Abstract - The ever-increasing use of ZnO TFTs requires further in-depth analysis and the need for a suitable model to obtain the true transport mechanisms. This paper explores the modelling of MgZnO TFTs using a defect state model based on multiple trapping and release and successfully validates the model with the fitting parameters. Namely, flat band voltage, characteristic temperature associated with the defect distribution, number of traps and conductivity of the ZnO film.

Keywords—Zinc oxide (ZnO), Magnesium doped Zinc Oxide (MgZnO), atomic layered deposition (ALD), thin film transistor (TFT), TFT modelling.

I. INTRODUCTION

Zinc Oxide (ZnO) has gathered great interest within the semiconductor industry over the last decade due to its large direct band gap (~3.3 eV), natural n-type conductivity and large exciton binding energy (60 meV) [1]. This has led ZnO to being an attractive transparent metal oxide semiconductor for the use in an ever-growing display electronics industry. Currently indium-gallium-zinc-oxide (IGZO) is the leading transparent metal oxide semiconductor which is rivalling a-Si:H in active matrix for large displays [2]. However, indium is a rare and expensive element which can suffer from large fluctuations in price reducing the attractiveness of IGZO as a long term, high performing semiconductor material for displays application.

Previous reports have demonstrated that ZnO based TFTs have higher operational speeds than IGZO TFTs [3]. The films are typically < 50 nm and different deposition techniques such as RF sputtering [4], sol-gel [5] and atomic layer deposition (ALD) [6] are employed. The intrinsic conductivity of the films is related to defects, most notably interstitials (Zni) and oxygen vacancies (Vo) [1]. In this paper we further explore our published work on magnesium (Mg) doping using ALD in order to reduce the number of Zni and Vo defects [7]. Furthermore, the incorporation of Mg in ZnO films is seen to increase the band gap of ZnO, thus increasing the optical transparency of the films presumably due to the presence of magnesium oxide (MgO) which has a band gap of 7.7 eV [8].

Moreover, for ZnO TFT data extraction, the standard method adopted in most literature, is to use the standard crystalline Si MOSFET equations. However, it is evident that TFTs do not follow an exponential and square law delineated by a well-defined threshold voltage, but rather a generic power law over the entire range of current. The simplistic analysis does not explain the true transport mechanism within the ZnO films. Currently, only a few models have been proposed based on either poly-Si or amorphous Si (a-Si:H SPICE) related to the presence of grain boundaries and defect state distribution. The SPICE based model by Iniguez et al. [9] successfully fitted ZnO TFTs but there is no explanation of underlying transport mechanisms. The model requires many empirical fitting parameters. The grain boundary model of Hossain et al. [10] explains a possible transport mechanism within the film, but does not fit the model to any experimental data. The defect state distribution model of Torricelli et al. [11] uses the well-known multi-trapping and release model [12] to explain the transport of electrons within the tail state traps which originate near to the conduction band edge. These traps arise from defects associated with the polycrystalline/amorphous nature of ZnO films. The advantages of this model are that the fitting parameters are physical attributes of semiconductors and can be extracted from experimental data. The model reduces to the standard crystalline Si MOSFET equations, under appropriate conditions. In this work, the MTR model is applied to fit Mg doped TFT experimental data. Furthermore, the influence of Mg doping on the ZnO bandgap is reported.

II. FABRICATION

Mg doped ZnO (MgZnO) layers were deposited using ALD at 200 °C to a thickness of 50 nm. The precursors used were H2O, Diethylzinc and Bis(ethylcyclopentade-nyl)magnesium (Mg), where the cycle ratio between the Zn and Mg precursors was varied to alter the Mg composition. The selected ratio for this paper was 7:1 of Zn:3Mg. All of the MgZnO were grown on substrate that consisted of n+-Si and 50 nm thermally grown SiO2. For the TFT fabrication, the standard photolithography and lift-off processes were used to pattern the source and drain contacts. For the contacts, Al was evaporated to a thickness of 80 nm. The TFTs were then isolated using a wet etching process. All the TFTs were subjected to annealing at 300 °C in air for 1 hour post fabrication. The TFT structure used throughout this paper is shown in Fig. 1.

Fig. 1: Cross section of the bottom gate and top contact MgZnO TFT
Before the TFTs were fabricated, the thickness and band gap of the MgZnO were measured with a J.A. Woollam M2000 spectral ellipsometer at the three angles 65°, 70° and 75°. The fitting was performed using WVASE software with the predefined ZnO model used from the library. A film thickness of ~50 nm was obtained by fitting in the transparent region of the spectrum. The direct band gap approximation Tauc plot is shown in Fig. 2 where it can be seen that the band gap ($E_g$) has increased from 3.28 eV to 3.47 eV for the MgZnO. This increase in band gap is comparable with other reported ALD grown MgZnO with cycle ratios of 7:1 [7,13].

The TFT transfer and output characteristics for n+-Si substrates were measured and shown in Figs. 3(a) and (b) respectively. To benchmark the performance of the TFTs with published reports, the effective saturation mobility ($\mu_{sat}$) and threshold voltage ($V_T$) were extracted assuming the standard MOSFET equation

$$I_D = \frac{W}{2L} \mu_{sat} C_{ox} (V_{GS} - V_T)^2$$

where $W$ and $L$ are the channel width and length respectively, $C_{ox}$ the gate oxide capacitance per unit area and $V_{GS}$ the gate source voltage. The effective subthreshold swing (SS) was also extracted at the steepest part of the transfer slope using the following equation

$$SS = \left( \frac{d \log (I_D)}{d V_{GS}} \right)^{-1}$$

Note that (2) also implies a standard Si model for the low current regime. The on/off ratio ~7x10^6, where $V_{on}$=0.5 V and is the voltage required to establish a significant conducting channel [8]. Furthermore, $\mu_{sat}$= 3.6 cm^2/Vs, $V_{th}$=8.14 V and SS= 0.84 V/dec. Table 1 shows a comparison of our TFT with published ALD grown ZnO TFTs. The performance of our TFT is comparable with [14] and [16], where the gate oxide used was also SiO2.

It is evident from Fig. 3(a) that the transfer curve does not follow a square law but rather a power law. We adopt the model proposed by Torricelli et al. [9] with the following equation to express the drain current

$$I_D = \frac{W}{L} \beta [V_{GS} - V_{FB} - \phi_S] \gamma [V_{GS} - V_{FB} - \phi_D]$$

where $V_{FB}$ is the flat band voltage, $\phi_S$ and $\phi_D$ the surface potentials at the source and drain, $\gamma$~$2T_o/T$ where T is the temperature, $T_o$ is the characteristic temperature associated with the exponential trap distribution and $\beta$ is defined as

$$\beta = \frac{\sigma_n \varepsilon}{C_{ox}} \left( \frac{1}{\gamma - 1} \left( \frac{\gamma^2}{\gamma^2 - 1} \right) \right)$$

where $\sigma_n$ is the absorption coefficient and $hv$ is the photon energy.
where \( \sigma_o \) is a conductivity pre-factor, \( \varepsilon_s \) is the permittivity of ZnO, \( N_t \) is the number of traps, \( q \) is the charge of an electron, and \( T_r \) is the thermal voltage \((kT/q)\). The surface potentials \( \phi_S \) are now defined as the source voltage and \( \phi_D \) as the drain voltage in the triode region and \( V_{GS}-V_{FB} \) in the saturation region.

The fitting to the experimental data in Fig. 3(a) was applied using (3) and (4) and a linear regression methodology with four fitting parameters; \( V_{FB}, T_r, \sigma_o \) and \( N_t \). Figs. 4(a) shows an excellent fit of the experimental data beyond \( V_{GS} \) with a mean squared error (MSE) of 0.16. The fitting parameters extracted are \( V_{FB} = -0.34 \) V, \( T_r = 737 \) K, \( N_t = 2.04 \times 10^{19} \) cm\(^{-2} \) and \( \sigma_o = 7.08 \) S/cm. The values are realistic for this material, although it is recognised that there is always uncertainty in such a fitting procedure. Further work is underway to measure each parameter independently. It is worth mentioning that a value of \( T_r = 737 \) K corresponds to a Meyer-Neldel energy of ~63 meV implying a high degree of disorder in the films.

In order to further fit the output characteristics, it was necessary to modify equation (3) to include the source and drain series resistances \( R_S \) and \( R_D \).

\[
I_D = \frac{W}{L} \mu \left[ (V_{GS} - \phi_s - \phi_r - (I_D R_S))^2 - (V_{GS} - V_{FB} - \phi_D - (I_D R_D))^2 \right] \tag{5}
\]

Fitting with the modified equation, Figs. 5(a) and (b) show the transfer and output characteristic fittings with \( R_{DS} \) respectively where \( V_{FB} = -0.34 \) V, \( T_r = 752 \) K, \( N_t = 2.18 \times 10^{19} \) cm\(^{-2} \), \( \sigma_o = 8.1 \) S/cm and \( R_S = R_D = 752 \) \( \Omega \). The transfer characteristic was fitted to an accuracy of MSE=0.07 and the output characteristic to MSE=0.09 and 0.1 when \( V_{GS} = 5, 10 \) and 15 V respectively. With the low MSE values for both, transfer and output characteristics, the model parameters have been validated. There is slight variation in \( T_r, N_t \) and \( \sigma_o \) between the two models from (3) and (5), where the best fittings include \( R_{DS} \).

To validate the fitting parameter \( V_{FB} \), it can be calculated using the following equation

\[
V_{FB} = \Phi_M - \left( \chi - \frac{E_g}{2} - \phi_F \right) \tag{6}
\]

where \( \Phi_M \) is the metal work function, \( \chi \) is the electron affinity of MgZnO and \( \phi_F \) the Fermi level, assumed to be at the conduction band edge in the heavily doped substrate. Using n\(^-\)Si as a gate \( \Phi_M = 4.05 \) eV, \( \chi_{ZnO} = 4.5 \) eV [17] and \( E_g = 3.5 \) eV, therefore ideal \( V_{FB} = -2.2 \) V. However, this model requires \( V_{FB} = 0.34 \) V, therefore, \( \Delta V_{FB} = 1.86 \) V (model minus ideal). This discrepancy could be explained by the presence of fixed charge \( (N_f) \) within the gate oxide. An estimation for \( N_f \) can then be obtained from the following equation

\[
V_{FB} = \Phi_M - \left( \chi - \frac{E_g}{2} - \phi_F \right) - \frac{qN_f}{C_{ox}} \tag{7}
\]

where \( C_{ox} \) is the oxide capacitance.
A value of $N_f \sim -8 \times 10^{11}$ cm$^{-2}$ is extracted from (7). The nature of this negative charge may be related to a large presence of acceptor-like interface states between the SiO$_2$ and MgZnO and forms the subject of future work.

IV. CONCLUSION

Accurate TFT modelling has been implemented using a defect state model, where tail states and charge hopping are dominant in the transport mechanism. The fitting parameter $V_{FB}$ correlates with the $V_{on}$ of the TFT, where this parameter is influenced by the fixed charge within the gate oxide. Furthermore, it has been shown that the band gap of MgZnO has increased by $\sim 0.2$ eV compared to ZnO, which correlates with other published work.

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