 *et al*), deactivation of Aurora B (Wang *et al*) or depletion of Aurora B activating proteins
343 (Petsalaki *et al*), (Vader *et al*) have shown to relieve mitotic arrest caused by paclitaxel.
344 Taken together, these studies provide further evidence for the central role of Aurora B
345 function for spindle checkpoint activation and cell death in response to low-dose paclitaxel
346 and further support our findings.

347 Concerning the evidence so far, AURKB expression presents with a potential paradox: Its
348 overexpression reduces survival in the chemotherapy naïve patients but appears to have a
349 beneficial effect in patients treated with taxane regimens. The latter may draw additional
350 support from the loss of the very strong correlation between AURKB and NSCLC patient
351 survival available online and mentioned in the results section, when limiting the question in
352 the chemotherapy recipient group. Of course “chemotherapy” term in there is inclusive of
353 every scheme used but nevertheless raises the question for investigating AURKB
354 involvement in the resistance modulation of other common chemotherapeutics.

355 The present study clearly demonstrated a role for Aurora B in the response of NSCLC cells to
356 paclitaxel and provided unique evidence for a dose-dependent association. Given the large
357 extent of *AURKB* deregulation in NSCLC, these findings suggest that assessing the levels of
358 AURKB protein in surgical samples and/or biopsy material could assist in the clinical decision
359 tree for managing patients with NSCLC and have potential for development as a predictive
360 biomarker. Unfortunately, chemotherapy data were not available for our tissue cohort, thus
361 we could not investigate any correlation between *AURKB* expression and response to
362 taxanes in this clinical set. Our findings, however, highlight the need for conducting such
363 studies in large cohorts of NSCLC patients. Moreover, it may advise against the use of
364 AURKB inhibitors in regimens involving taxanes and this should be further investigated in
365 clinical studies.

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369

370 **Figure Legends**

371 **Figure 1.** Boxplots demonstrating *AURKB* mRNA expression in primary lung tumours
372 compared to adjacent normal lung tissues (A) and in squamous cell carcinomas (SqCC)
373 compared to adenocarcinomas (AdC) (B). P values are derived from Mann-Whitney tests.
374 RQ was calculated using RNA from the non-tumourigenic cell line HBEC3KT as a
375 calibrator. Larger circles represent outlier values (>1.5 x interquartile range); smaller
376 circles represent extreme values (>3 x interquartile range).

377 **Figure 2.** *AURKB* mRNA expression in human bronchial epithelial cells (HBEC) and lung
378 cancer cell lines. Bars represent mean values from 6 biological repeats and error bars
379 represent standard error of the mean. All NSCLC cell lines show a higher expression than
380 the non-tumourigenic immortalized bronchial cells (HBEC3KT). Also, an increased *AURKB*
381 expression is shown in the isogenic p53 derivatives (3KT-53 and 3KT-R53) of the latter.

382 **Figure 3.** Paclitaxel response of lung cancer cell lines A549 and SK-MES1 and their *AURKB*
383 knock down derivative clones in relation to *AURKB* mRNA and protein expression. Error
384 bars in both the line graphs and the expression bar charts represent 95%CI. –PAR:
385 parental, –SCR: scrambled, –Bx-y: knockdown clones where x is the shRNA construct and y
386 is the clone number from this transfection. It is of note that the different knockdown
387 efficiencies in the clones are associated with inverse impact on paclitaxel sensitivity.

388 **Figure 4.** Sensitivity of lung cancer cell lines to paclitaxel in the presence of the highly
389 selective Aurora B inhibitor (Barasertib). Error bars represent 95%CI. A clear quantitative
390 effect is demonstrated where increasing concentrations of Barasertib, and therefore
391 inhibition of *AURKB* activity, is associated with increased resistance to paclitaxel.

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Figure 1

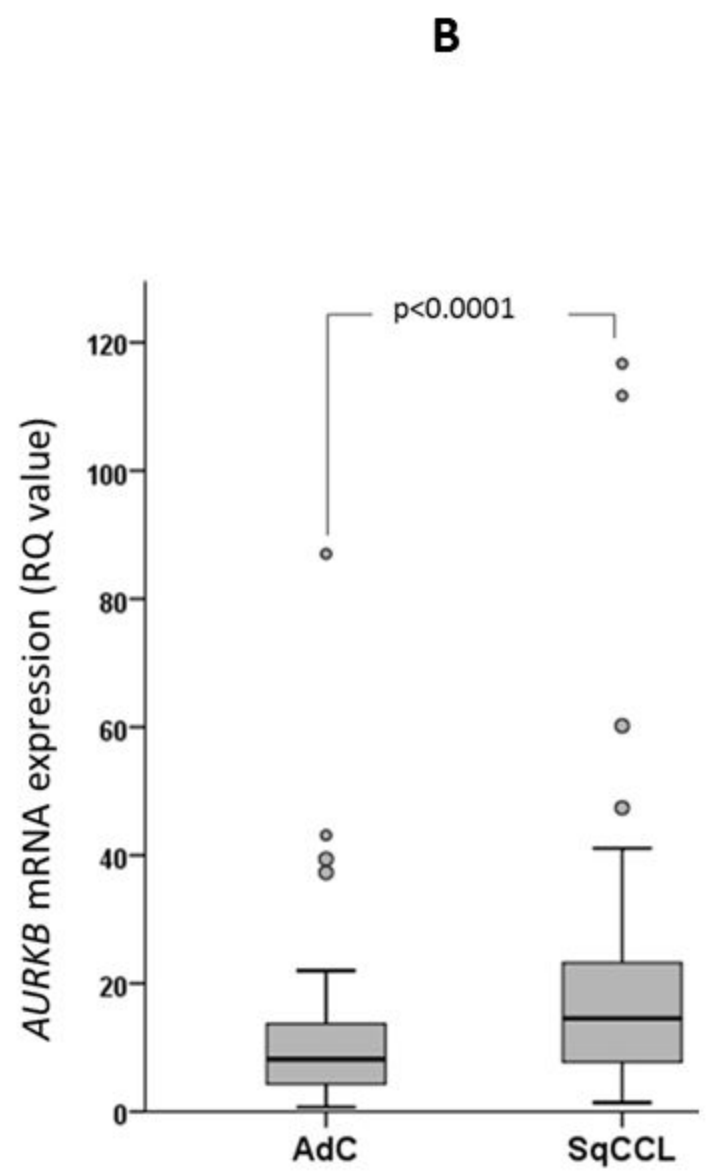
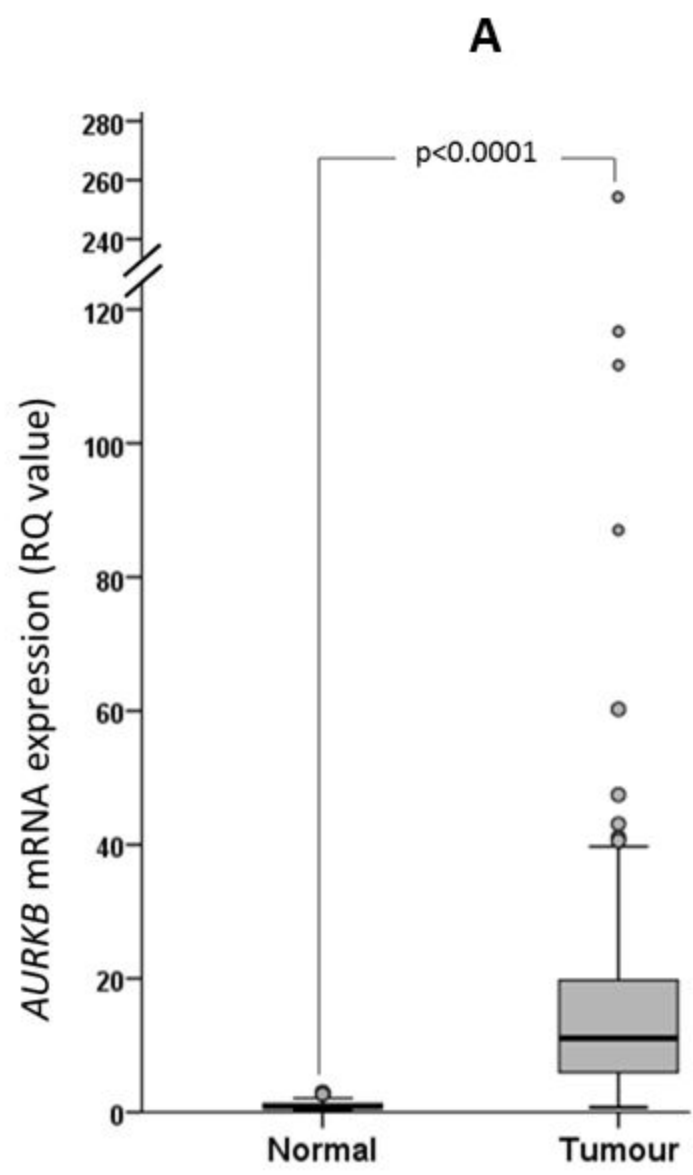


Figure 2

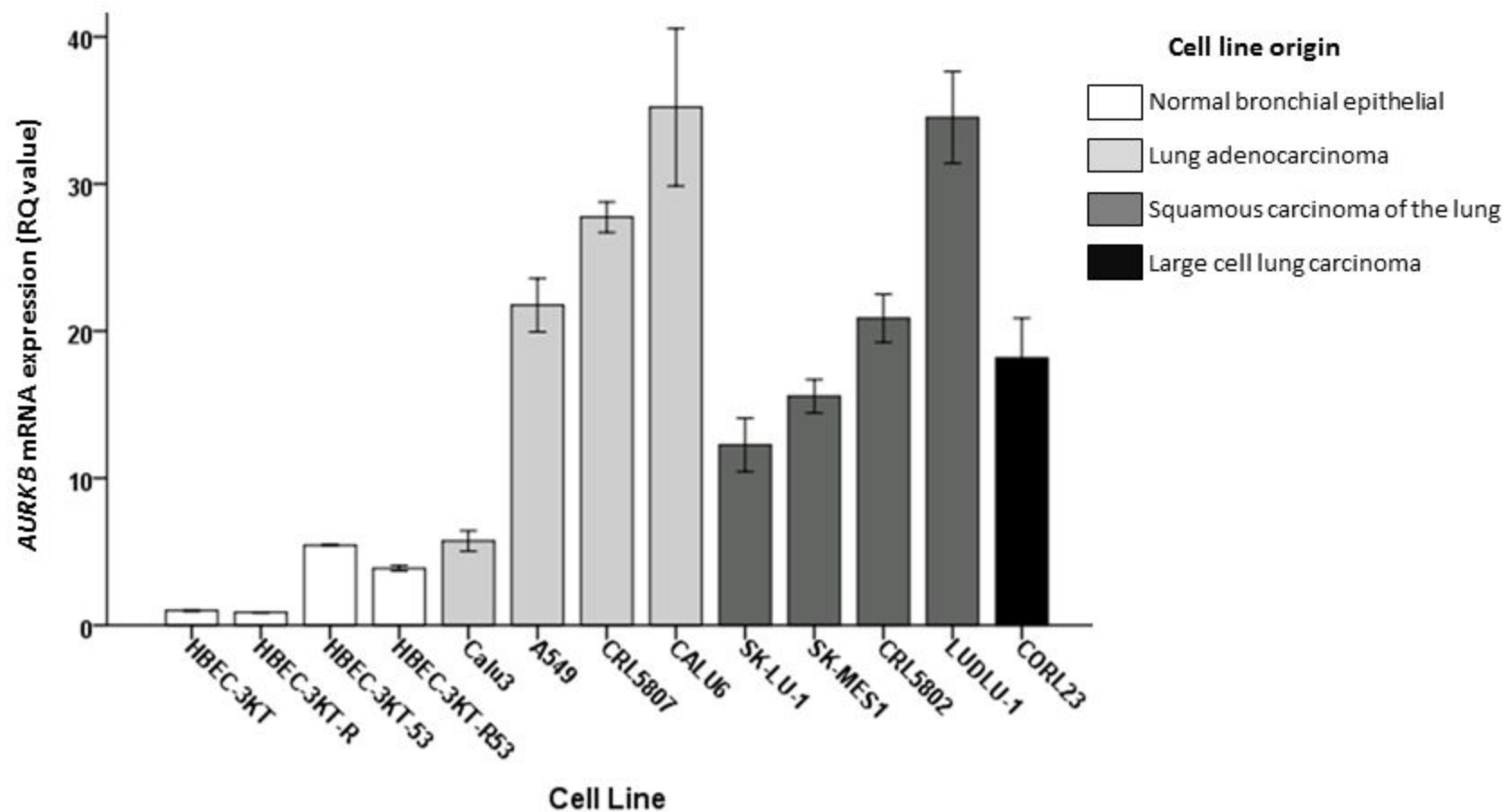
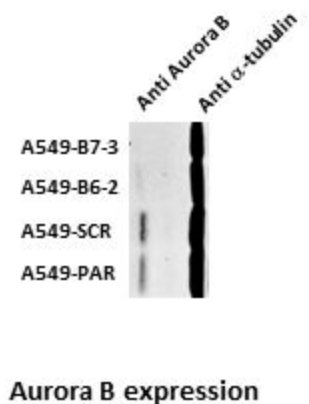
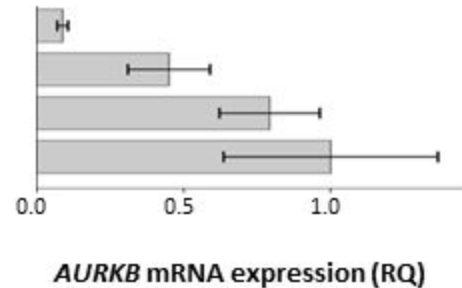
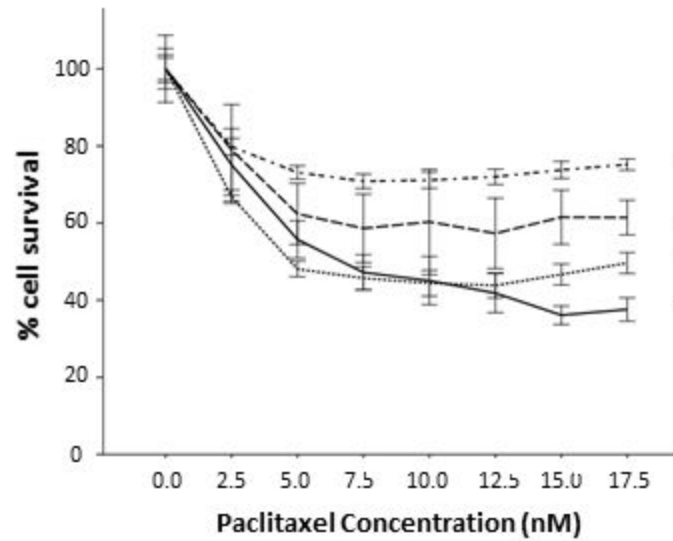


Figure 3

A549



SK-MES1

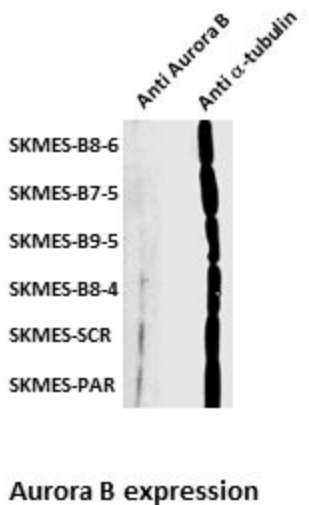
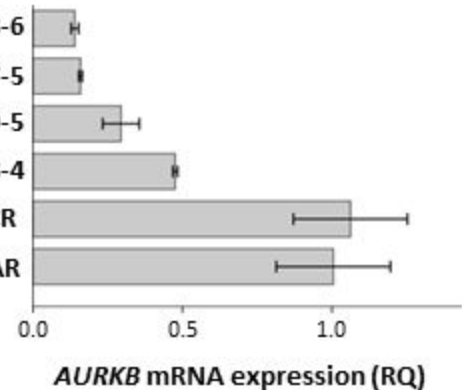
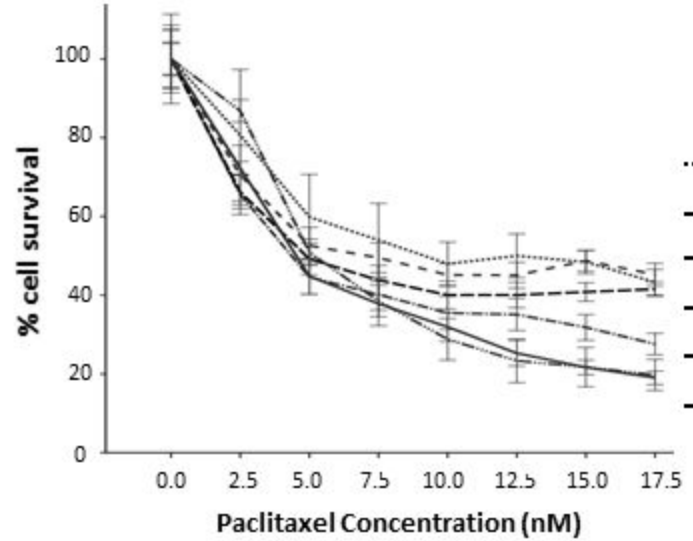


Figure 4

