

1 **From magma ascent to ash generation: investigating volcanic conduit processes by**
2 **integrating experiments, numerical modeling, and observations**

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Abstract

Processes occurring in volcanic conduits, the pathways through which magma travels from its storage region to the surface, have a fundamental control on the nature of eruptions and associated phenomena. It has been well established that magma flows, crystallizes, degasses, and fragments in conduits, that fluids migrate in and out of conduits, and that seismic and acoustic waves are generated and travel within conduits. A better understanding of volcanic conduits and related processes is of paramount importance for improving eruption forecasting, volcanic hazard assessment and risk mitigation. However, despite escalating advances in the characterization of individual conduit processes, our understanding of their mutual interactions and the consequent control on volcanic activity is still limited. With the purpose of addressing this topic, a multidisciplinary workshop led by a group of international scientists was hosted from 25 to 27 October 2014 by the Pisa branch of the Istituto Nazionale di Geofisica e Vulcanologia under the sponsorship of the MeMoVolc Research Networking Programme of the European Science Foundation. The workshop brought together the experimental, theoretical, and observational communities devoted to volcanological research. After 3 days of oral and poster presentations, breakout sessions, and plenary discussions, the participants identified three main outstanding issues common to experimental, analytical, numerical, and observational volcanology: *unsteadiness* (or transience), *disequilibrium*, and *uncertainty*. A key outcome of the workshop was to identify the specific knowledge areas in which exchange of information among the sub-disciplines would lead to efficient progress in addressing these three main outstanding issues. It was clear that multidisciplinary collaboration of this sort is essential for progressing the state of the art in understanding of conduit magma dynamics and eruption behavior. This holistic approach has the ultimate aim to deliver fundamental improvements in understanding the underlying processes generating and controlling volcanic activity.

Keywords: Volcanic conduit; Magma ascent; Volcanic activity; Experimental, theoretical and observational volcanology; Unsteadiness; Disequilibrium; Uncertainty

61 **1. Introduction**

62 Magma travels in volcanic conduits from its storage region to the surface. Volcanic conduits therefore
63 are the sites of processes such as magma vesiculation, crystallization, degassing and fragmentation,
64 which have a direct impact on magma rheological properties and, as a consequence, on eruption
65 initiation, cessation, intensity, magnitude, and on eruptive style transitions. A better understanding of
66 the physical processes taking place in magmas ascending in volcanic conduits, will then help improving
67 eruption forecasting, assessing volcanic hazard, and mitigating volcanic risk.

68 In the last decade, rapid technological developments in various fields of volcanology have greatly
69 enhanced our ability to quantify a vast set of parameters at ever increasing spatial resolution, sampling
70 and analytical rates. As an example, we report here a list of recent works which, embracing different
71 techniques, have provided improvements in the following research fields: analytical [Metrich and
72 Wallace 2008, Bachmann et al. 2010, Blundy et al. 2010, Mercier et al. 2010, Metrich et al. 2010,
73 Edmonds et al. 2013], experimental [Kueppers et al. 2006, Ardia et al. 2008; Giordano et al. 2008,
74 Lavallée et al. 2007, 2008, Caricchi et al. 2011, Cimarelli et al. 2011, Llewellyn et al. 2011, Martel
75 2012, Lavallée et al. 2013, Okumura et al. 2013, Rivalta et al. 2013, Polacci et al. 2014, Kendrick et al.
76 2014a, Wadsworth et al., 2014, Giordano and Russell 2016, Kendrick et al. 2016, Kolzenburg et al.
77 2016a, b, Russell and Giordano 2016; Del Bello et al. 2017], numerical [Costa et al. 2007a, 2009,
78 Maccaferri et al. 2010, 2011, Degruyter et al. 2012, Longo et al. 2012, de' Michieli Vitturi et al. 2013,
79 Melnik and Costa 2014] and observational volcanology [Kueppers et al. 2005, Gurioli et al. 2008,
80 Andronico et al. 2009, Gudmunsson et al. 2012, Polacci et al. 2012, Taddeucci et al. 2012, Cashman et
81 al. 2013, Lavallée et al. 2015, Tuffen et al. 2013, Gaudin et al. 2016], volcano geophysics [Wright et
82 al. 2012b, Harris 2013, Ripepe et al. 2013, Zuccarello et al. 2013, Bean et al. 2014, De Angelis et al.
83 2016] and geochemistry [Allard et al. 2005, Mori and Burton 2009, Oppenheimer et al. 2010, Aiuppa
84 et al. 2011, Gauthier et al. 2016]. However, processes controlling the eruptive volcanic activity that we
85 observe at the Earth's surface occur in the conduit at depth, hidden from direct observation. Without a
86 sound theoretical framework on which to improve our knowledge of deep conduit processes, our ability
87 to understand volcanoes, predict their eruptions and mitigate their impacts, will always be deeply
88 limited. Thus, developing robust physical models of the governing volcanic processes will greatly
89 increase our ability to interpret their activity, and, ultimately, predict eruptions.

90 The purpose of this workshop was to address this issue head-on. We believe that the topics discussed
91 will help the volcanological community to fully realize the potential of new technologies, and to deliver
92 tangible improvements in volcanic risk assessment and reduction through increasing our knowledge of
93 the underlying processes generating and controlling volcanic activity. With this in mind, the workshop
94 participants were asked to specifically address the following fundamental questions that have concerned
95 volcanologists for years:

96 1) What are the main physical parameters affecting conduit and eruptive processes, and what are the
97 interactions between them?

98 2) How do conduit magma dynamics evolve during both quiescent and eruptive volcanic activity?

99 3) Why do transitions in eruptive style occur in conduits, and how can we model and predict them?

100 To address these questions, the workshop schedule was designed to follow a two-step procedure. First,
101 36 attendees from 7 different countries (Italy, France, Germany, United Kingdom, Switzerland, Iceland,
102 and USA) critically reviewed background knowledge on conduit processes and eruption dynamics.
103 They then combined their effort to propose a sound, multidisciplinary approach for pursuing novel
104 studies on conduit processes and eruption behavior. To achieve this objective, the workshop consisted
105 of 3 days of oral and poster presentations, breakout sessions, and plenary discussions, reviewing the
106 state-of-the-art in the field. The workshop attendees were selected amongst a group of leading
107 international scientists whose expertise covered the three main conduit-related methodological areas
108 addressed by the workshop:

109 1. Volcano observations – i.e observations and measurements of natural volcanic systems and
110 products;

111 2. Experiments – including textural and analytical characterization, high pressure/high
112 temperature experimentation, and analogue modeling;

113 3. Numerical modeling – including models focusing on fluid dynamics as well as on the
114 mechanical response of the host rock.

115 Each methodological area was illustrated by three to five spokespersons that summarized the latest
116 findings in the field, highlighted the limits and advantages of the methods, and proposed potential ways
117 of integration with the other areas. For example, experimentalists and analytical/numerical modelers
118 discussed:

119 i) the information they can provide on conduit processes;

120 ii) what they would need from the compositional, physical and textural sample characterization
121 community and/or the geochemical/geophysical signal characterization community to improve
122 the quality and feasibility of research studies in the area.

123 In addition, experimentalists illustrated what sort of information arising from their results can be used
124 in numerical simulations of conduit magma ascent or dike propagation and eruption processes, as either
125 input data or validation. Numerical modelers stated what output current models could provide (e.g.
126 temporal and spatial evolution of magma ascent in volcanic conduits or dikes), and which experimental
127 and observational data they need to better constrain model input and validate the codes. Finally,

128 scientists working with direct measurements on volcanic systems, such as gas geochemists and
129 geophysicists, suggested what measurements/parameters are available to integrate in both experiments
130 and numerical models, and together with experimentalists and numerical modelers, proposed how to
131 integrate them in the most synergistic and feasible manner. Ultimately, all participants worked together
132 to define benchmarks to use as comparisons amongst numerical models and between numerical models
133 and data coming from both experiments and observations. The outcome of the workshop was to produce
134 this document, which describes a number of fundamental points to achieve a tangible improvement in
135 the study of conduit processes and volcanic eruptions.

136

137 **2.1 Volcano Observations**

138 The thematic group on *Volcano Observations* identified eight main topics where they made major
139 contributions to the study of conduit processes. Amongst these topics, the group pointed out what can
140 be achieved in the near future and what they need from the other thematic groups in order to improve
141 their performance and meet the workshop goals.

142 **Deposit characterization**

143 Field measurements of pyroclastic deposits enable production of total grain size distributions (TGSDs)
144 and particle density distributions (PDDs) [Bonadonna and Phillips 2003, Bonadonna and Houghton
145 2005, Costa et al. 2016, Eychenne and Le Pennec 2012, Bombrun et al. 2015]. It was stressed that more
146 work ought to be conducted to quantify the types, abundances, particle sizes and distributions of non-
147 juvenile particles (nomenclature from White and Houghton 2006) such as lithics, in order to better
148 understand their implications for conduit processes, for example conduit stability and erosion [e.g.,
149 Shea et al. 2011, Bernard et al. 2014, Colombier et al. 2017]. A general point of discussion was the
150 requirement to assess and present estimates of uncertainties and errors for measurements and
151 observations, with a distinction between actual errors on a given measurement and those on inferred
152 information, which have a larger uncertainty [e.g., Biass and Bonadonna 2011, Engwell et al. 2013].
153 Such distinction is of paramount importance, because errors and uncertainties feed through to estimates
154 of other measurements and model results (for example, tephra volume, TGSDs, PDDs and dispersion
155 modeling) [Burden et al. 2011, Engwell et al. 2015, see also discussion in Gurioli et al. 2015].

156 Achievable goals from deposit measurements in the near future include:

- 157 • *Better classification of total particle size distribution and measurement of variations of density*
158 *(e.g., for weather radar data inversion).*
- 159 • *Provide better data for inversion of numerical models, especially taking into account time*
160 *variations and different eruption phases.*

- 161 • *Ground measurements of particle flux and velocity time variations in sedimentation rate.*
- 162 • *Quantifying abundances and variations of different pumice types, e.g. tube pumice, and*
- 163 *pumices containing micro-brecciated crystal populations, which may derive from near the*
- 164 *conduit wall.*

165 **Remote sensing measurements of eruption source parameters from volcanic conduits**

166 Remote sensing techniques and instruments allow us to obtain measurements of eruption source
167 parameters. Parameters as plume exit velocity and trajectory, mass discharge rates, gas fluxes, gas
168 compositions, and grain size distributions can now be measured in real time using high-speed visual,
169 thermal, infrared and sulfur dioxide cameras [Bani et al. 2013, Harris 2013, Bombrum et al. 2014,
170 Gaudin et al. 2014a, b, Valade et al. 2014, Barni et al. 2015, Bombrum et al. 2015, Burton et al. 2015,
171 Cerminara et al. 2015, Bombrun et al. 2016, Gaudin et al. 2016], a combination of thermal camera,
172 weather radar observations and/ or infrasound measurements [Lamb et al. 2015, De Angelis et al. 2016,
173 Vulpiani et al. 2016], and open-path Fourier transform infrared (OP-FTIR) spectrometry [La Spina et
174 al. 2015, Allard et al. 2016]. These measurements have highlighted the unsteady nature of these
175 parameters. Plume imagery through combined monitoring techniques is able to provide mass
176 concentration, instantaneous particle grain size distribution, and particle sedimentation rate to the
177 ground. Time variations of plume height can also be obtained as an estimate of mass flux, while the
178 plume expansion rate can be used to estimate plume entrainment coefficient. Multi-parametric
179 monitoring of charge distribution and frequency of electrical discharges in plumes during explosive
180 eruptions [Cimarelli et al., 2016] are starting to systematically address the links between the in-conduit
181 explosive dynamics and the electrification of volcanic ash with the goal of constraining mass eruption
182 rates and plume evolution in space and time. In addition, the new instruments and technologies
183 mentioned above allow measurement of ejection velocities at higher spatial and temporal resolutions,
184 as well as the degree of decoupling of solids and gas phases in jets [e.g., Taddeucci et al. 2012, Scharff
185 et al. 2014, Taddeucci et al. 2015] and, recently, the effect of particle volume fraction on the ash settling
186 velocities [Del Bello et al. 2017]. Finally, participants highlighted the importance of distinguishing
187 between plume and conduit dynamics, especially concerning how much can be inferred regarding the
188 latter from observations of the former.

189 Achievable remote sensing goals in the near future include:

- 190 • *Quantifying volcanic ash loading and its temporal and spatial evolution, coupled with ground-*
- 191 *truthing strategies.*
- 192 • *Estimating total particle size distribution from multi-parametric plume imagery.*
- 193 • *Application of these techniques to larger (e.g., Plinian) eruptions.*

194 **Surface deformation measurements**

195 Pressurization processes in established eruption conduits typically result in highly localized and small
196 deformation signals that can only be captured by continuously operating high-resolution observations
197 such as a combination of small-scale strain and tilt meter measurements [e.g., Voight et al. 1999,
198 Anderson et al. 2010, Albino et al. 2011]. Pre-eruptive intrusions in volcanoes, which can develop into
199 established conduits, generally cause larger deformation signals over wide areas. In such cases, geodetic
200 techniques such as Global Positioning System (GPS), with good temporal resolution, and synthetic
201 aperture radar images (InSAR), with good spatial resolution, are well suited to capture the resulting
202 surface deformation signals [e.g., Sigmundsson et al. 2010, 2015, Gudmundsson et al. 2016].
203 Accordingly, a combination of techniques needs to be applied to cover different temporal and spatial
204 scales to study conduit processes. Time variations of surface deformation have been used as a measure
205 of pressure variation in the reservoir, although this is applicable only to larger events and is more
206 difficult to use for smaller eruptions, unless instrument location can be proximal, in which case minute
207 ground deformation signals may provide excellent constraints on eruption style [Lavallée et al., 2015].
208 Furthermore, strong assumptions on the rheological/mechanical parameters of the host rock (such as
209 elasticity) are necessary to obtain information on pressurization. Correlations between surface
210 deformation and flux measurements from plume height have been observed for the 2010 Grímsvötn
211 eruption, Iceland [Hreinsdóttir et al. 2014] and applied in Japan [Kozono et al. 2014], and this may be
212 useful in future eruptions. In addition, surface deformation and gravity measurements can be coupled
213 to constrain the nature of the pressurization source [e.g., Carbone et al. 2015, Bagnardi et al. 2014], or
214 the magma reservoir processes responsible for variations in volume within the plumbing system
215 [Caricchi et al. 2014, Parker et al. 2016]. Finally, strain fields can be used to reveal conduit geometry
216 and provide constraints on the size of a reservoir and dike intrusions.

217 An achievable goal from surface deformation measurements in the near future is

- 218 • *Improving techniques for modeling pressure source geometries and incorporation of*
219 *constraints from volcano geodesy into models of conduit processes.*

220 **Muon tomography**

221 Recent advance in muon tomography allows us to image shallower parts of conduits with resolutions
222 on the scale of several tens of meters, and the technique is being developed and tested by groups in
223 Japan, France and Italy [Tanaka et al. 2009, Lesparre et al. 2012, Anastasio et al. 2013, Ambrosino et
224 al. 2015, Tioukov et al. 2017]. It is based on the absorption of cosmogenic muons by the volcanic
225 edifice, allowing the mapping of density variations within it. However, this methodology is under
226 development and still requires validation by other measurement types.

227 **Seismic and acoustic measurements**

228 The dynamic interaction of gas, liquid and solid phases in conduits originates a wide spectrum of
229 seismic events. The complex shape of conduits may control flow disturbances and represents a primary
230 factor in providing sites where pressure and momentum changes are effectively coupled to the Earth
231 [Chouet and Matoza, 2013]. Hence, seismic techniques can be used to map the propagation of dikes
232 and fractures (e.g. as for the 2014-2015 Bardarbunga eruption [Sigdmunsson et al. 2015]), to follow
233 conduit formation [e.g., Ágústadóttir et al. 2016, Tarasewicz et al. 2012], and track the transport of
234 magma and exsolved fluids during eruption [Kendrick et al. 2014b, Lamb et al. 2015]. Seismic
235 tomography is currently used to image magma reservoirs at the base of the conduit [Lees 2007,
236 Koulakov 2013, Lin et al. 2014]. The study of LP seismicity (LP events and tremor) and VLP events
237 provides information on several processes related to magma transport and on the pathway geometry of
238 magma ascent [e.g. Cannata et al. 2009].

239 In the last two decades, the investigation of the acoustic field related to eruptive processes has
240 increasingly become a valuable tool for monitoring and research purposes. A variety of source processes
241 is capable of producing acoustic waves; to cite a few: bursting of gas slugs, conduit resonance, and jet
242 noise [e.g. Fee and Matoza 2013, and references therein]. Because jet noise is directly related to conduit
243 processes, infrasound provides information on pressure, mass flux and velocity variations during
244 eruptions [Johnson and Ripepe 2012, and references therein]. Finally, the integration of seismic and
245 acoustic techniques provides estimates of energy partitioning, which depends on several factors such as
246 magma properties, fragmentation depth and conduit obstruction [e.g. Andronico et al. 2013].

247 An achievable goal from seismic measurements in the near future is:

- 248 • *A deep comprehension of the link between the physical processes in terms of flow dynamics*
249 *and location, magnitude, focal mechanism and frequency content of observed earthquakes and*
250 *other seismic signals.*

251 **Pre-eruptive and residual volatile content**

252 When degassing occurs at equilibrium, gas species ratios are sensitive to magma storage pressure,
253 ascent rates, and ascent history. If we know the original (dissolved + exsolved) and residual (after
254 vesiculation) gas contents, we can use gas flux as a proxy for magma flux, provided that the eruption is
255 supplied by a single magma batch and no mixing or gas segregation has occurred. In addition, gases
256 can reveal contributions from deep and shallow magmas.

257 Achievable goals from volatile measurements in the near future are:

- 258 • *A thorough investigation of disequilibrium degassing and its effects on magma and eruption*
259 *dynamics.*

260 • *A better understanding of the effects and deep abundance of CO₂; currently we are not able to*
261 *evaluate the amount of CO₂ that can enter the system.*

262 • *A better understanding of how brines affect gas signatures at the surface.*

263 • *A better understanding of abundances and compositions of free vapor phases at depth. This*
264 *information provides insights on what is controlling phase transitions, transitions in eruptive*
265 *style, and the arrival of new magma.*

266 **Petrological measurements**

267 The participants pointed out that we are currently underutilizing mineralogy to gain information
268 regarding magma transport and ascent properties. This information is, for example, recorded in mineral
269 composition and zoning patterns (see, for example, articles cited in Putirka and Tepley 2008).

270 A number of measures can be taken in the near future to increase and improve the information that
271 petrological measurements can provide, with the following aims:

272 • *Improve the use of thermodynamic models for calculating crystal-melt equilibrium and*
273 *disequilibrium (e.g. geothermobarometry).*

274 • *Conduct studies on species diffusion (Li, H₂O, etc.) to determine magma storage and ascent*
275 *timescales.*

276 • *Perform better studies of crystal morphology for acquiring information on crystal formation*
277 *and growth.*

278 • *Conduct experiments on the effects of strain rates on crystal nucleation and growth rates.*

279 • *Improve the integration of petrography with gas measurements.*

280 **Textural measurements on samples**

281 Textural data provide information on magma pressure and thermal histories in conduits and plumes.
282 For example, vesicle number densities (VNDs; *i.e.*, the number of vesicles per unit bulk volume)
283 provide estimates of magma decompression rates [Toramaru 2006, Shea et al. 2011, Wright et al. 2012a]
284 and eruption intensities [Rust and Cashman 2011, Alfano et al. 2012], while crystal size distributions
285 (CSDs) and vesicle size distributions (VSDs) (*i.e.*, the number of crystals or vesicles in each size class
286 per unit bulk volume) provide information on crystallization and differentiation in magma reservoirs
287 and conduits [Fornaciai et al. 2009, Shea et al. 2009, Brugger and Hammer 2010, Arzilli and Carroll
288 2013], and on magma vesiculation [Bai et al. 2008, Gurioli et al. 2008, Colò et al. 2010, Shea et al.
289 2010, Carey et al. 2012], permeability [Mueller et al. 2008, Bouvet de Maissonneuve et al. 2009, Wright

290 et al. 2009, Polacci et al. 2014, Heap et al. 2014, Kendrick et al. 2016, Colombier et al. 2017], and
291 degassing/outgassing [Burton et al. 2007, Degruyter et al. 2010a, 2012]. Furthermore, VSDs, CSDs,
292 melt chemistry and volatile content feed into magma rheology estimates [Mader et al. 2013, Vona et al.
293 2013]. Heterogeneities in conduit stratigraphy (vertical, horizontal and time-varying) should not be
294 underestimated [Cimarelli et al. 2010] and nowadays can be determined from analysis of the entire
295 pyroclastic size range, including ash [Miwa et al. 2013, Cioni et al. 2014, Liu et al. 2015a], lapilli [Shea
296 et al. 2014] and bombs [Wright et al. 2007, Gurioli et al. 2014, Leduc et al. 2015, Lavallée et al. 2017].
297 The workshop participants highlighted the necessity to better understand what information is gained
298 from the study of different types and size of pyroclasts. 3D imaging via conventional and synchrotron-
299 based X-ray computed microtomography as well as neutron computed tomography offers the ability to
300 image and quantify rock textures directly in 3D in unprecedented detail [Baker et al. 2012 and
301 references therein, Lavallée et al. 2013, Arzilli et al. 2016]. Through 3D textural investigations we are
302 able to view structures that are the result of strain localization [Wright and Wimberg 2009, Shields et
303 al. 2014, Dingwell et al. 2016], deformation [Okumura et al. 2010; Caricchi et al. 2011, Pistone et al.
304 2012, Ashwell et al. 2015], crystal aggregation and crystal fragmentation [Pamukcu et al. 2012],
305 convection [Polacci et al. 2012, Carey et al. 2013] and development of permeability [Bai et al. 2010,
306 Degruyter et al. 2010b, Bai et al. 2011, Kendrick et al. 2013, Lavallée et al. 2013, Ashwell et al. 2015]
307 in magma, which can be related to experiments, and which in the near future could feed into
308 experimental and numerical modeling. Finally, information on fragmentation mechanisms (the terms
309 “phreatic”, “hydrothermal”, and “hydromagmatic” are cause of great amount of discussion and debate
310 about their meaning and use) can, in part, be assessed from particle morphology [Dellino et al. 2012,
311 Jordan et al. 2014, Liu et al. 2015b], while TGSD provide information on fragmentation efficiency
312 [Kueppers et al. 2006, Rust and Cashman 2011, Costa et al. 2016]. However, particle morphology alone
313 is not a proxy for the fragmentation mechanism; the textural state rather shows the state of magma upon
314 quenching, following fragmentation. Additionally, care is required as aerodynamic properties may lead
315 to transport-related sorting (and possibly splitting of textural groups). Accordingly, particle
316 characterization should always be done on deposits from several outcrops in proximal and distal
317 locations, on the dispersal axis and away from it.

318 **What the group Volcano Observations needs from the other thematic groups**

319 From the group *Experiments*

- 320 • 4D (3D + time) experiments on magma flow and evolution in felsic and mafic magmas.
- 321 • Larger-scale experiments on vesiculation and crystallization.
- 322 • Experiments on disequilibrium degassing and crystallization.
- 323 • Experiments on strain accommodation in three-phase magmas.

- 324 • Experiments on a range of fragmentation behaviors.
- 325 • Experiments on viscous heating in high (and low) viscosity systems, in order to target shearing
- 326 processes near the conduit wall.
- 327 • Experiments to elucidate the source mechanisms of tremor, LP and VLP events, linking
- 328 physical processes in terms of flow dynamics with location, magnitude, focal mechanism and
- 329 frequency content of observed earthquakes and other seismic signals.
- 330 • Larger-scale fluid dynamics experiments (higher Re , different dynamics, etc.).
- 331 • Mixed-volatile experiments.

332 From the group *Numerical Modeling*

- 333 • Models coupling reservoir and conduit with which to invert data from surface deformation
- 334 and eruption rate.
- 335 • Modeling of processes and signals associated with rise, pressurization and bursting of gas
- 336 slugs in a conduit.
- 337 • Numerical experiments to access the scaling laws governing large-scale effects (e.g.
- 338 unsteadiness).
- 339 • Improvement of fallout inversion models.

340 From both *Experiments* and *Numerical Modeling*

- 341 • Laboratory and numerical experiments capturing transient phenomena in general.
- 342 • Laboratory and numerical experiments on laws governing bubble coalescence.

343

344 **2.2 Experiments**

345 The group *Experiments* focused their discussion on general topics related to conduit dynamics, as well
 346 as on the general and specific needs they require from the two other thematic groups in order to deliver
 347 tangible improvements on the study of conduit processes both in the near future and long term. The
 348 group recognized unanimously that the principal physical control on eruption style and explosivity is,
 349 besides gas content, magma rheology. In this view, it was stated that a major objective for
 350 experimentalists in the near future is to perform experiments allowing determination of the fundamental
 351 constitutive equations for three-phase magmas with bubble and crystal contents over the
 352 volcanologically relevant range [Pistone et al. 2012, Mader et al. 2013, Truby et al. 2014]. The group
 353 also highlighted the importance of strain-localization and viscous heating in conduits. The former

354 affects the ductile-brittle transition and pore redistribution in magmas [Wright and Weinberg 2009,
355 Lavallée et al. 2013], while the latter is responsible for the onset of much of the Non-Newtonian
356 behavior commonly observed in magmas [Cordonnier et al. 2012] and controls magma flow dynamics
357 in conduits [Costa et al. 2007a]. Both are ubiquitous and unavoidable phenomena, and yet they still
358 need to be properly quantified in magmas. Amongst general needs, the participants claimed a
359 requirement for 4D dynamically evolving experiments that can be compared with both field data and
360 numerical simulations. There is a need to consider how to integrate data coming from different
361 experiments, as well as how to apply different techniques, at different scales. For example, how can
362 recording of acoustic emissions during laboratory deformation of samples be used as analog of
363 seismicity in natural systems? Experiments on simplified analog systems are also needed to separate
364 otherwise intricate effects, test hypotheses and define the important parameters of a process [Namiki
365 and Manga, 2008, Namiki et al. 2014, Namiki et al. 2016, Spina et al. 2016a]. For instance, large-scale
366 analog experiments can unveil complexities in the conduit of maar-diatreme volcanoes [Taddeucci et
367 al. 2013, Valentine et al. 2012]. There is also a need to develop probabilistic approaches to
368 experimentation, where, for instance, experiments are repeated to identify variability in the process (for
369 example to determine if experimental results can be reproduced or if there is critical sensitivity to
370 starting conditions). Experimentalists need to consider dynamic tracers to determine the evolution of a
371 sample/particle to produce the final characteristics observed. In terms of physical versus geochemical
372 observations, we need to take into account geochemical parameters and related information when
373 conducting physical experiments. To this end, well-instrumented field campaigns at accessible
374 volcanoes (e.g. Stromboli) can be used to bridge the gap between controlled-setting experiments,
375 modeling, and sparse observations. In addition, we need to develop a strategy for sample exchange such
376 that different groups working on the same set of samples can determine different parameters.
377 Ultimately, this can be used as a way of communicating among different groups. Finally, because
378 experiments are an excellent way to test numerical models, experimentalists need to know what
379 numbers/parameters and degree of precision are required by modelers in order for them to be able to
380 validate their results.

381 **What the group Experiments needs from the other thematic groups**

382 From the group *Numerical Modeling*

- 383 • Development of numerical models capable of reproducing unsteadiness in natural systems.
- 384 • Development of numerical models capable of accounting for layered systems (for example,
385 gradients of localized stresses).
- 386 • Development of numerical models capable of simulating processes that occur within conduits
387 of irregular geometry.

388 From the group *Volcano Observations*

- 389 • Better information regarding emplacement conditions, which is related to the description of
390 deposits.

391

392 **2.3 Numerical modeling**

393 The group *Numerical Modeling* focused mainly on what physical processes are important to
394 address/take into account in the study of conduit magma ascent to improve knowledge in the near future,
395 and what they require from the other thematic groups. One of the main problems identified by this group
396 is that currently there is a division in two main groups of models: those that address the flow of magma
397 in cylindrical, established conduits, and those considering magma pathways where magma forces its
398 way through the rock by means of hydraulic fracturing (diking). The former focus on fluid dynamics
399 neglecting the mechanical response of the host rock; simplified conduit shapes are assumed that may
400 significantly deviate from those in the real system [Ramos 1999, Melnik 2000, Mastin 2002, Melnik et
401 al. 2005, Gonnermann and Manga 2007]. The latter consider the mechanical response of the host rock
402 and thus obtain realistic/physical dike/conduit shapes, but neglect or simplify drastically the physical
403 properties of the magma [Rivalta and Segall 2008, Maccaferri et al. 2010, Rivalta 2010, Maccaferri et
404 al. 2011, Maccaferri et al. 2015, 2016, Rivalta et al. 2015]. Conduit flow models ignore the coupling of
405 the dike system to the solid (elastic) system [Costa et al. 2009, Melnik and Costa 2014, von der Lieth
406 and Hort 2016]. Certainly, the overall field of numerical modeling of conduit processes would benefit
407 from a better integration between the different groups. On the other hand, there is room to integrate
408 more realistic magma properties and presence of bubbles or volatiles in diking models. In order to
409 progress in these respects, we need to build a larger network of modelers who are efficiently
410 collaborating to define a general framework from which models can build upon.

411 Finally, this group recognized the importance to deal with uncertainties. Often people from both the
412 experimental and decision-making communities do not consider that some estimates in the modelling
413 can have an uncertainty of a factor of 10 or larger. The geometry of the volcanic system is very
414 important and very hard to infer, and there are huge uncertainties associated with it, for example, the
415 size of magma chambers and conduits [Costa et al. 2007b, de' Michieli Vitturi et al. 2008, Colucci et
416 al. 2014, Melnik and Costa 2014]. For some volcanic systems, geophysical data collected during
417 eruptions indicate the presence of one or multiple magma storage regions connected with a conduit
418 [Hautmann et al. 2010], and numerical models are now beginning to simulate these complex geometric
419 configurations [Melnik and Costa 2014]. Moreover, dike pathways through the crust may not be vertical
420 [Lamb et al. 2015] and may not coincide with pre-existing weaknesses in the host rock as is often
421 assumed [Rivalta et al. 2015]. Dike pathways are often tortuous as evidenced by recent studies
422 [Bagnardi et al. 2013] and strongly influenced by the distribution of surface loads [Maccaferri et al.
423 2014, Maccaferri et al. 2015, Corbi et al. 2015, 2016], layering [Rivalta et al. 2005, Maccaferri et al.

424 2010] and faulting [Passarelli et al. 2015, Maccaferri et al. 2016]. In addition to this, there are problems
425 with the terminology used to define magma chambers: there is confusion when we refer to what
426 different techniques are detecting at different time scales. Different geochemical and geophysical
427 processes evidence different spatial and temporal scales and volumes, with uncertainties of orders of
428 magnitude. For example, different techniques have estimated the dimension of the summit magma
429 chamber at Kilauea from a fraction of to tens of cubic km. Another important point discussed by the
430 group participants is the importance of taking into account 1D, 2D or 3D models, as we need to balance
431 the computational expense versus the number of runs required to properly explore the parameter space
432 and conduct a proper sensitivity analysis [Collier and Neuberg 2006, Longo et al. 2012]. Other
433 outstanding parameters/points discussed by numerical modelers to be considered in terms of modeling
434 conduit processes are: closed versus open system degassing, lateral degassing, equilibrium and
435 disequilibrium processes, conduit erosion and magma-water interaction, viscous heating, and heat loss
436 effects [Macedonio et al. 1994, Mangan et al. 2004, Dufek and Bergantz 2005, Starostin et al. 2005,
437 Diller et al. 2006, Costa et al. 2007a, Degruyter et al. 2010b, Degruyter et al. 2012, La Spina 2014].
438 Numerical modelers further recognized the importance to integrate their methods with experimental
439 techniques. However, we need to understand to what extent such integration is possible and how well
440 models can be benchmarked by the experiments. Ultimately, modelers need to identify what parameters
441 are important so that experiments can be designed to constrain them (see also section 2.2 Experiments).
442 One of the main limitations of the numerical approach that has hindered the interaction with
443 experimentalists is the fact that models generally describe processes occurring at the macroscale and
444 experiments on for example rheology or degassing are commonly conducted at the scale of hand
445 samples or smaller. This leads to the use of averaged quantities such as effective viscosity or
446 permeability, which do not capture heterogeneous effects occurring at the pore scale, such as shear
447 localization or gas channel formation. In order to advance the comprehension of the processes occurring
448 at the microscale and how these processes govern the macroscale dynamics of the eruption, all modelers
449 agreed that the following processes, parameters and properties need an improved description to model
450 conduit magma ascent: bubble and crystal shapes, size distributions, nucleation, growth, deformation,
451 degassing, permeability, rheology, reservoir (magma chamber)/conduit size and geometry and elastic
452 response of the host rock. One can study these micro-scale processes by using novel numerical methods
453 [Parmigiani et al. 2016] or the technological advances that allow 4D experiments [Fife et al. 2012]. In
454 theory, the use of population balance equations allows us to describe some of the relevant microscale
455 processes at the macroscopic scale, but the computational costs for this approach are extremely high.
456 In other fields, such as aerosol dynamics, the so-called method of moments has been shown to be a
457 powerful tool to solve the population balance equation, and its application to the modelling of conduit
458 processes is currently under investigation.

459 **What the group Numerical modelling needs from the other thematic groups**

460 From the group *Numerical modeling*:

- 461 • Better integration between different modeling groups, particularly between those focusing on
462 conduit fluid dynamics and those focusing on the mechanical response of the rock.

463 From the group *Volcano Observations* and the group *Experiments*:

- 464 • Improved recognition of the importance and role of uncertainties.
- 465 • Better integration of their methods with experimental techniques, especially with the aim to
466 understand how well models can be benchmarked by the experiments.

467 **3. Outstanding issues in modern volcanology and recipes to address them**

468 *Unsteadiness*

469 Most eruptions, over different time scales, present changes in their characteristics, such as transitions
470 in the eruptive style or changes in the mass flow rate. From the workshop, it emerged that in general a
471 unique definition of unsteadiness does not exist. Volcanic eruptions exhibit fluctuations at a range of
472 timescales; long timescales (months-to years) are generally associated with deeper processes reflecting
473 conditions in the magma reservoir, whereas shorter timescale fluctuations are generally associated with
474 conduit and eruptive processes. For instance, periodic activity in explosive episodes alternate with
475 longer periods of rapid effusive dome growth (such as at the Soufrière Hills volcano on Montserrat in
476 May-August 1997, or the 2010 eruptive sequence at Merapi Volcano in Indonesia) [de' Michieli Vitturi
477 et al. 2013, Flower and Carn 2015, Carr et al. 2016]. Also, small eruptions have revealed that single
478 explosions may be formed by multiple, discrete ejection pulses [Taddeucci et al. 2012, Gaudin et al.
479 2014a, b, Scharff et al. 2014] resulting in unsteadiness and, sometimes, cyclicity, at a much shorter
480 frequency [Dominguez et al. 2016, Spina et al. 2016b]. Highly nonlinear relationships between magma
481 shearing, degassing and crystallization, and magma permeability and pressurization of the shallow
482 conduit system, or interaction of magma with ground water, control temporal transitions in eruptive
483 style and unsteadiness in eruptive processes. For example, transition from periodic explosions to
484 effusive activity may occur when sufficient permeable outgassing develops, reducing pressurization
485 within the conduit [Melnik et al. 2005, Kozono and Koyaguchi 2009, Degruyter et al. 2012, Nguyen et
486 al. 2014, Spina et al. 2016a]. Viscous heating near conduit margins [Costa et al. 2007a], and frictional
487 heating along faults [Kendrick et al. 2014a, b], can locally change effective viscosity [Hess et al. 2008],
488 crystallization, volatile exsolution [Lavallée et al., 2015], kinetics and gas loss [Kendrick et al. 2013,
489 Lavallée et al. 2013], which control magma flow cyclicity in lava dome eruptions [Lavallée et al. 2012],
490 thus possibly resulting in transient changes in the flow regime. These complex relationships and the
491 time scales at which the processes occur deserve further studies to improve our knowledge of the
492 unsteady dynamic of magma flow within the volcanic conduit.

493 *Disequilibrium*

494 Understanding the processes controlling eruptive style is critical for volcanology and eruption
495 forecasting. Eruptive style is controlled by coupling between gas and magma during magma ascent,
496 with strong coupling leading to enhanced fragmentation and ash production and weak coupling
497 promoting efficient outgassing and accordingly translating into milder effusive activity and lava
498 emission. This coupling is controlled by the interplay and feedback among several non-linear processes
499 such as multi-phase magma rheology and its evolution, crystallization, gas exsolution, permeability,
500 magma ascent velocity and fragmentation, within a dynamic magma reservoir and conduit system.
501 However, a crucial limitation of previous work is that such non-linearity has been predicated almost
502 exclusively on the assumption of thermal and kinematic equilibrium between melt, crystals, and
503 volatiles. Volcanologists have traditionally assumed that the processes of magma degassing and
504 solidification/crystallization occur nearly instantaneously in response to depressurization associated
505 with magma ascent and eruption. However, it is now recognized that the timescales required to achieve
506 equilibrium for both crystal growth [Vona and Romano 2013; Kolzenburg et al. 2016a] and volatile
507 exsolution [Pichavant et al. 2013, Rivalta et al. 2013, Lloyd et al. 2014] are often longer than the
508 timescales of magma ascent. The impact of disequilibrium is profound because gas and crystal content
509 control magma viscosity, density, ascent rate, and the fragmentation process. These parameters, in turn,
510 control flow dynamics and eruption style – from explosive to effusive– which ultimately dictate the
511 nature and scale of the hazard posed. Although disequilibrium processes are increasingly recognized as
512 the controlling factors in large-scale eruption dynamics, quantifying them in volcanic systems remains
513 an enormous challenge: the P, T, volatile content, melt composition and rate-of-ascent parameter space
514 is huge. So far, laborious petrological and rheological experiments requiring interruption and quenching
515 were necessary to capture each individual data point. To overcome these shortcomings, and
516 significantly improve our understanding and quantitative modeling of volcanic processes and their
517 impacts, requires a thorough understanding of disequilibrium processes in volcanic systems. This
518 implies integration of 4D high pressure and high temperature experiments on both the kinetics of
519 magma crystallization and vesiculation and multiphase magma rheology, 3D modeling of conduit
520 magma ascent and eruption evolution, and comparison/validation with observations of the natural
521 volcanic system.

522 *Uncertainty*

523 Uncertainty plays a major role in volcanology particularly in the study of conduit processes. Because
524 direct observations of subsurface magma ascent conditions and dynamics are not possible, we have to
525 investigate this complex system dealing with incomplete and uncertain information. In terms of
526 volcanic hazard, the community's understanding of the physical system is limited, and subsurface
527 parameters (volatile contents, crystal content, temperature, pressure etc.) are not always well

528 constrained or are constrained with significant uncertainty. Furthermore, the exact relationship between
529 subsurface parameters and eruption style (effusive vs. explosive) and scale is also poorly constrained.
530 This not only implies that it is impossible to predict eruption scenarios and their consequences
531 deterministically, but also that inferences that can be drawn from observational data could be not
532 unique. Thus, characterization and quantification of uncertainty (in observations, experiments and
533 models) is a crucial element in order to properly understand conduit processes and their control on
534 volcanic processes and eruptive activity.

535 Uncertainty arises in every measurement necessary in analytical, experimental and observational
536 volcanology, due to instrumentation, data acquisition and reduction limitations, and facility and
537 environmental effects. In addition, models (experimental or numerical) of complex natural processes
538 such as magma ascent are idealizations requiring simplifications, not necessarily unique, of the
539 complicated physics that lead to unavoidable uncertainty. Furthermore, it is important to understand
540 how uncertainty in some variables or parameters, such as for example initial gas and crystal content,
541 propagates in the uncertainty of system outputs, like conditions observed and measured at the vent.
542 Because the source of uncertainty is present in all methodologies adopted to investigate conduit
543 processes, and propagate from one approach to another, a multidisciplinary investigation is needed.

544 In the past, according to the field of interest, several classifications of the source of uncertainty have
545 been adopted. Two different types of uncertainty are generally considered [Marzocchi et al. 2005]:
546 aleatoric and epistemic. Aleatoric uncertainty is associated with the intrinsic complexity of the system
547 that makes a deterministic prediction impossible, while epistemic uncertainty is associated with the
548 limited knowledge of the system and can be, in principle, reduced improving our comprehension of the
549 system or increasing the accuracy of data. Another way to classify uncertainty in a system is illustrated
550 in Vernon et al. [2010], where the following basic uncertainties are described: observational
551 uncertainty, parameter uncertainty, simulator uncertainty, input uncertainty and structural uncertainty.

552

553 **Conclusive remarks**

554 The main result of the workshop was to identify the specific knowledge areas in which exchange of
555 information among the sub-disciplines would lead to efficient progress in addressing the three main
556 outstanding issues that the participants identified common to experimental, analytical, numerical, and
557 observational volcanology: *unsteadiness* (or transience), *disequilibrium*, and *uncertainty*. All workshop
558 participants agreed that multidisciplinary collaboration of this sort is essential for progressing the state
559 of the art in understanding of conduit magma dynamics and eruption behavior.

560

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567

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