


1 SYSTEMATIC REVIEW

2 **Efficacy and Safety Outcomes for Originator TNF Inhibitors**  
3 **and Biosimilars in Rheumatoid Arthritis and Psoriasis Trials:**  
4 **A Systematic Literature Review**

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9 **Abstract**

10 *Objective* Regulatory approval of biosimilar versions of originator biotherapeutics requires that new biological products be highly similar to originator products, with no clinically meaningful differences in safety, purity, and potency. In some trials of biosimilars of tumor necrosis factor inhibitors for the treatment of rheumatoid arthritis (RA) and plaque psoriasis (PsO), pre-specified margins for efficacy and safety have been met, but differences in treatment responses between pivotal originator trials and biosimilar trials have been noted. The objective of this systematic review was to examine these differences.  
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20 *Methods* Searches were conducted to identify comparative randomized clinical trials of approved or proposed biosimilars of adalimumab, etanercept, and infliximab.  
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23 *Results* Of 83 publications identified, 16 publications were included for analysis (RA: originators,  $n = 5$ ; biosimilars,  $n = 6$ ; PsO: originators,  $n = 2$ ; biosimilars,  $n = 3$ ). American College of Rheumatology 20% response rates were higher among

patients with RA receiving originator biologics and biosimilars in biosimilar trials than among patients receiving the originator biologics in pivotal trials. In etanercept studies in PsO, a difference was observed in Psoriasis Area and Severity Index 75% response rates between biosimilar and pivotal trials. Insufficient efficacy data were available from adalimumab and infliximab biosimilar studies in PsO to determine any differences in treatment responses between pivotal and biosimilar studies.  
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*Conclusions* Observed differences in treatment response rates between pivotal originator trials and trials of originator biologics and their respective biosimilars may be attributable to fundamental differences in study design and/or baseline patient characteristics, which require further analysis.


**Key Points**

Biosimilarity between originator and biosimilar tumor necrosis factor inhibitors for the treatment of rheumatoid arthritis and plaque psoriasis has been demonstrated, but differences in treatment responses and safety outcomes between pivotal originator trials and recent biosimilar trials have been noted.

This systematic literature review comparing pivotal originator biologic trials with head-to-head trials of originator biologics and biosimilars indicates an overall similarity in baseline characteristics between the two types of studies, yet identifies some differences in responses to treatment.

The reasons for the noted differences in both efficacy and safety between the pivotal trials of originators and their respective biosimilars are currently only speculative.

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65 **1 Introduction**

64  
66 Regulatory approval of biosimilar versions of originator  
67 biotherapeutics requires that new biological products be  
68 highly similar to originator products, with no clinically  
69 meaningful differences in safety, purity, and potency [1, 2].  
70 Head-to-head comparison with the originator product is  
71 required at all stages of the biosimilar development pathway.  
72 Analytical studies establish high similarity, followed by pre-  
73 clinical and clinical studies to demonstrate the same level of  
74 efficacy and safety already established for the originator  
75 product. A phase I and a phase III clinical study can be  
76 sufficient to achieve regulatory approval for biosimilars [3].  
77 Pre-specified margins for equivalence in efficacy supporting  
78 biosimilarity have been met in comparative trials of  
79 biosimilars of tumor necrosis factor inhibitors (TNFis) in  
80 rheumatoid arthritis (RA) [4–9] and plaque psoriasis (PsO)  
81 [10–12], but differences in treatment responses and safety  
82 outcomes between pivotal originator trials [13–19] and  
83 recent biosimilar trials [4–12] have also been noted. The  
84 objective of this systematic review was to examine differ-  
85 ences in efficacy and safety between pivotal originator bio-  
86 logical trials and biosimilar trials in RA and PsO.

87 **2 Methods**

88 A systematic literature review (SLR) was conducted to  
89 obtain comprehensive, up-to-date data on the efficacy and  
90 safety of biosimilars of adalimumab, etanercept, and  
91 infliximab in the treatment of adults with RA and PsO. This  
92 SLR included randomized clinical trials where patients  
93 were treated with the originator biologics adalimumab,  
94 etanercept, and infliximab, and their biosimilars ABP 501  
95 (Amjevita), SB5, M923, MSB 11022, GP2017, CHS-1420,  
96 CT-P17, SB4 (Benepali), GP2015 (Erelzi), CHS-0214, CT-  
97 P05, CT-P13 (Remsima or Inflectra), SB2 (Flixabi), and  
98 GP1111. Pivotal studies were head-to-head comparisons  
99 between originator and placebo. Study outcomes were  
100 efficacy (American College of Rheumatology [ACR] 20/50/  
101 70% response rates, Disease Activity Score in 28 joints  
102 [DAS28], Psoriasis Area Severity Index [PASI] 50/75/90%  
103 response rates) and safety (adverse events [AEs], serious  
104 AEs [SAEs], and anti-drug antibodies [ADAbs]).

105 This SLR was conducted using a standardized, thor-  
106 ough, and transparent approach following Cochrane dual-  
107 reviewer methodology [20]. The SLR protocol followed  
108 the Preferred Reporting Items for Systematic Reviews and  
109 Meta-Analyses protocol (PRISMA-P) guidelines [21]. All  
110 processes and methodologies used to conduct this SLR are  
111 described fully in the Electronic Supplementary Material  
112 (ESM).

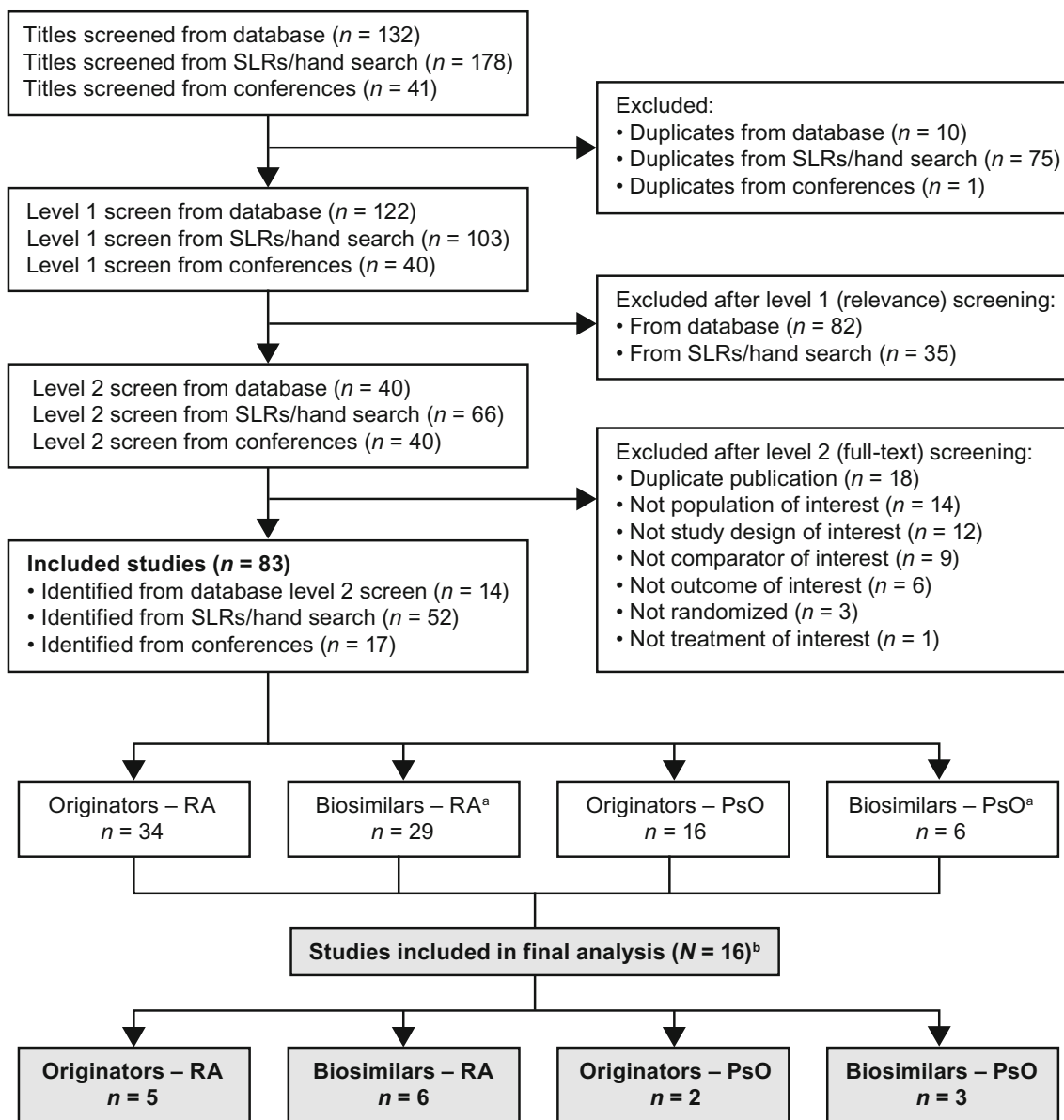
**3 Results****3.1 Search and Screening**

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115 The initial list of 351 publications was screened on  
116 December 7, 2016. After removing duplicates, 265 titles  
117 and abstracts were screened for relevance; 146 references  
118 underwent full-text screening and 83 references were  
119 quality assessed and retained for full data extraction  
120 (Fig. 1). Most references were of excellent or good quality  
121 (Supplementary Tables 1 and 2, see ESM). Of the 83  
122 publications, 34 and 16 reported on originator trials in RA  
123 and PsO, respectively, and 29 and 6 on biosimilar trials in  
124 those conditions. Two publications contained data for both  
125 RA and PsO. Biologic pivotal trials were identified through  
126 screening of systematic reviews.

127 Of the 83 selected publications, only those that  
128 reported on studies in disease-modifying anti-rheumatic  
129 drug (DMARD)-experienced patients who were treated  
130 with the same biologic dosages and assessed at the same  
131 time points were selected for final analysis ( $N = 16$ : RA  
132 originators,  $n = 5$ ; RA biosimilars,  $n = 6$ ; PsO originators,  
133  $n = 2$ ; PsO biosimilars,  $n = 3$ ). Studies of adalimumab  
134 and infliximab biosimilars in PsO did not report sufficient  
135 efficacy data and were not included. For RA, two pivotal  
136 originator studies were identified for adalimumab  
137 [13, 14], and one each for etanercept [15] and infliximab  
138 [16, 17]. One pivotal originator PsO study was identified  
139 for etanercept [18] and one for adalimumab [19]. All  
140 pivotal studies demonstrated efficacy of active treatment  
141 versus placebo (statistically significantly higher ACR and  
142 PASI response rates for RA and PsO studies, respectively  
143 [13–19]).

**3.2 Baseline Characteristics**

144  
145 Compared with the pivotal originator studies [13–19],  
146 biosimilar studies had larger sample sizes, included  
147 patients with a shorter disease duration, and were con-  
148 ducted in a wider range of countries [4–12] (Supplemen-  
149 tary Table 3, see ESM). Beyond that, baseline patient  
150 characteristics were similar across the studies, with the  
151 following exceptions: in the RA study of SB4 (etanercept  
152 biosimilar) [6], mean patient age was higher and mean  
153 disease duration was shorter compared with the pivotal  
154 etanercept study [15]; in the RA study of SB2 (infliximab  
155 biosimilar) [8], mean disease duration was shorter and  
156 mean values for tender joint count (TJC) and swollen joint  
157 count (SJC) were lower than in the pivotal infliximab  
158 originator study [16, 17]. In most studies, there was not  
159 enough information to assess baseline differences in  
160 DAS28, TJC, or SJC.



**Fig. 1** Flow of papers screened and retained in the SLR. *DMARD* disease-modifying anti-rheumatic drug, *PsO* plaque psoriasis, *RA* rheumatoid arthritis, *SLR* systematic literature review. <sup>a</sup>Two abstracts contained data for both RA and PsO. <sup>b</sup>Only those publications that

reported on studies in DMARD-experienced patients who were treated with the same biologic dosages and assessed at the same time points were selected for final analysis

161 **3.3 Efficacy Outcomes**

162 In the biosimilar studies, ACR20 response rates for both  
 163 the originator and the biosimilar were numerically higher  
 164 than those in the pivotal originator studies for all treat-  
 165 ments. The same trend was observed for ACR50 and  
 166 ACR70 in the etanercept biosimilar studies, and for ACR70  
 167 in the infliximab studies (Table 1), but there were excep-  
 168 tions. The two pivotal studies of adalimumab had very  
 169 different ACR50 response rates (39% [13] and 55% [14]).  
 170 The ABP 501 biosimilar study [4] had adalimumab/  
 171 biosimilar ACR50 response rates similar to the Weinblatt

pivotal study [14], but the SB5 biosimilar study [5] had 172  
 173 adalimumab/biosimilar ACR50 response rates that more  
 174 closely resembled the Keystone pivotal study [13]  
 175 (Table 1). The pivotal study ACR70 response rates were  
 176 more similar to each other than was seen for ACR50  
 177 [13, 14], and the ACR70 response rates for the adali-  
 178 mumab/biosimilar studies closely resembled these (ranging  
 179 from 19 to 26%, Table 1). The ACR50 response rate for  
 180 infliximab in the SB2 [8] and CT-P13 [9] biosimilar studies  
 181 was lower than that seen in the pivotal originator study  
 182 [16, 17], but the response rates for the biosimilars were  
 183 higher (Table 1).

**Table 1** Comparison of ACR response rates in pivotal versus biosimilar studies in patients with RA

	ACR20	ACR50	ACR70
Response at 24 weeks: ADA vs biosimilars			
ADA from pivotal study 1 [13]	63	39	21
ADA from pivotal study 2 [14]	67	55	27
ADA from ABP 501 study [4]	72	52	23
ABP 501 [4]	75	49	26
ADA from SB5 study [5]	72	40	20
SB5 [5]	73	38	19
Response at 24 weeks: ETN vs biosimilars			
ETN from pivotal study [15]	71	39	15
ETN from SB4 study [6]	80	42	23
SB4 [6]	78	47	26
ETN from CHS-0214 study [7]	91	64	38
CHS-0214 [7]	91	68	38
Response at 30 weeks: INF vs biosimilars			
INF from pivotal study [16, 17]	50	27	8
INF from SB2 study [8]	59	16	17
SB2 [8]	56	31	16
INF from CT-P13 study [9]	59	17	16
CT-P13 [9]	61	35	34

ACR American College of Rheumatology, ADA adalimumab, ETN etanercept, INF infliximab, RA rheumatoid arthritis

184 In the only PsO study assessed, the PASI75 response  
185 rates at 12 weeks for etanercept (72%) and GP2015 (70%)  
186 in the biosimilar study [10] were greater than the corre-  
187 sponding rate for etanercept in the pivotal originator study  
188 (49%) [18].

### 189 3.4 Safety Outcomes

190 There were no comparable safety outcomes for pivotal  
191 originator and biosimilar studies of adalimumab in RA. In  
192 the two head-to-head studies of etanercept versus the  
193 biosimilars SB4 [6] and CHS-0214 [7], the occurrence of  
194 ADABs following treatment with etanercept was higher  
195 than the occurrence of ADABs in the pivotal etanercept  
196 study [15]; the opposite was the case with ADAB occur-  
197 rence for either biosimilar (Supplementary Table 4a, see  
198 ESM). The occurrence of injection site reactions (ISRs)  
199 was lower for etanercept and SB4 in the biosimilar study  
200 [6] than for etanercept in the pivotal study [15], which was  
201 also observed for etanercept and CHS-0214 [7, 15]. In the  
202 head-to-head study of infliximab versus SB2 [8], the  
203 occurrence of SAEs was similar between both the inflix-  
204 imab and SB2 arms in the biosimilar study [8] and the  
205 infliximab arm in the pivotal originator infliximab study  
206 [16, 17]. The percentage of patients with a skin rash was

207 lower in the SB2 [8] and CT-P13 [9] biosimilar studies  
208 than in the pivotal originator infliximab study [16, 17]  
209 (Supplementary Table 4b, see ESM).

210 There were no comparable safety outcomes for pivotal  
211 originator and biosimilar studies of infliximab in PsO. In  
212 the only PsO study of adalimumab versus the biosimilar  
213 ABP 501 [12], the percentage of patients with SAEs was  
214 higher for both adalimumab (5.1%) and ABP 501 (4.6%)  
215 than for adalimumab (1.8%) in the pivotal originator study  
216 [19]. The occurrence of ISRs with adalimumab in the  
217 biosimilar study was higher than that observed in the piv-  
218 otal originator study of adalimumab (5.2 versus 3.2%) but  
219 lower with ABP 501 (1.7%) (Supplementary Table 4c, see  
220 ESM). In the only PsO study of etanercept versus the  
221 biosimilar GP2015, ISRs were reported in fewer etaner-  
222 cept-treated (14.2%) and GP2015-treated patients (4.9%) in  
223 the biosimilar study [10] compared with etanercept-treated  
224 patients (18.0%) in the pivotal originator study [18] (Sup-  
225 plementary Table 4d, see ESM).

## 226 4 Discussion

227 This SLR of pivotal originator biologic trials versus head-  
228 to-head trials of originator biologics and biosimilars indi-  
229 cates, as expected, an overall similarity in baseline char-  
230 acteristics between the two types of studies, yet identifies  
231 some differences in responses to treatment.

232 This SLR did not establish any major differences in the  
233 baseline characteristics of the patients in the pivotal origi-  
234 nator versus biosimilar trials other than disease duration,  
235 which was lower for the RA biosimilar trials than the  
236 pivotal trials (where reported). However, it should be noted  
237 that this analysis was based on publicly available infor-  
238 mation only (additional clinical information is available in  
239 the European public assessment reports and FDA reports)  
240 and that there may have been between-trial differences that  
241 could not be identified. For example, biosimilar trials ten-  
242 ded to recruit patients from a wider range of countries than  
243 pivotal originator trials [22], which may have resulted in  
244 study population differences that were not captured using  
245 standard baseline parameters (such as genetic variations  
246 affecting drug metabolism or cultural attitudes to medica-  
247 tion) but might affect study results. Additionally, patient  
248 status in the two trial groups was arguably different  
249 because of the decades of additional research on both  
250 treatments and treatment strategies that patients in the  
251 biosimilar studies benefited from. Patients in the pivotal  
252 originator studies had access to lower-quality treatment and  
253 fewer treatment options before commencing biological  
254 therapy.

255 This systematic review showed that ACR20 and PASI75  
256 response rates were higher in biosimilar studies compared

257 with pivotal originator studies. This was also observed in a  
 258 recently published study of the etanercept biosimilar  
 259 GP2015, where ACR20 response at week 24 was 88.8% for  
 260 GP2015 and 93.6% for etanercept [23] compared with 71%  
 261 in the pivotal study [15]. Higher response rates in the  
 262 biosimilar trials could be due, at least in part, to a longer  
 263 disease duration in the pivotal originator trials. It is also  
 264 possible that the absence of a placebo arm in the biosimilar  
 265 studies resulted in higher expectations among patients and  
 266 investigators as all participants knew they were receiving  
 267 active treatment; it has been previously reported that using  
 268 active comparators only is associated with increased effect  
 269 sizes compared with placebo-controlled studies [24–28].  
 270 Indeed, ACR20/50/70 responses from open-label trials of  
 271 originator etanercept [29–31] more closely resemble the  
 272 results from the biosimilar trials reviewed here than the  
 273 pivotal originator etanercept trial, suggesting that the open-  
 274 label design can impact treatment efficacy. However, there  
 275 are many variations in trial design, patient population, and  
 276 study type between these etanercept studies and the  
 277 biosimilar studies that must be taken into consideration  
 278 when assessing the impact of open-label treatment on  
 279 efficacy outcomes. Other differences in trial design could  
 280 also contribute, each in part, to the differences seen  
 281 between efficacy results in different trials. Finally, bio-  
 282 logical differences between products in the pivotal origi-  
 283 nator and biosimilar trials would also contribute to the  
 284 differences in efficacy results seen in these studies.

285 Comparison of safety data was limited, as the available  
 286 data were too scarce to allow a useful comparison between  
 287 pivotal and biosimilar studies. Where safety outcomes  
 288 could be compared, the rates of ADABs, ISRs, and skin  
 289 rashes were generally lower for both the originator and  
 290 biosimilar treatments in the biosimilar trials of RA than in  
 291 the pivotal originator trials (Supplementary Tables 4a, b,  
 292 see ESM). These discrepancies are likely to be the result of  
 293 many interplaying factors. For instance, the pivotal and  
 294 biosimilar studies often used different laboratory testing  
 295 methods; the pivotal studies used enzyme-linked  
 296 immunosorbent assays to assess ADABs, whereas the  
 297 biosimilar studies used electrochemiluminescence  
 298 immunoassays. Since the pivotal studies were conducted,  
 299 improvements have been made in clinical techniques (such  
 300 as detection methods for etanercept ADABs) and updates  
 301 made to MedDRA coding. ADAB monitoring has become  
 302 more rigorous; in the biosimilar trials, monitoring was  
 303 carried out throughout the trial, whereas in the pivotal trials  
 304 it was carried out on Day 1 and at study end only. Patients  
 305 may be more comfortable with products after 10–20 years  
 306 of commercial use, meaning that they might be less likely  
 307 to report AEs.

308 The major limitation of this SLR was the small number  
 309 of studies available for comparison. The numbers of

patients in the pivotal originator studies were also small  
 compared with the biosimilar studies.

## 5 Conclusion

Although the biosimilars of biologics for inflammatory  
 diseases were shown to be comparable with the originator  
 products throughout the regulatory approval process, there  
 are numerical differences in both efficacy and safety out-  
 comes between the pivotal trials of originators and con-  
 firmatory clinical trials of their respective biosimilars. The  
 reasons for these differences are currently only speculative.

**Author Contributions** All authors contributed to the development of  
 the systematic literature review that forms the basis of this manu-  
 script, were involved in drafting the manuscript and revising it criti-  
 cally for important intellectual content, and approved the final  
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 hold stock in Pfizer.

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