Plasma activation of CO_2 in a dielectric barrier discharge: a chemical kinetic model from the microdischarge to the reactor scales

Martin Alliati, Danhua Mei, Xin Tu[1]

Department of Electrical Engineering and Electronics, University of Liverpool,

Liverpool L69 3GJ, UK

Abstract

The conversion of CO_2 into value-added chemicals or fuels has attracted much attention over the past years. Plasma technology represents a highly promising alternative due to its non-equilibrium nature, deemed crucial for CO_2 dissociation reactions. Gaining a deep understanding of the reaction mechanisms involved under plasma conditions is essential to improve the performance of such processes. Among other theoretical calculations, plasma chemical kinetic modelling constitutes a very suitable approach to address this challenge. In this work, a zero-dimensional model of a dielectric barrier discharge (DBD) reactor is applied to CO_2 splitting, providing a novel approach for including experimental parameters as discharge power and flow rate based on the analysis of the different scales involved. The model choices is extensively discussed as regards experimental parameters, cross-section data and the chemical reactions considered. The predictions of the model are in good agreement with existing experimental data and therefore the model is considered valid to analyse the CO_2 splitting reaction mechanism based on its results. It is concluded that the electron impact dissociation is the dominant process towards CO_2 conversion, which could explain the low energy efficiency achieved since only ~10% of the electron energy is consumed by mechanism. The remaining energy would be lost towards vibrational excitation not leading to CO_2 dissociation in DBD reactors.

Keywords: Dielectric barrier discharge; Non-thermal plasma, CO_2 conversion, Plasma chemical kinetic modelling; Reaction pathways.

[1] Corresponding author, xin.tu@liverpool.ac.uk

1. Introduction

Turning CO_2 into a valuable feedstock by the development of new processes for its conversion to value added chemicals or fuels constitutes a major challenge already being addressed by scientists and engineers all over the world. The large amounts of CO_2 emitted on a daily basis due to the burning of fossil fuels account for the availability of this molecule. In addition, these anthropogenic CO_2 emissions must be reduced due to their effect as a greenhouse gas, as well as their negative impact in terms of global warming [1]. For these reasons, CO_2 splitting has attracted great attention over the past years among scientists within the fields of sustainability, renewable energy and environmental sciences [2–11].

Unfortunately, CO_2 is a highly stable molecule ($\Delta G° = -394 \, kJ/mol$) and its dissociation is strongly endothermic ($\Delta H° = +283 \, kJ/mol$) [2]. Hence, high conversions are not easy to achieve without a fairly poor energy efficiency. Many research efforts have aimed to overcome these difficulties, among which plasma processing is presented as a promising alternative due to their non-equilibrium nature [13–25]. Electron impact reactions provide unique mechanisms for CO_2 dissociation that can take place at room temperature and atmospheric pressure, this being a major advantage of non-thermal plasma technologies in comparison with other methods like thermal catalysis [1]. Also, turning a plasma system on and off is relatively straightforward, which makes it the ideal complement to the promising yet fluctuating renewable energy sources [2].

Dielectric barrier discharge (DBD) reactors have been extensively studied for CO_2 conversion, partially due to their simplicity [13–25]. These types of reactors normally operate at atmospheric pressure and near room temperature. Moreover, they have a simple design suitable for industrial scales and a catalyst can be easily incorporated [14,17–25]. The energy efficiency of CO_2 splitting reactions in DBD reactors (typically below 10% at atmospheric pressure [26,27]) needs further improvement for this technology to be industrially relevant. In terms of syngas production from CO_2 reforming of methane, DBD reactors should reach an energy efficiency of around 60% to be competitive with both existing and emerging technologies. This target can be reduced by a factor of 2 or 3 for direct oxidative pathways towards liquid products, which is closer to the currently achieved energy efficiency [2].

57 In the quest for improving the energy efficiency, understanding the reaction mechanisms of

58 CO_2 conversion is of major importance [2]. Numerical modelling seems to be the best

59 approach for revealing the underlying chemistry of this system. Among these simulations,

60 plasma kinetic modelling provides a great tool to analyse the relevance of various processes

61 leading to CO_2 conversion. Due to the high computational cost of fluid models, non-

62 dimensional models have been developed and applied to DBD reactors, yielding reasonable

63 agreement with experimental data in most cases [28–35].

64 In this study, calculations based on a zero-dimensional plasma kinetic model of CO_2 splitting

65 in a tubular DBD reactor are performed and the results are compared with existing

66 experimental data [36]. Once validated, the model is used to understand the reaction

67 mechanisms involved based on its results. A novel approach to the already existing DBD

68 reactor zero-dimensional models is presented in order to incorporate the effect of the main

69 experimental parameters in the CO_2 conversion, namely discharge power and flow rate. To

70 the best of our knowledge, some important considerations and approximations included in

71 the model have never been tested before. A discussion of the most suitable cross sections

72 datasets for the electron impact dissociation is also presented based on results reported

73 elsewhere [32,37]. Polak and Slovetsky's CO_2 dissociation total cross section data [38] was

74 finally selected to calculate the CO_2 electron impact dissociation rate coefficient, being

75 contrasted to experimental results in terms of CO_2 conversion for the first time. A reduced

76 chemistry set reported in [31] is employed for this model, also contributing towards a low

77 computational cost as it only involves 17 reactions and 9 species. A reduced electric field

78 (E/N) well outside the range of figures reported elsewhere for DBD reactors is used. This

79 might have important consequences regarding the main channels for CO_2 dissociation and

80 the energy efficiency achieved in DBD reactors in comparison with that of microwave (MW)

81 discharges. Calculations were performed by means of the ZDPlasKin Fortran module

82 (version 2.0a, Sep 2017) [39]. BOLSIG+ solver (version 03/2016) was employed to calculate

83 the rate coefficients of the electron impact reactions [40]. LXCat project databases were used

84 for retrieving cross section data [41].

85 ## 2. Description of the model

86 ### 2.1. Experimental setup to be modeled

87   According to the experiments that the model in this study intends to represent, a co-axial

88   DBD reactor with no packing will be considered (see Figure 1). In every case relevant to this

89   work, the discharge length is 100 mm while there is a 2.5 mm discharge gap (the inner

90   diameter of the quartz tube is 22 mm while the outer diameter of the inner electrode is 17

91   mm). Further details on the experimental setup can be found in our previous work [36].

92   Different values for the discharge power and flow rate are considered (10 to 50 W and 25 to

93   125 mL/min, respectively), corresponding to different experimental measurements. The

94   voltage across the gap has been calculated through Lissajous figures, leading to a reduced

95   electric field of 56 Td. This value will be used as an input parameter throughout all the

96   calculations performed in this work. For further information on the method used to calculate

97   E/N from Lissajous figures, see ref [42].

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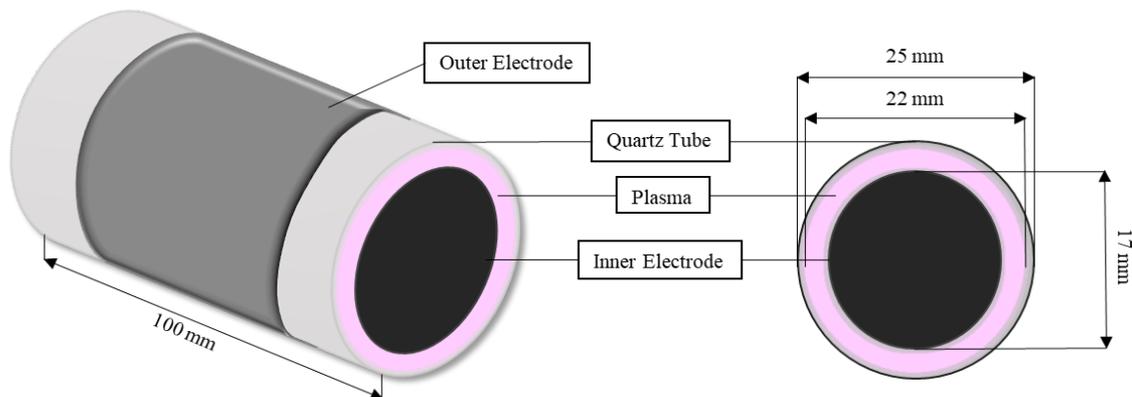
99

100   *Figure 1 – Schematic diagram of DBD plasma reactor*

101

102   2.2. Zero-dimensional DBD reactor model

103   Zero-dimensional models have been previously applied to plasma kinetic modelling of DBD

104   reactors[28–31]. The main simplification of these models relies on neglecting any spatial

105   variations of any property in the radial and angular coordinates of the tubular reactor, i.e.

106   properties only vary along the axial coordinate of the reactor (Z). Like in plug flow models

107   of tubular reactors, a *slice of reactor* with a differential length *dZ* can be considered as a

108   differential element of volume within which every property is homogeneous. The *slice of*

109   *reactor* flows through the reactor from Z=0 to L (reactor length) with an average velocity

110    $\langle V \rangle$, considered to be homogeneous in the reactor's flow section for the purpose of this model

111   (planar velocity profile). In addition, this magnitude is assumed to be constant along the axial

112   coordinate since density (temperature and pressure) variations between the reactor's inlet and

113   outlet are neglected. Therefore, the velocity is essentially the ratio between the reactor length

114   (L) and its residence time (θ). In fact, this model become non-dimensional when the

115   independent variable Z is translated into the residence time (θ). Each element of volume

116   flowing through the reactor (Z = 0 to L) can be seen as a small batch reactor progressing in

117   time until reaching the residence time of the reactor (t = 0 to θ). Then, as expressed in

118   equation 1, the jth production or loss process will contribute to the variation of the number

119   density of the ith species (N_i) with respect to residence time according to the stoichiometric

120   coefficient of the ith species in the jth reaction (a_{ij}) and its reaction rate (rrt_j). See variable

121   index in Table S3.

122
$$\frac{dN_i}{d\theta} = \sum_j a_{ij} \times rrt_j = \sum_j a_{ij} \times k_j \times \prod_l N_i \quad (1)$$

123   Plasma discharges in DBD reactors present a filamentary regime for most gases and it is

124   certainly the case for CO_2 [43]. Therefore, the element of volume described above passes

125   through a number of filaments as it flows through the reactor, facing a high concentration of

126   plasma electrons which in turn generate short lived plasma species by electron impact

127   reactions. In terms of the non-dimensional model, these filaments, which are spatially spread

128   along the axial coordinate of the reactor, can be represented as consecutive pulses over time

129   with a certain frequency (f_{pulses}) [29]. This parameter has been widely varied among the

130   different zero-dimensional models published in the past years, ranging from values in the

131   order of the actual voltage frequency (tens of kHz) [29] to arbitrarily chosen values like 1000

132   kHz [28] or 0.03 kHz [31]. Interestingly, this frequency of pulses in the model is not strongly

133   related to the actual frequency of the voltage applied to the plasma system. In fact, it can be

134   understood in terms of the period resulting from the ratio of the distance between two

135   consecutive filaments ($\Delta Z_{fil-to-fil}$) and the velocity of the fluid ($\langle v \rangle$). That is to say, the

136   frequency of the pulses in the zero-dimensional model can be expressed as in equation 2,

137   where $\langle v \rangle$ is the average velocity of the fluid inside the reactor and $\Delta Z_{fil-to-fil}$ is the space

138 between two consecutive filaments (see Figure 2). Notably, the latter quantity brings
139 uncertainty into the model. However, this reasoning gives a much narrower range of
140 reasonable values for the frequency of pulses, within which it is just a fitting parameter.

141
$$f_{pulses} = \frac{1}{\Delta t_{fil-to-fil}} = \frac{\langle v \rangle}{\Delta Z_{fil-to-fil}} \quad (2)$$

142
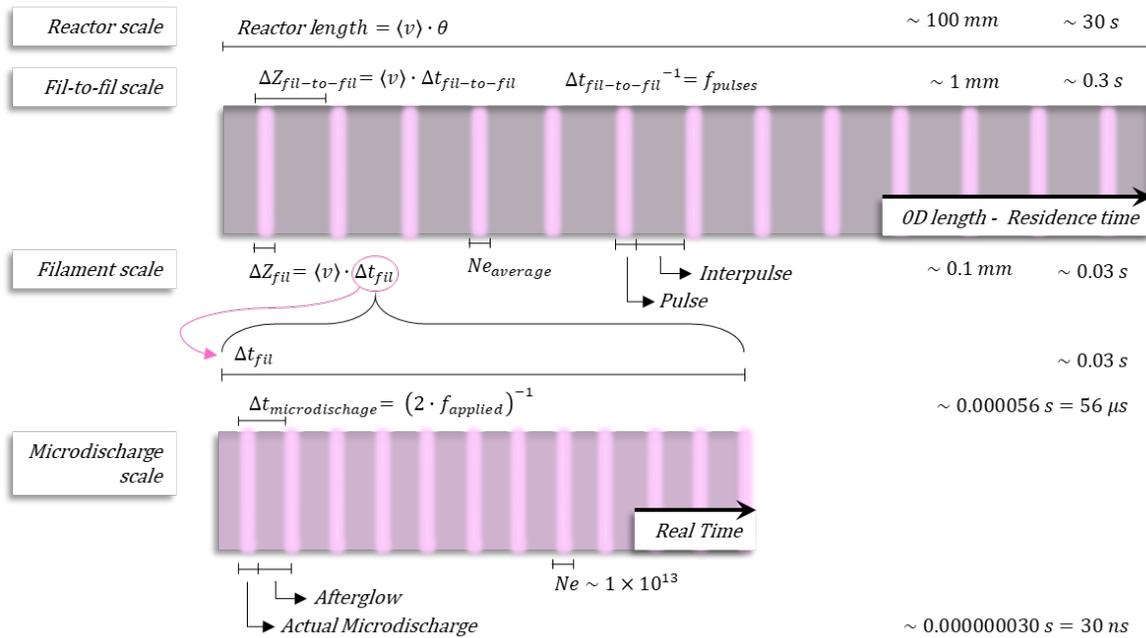
143
144 *Figure 2 – Scales and parameters in the model of a DBD reactor*

145

146   2.3. Effect of power in the model's parameters – *filament-to-filament scale*

147 Mei et al. showed experimentally that the power deposited on the plasma system affects the
148 conversion of CO_2 [36]. In the referred work, a range of power values from 10 to 50 W was
149 covered resulting in an increase from 17.4 to 22.4% in the CO_2 conversion. Furthermore, we
150 consider the electric field must remain constant regardless of the power value, as the
151 breakdown voltage should as well (56 Td for all calculations in this work, as measured
152 applying the methodology in [42] to the experimental setup of [36]). However, an increase
153 in the power does cause a rise in the number of filaments per unit area observed in the system,
154 as reported in [44]. Model wise, we propose to take this effect into consideration to account

155   for the rise in conversion with power. Ultimately, a greater number of filaments implies a

156   shorter spacing $\Delta Z_{fil-to-fil}$ and so a higher frequency of pulses f_{pulses} for the same velocity

157   of the fluid. In other words, this implies more pulses in the same residence time and, hence,

158   a greater conversion.

159   The spacing between filaments is not known and a reference value of 1 mm was proposed

160   for this model based on experimental observations. Then, this magnitude should be slightly

161   above or below that figure for lower or higher power deposition figures, respectively.

162   Therefore, a dependence between $\Delta Z_{fil-to-fil}$ and the power has been proposed (equation 3)

163   according to the relationship found experimentally between power and conversion [36].

164
$$\Delta Z_{fil-to-fil} = \frac{1\ mm}{K_p \times P^a} \quad (3)$$

165   The values of K_p and a are parameters to be found by minimising the difference between

166   experimental and theoretical conversion values for every power value. In this way,

167    $\Delta Z_{fil-to-fil}$ and consequently f_{pulses} constitutes the first fitting parameter of this model, as

168   in other zero-dimensional models [29–31,34].

169

170   2.4. Effect of flow rate in the model's parameter – *one-filament scale*

171   As it has been shown experimentally, the flow rate has an inverse effect on the conversion,

172   yielding lower CO_2 conversion at higher flow rates [36]. To start with, a higher flow rate

173   results in both a shorter residence time (θ) and a higher frequency of pulses (f_{pulses}). These

174   effects cancel out and the resulting number of pulses (num_{Pulses}) remains the same

175   regardless of the flow rate, as can be seen in equation 4, where the residence time of the

176   reactor can be expressed as $\theta = \frac{Reactor\ Volume}{Flowrate} = \frac{L}{\langle v \rangle}$ (see Figure 2).

177
$$num_{Pulses} = f_{pulses} \times \theta = \frac{L}{\Delta Z_{fil-to-fil}} \quad (4)$$

178   Thus, the above described effects cannot explain the drop in conversion on its own and a

179   closer look at a filament is needed to address the effect of a reduction in the flow rate. In this

180   model, the space between filaments ($\Delta Z_{fil-to-fil}$) consists of a filament and a non-

181   filamentary region (interpulse), as shown in Figure 2. In the filament, molecules experience

182   collisions with plasma electrons and electron impact reactions occur giving rise to more free

183   electrons and reactive plasma species. On the other hand, ground state chemistry dominates

184   in the interpulse since no plasma electrons are present and the short lived plasma species tend

185   to be rapidly consumed in recombination reactions. Hence, the pulses (where free electrons

186   are available) are what really drives the conversion of CO_2 in our model.

187   Although the number of pulses remains the same for every flow rate, it can be seen intuitively

188   that each pulse is shorter lived at higher flow rates. In fact, the duration of both the pulse and

189   the interpulse decrease at higher flow rates. However, as the CO_2 number density remains

190   steady during the interpulse, a shorter interpulse does not have a noticeable impact in the

191   conversion. By contrast, a lower duration of the pulse has a big impact in the CO_2 number

192   density variation, resulting in a smaller overall CO_2 conversion for the same number of

193   pulses.

194   The time during which an element of volume *sees* the pulse depends on the width of the

195   filament and the velocity of the fluid, i.e., the flow rate. The filament width (ΔZ_{fil}) has been

196   reported to range from 0.1 to 0.2 mm [43], so it should be noted that there is roughly an order

197   of magnitude between that figure and the space between two consecutive filaments

198      $\Delta Z_{fil-to-fil}$. Then, there is also an order of magnitude between the period between filaments

199   ($\Delta t_{fil-to-fil}$, which determines the frequency of pulses f_{pulses} and the number of pulses

200   num_{Pulses} given a residence time θ) and the duration of one filament (Δt_{fil}, which drives

201   the CO_2 conversion for a given number of pulses num_{Pulses}). Besides, the duration of a

202   filament in the zero-dimensional model could be expressed as $\Delta t_{fil} = \frac{\Delta Z_{fil}}{\langle v \rangle}$.

203   However, the above stated expression for Δt_{fil} predicts much greater conversion drops than

204   those observed experimentally when increasing the flow rate. For this reason, in this study

205   we suggest a balancing effect for this relation, proposing that the filament width depends on

206   the velocity for the reasons exposed as follows.

207   First, let's consider the mechanisms that allow filaments to appear in a DBD reactor.

208   Filaments are actually a group of micro-discharges that occur in the same spot every time the

209   polarity of the electrodes changes. A micro-discharge lasts for tens of nanoseconds (ns)

210 before the electrons reach the positive electrode and the accumulated charge makes the local

211 electric field collapse [43]. A filament duration of 30 ns has been repeatedly reported in the

212 literature [28–34]. After a polarity reversal occurs, the deposited charge facilitates the

213 formation of a new micro-discharge in the same spot. Although electrons dissipate in tens of

214 nanoseconds, it takes tens of micro seconds (μs) for slow-moving heavy ions to reach the

215 negative electrode, resulting in a low but long-lasting falling ion current. Hence, both the

216 accumulated negative charge and the ion current characterises this region in space as a micro-

217 discharge remnant, after a micro-discharge occurred. With a frequency of 9 kHz, a voltage

218 polarity reversal occurs every 56 μs. The fact that the micro-discharge remnant is not fully

219 dissipated before the polarity changes also facilitates the formation of a new micro-discharge

220 in the same spot. This is called *memory effect* and explains why it is actually possible to see

221 filaments in a DBD reactor. If the micro-discharges were formed on a new spot every time

222 the polarity changed, the discharge would appear uniform and no spatially localised single

223 filaments could be observed [43].

224 For the purpose of this model, we will analyse what impact could the flow regime have on

225 the memory effect. As the flow regime changes from laminar to turbulent, velocity profiles

226 inside the reactor tend to become planar. This is a result of more random particle

227 displacements and a higher dispersion that tends to make properties homogeneous inside a

228 *slice of reactor*, including the velocity. Thus, a more turbulent flow regime could slightly

229 disperse the ions in the microdischarge remnant. Also, the velocity in the proximity of the

230 inner walls of the reactor is higher in turbulent flow, as the velocity profile becomes planar.

231 This could have an impact on how electrons are deposited in the positive electrode, enhancing

232 dispersion as they move towards the electrode and giving the deposited electrons a wider

233 spread over the cathode surface. All these dispersion effects could very slightly affect the

234 memory effect of the micro-discharges. This effect might be very small as filaments are

235 indeed observed for every flow rate, but it could be understood as a minimal step towards

236 homogenizing the discharge (which would be the result of having no memory effect at all).

237 Nevertheless, this effect could suffice to slightly disperse the micro-discharge remnant and

238 moderately widen the spot where a next micro-discharge could occur, hence resulting in an

239 enlargement of the filament width.

240   Based on this hypothesis, we propose a dependence between the filament width and the

241   velocity of the fluid, which has an effect in the time a molecule spends in a filamentary region

242   (duration of the pulse in terms of the model, Δt_{fil}). As stated above, the duration of the pulse

243   has a great impact on the CO_2 conversion and it is what really drives the results for a constant

244   number of pulses, num_{Pulses}. Therefore, equations 5 and 6 are proposed, where the values

245   of K_v and b are parameters to be found by minimizing the difference between experimental

246   and theoretical conversion figures for every flow rate value.

247
$$\Delta Z_{fil} = K_v \times \langle v \rangle^b \quad (5)$$

248
$$\Delta t_{fil} = \frac{\Delta Z_{fil}}{\langle v \rangle} = \frac{K_v \times \langle v \rangle^b}{\langle v \rangle} \quad (6)$$

249   Hence, as long as b is positive and smaller than 1, this effect could moderate the negative

250   effect that a higher velocity has on the conversion values predicted by the model. In this way,

251   the duration of the pulse Δt_{fil} (or the width of the filament, ΔZ_{fil}) works as the second fitting

252   parameter of this model.

253

254   2.5. Electron density in one filament – *micro-discharge scale*

255   Considering the velocity of the fluid and the width of one filament, the time spent by a

256   molecule inside the filament (model-wise, the duration of the pulse Δt_{fil}) ranges from around

257   15000 to 37000 µs. In that period of time, around 300 to 700 micro-discharges occur, as the

258   frequency of the applied voltage is 9 kHz. This means, there is one micro-discharge every 56

259   µs ($\Delta t_{micro-discharge}$), each of them consisting of 30 ns of an actual micro-discharge

260   (electron avalanche and plasma channel) plus an afterglow until the next micro-discharge. In

261   our model, this happens every time the element of volume passes through a filament (that is

262   num_{pulses} times). See Figure 2 for a comparison between the different scales involved.

263   For this behaviour to be computationally represented, a time step in the order of a fraction of

264   a nanosecond would be needed. Then, it would require around 10^{10} time-steps numerically

265   integrated to build up to a residence time of around tens of seconds. For this reason, the

266   hundreds of micro-discharges taking place when the element of volume passes through a

267 filament will be averaged over the period of time inside that filament Δt_{fil}. The purpose of

268 this simplification is to obtain an average electron density Ne_{av} valid for the whole period

269 inside the filament, Δt_{fil}. Then, the number of micro-discharges in one filament can be

270 expressed as in equation 7, leading to equation 8 for Ne_{av}.

271
$$num_{micro-discharges} = \Delta t_{fil} \times 9\ kHz \times 2_{half-cycles} = \frac{\Delta t_{fil}}{\Delta t_{micro-discharge}} \quad (7)$$

272
$$\int_0^{\Delta t_{fil}} Ne_{av}\ dt = num_{micro-discharges} \times \int_0^{\Delta t_{micro-discharge}} Ne(t)\ dt \quad (8)$$

273 As stated above, the plasma electrons during a micro-discharge are considered to last for

274 around 30 ns [43], peaking somewhere in that interval and becoming zero from 30 ns onwards

275 until the next micro-discharge (until $\Delta t_{micro-discharge}$ is reached). According to the

276 literature, the electron density Ne can reach values around 10^{12}-10^{14} cm^{-3} [43]. Thus, the

277 electron density in one micro-discharge will be modeled as a function of time standing at 0

278 cm^{-3} at 0 ns, promptly reaching a maximum of 1×10^{13} cm^{-3} at 3 ns and subsequently falling

279 steadily to 0 cm^{-3} at 30 ns ($Ne(t)$). Then, the right hand side of equation 8 would modify as

280 in equation 9.

281
$$\int_0^{\Delta t_{fil}} Ne_{av}\ dt = num_{micro-discharges} \times \left[\int_0^{30\ ns} Ne(t)dt + \int_{30\ ns}^{\Delta t_{micro-disc.}} 0\ dt \right] \quad (9)$$

282 From then on, an average electron density that is valid for the duration of one filament in our

283 model (Δt_{fil}) can be obtained as expressed in equations 10 and 11.

284
$$Ne_{av} \cdot \Delta t_{fil} = num_{micro-discharges} \times \left[\int_0^{30\ ns} Ne_{micro-disc.}(t)dt \right] \quad (10)$$

285
$$Ne_{av} = \frac{1}{\Delta t_{micro-discharge}} \times \left[\int_0^{30\ ns} Ne_{micro-disc.}(t)dt \right] \quad (11)$$

286 In this way, an average electronic density of 4×10^9 cm^{-3} is obtained, which will be considered

287 constant for the duration of the pulse in our model (Δt_{fil}, which as it has been said, involves

288 thousands of micro-discharges). This value will be used for all calculations over the range of

289 power and flow rate values covered in this study. It should be noted that this value depends

290   on the duration of the pulse (30 ns) and $\Delta t_{micro-discharge}$ (which depends on the frequency

291   of the applied voltage, 9 kHz) rather than on Δt_{fil}.

292   To the best of our knowledge, an electron density of 1×10^{13} cm^{-3} is a reasonable value to base

293   our approximation on as it is within the range of values reported for DBD reactors 10^{12}-10^{14}

294   cm^{-3}. Furthermore, values in this region have been used in several published modelling works

295   [28–34]. However, our calculated conversion is very sensible with respect to the electron

296   density. Hence, the latter is acting as a third fitting parameter of our model, even though it is

297   not intended to. This leads to a discussion about what a relatively arbitrarily chosen electron

298   density means for the simulation. Several plasma phenomena lie under this umbrella over

299   which we do not have control and cannot model accurately. Moreover, even if we could

300   measure the electron density precisely, the only way we could account for those phenomena

301   is to have an average electron density that yields good agreement with the experiments in

302   terms of CO_2 conversion.

303   For these reasons, we consider this approach acceptable as long as the electron density fits

304   within the reported values for DBD reactors. From a maximum electron density of 1×10^{13}

305   cm^{-3}, the average electron density of 4×10^{9} cm^{-3} arises. It should be mentioned that the exact

306   same figure has been used for all calculations in this work.

307

308   ## 2.6. Summary of the reactor model

309   For the reasons exposed above, our model consists of a number of pulses (num_{pulses}) that

310   occur every $\Delta t_{fil-to-fil}$ seconds. Each pulse will have a constant electronic density

311    $Ne_{average}$ held for Δt_{fil} and an interpulse of around ($\Delta t_{fil-to-fil} - \Delta t_{fil}$) seconds with no

312   plasma electrons, until the element of volume reaches the next filament or pulse (see Figure

313   2). This new approach implies a very low computational cost, which allows us to perform

314   calculations effectively (typically in the order of hours of computational time). Table S1

315   summarises the parameters of each calculation performed in this work.

316   As described above, the unknown physical aspects of a DBD discharge lead to the three

317   fitting parameters of this model, namely, the frequency of pulses (f_{pulses}), the duration of the

318   pulse (Δt_{fil}) and the average electron density (Ne_{av}). The former is related to the spacing

319   between filaments $\Delta t_{fil-to-fil}$ and represents the effect of the discharge power in the

320   conversion of CO_2. The second fitting parameter represents the time spent by a molecule

321   inside a filament and depends on the fluid velocity or flow rate. Notably, the ratio between

322   Δt_{fil} and $\Delta t_{fil-to-fil}$ is equal to that between the plasma volume and the total reactor

323   volume. At this point, it should be highlighted that this selection of fitting parameters is

324   equivalent to that of other zero-dimensional models [29–34]. However, the way in which

325   these fitting parameters are derived in our model results in a narrower range of reasonable

326   values for them (no more than one order of magnitude in both cases).

327   Finally, the electron density is not intended as a fitting parameter, however it should be

328   regarded as such since the conversion predicted by the model is very sensitive to it. Although

329   this limits the reliability on our model, there is no further improvement we could do at the

330   moment. The average electron density is calculated considering a maximum electron density

331   of 1×10^{13} cm^{-3} and a micro-discharge duration of 30 ns. Both values agree with the state of

332   the art of plasma kinetic modelling of DBD reactors [29–35].

333   2.7. Chemical model

334   For the chemical model, a reduced set of reactions developed and reported in [31] was

335   employed. This reduced set consists of 9 species (see Table S2) and 17 reactions, which

336   implies a much lower computational cost than the complete set (around 42 species and 500

337   reactions) [28,31,33]. The reactions considered, shown in Table 1, involve 7 electron impact

338   reactions (R1 to R7), as well as 5 reactions among ions (R8 to R12) and 5 between neutral

339   species (R13 to R16). As regards CO_2 conversion by electron impact reactions, the main

340   mechanisms identified in [28] are taken into account, i.e., electron attachment (R1), electron

341   impact dissociation (R2) and total ionisation (R3). Vibrationally excited states of CO_2 were

342   not taken into account in this model for the reasons presented in Section 0.

343   In addition, Electron impact dissociation reactions were considered for O_3 and O_2 (R4 and

344   R5, respectively), while for the latter two electron attachment reactions were also included

345   in the model (R6 and R7). The significance of these reactions for the simplified model relies

346   partially on the fact that they compete with CO_2 decomposition reactions for the plasma

347 electrons, causing the CO_2 conversion to flatten as the O_2 and O_3 densities increase. Among

348 the ionic and neutral reactions, recombination processes of O atoms (or anions) with CO to

349 form CO_2 are also part of the reaction set. Likewise, these reactions contribute to flatten the

350 conversion of CO_2 at long residence times [31]. For further details on this model and its

351 validity, refer to [31].

352   *Table 1 - Reduced reactions set. All rate constants in $cm^3 s^{-1}$ unless indicated otherwise.*

No.	Reaction		Rate constant	Reference	Note
R1	$e + CO_2$	$\rightarrow e + e + CO_2^+$	$f(\sigma)$	[45]	1
R2	$e + CO_2$	$\rightarrow CO + O + e$	$f(\sigma)$	[45]	1
R3	$e + CO_2$	$\rightarrow CO + O^-$	$f(\sigma)$	[45]	1
R4	$e + O_3$	$\rightarrow O + O_2 + e$	$f(\sigma)$	[46]	1
R5	$e + O_2$	$\rightarrow e + O + O$	$f(\sigma)$	[45]	1
R6	$e + O_2$	$\rightarrow O + O^-$	$f(\sigma)$	[45]	1
R7	$e + O_2 + M$	$\rightarrow O_2^- + M$	$f(\sigma)$	[46]	1,2
R8	$O^- + CO$	$\rightarrow e + CO_2$	6.50×10^{-10}	[31]	-
R9	$O^- + O_2$	$\rightarrow e + O_3$	1.00×10^{-12}	[31]	-
R10	$O^- + O_3$	$\rightarrow e + O_2 + O_2$	3.00×10^{-10}	[31]	-
R11	$e + CO_2^+$	$\rightarrow CO + O$	6.50×10^{-07}	[31]	-
R12	$O_2^- + CO_2^+$	$\rightarrow CO + O_2 + O$	6.00×10^{-07}	[31]	-
R13	$O + O + M$	$\rightarrow O_2 + M$	$5.2 \times 10^{-35} e^{(900/T[K])}$	[31]	2
R14	$O + O_2 + M$	$\rightarrow O_3 + M$	$4.5 \times 10^{-34} (T[K]/298)^{-2.70}$	[31]	2
R15	$O + O_3$	$\rightarrow O_2 + O_2$	$8.0 \times 10^{-12} e^{(-17.13/T[K])}$	[31]	-
R16	$O + CO + M$	$\rightarrow CO_2 + M$	$1.7 \times 10^{-33} e^{(-1510/T[K])}$	[31]	2
R17	$O_3 + M$	$\rightarrow O_2 + O + M$	$4.1 \times 10^{-10} e^{(-11430/T[K])}$	[31]	2

1. Rate coefficient calculated by BOLSIG+ solver for a given EEDF.
2. Three-body process, rate coefficients in $cm^6 s^{-1}$

353

354   2.8. Vibrationally excited states of CO_2

355 The role of vibrationally excited states of CO_2 in its dissociation and the decision to exclude

356 them from the chemistry set is addressed in this section. A fluid model for CO_2 dissociation

357 reactions in a parallel DBD reactor including an extensive description of the vibrational

358 kinetics can be found in [47]. Treanor *et al.* [48] showed that under conditions characterised

359 by a vibrational temperature well greater than the translational temperature, a population

360 inversion in the energy levels can be achieved. Therefore, as discussed in [33,43], these

361 largely populated highly excited anharmonic vibrational levels are deemed crucial for the

362 dissociation of the CO_2 molecule. In this regard, a study of the vibrational distribution

363 function for CO_2 comparing MW and DBD discharges (at 20 Torr and atmospheric pressure,

364 respectively) can be found in [33]. According to their results, the population of high

365 vibrational states is a result of the rates of (or the competition between) the different processes

366 involved, namely, electron impact vibrational excitation (exciting CO_2 molecules),

367 vibrational-vibrational exchange collisions (which favour highly excited states) and

368 vibrational-traslational relaxations (which de-excite vibrationally excited CO_2 molecules as

369 it thermalises the gas). In addition, there are factors that favour or impede the different

370 mechanisms involved. For instance, a long duration of a plasma pulse will favour vibrational

371 excitations as it implies availability of free energetic electrons. On the other hand, a high

372 pressure will contribute to the de-excitation of CO_2 molecules back to their ground state.

373 Indeed, it is concluded that the pressure enhances the reaction rate of vibrational-translational

374 (VT) transitions as collisions with ground state CO_2 molecules are more likely [33].

375 Interestingly, MW discharges represent a plasma duration in the order of the milliseconds

376 (far greater than DBD in the order of nanoseconds) and are usually performed at low

377 pressures, which favours largely populated high vibrational states that can certainly lead to

378 dissociation. On the other hand, DBD discharges are often performed at atmospheric pressure

379 so the vibrational-translational relaxations are orders of magnitude faster than in a low

380 pressure MW discharge (in a DBD reactor, vibrationally excited states can thermalise in a

381 few microseconds). Moreover, DBD microdischarges last for a very short time (in the order

382 of nanoseconds), which is detrimental for the electron impact vibrational excitations. The

383 vibrational excitation gained in a microdischarge (lasting for nanoseconds) is then

384 thermalised (lost) before the next microdischarge takes place (after several microseconds).

385 These factors explain why long-lasting large populations of high vibrational states are not

386 achieved in DBD discharges, thus CO_2 dissociation must proceed through other mechanisms

387 such as electronic excitations [33].

388   ## 2.9. Plasma kinetic model – cross sections data

389   The rate coefficients for electron impact reactions were calculated by the Boltzmann solver

390   BOLSIG+ [40] implemented in the ZDPlasKin Fortran module as a function of the

391   corresponding cross sections. Cross section data was retrieved from different databases

392   within the LXCat project [41]. It should be highlighted that the Electron Energy Distribution

393   Function (EEDF) was calculated based on complete and consistent (whenever possible) sets

394   of cross sections for each involved species. The complete and consistent IST-Lisbon

395   Database reported in [37] and available in LXCat ([41]) was used for CO_2 and O_2 processes.

396   Importantly, this cross section data has been validated against measured swarm data [37].

397   For CO, O_3 and O species, complete cross section data sets from Morgan database were

398   adopted [46]. The cross sections for the three body recombination R7 were also taken from

399   the latter database. With all this data, the EEDF was calculated and then used to integrate the

400   corresponding cross sections in order to obtain the rate coefficients of the electron impact

401   reactions included in the model (R1 to R7). Specific references to the databases can be found

402   in Table 1 and a list of the collisional processes considered for the calculation of the EEDF

403   is included in the Supporting Information (Table S4).

404   Regarding the completeness of the IST-Lisbon database for CO_2 processes, it should be noted

405   that only one superelastic collisional process in included, namely, de-excitation from $v_1 \equiv$

406   (010) to the ground level, v_0. This is the dominant de-excitation process while a second

407   superelastic process would be required for a better accuracy of the calculated swarm

408   parameters at reduced electric fields below ~ 1 Td [37], which is not the case in this study. In

409   addition, the effect of the superelastic process $v_1 \to v_0$ is particularly important at low

410   electric fields but barely noticeable above 10 Td [37], while our work considers 56 Td.

411   Although the superelastic collision $v_1 \to v_0$ is included in the set, the number density of

412    $CO_2(v_1)$ will always be zero since vibrationally excited states of CO_2 were not considered in

413   this model (see Section 0). Thus, this superelastic collision will never account for an energy

414   gain towards the EEDF. To assess the impact of this approximation, the EEDF calculated

415   with BOLSIG+ for the case of this study (no $CO_2(v_1)$) was compared with that obtained for

416   a relative $CO_2(v_1)$ population of 0.076 at 300K, according to [37]. The results indicate that

417   EEDFs considering superelastic collisions tend to be slightly shifted towards higher electron

418 energies (as these processes can effectively transfer energy back to the electrons). Then, our
419 approximation could result in a minor underestimation of the electron impact rate
420 coefficients. However, the effect of superelastic collisions in the EEDF vanishes for reduced
421 electric fields beyond 10 Td and this approximation is considered suitable for our work. A
422 comparison of the calculated EEDFs, electron energies and rate coefficient for both cases at
423 different electric fields can be found in the Supporting Information (Figures S1-S5 and Table
424 S4).

425 The rate coefficient for CO_2 dissociation through electron impact excitation deserves a
426 special mention as this process has been reported to be the dominant mechanism for CO_2
427 conversion in DBD reactors [32]. Hence, the cross section data employed for its calculation
428 is a crucial factor for the validity of the model and the results obtained. Numerous cross
429 section data sources are available for the CO_2 electron impact excitation and the direct
430 association of these processes with CO_2 dissociation has been extensively studied in [32] and
431 [37]. To start with, the IST-Lisbon dataset is based on the excitation processes described by
432 Phelps [49], with thresholds of 7 and 10.5 eV. These cross sections have been extended to a
433 wider range of electron energy as described in [45]. However, these cross sections are likely
434 to involve more processes than only dissociation, as explained in [37]. Then, assigning
435 Phelps's 7 and 10.5 eV cross sections to CO_2 dissociation is not straightforward and may
436 result in an overestimation of the dissociation rate coefficients, as proved in [32] and [37].
437 On the other hand, Itikawa's Database ([50]), also available in LXCat, has been widely used
438 in studies involving zero-dimensional plasma kinetic models [28–31]. However, this dataset
439 has been recently reported to underestimate the CO_2 dissociation reaction rate coefficients
440 [32,37]. Besides, Polak and Slovetsky's cross sections [38] have been reported to
441 satisfactorily represent CO_2 dissociation. In this theoretical study, the authors described a
442 total dissociation cross section showing two energy thresholds, in a similar fashion as
443 Phelps's 7 and 10.5 eV datasets. Regardless of the shape, Polak's cross sections are much
444 smaller in magnitude than those of Phelps, which could contribute to compensate the
445 overestimation reported for the latter dataset [32,37]. Among these and other excitation cross
446 sections, Phelps's 7 eV and Polak's datasets appear to be the most suitable to model CO_2
447 dissociation, according to results in [32] and [37], respectively.

448 In this work, the recommendation given by the authors of the IST-Lisbon Database was
449 followed [37]. Therefore, Polak and Slovetsky's CO_2 dissociation total cross section data was
450 used to calculate the CO_2 dissociation rate coefficient (R2). Nevertheless, these cross sections
451 were integrated over the EEDF calculated with the IST-Lisbon Database complete and
452 consistent set of cross sections (excluding Polak's cross sections).

3. Results and Discussion

3.1. Validation of the model – average electron density

455 The averaging procedure described in section 2.5 has been verified with the calculation
456 described below. A simulation of one single filament has been performed, involving 662
457 micro-discharges (modelled as 30 ns-long pulses) repeated every 55.6 μs
458 ($\Delta t_{micro-discharge}$). Then, each micro-discharges has an afterglow of (55.6 μs - 30 ns)
459 seconds. This $\Delta t_{micro-discharge}$ corresponds to a frequency of 9 kHz (that of the applied
460 voltage) and covers a reaction time of 3.67×10^{-2} seconds, i.e. Δt_{fil} in this verification
461 ($\Delta t_{micro-discharge} \times num_{microdischarges}$). This $num_{microdischarges}$ and Δt_{fil} corresponds
462 to the calculations with a flow rate of 25 mL/min. The electron density in this case was a
463 function of time peaking at 1×10^{13} cm^{-3} in an interval of 30 ns, as described in section 2.5.
464 Then, the conversion computed by such calculation was compared with that of a calculation
465 consisting of an average electron density of 4×10^{9} cm^{-3} (as found in section 2.5) kept constant
466 for 3.67×10^{-2} seconds. The results are 0.3029 % and 0.3028 %, respectively. The absolute
467 error is 0.0001 % while the relative error equals 0.0003 (0.03%). Therefore, it can be
468 concluded that this approximation is reliable for the conditions being addressed in this paper.
469 This involves low temperatures (300 K) and low conversion values, where the chemistry of
470 the system is not too complex and the contribution of reactions between heavy particles in
471 the afterglow is orders of magnitude smaller than that of electron impact processes during
472 the pulse.

473 The computational time saved through this approximation outweighs any concern about the
474 already negligible error introduced by it. The first calculation (662 pulses) ran for 7.5 hours,
475 that is, 450 minutes. By contrast, it took less than a minute to complete the averaged

476 calculation, therefore resulting in a time saving of more than 99%. Thus, we consider this

477 approximation not only reliable but also necessary within this particular framework.

478   3.2. Validation of the model – matching experimental data

479 The validity of the model is only achieved once its results are contrasted with the

480 experimental measurements it intends to represent. Selectivity towards CO varies around 96-

481 98 % for every case, making it useless to compare laboratory measurements with the model

482 results. Therefore, only CO_2 conversion will be used to match the experimental and

483 calculated values. Overall, for the model proposed in this work, the calculated conversion

484 figures are in very good agreement with the experimental data, with only one measurement

485 having a relative error above 5%. The complete set of results including every calculation is

486 presented in Table 2.Table 2 - Results. In addition, results are displayed graphically in Figure

487 3 and Figure 4 for variable power and flow rate figures, respectively. Combined results in

488 terms of SEI (specific energy input, defined as the ratio between the power and the flow rate)

489 are presented in Figure 5. The definitions of the variables used to process the data are as

490 follows.

491
$$Conversion\ (\%) = \frac{CO_2\ Converted\ (\frac{Mol}{s})}{CO_2\ Input\ (\frac{Mol}{s})} \quad (12)$$

492
$$SEI\ (J/mL) = \frac{Power\ (W) \times \frac{60\ s}{1\ min}}{Flow\ rate\ (\frac{mL}{min})} \quad (13)$$

493
$$Energy\ Efficiency\ (\%) = \frac{CO_2\ Converted\ (\frac{Mol}{s}) \times \Delta H°_{CO_2 Splitting}(\frac{J}{mol})}{Power\ (W)} \quad (14)$$

494 Where the reaction enthalpy of the CO_2 decomposition reaction is $\Delta H°_{CO_2 Splitting} = 280\frac{KJ}{mol}$

495

496 *3.2.1 Effect of discharge power*

497 As can be seen graphically in Figure 3, the calculated conversion curve over power variations

498 seems to satisfactorily represent the experimental data. The major deviation is found at 50 W

499 (SEI = 120 kJ/L, see Figure 3), where the calculated conversion is 0.3% greater (a relative

500 error of 1.3%). It is expected that higher power values lead to inaccuracies in the model as it

501   is not able to predict saturation. In fact, a similar behaviour has been reported previously for

502   SEI figures above 100 kJ/L [31]. However, the system becomes inefficient beyond that SEI

503   value. The model has been optimized for the range of parameters that yield relatively high

504   efficiencies, while deviations are expected to occur outside those ranges. Indeed, the range

505   of applicability of this model covers the most relevant operating conditions in terms of energy

506   efficiency. As regards conversion, it is noticeable that values up to around 20% can be

507   modelled accurately with the current reduced chemistry set, as opposed to the reported limit

508   of 15% [31]. This difference may be related to the chosen Polak's cross sections for the

509   electron impact CO_2 dissociation reaction as it affects the relative contribution of the different

510   processes leading to CO_2 splitting.

511   *Table 2 - Results. All calculations with i.d. (quartz tube) = 22 mm, o.d. (high voltage*
512   *electrode) = 17 mm, gap = 2.5 mm, length = 100 mm.*

Flow rate	Power	SEI	EXP. CO_2 Conversion	MODEL CO_2 Conversion	Relative error [1]	EXP. Efficiency	MODEL Efficiency
mL/min	W	kJ/L	%	%	%	%	%
25.0	**10**	24	17.4	17.4	0.2	8.3	8.3
25.0	**20**	48	19.8	19.6	1.1	4.7	4.7
25.0	**30**	72	21.0	20.9	0.3	3.3	3.3
25.0	**40**	96	22.0	22.0	0.2	2.6	2.6
25.0	**50**	120	22.4	22.7	1.3	2.1	2.2
25.0	50	120	22.4	22.7	1.3	2.1	2.2
31.2	50	96	20.8	20.9	0.3	2.5	2.5
41.2	50	73	18.0	18.8	4.7	2.8	2.9
62.5	50	48	15.8	16.0	1.5	3.7	3.8
125.0	50	24	12.6	12.0	5.5	6.0	5.7

1. The relative error is defined as the difference between the experimental and model conversions divided by the average of both.

513   *3.2.2 Effect of flow rate*

514   On the other hand, the model provides acceptable yet less satisfactory results for the

515   calculations involving variable flow rate. In most cases, the calculated results seem to

516   overestimate the CO_2 conversion. It can be seen in Figure 4 that this positive error is balanced

517   by a negative error as the flow rate grows. In fact, the conversion for 125 mL/min is indeed

518   underestimated by 0.6 % (relatively, 5.5 %). Overall, these results are deemed to be

519   acceptable in terms of variable flow rate.

520
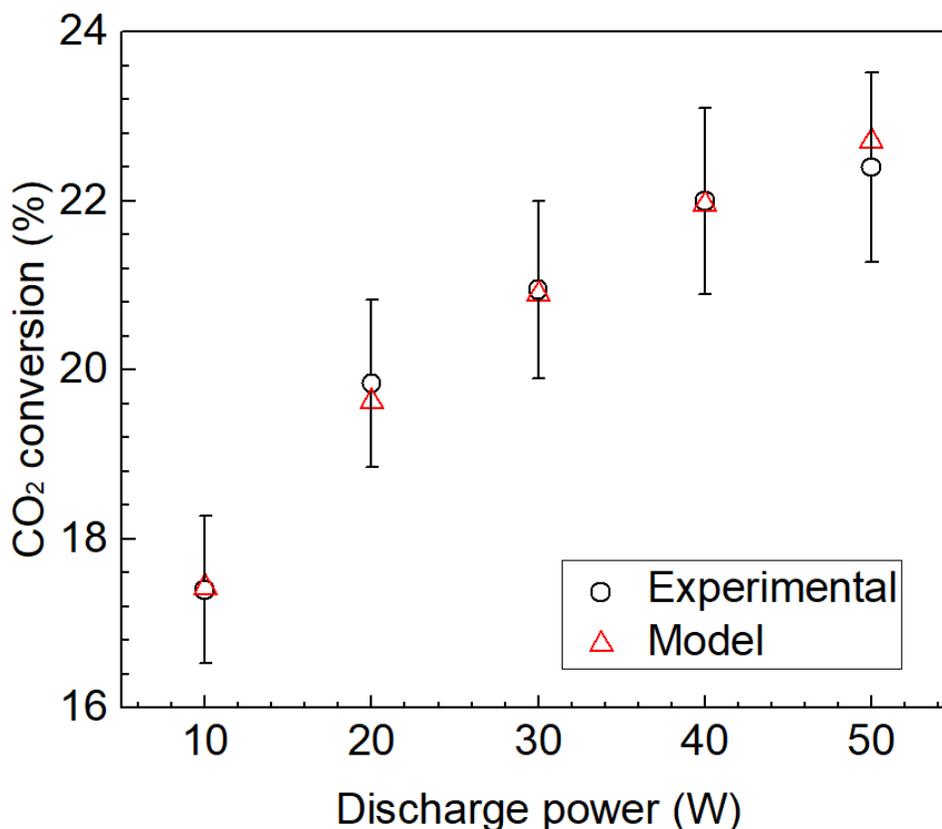
521

522   *Figure 3 – CO₂ conversion at different discharge powers and 25 ml/min.*

523   *3.2.3 Overall results*

524   According to our results, this model has proved to accurately represent the chemistry of the
525   system up to conversion values of around 20%, with power values ranging from 10 up to 40
526   W. However, the remaining calculation with variable power (50 W) results in a conversion
527   figure that is slightly higher than the experimental one. In addition, almost every calculation
528   with variable flow rate shows discrepancies with the laboratory measurements, regardless of
529   the conversion value (all of them considering 50 W).

530   Overall, the proposed model presents a reasonable agreement with the experimental
531   measurements in terms of CO_2 conversion for the full range of experimental measurements
532   taken into account. Thus, this validates the model and indicates it is reliable enough so as to
533   analyse the reaction mechanism based on its results (see Section 3.3).

534
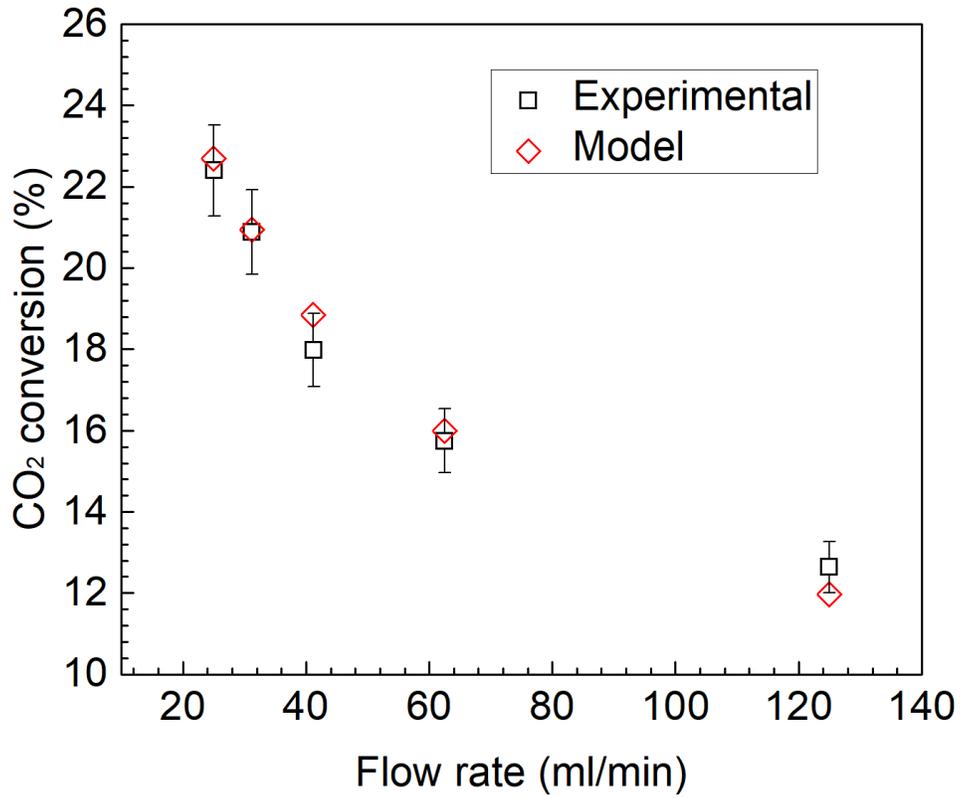
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536
Figure 4 – CO₂ conversion at different flow rates and 50 W.
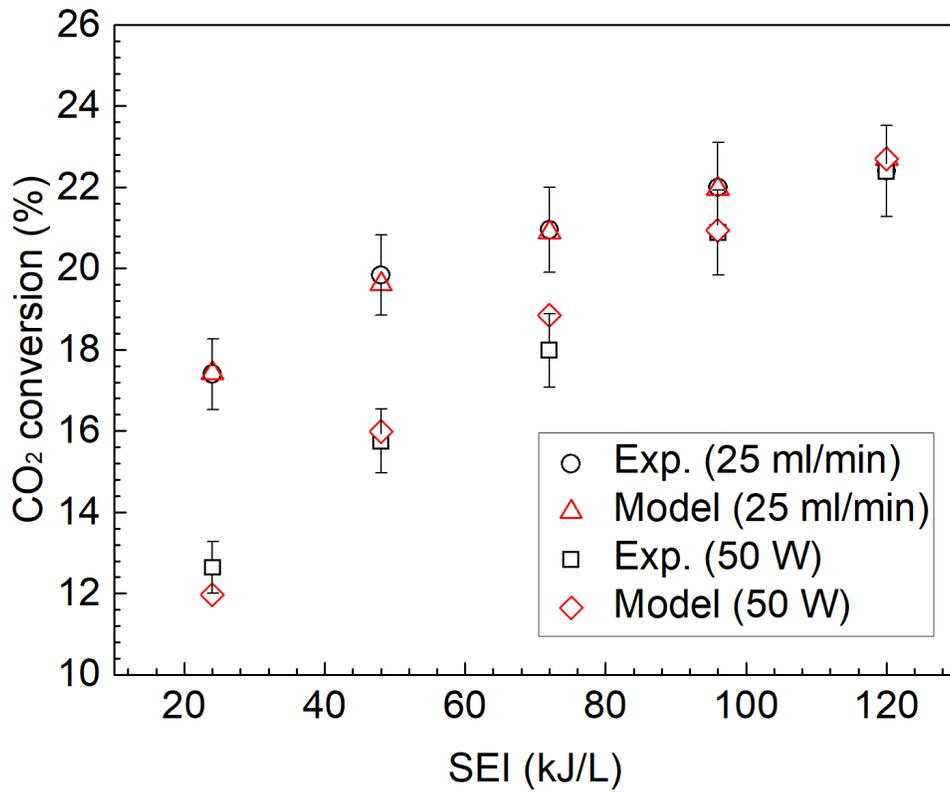
537

3.3. Reaction pathways analysis

As mentioned above, in this study we employed a reduced chemistry set for the conversion of CO$_2$ developed and reported in [31], consisting of 9 species and 17 reactions (see Table 1). Such simplification may lose reliability at higher conversion values as the chemistry of the system becomes more complex and neglected reactions become relevant. However, it seems that point has not been reached in this study. According to our results, the entire range of conversion covered by the experiments in [36] is well reproduced by the calculations yielding a reasonable agreement in every case.
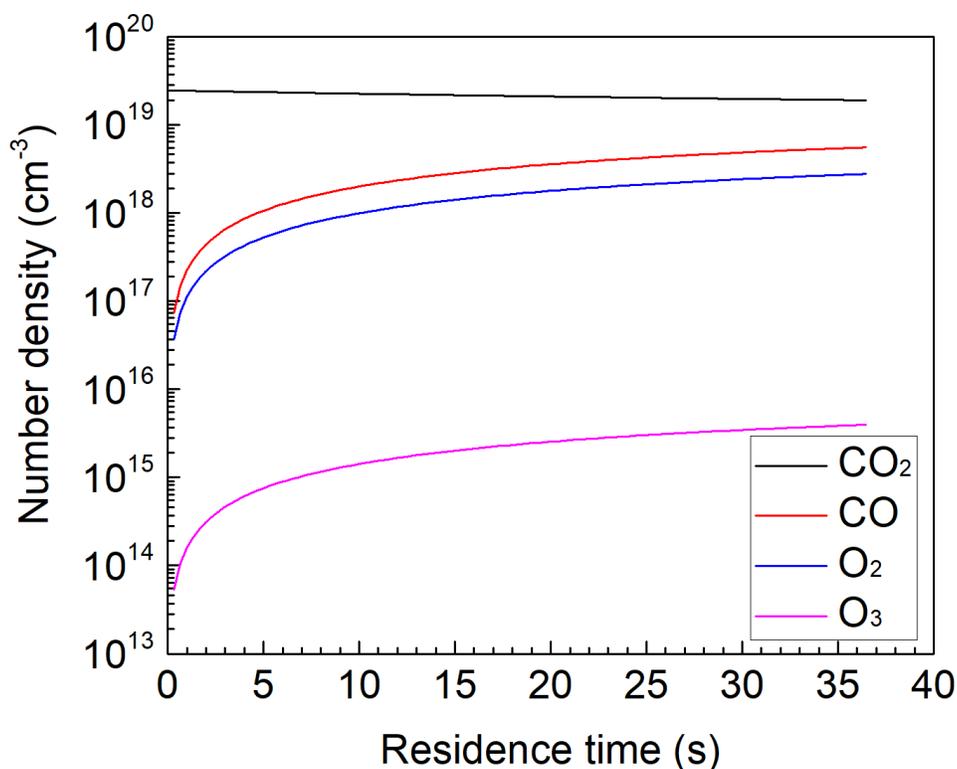
Figure 6 – Number density of CO$_2$, CO and O$_2$ and O$_3$ (25 mL/min and 50 W)

As the experiments intended to model were performed at atmospheric pressure and ambient temperature, the initial CO$_2$ number density is set to 2.45×10^{19} cm^{-3} in all cases. This figures

554   drops to 1.89×10^{19} cm^{-3} for the highest conversion achieved (22.7%). The main products of

555   these reactions are CO and O$_2$, with final number densities of about 5.56×10^{18} cm^{-3} and

556   2.77×10^{18} cm^{-3}, respectively (also for a conversion of 22.7%). The major by-product is O$_3$,

557   several orders of magnitude below the final density of O$_2$ (3.96×10^{15} cm^{-3}). These results are

558   illustrated in Figure 6.
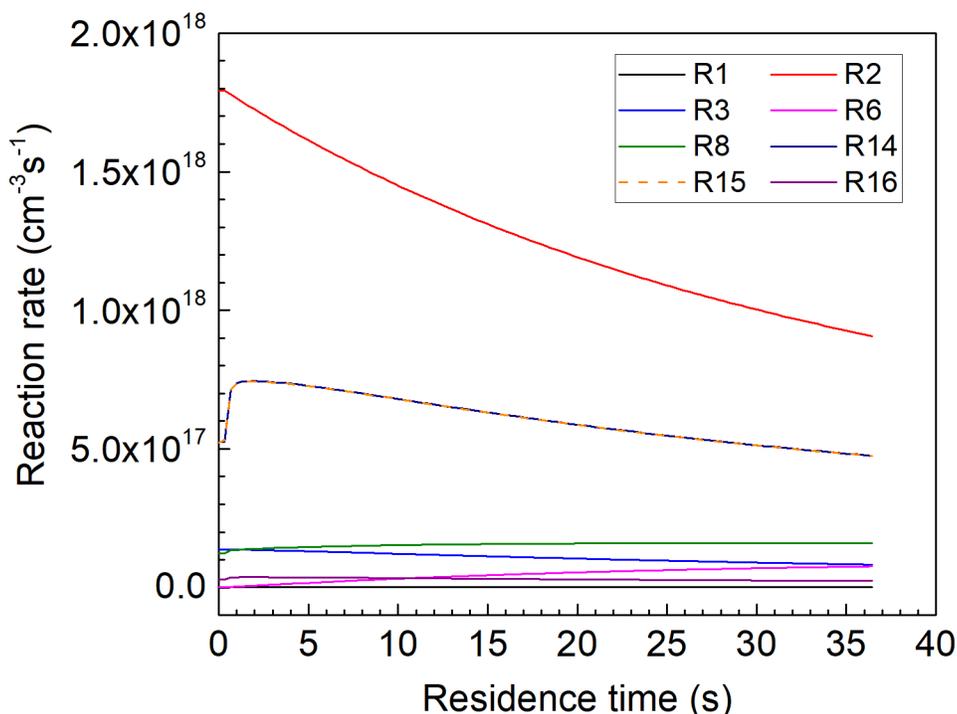
559

560   *Figure 7 - Reaction rate of main reactions (25 mL/min and 50 W)*

561

562   *Mechanisms for CO$_2$ splitting and recombination*

563   As stated above, the reduced reactions set considered for this study includes three possible

564   mechanisms for CO$_2$ decomposition, namely, electron attachment (R1), electron impact

565   dissociation (R2) and electron impact total ionisation (R3). Their relative contributions to the

566   observed conversion figures will be determined by their rate coefficients which in turn

567   depend heavily on the cross sections used for each process. As it has been mentioned, Polak

568   and Slovetsky's cross sections were used for the electron impact dissociation [38] while the

569   IST-Lisbon database available in LXCat were used for the remaining two reactions [45].

570   With these cross sections, the BOLSIG+ solver predicts that the highest rate coefficient is

571   that of the electron impact dissociation, R2 (around 1.9×10^{-11} $cm^3 s^{-1}$ for initial conditions).

572   The electron attachment reaction (R3) has a rate coefficient of around 9.1×10^{-13} $cm^3 s^{-1}$ at

573   initial conditions while that of the total ionisation (R1) is even an order of magnitude lower

574   (2.9×10^{-14} $cm^3 s^{-1}$). With these results, it can be concluded that the electron impact

575   dissociation is the dominant mechanism for CO_2 splitting accounting for around 95% of the

576   conversion. The electron attachment represents the remaining 5% while the contribution of

577   the total ionisation to the CO_2 conversion is negligible (see Figure 7 for a comparison

578   between reaction rate values). This is in qualitative agreement with the results reported by

579   Ponduri *et al*. [47], while the relative contribution of each dissociation channel changes

580   quantitatively. The latter study consists of a DBD time- and space-dependent fluid model

581   including an exhaustive description of the vibrational kinetics of CO_2. The authors also

582   conclude that the electron impact dissociation represents the main channel for CO_2

583   dissociation, accounting for approximately 80% of the CO production. In addition, our results

584   qualitatively agree with [32,37]. These DBD models predicted a more balanced contribution

585   of these processes as a result of the cross sections used [28–31]. It should be mentioned that

586   the study from where the reduced set of reactions was taken ([31]) used Itikawa's dataset, as

587   stated in [32]. As described in Section 2.9, this database has been proven to underestimate

588   the electron impact dissociation rate coefficient (compared to the Polak's dataset to be used

589   in this study), making its contribution comparable to that of the total ionisation reaction.

590   It should be highlighted that the reduced electric field in all these calculations is 56 Td

591   according to the experimental measurements (Lissajous figures) [36,42]. This yields an

592   average electron energy of around 2.21 eV. According to the literature, the contribution of

593   the total ionisation overtakes that of the electron attachment by several orders of magnitude

594   at higher E/N values, which are out of the scope of this work [32].

595   The CO produced is rather stable in the plasma and therefore it is the major product of this

596   reaction. Nevertheless, this molecule does take part in some recombination reactions (R8 and

597   R16). The recombination with oxygen anions (O^-, R8) accounts for 73% of the CO loss

598   processes, whereas the three-body recombination with O radicals represents the remaining

599   27%. See Figure S5 for a comparison between the reaction rates of minor contributing

600   reactions (excluding R2, R14 and R15).

Regarding O radicals, the recombination with CO molecules (R16) is not their main consumption mechanism as its rate coefficient is one order or magnitude lower than that of other processes involving these species. After an initial prevalence of reaction R13 which builds up an initial O_2 density, reactions R14 and R15 take over. These reactions require an initial concentration of O_2 and O_3 to occur and became preponderant once achieved. Their reaction rates are almost indistinguishable from one another, both being in and around ~ 6×10^{17} $cm^{-3}s^{-1}$ (see Figure 7). These two reactions with high rates explain the formation of O_2 in this chemistry set. The main reaction mechanisms within this chemistry set are depicted in Figure 8, where black arrows represent CO production while blue ones denote O_2 production. Recombination reactions are indicated with red arrows.
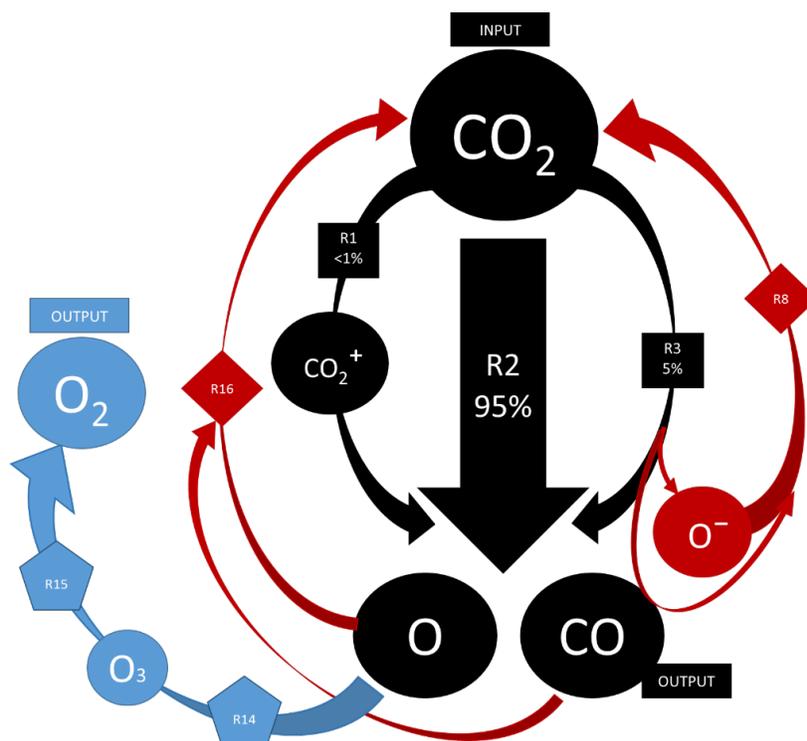

Figure 8 - Scheme of the proposed reaction mechanism

3.4. Energy efficiency

A discussion on the energy efficiency of this system is presented in this section. The values obtained for these experiments and calculations are presented in Table 2 and shown in Figure

618 9. An analysis of the agreement between the model and the experimental results will not be

619 included as it is essentially the same as that of the conversion, already addressed above. By

620 contrast, it is relevant to compare the efficiency values obtained with what has been

621 previously reported for CO_2 splitting in DBD reactors, as well as to discuss the potential

622 reasons for the efficiency achieved.

623 DBD reactors are known for their low efficiency in CO_2 splitting reactions, rarely exceeding

624 10%. An exhaustive collection of data on DBD energy efficiency can be found in [26,27].

625 The values presented in this work fit within that description and also the decrease in the

626 efficiency as the SEI grows is also consistent with the literature. A maximum efficiency of

627 8.3% was found in this work for a rather low conversion of 17.4%. For higher conversion

628 values, the efficiency drops down to, for instance, 2.2% at a conversion of 22.7%. The

629 dependence of the efficiency with the power seems to be somewhat stronger than with the

630 flow rate. A further analysis of these values can be found in the study from where the

631 experimental data has been taken [36]. In the next section, a discussion about the role of the

632 different factors that are believed to affect the energy efficiency of the CO_2 splitting process

633 is presented (a necessary comparison between MW and DBD discharges is also included).
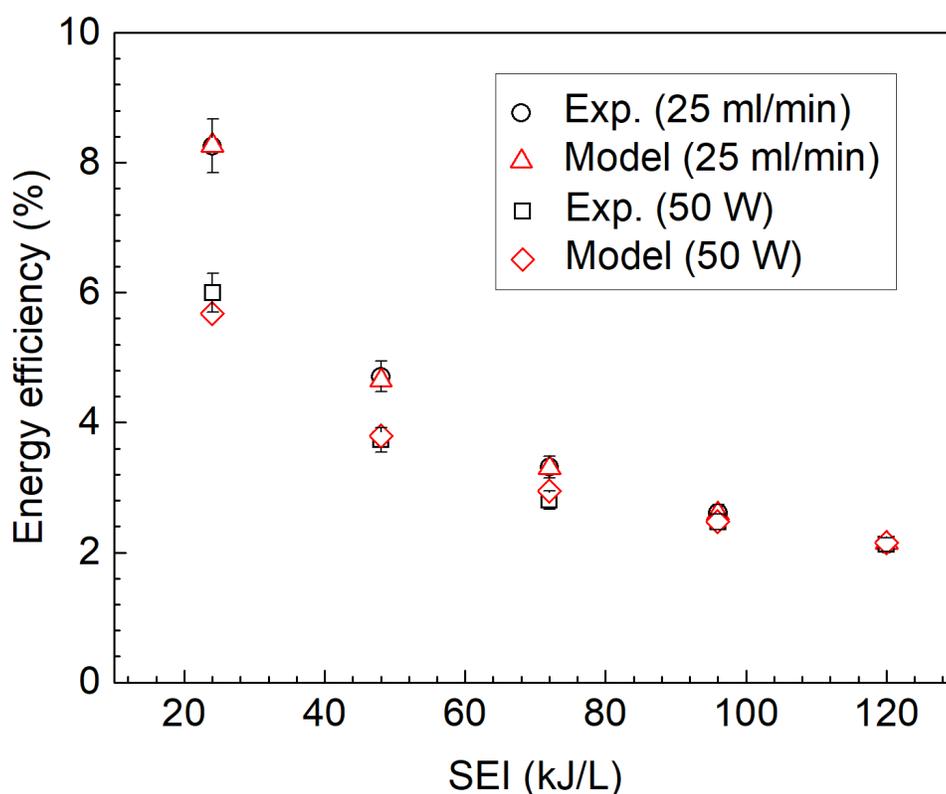

634

637

3.5. Reduced electric field and vibrationally excited states

639 As opposed to DBD reactors, MW normally show a greater energy efficiency, varying from
640 around 10% up to even 90% [26,27] (see the effect of the operating pressure below). It is
641 often claimed that the reason for the difference in their efficiencies is the range of reduced
642 electric fields they work on, placing vibrationally excited states of CO_2 as the main channel
643 for dissociation in MW discharges. While DBD reactors have been reported to operate at
644 high reduced electric fields (E/N), in the order of 200 Td; MW discharges exhibit a smaller
645 E/N, around 50 Td [31,33]. Indeed, it can be seen in Figure 10 that most of the electron
646 energy is consumed towards electronic vibrational excitation processes at 50 Td, while the
647 relevance of these processes is negligible at higher E/N. At around 200 Td, the vast majority
648 of the electron energy is directed to electron impact excitations (see Figure 10, calculated by
649 BOLSIG+ with the cross-section data sets used in this work). Then, the high efficiency of
650 the MW discharges has been associated with the low E/N figures while the low efficiency in
651 DBD reactors has been linked to the lack of vibrational excitation due to the higher E/N
652 [28,31,33,34].

653 However, the latter argument about the lack of vibrational states at ~200 Td cannot explain
654 the low efficiency of, at least, this DBD reactor. As mentioned above, the reduced electric
655 field used in this work is 56 Td, calculated from experimental measurements obtained
656 through Lissajous Figures (method described in [42] applied to our previous work [36]). The
657 method employed allows measurement of the voltage across the gap, importantly excluding
658 the voltage drop through the dielectric barrier. It is important to notice that this value is in
659 the order of those associated with MW discharges and differs considerably from 200 Td,
660 reported elsewhere and thought to characterise DBD discharges [31,33].

661 At this point it is important to notice that the high efficiencies achieved by MW discharges
662 require this reactor to be operated at low pressure. Moreover, when these discharges are
663 performed at atmospheric pressure, their efficiency drops significantly to values slightly

664   greater than those of DBD discharges (for a collection of data from different experimental

665   works, see [26,27]). Then, it is apparent that the pressure plays a role in the efficiency of the

666   CO_2 splitting reaction. In this regard, it has been theoretically shown in [51] that the highest

667   conversions and energy efficiencies of CO_2 splitting reactions performed on MW discharges

668   are achieved at 300 mbar, which is in agreement experimental results. Moreover, as stated in

669   Section 0, long-lasting large populations of high vibrational states are not achieved in DBD

670   reactors as the vibrationally excited molecules thermalise before the next micro-discharge

671   occurs, as a result of the combination of high pressure and low duration of plasma that

672   characterises these types of discharges [33].
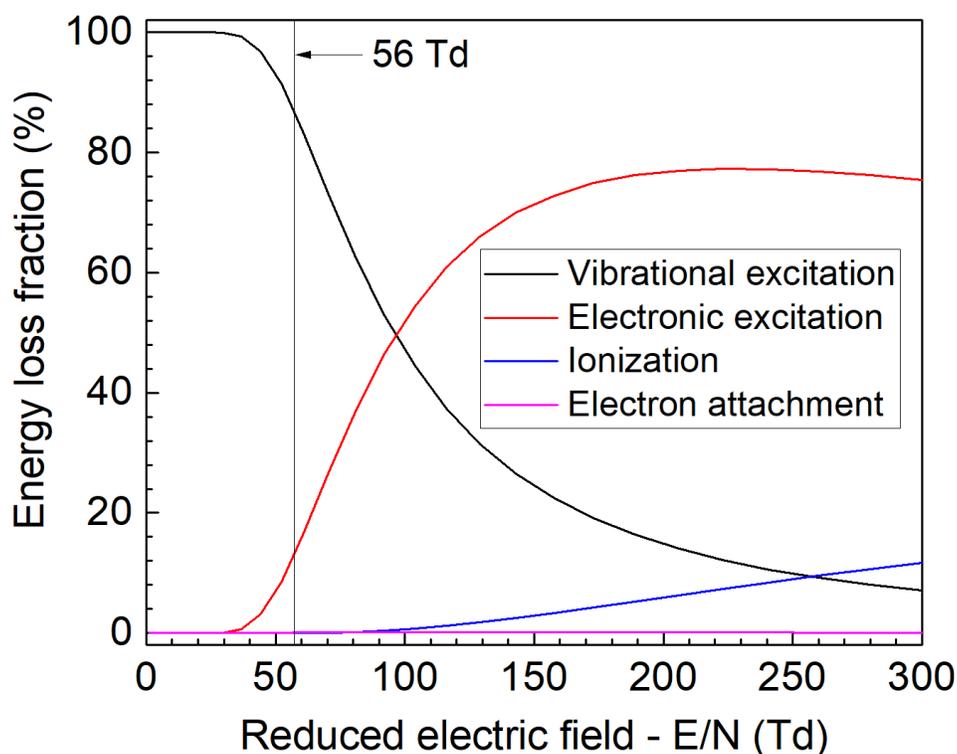
673

674   *Figure 10 - Energy loss fractions towards different electron impact processes as a function*
675   *of E/N, calculated by BOLSIG+ with the cross-sections used in this study.*

676   The present work can now be regarded with these very important results in mind. According

677   to Figure 10, at 56 Td, most of the energy imparted to the electrons by the plasma is directed

678   towards vibrational excitation. For that reason, we believe that vibrational excitation does

679   indeed take place in DBD reactors, although leading to de-excitation rather than dissociation,

680   due to the characteristic pressure and plasma duration of these discharges. Then, the low

681   energy efficiency achieved in this work (and other DBD discharges) seems reasonable as

682 vibrational excitation consumes ~ 90% of the energy imparted by the plasma and is not
683 translated into CO_2 conversion. In other words, ~ 90% of the energy given to the system is
684 lost in a vibrational excitation – de-excitation sequence. Moreover, very little energy (just
685 above 10%) is transferred to electronic excitation of CO_2, which has been shown in this work
686 (section 3.3) to be the main contributor to the dissociation process.

687 Finally, through this discussion, we can validate our approach of considering vibrational
688 excitations for the calculations of the EEDF, but not including reactions between
689 vibrationally excited states in the chemistry set as a channel for CO_2 dissociation.

4. Conclusions

691 In this work, we proposed a novel approach to the already existing zero-dimensional DBD
692 plasma kinetic models in order to include the effect of experimental parameters as discharge
693 power and flow rate. In addition, an average electron density calculated over hundreds of
694 microdischarges was used as an approximation, resulting in a great reduction of the
695 computational cost implied within this particular framework. Our DBD model was applied
696 to CO_2 splitting reactions and contrasted with readily available experimental data covering a
697 range of power and flow rate figures. A reduced chemistry set for CO_2 dissociation reactions
698 was employed [31], together with Polak and Slovetsky's cross section data for the CO_2
699 electron impact dissociation rate coefficient [38].

700 Overall, the results of this model represent the experimental measurements accurately within
701 the whole range of operational parameters tested, with a maximum relative error of around
702 5.5%. Upon this validation of the model, the underlying chemistry and reaction mechanisms
703 involved in the CO_2 conversion were analysed. Our results indicate that, under the operational
704 conditions considered in this work, the electron impact dissociation constitutes the dominant
705 mechanism for the CO_2 splitting, accounting for 95% of the CO_2 conversion. Finally, some
706 insights on the underlying reasons of the low energy efficiency shown by DBD reactors are
707 given. Our results, coupled with important findings published elsewhere [33], suggest that
708 most of the energy input is wasted towards vibrational excitations that have already been
709 proven not to lead to CO_2 dissociation under conditions of short exposure to plasma electrons
710 and atmospheric pressure, which characterise DBD reactors.

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