Ion Transport across Biological Membranes by Carborane-Capped Gold Nanoparticles

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Supporting Information

ABSTRACT: Carborane-capped gold nanoparticles (Au/carborane NPs, 2−3 nm) can act as artificial ion transporters across biological membranes. The particles themselves are large hydrophobic anions that have the ability to disperse in aqueous media and to partition over both sides of a phospholipid bilayer membrane. Their presence therefore causes a membrane potential that is determined by the relative concentrations of particles on each side of the membrane according to the Nernst equation. The particles tend to adsorb to both sides of the membrane and can flip across if changes in membrane potential require their repartitioning. Such changes can be made either with a potentiostat in an electrochemical cell or by competition with another partitioning ion, for example, potassium in the presence of its specific transporter valinomycin. Carborane-capped gold nanoparticles have a ligand shell full of voids, which stem from the packing of near spherical ligands on a near spherical metal core. These voids are normally filled with sodium or potassium ions, and the charge is overcompensated by excess electrons in the metal core. The anionic particles are therefore able to take up and release a certain payload of cations and to adjust their net charge accordingly. It is demonstrated by potential-dependent fluorescence spectroscopy that polarized phospholipid membranes of vesicles can be depolarized by ion transport mediated by the particles. It is also shown that the particles act as alkali-ion-specific transporters across free-standing membranes under potentiostatic control. Magnesium ions are not transported.

KEYWORDS: artificial ion transporters, gold nanoparticles, carborane, membrane potential, fluorescence spectroscopy, electrochemistry

Ion transport across biological membranes is a fundamental phenomenon ubiquitous in nature.1−6 Photosynthesis, the respiratory chain, ATP production, muscle contraction, neuronal signaling, and many other key biological processes depend on it.7,8 Numerous active and passive transport mechanisms exist ranging from simple ion channels to highly complex and regulated energy-converting nanomachines. Naturally occurring ion transporters are proteins, whereas artificial ones can be made in different ways, usually from peptides,9 macrocyclic organic compounds,10,11 or appropriately functionalized polymers including DNA.12−15 Substances that affect ion transport or its regulation play important roles in both basic research and drug development. Carborane-capped gold nanoparticles16 in the 1−4 nm size range are known for their ability to store and release cationic charge that is counterbalanced by excess electrons in the metal core.17 This is possible due to the inevitable voids in the ligand shell, which are caused by the near spherical shape of the carborane ligand.

It may also be assisted by the dipole moment of the ligand, which in this case is pointing toward the gold surface. Carboranes have the interesting property that the orientation of their dipole moment relative to a surface can be controlled by the position of the two vicinal carbon atoms.18 Gold nanoparticles loaded up with cations readily disperse in water as net polyanions but, if possible, tend to associate with water/oil interfaces or with hydrophobic membranes. Unlike most other nanoscopic forms of gold,19 the particles are cytotoxic and can enter biological cells by direct membrane penetration and finally lodge inside membranous structures including the mitochondria. Here, we report that 2−3 nm gold nanoparticles protected by mercaptocarborane ligands (a) readily penetrate...
phospholipid bilayer membranes and establish a membrane potential as partitioning anions and (b) effect the transport of alkali cations across the membrane and hence represent a class of membrane transporters. Two complementary experimental techniques have been used to study these phenomena: (i) voltage-dependent fluorescence spectroscopy of dispersions of vesicles and (ii) potentiometric and potential step experiments across a free-standing bilayer membrane.

RESULTS AND DISCUSSION

We have previously reported the unexpected ability of the hydrophobic carborane-capped gold nanoparticles (2–3 nm) to disperse readily in aqueous media. This is due to the storage of both electronic and ionic charge in the metallic core and in the ligand shell, respectively, as illustrated in Figure 1. Phase transfer experiments with cationic transfer agents have established the anionic character of the nanoparticles, and ion exchange with lithium followed by Li nuclear magnetic resonance (6Li NMR) spectroscopy has shown that alkali cations close to the metal surface compensate most of the excess electronic charge of the metal core. Removal of most charge by acid treatment renders the particles completely hydrophobic. It is useful to recall these findings when interpreting the results presented here.

Before studying the ability of these nanoparticles to act as ion transporters across biological membranes, it is necessary to consider their own propensity as large anions to partition across the membrane and to establish a membrane potential. For this purpose, we used an established experimental approach to monitor the membrane potential of phospholipid vesicles by fluorescence spectroscopy using the potential indicator dye safranin O that partitions between the membrane and the outer aqueous phase depending on membrane potential.

Figure 1. Schematic representation of nanoparticles and charge storage. (a) Carborane-capped gold nanoparticles. (b) Charge storage in metallic core (electrons) and ligand shell (sodium ions) and formation of a water-dispersible polyanion by dissociation of sodium ions from the ligand shell.

Figure 2. Membrane polarization by Au/carborane NPs. (a) Schematic representation of membrane polarization after addition of anionic Au/carborane NPs to the aqueous medium outside the vesicles. While the particles readily transfer across the membrane, counterions remain on the outside of the vesicle. Note that polyethylene glycol-coated gold nanoparticles (Au/PEG-OH NPs) have no effect. (b) Fluorescence response to the addition of Au/carborane NPs in a range of different concentrations in the absence of electrolyte. (c) Membrane potential estimated from the data presented in (b). (d) Plot derived from the Nernst equation for two different NaCl concentrations. The membrane potentials were directly measured using a DIB cell (Figure S4a). Note the dependence of the charge of the Au/carborane NPs on the NaCl concentration, −3 at 100 mM and −6 at 1 mM. This is likely to be the case also for other alkali ions that can enter the ligand shell.
Fluorescence intensity significantly increases when the dye molecules reside inside the membrane. Vesicles of 100−200 nm diameter with a positive ζ-potential (Table S1) were prepared following the method reported by Stupp and co-workers and characterized by dynamic light scattering (DLS) and cryo-transmission electron microscopy (cryo-TEM) (Figure S1). For calibration purposes, their membrane potential was controlled by carefully adjusting the concentrations of K+ ions inside and outside the vesicles in the presence of the K+-specific transporter valinomycin. The fluorescence intensity of safranin O was calibrated against the membrane potential values calculated from the Nernst equation (Figure S2).

If, instead of valinomycin, the nanoparticles are added, a rapid small polarization of the membrane in the same direction (inside negative) is also observed, but the final value does not depend on the potassium concentrations on both sides of the membrane but only on the amount of nanoparticles added. This effect is most pronounced in the absence of any added electrolyte other than the particles themselves (Figure 2b,c). If electrolytes are present, the polarizing effect of the Au/carborane NPs differs somewhat for different cations (Figure S3). We attribute this polarization to the anionic nanoparticles’ ability to transfer across the membrane and, in the absence of cation transport, to determine the membrane potential as the only partitioning ion. They are self-transporting partitioning ions. To quantify this in terms of a Nernst equilibrium, it is necessary to control the concentrations of nanoparticles on both sides of the membrane, which is not possible with the vesicle system but can be achieved using a droplet interface bilayer (DIB) separating two independently accessible electrolyte solutions, as elegantly demonstrated by Bayley et al. (Figure S4a).

The results are presented in Figure 2d. Although these direct measurements of membrane potential in this system are experimentally challenging and hence somewhat noisy, the results are robust and fully commensurate with the predictions from the Nernst equation. Also, the average charge per particle can now be inferred as −6 in 1 mM sodium chloride and −3 in 100 mM sodium chloride. At this stage, there is no evidence that the particles are capable of transporting ions across the membrane other than themselves.

A different scenario presents itself when the membrane is first polarized by the presence of a potassium gradient using the potassium-specific transporter valinomycin (less than 2 molecules per vesicle). Figure 3a,b show how the membrane potential is built up and reaches its saturation value. If then the gold nanoparticles are added (1 to 2 particles per vesicle), an

![Figure 3](image-url)
initial fast but small decline in fluorescence intensity is always observed. We interpret this as an artifact perhaps due to quenching and/or reabsorption of light by the metallic particle. Then, the fluorescence intensity decreases, gradually approaching a constant final value that quite accurately corresponds to the membrane potential the particles alone would have caused. The effective canceling of the potential initially determined by the potassium gradient is explained by the influx of Na$^+$ and the efflux of K$^+$ ions both from high to low concentration, indicating that the gold nanoparticles added under these conditions do provide a mechanism for Na$^+$ transport across the membrane as well as for K$^+$, in addition to valinomycin. As there are now transporters for sodium and potassium present in the membrane, both ions can freely flow in and out until their concentrations inside and outside the vesicles are equal and they cause the membrane potential to drop to zero (really to the small value determined by the partitioning of the nanoparticles). For a discussion of transport rates see Supporting Information (Index 7). While the nanoparticles were not found to transport ions that polarize the membrane, this experiment shows unequivocally that they support ion transport to depolarize the membrane in the presence of a competing polarizing mechanism.

Figure 4. Current–voltage responses in potential step experiments on free-standing phospholipid bilayer membranes. (a) Current traces in response to potential steps of $-80/+80$ mV. The electrochemical cells are color coded underneath. Note that the largest currents are obtained in the presence of steep gradients of potassium and sodium concentrations across the membrane. (b) $I$–$V$ curves corresponding to (a). Each point represents the steady-state current of the potential step experiment. The graph has been corrected to eliminate a small offset current (3–4 pA) that is present even in the absence of NPs. The intercept of $+8$ mV shows that K$^+$ is preferentially transported over Na$^+$. (c,d) Same as (a) and (b) but using sodium and magnesium instead. The intercept at $-40$ mV shows that sodium is transported preferentially to magnesium. This selectivity also indicates that simple defect formation in the membrane can be excluded as a transport mechanism.
prepare the vesicles with the potential sensitive dye incorporated inside, which would have been necessary to measure membrane potentials of opposite polarity. Also, ionic currents across the membranes are inferred but are not measured directly. To overcome these limitations and to validate our findings independently by an alternative method, we used planar bilayer membranes separated by two compartments of a small 3D-printed electrochemical cell (Figure S4b).28,29 These are conceptually similar to the DIB that have been used to measure the membrane potential caused by the partitioning of the nanoparticles. The current responses to alternating potential steps of up to $-80/80$ mV applied across the membrane were recorded under various conditions. The results are shown in Figure 4. While sodium and potassium are both transported readily with a small preference for potassium, significant differences are found when comparing sodium and magnesium (Figure 4c,d). The intercept of $-40$ mV in Figure 4d indicates a clear preference for sodium over magnesium. This selectivity has also been observed by electrospray ionization time-of-flight (ESI-TOF) mass spectrometry after ion exchange experiments (Figure S6).

The inability to polarize the membrane by the transport of cations in the presence of a concentration gradient strongly suggests that the particles do not simply open channels through which ions can freely flow across the membrane. A shuttle mechanism is more likely by which the particles fill up with cations on the side of high concentration, flip across the membrane, and release them on the side of low concentration, probably becoming more negative upon cation release unless the empty vacancies are immediately filled up with other cations. Only if the cations are released on the negatively polarized side of the membrane (depolarization) can the membrane potential push back the negatively charged particle to the positive side, where it is replenished with cations and the process repeats. Our current understanding of this process is summarized in Figure 6. Release of cations on the positive side of the membrane (polarization) would stop the process as the particle would remain trapped on that side of the membrane. The vesicle experiments also revealed that membrane depolarization requires an overpotential which we attribute to having the particles only added to one side of the membrane.

Figure 5. (a) Polarization with potassium gradient after addition of valinomycin. Addition of Au/carborane NPs does not lead to depolarization if magnesium is chosen as the partitioning ion. (b) Same as (a) but the Au/carborane NPs were added before addition of valinomycin at 300 s. Again no depolarization occurs. (c) Polarization with potassium gradient after addition of valinomycin and attempt to further polarize the membrane using Au/carborane NPs as a transporter and sodium as partitioning ion. (d) Same as (c) but the NPs were added before of addition of valinomycin. All electrolyte concentrations are given in the insets.
Indeed, the electrochemical experiments do not show this overpotential and further seem to suggest that the particles act as transporters much like valinomycin (Figure S7). This is because by the very nature of these experiments, under potentiostatic control, the membrane is always polarized in such a way that cations cross the membrane from the positive to the negative side. The unusual property of the particles not to support a polarizing flow of cations would thus not have been noticed by the electrochemical investigation alone.

CONCLUSION

In conclusion, we have demonstrated by two complementary experimental approaches that cation transport across phospholipid membranes can be facilitated by ligand-capped gold nanoparticles, which by themselves are large partitioning anions that form a Nernst equilibrium and result in the buildup of a membrane potential. Electrochemical experiments have established little selectivity within the alkali ions tested but high selectivity of alkali over alkaline earth ions. A condition for the particles’ activity as transporters is that the membrane potential is kept away from the equilibrium potential determined by the particles. This is done by either providing a chemical potential difference and an ion selective pathway or by controlling the membrane potential directly with a potentiostat. In the absence of both, the particles do not act as transporters for any ions but themselves and cannot be used, for example, like valinomycin, to polarize a membrane with a suitable partitioning ion.

Our findings establish functionalized nanoparticles as a class of ion transporters with some interesting properties. The phenomena observed suggest a simple model of a nanoscale electrostatically driven shuttle. The presence of the metallic core should, in parallel, enable electronic conduction which would create interesting opportunities for the use of biological membranes. The design of nano- and microscale systems with coupled electronic and ionic transport between compartments separated by a membrane is the subject of ongoing research in our laboratories.

METHODS

Chemicals. Hydrogen tetrachloroaurate(III) hydrate (HAuCl₄·3H₂O) and sodium borohydride (NaBH₄) were supplied by Aldrich. Both cationic liposome kit and asolectin from soybean were purchased from Sigma. HS-C₁₁−PEG₄−OH was supplied by ProChimia. The cationic exchange resin, strongly acidic PA with a total exchange capacity of 2.0 mequiv/mL, and a water content of 46–52%, loaded with the desired cation chloride was provided by Panreac. Mercaptoconarboroane, 1-SH-1,2-closo-C₈B₄H₁₁, was synthesized according to the literature.³⁰,³¹ All salts were purchased from Sigma. Synthetic grade methanol, ethanol, and Milli-Q-grade (MQ) water were used in all preparations.

Unilamellar Vesicles. A 10 mM stock solution of phospholipids was made by dilution of lyophilized powder from a liposome kit (cholesterol 9 μmol, 1,2-dipalmitoylphosphatidylcholine 63 μmol, stearylamine 18 μmol) in chloroform.²²,²³ One milliliter aliquots of the stock solution were rotary evaporated to dryness and for a further 2 h in order to remove all residues of organic solvent. MQ water or KCl, Na₂SO₄, K₂SO₄ buffer solutions were used to hydrate the phospholipids depending on the requirements of each experiment (agitate overnight). In order to make the suspension of phospholipids more homogeneous, it was heated to 60 °C followed by 6–9 freeze–thaw cycles using liquid nitrogen. Next, the homogeneous phospholipid solution was extruded 20 times through a mini-extruder (Avanti) with a 100 nm pore-size polycarbonate filter (Whatman) to form vesicles of about 100 nm diameters. Purification of such prepared vesicles and buffer exchange were carried out by using dialysis tubing MWCO 12000–14000 (Sera).

Preparation of Ligand-Capped 2−3 nm Gold Nanoclusters. Both Au/PEG-OH and Au/carborane gold nanoclusters were synthesized following a literature method.³⁷ All the reaction components were mixed in the following final concentrations: capping agents [HS-C₁₁−PEG₄−OH] or [mercaptocarborane] = 3 mM, [HAuCl₄] = 3 mM, [NaBH₄] = 9.5 mM. In the case of mercaptoconarboroane-capped gold nanoclusters solvent was rota evaporated followed by washing of excess capping agent molecules with diethyl ether. In the final step, dark-brown residue was dissolved in isopropyl alcohol and filtered to remove the remaining sodium borohydride and other insoluble contaminants. PEGylated gold nanoclusters were washed by using ultra-high-speed centrifuge at 163000 rcf for 1 h, and the pellet was redispersed in a 9:1 mixture of water and ethanol. In total, the purification process was repeated three times where final product was redispersed in MQ water only. All particles were additionally characterized by ultraviolet–visible spectroscopy (UV–vis), high-resolution transmission electron microscopy (HR-TEM), and Fourier transform infrared spectroscopy (FTIR) (Figures S9 and S10).

Membrane Potential Changes Monitored by Fluorescence Spectroscopy. The suspension of vesicles with a final concentration of phospholipids of 1 mM was placed in a fluorimetric cuvette followed by addition of the potential probe dye safranin O (180 nM final concentration). Then the fluorescence intensity was allowed to equilibrate for 2 min. The kinetic mode was used to detect continuous fluorescence intensity changes at 589 nm with an excitation wavelength of 521 nm. In membrane depolarization experiments, concentrations varying from 11 to 15 mM valinomycin, and 20 mM Au/ carborane NPs were used. The fluorescence intensity was monitored over 2000 s. Experiments including NaCl, KCl, and MgCl₂ salts were performed at a pH range of 6.8–6.9. Experiment including sulfates (Figure S5c,d) was carried out in similar pH values 6.8–6.9 using 10 mM sodium phosphate buffer.

Membrane Formation in the Droplet Interface Bilayer Cell. The chamber of a 3D-printed polycrylamide cell (Figure S4a) was filled with aqueous electrolyte (300 μL), and then a lipid-containing decane solution (150 μL) was added on the top, so that a lipid monolayer formed at the interface. A droplet of electrolyte (~3 μL)
was pipetted onto an agarose-coated Ag/AgCl electrode and maneuvered, using a micromanipulator, so that the droplet was in the lipid–decane solution, where a monolayer of lipids surrounded the droplet. It was then moved to be in contact with the interface monolayer, where a bilayer membrane formed between the two aqueous phases. The formation of the membranes was tracked by using electrochemical methods. The average value of capacitance of membranes created using this procedure was 16 ± 2 nF, and resistance was in the range of 105–107 Ω. In each experiment, the membrane was formed with equal electrolyte and Au/carborane NP concentration in both aqueous phases called “in” and “out” (Figure S4a). Thereafter, gradual addition (via micropipette) of Au/carborane NPs to underlying planar aqueous medium (out) built up the concentration gradient of NPs across the membrane.

Formation of the Supported Planar Bilayer Membranes. Two Ag/AgCl electrodes were each fixed into one of two compartments of a 3D-printed polyacrylamide cell, with an aperture of ~270 μm diameter separating the two compartments (Figure S4b). Electrolyte solution (200 μL) was added into each compartment to cover the electrodes, but not enough for the aqueous phase to reach the aperture. Fifty microliters of phospholipid solution made of asolectin from soybean mixture dissolved in decane (25 mg/mL) was added on top of the aqueous phase and left for 20 min for monolayers to form at the interface between the aqueous and organic phases. The monolayers were brought into contact by raising the level of the aqueous phase, via alternating micropipette additions of electrolyte into each compartment, until the aperture was fully submerged. The formation of the membrane was monitored by cyclic voltammetry using a Metrohm Autolab III potentiostat and confirmed via capacitance and resistance measurements. In a standard experiment, capacitance of the formed membrane was in the range of 80–170 pF, yielding average specific capacitance value of 0.248 ± 0.06 μF/cm². Specific resistance of such formed membranes was in the range of 102–103 Ω·cm². In each experiment, either NPs or valinomycin were added to both sides cis and trans of phospholipid bilayer membrane, reaching their final concentration of 200 nM. All experiments were carried out at a pH range of 7.1–7.2 using 20 mM MOPS buffer (3-(N-morpholino)propanesulfonic acid).

ζ-Potential and DLS Measurements. The ζ-potential measurements were performed on the earlier purified nanoparticles and vesicle solutions. Three measurements, each including 10 runs, were used to estimate average ζ-potential value. Malvern Zetasizer Nano ZS Zen3600 was used for both ζ-potential and DLS measurements.

Infrared. Colloidal suspensions of gold nanoparticles were deposited on IR windows by using a drop-casting method. Aqueous solutions of Au/PEG-OH were drop-cast onto the ZnSe and Au/carborane NPs suspended in ethanol on the CaF2 IR windows. Powder solutions of Au/PEG-OH were drop-casted on the ZnSe and Au/carborane NPs after the ion exchange was performed, using a micromanipulator, so that the droplet was in air for an hour before insertion into the microscope. HR-TEM was performed on a JEOL JEM 2100FC equipped with a spherical aberration corrector (CEOS GmbH), operating at an accelerating voltage of 200 kV.

Cryo-TEM. Holey carbon film, 300 mesh, or Quantifoil R2/2, 200 mesh copper TEM grids (Agar Scientific), which was then dried in air for an hour before insertion into the microscope. HR-TEM was performed on a JEOL JEM 2100FC equipped with a spherical aberration corrector (CEOS GmbH), operating at an accelerating voltage of 200 kV.

Cryo-TEM. Holey carbon film, 300 mesh, or Quantifoil R2/2, 200 mesh copper TEM grids (Agar Scientific), was glow-discharged in a Quorum 150T7 turbo-pumped glow-discharge system. The samples were prepared by mixing the lipid vesicle solution with 50 nM colloidal dispersion of Au NPs. Three microliters of the prepared solution was drop-casted onto a glow-discharged TEM grid placed inside an FEI Vitrobot Mk2 vitrification system that was set to 8 °C and relative humidity of 98%. The samples were blotted 2 × 2 s before being plunged into liquid ethane. Vitrified samples were transferred onto a Gatan 662 cryogenic sample holder and imaged on an FEI Tecnai Spirit G2 BioTWIN TEM operating at an accelerating voltage of 120 kV, using an Olympus-SIS MegaView III digital camera. Cryo-TEM imaging was performed in low-dose mode (electron beam current density of 6.3 e−/Å² s, with the total electron dose per imaged area of <50 e−/Å²) at a defocus ranging from −0.5 to −1.5 μm. During cryo-TEM, the sample holder temperature was maintained below −179 °C.

The acquired electron microscopy images were processed using ImageJ 1.46r software (NIH).

Ion Exchange and MALDI-TOF MS. To replace the sodium counterbalancing ions by Li+, approximately 100 mg of prepared sodium-loaded Au/carborane NPs were dissolved in water. Then, the solution was passed repeatedly through a lithium ion exchange column and subsequently analyzed by Li NMR spectroscopy. Moreover, we interchanged the initial Na+ cation with K+, Mg2+, and Ca2+, by passing a solution of water-soluble sodium reached Au/carborane nanoparticles through the cation-exchange resin previously loaded with the corresponding cation (K+, Mg2+, and Ca2+). ESI-TOF mass spectra of the ethanol solutions of the Au/carborane NPs after the ion exchange were measured in the positive-ion mode using a home-built mass spectrometer. Results showed that Na+ can be interchanged only by other alkali metals such as Li+ or K+. With alkali-earth metals, such as Mg2+ and Ca2+, there is no cation exchange even if the aqueous solution of the Au/carborane is passed more than 7 times through the resin as represented in (Figure S6).

ASSOCIATED CONTENT

Supporting Information
The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acsnano.7b06600.

Table 1 and Figures S1–S10 as referred to in the text (PDF)

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Notes
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